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5 **COMPARISON OF SOME METHODS FOR DETERMINING LEAF**
6 **AREA OF TREES IN ROWS**

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8 **J.S. Broadhead¹, A.R. Muxworthy², C.K. Ong³ and C.R. Black¹**

9

10 *¹Plant Science Division, School of Biosciences, University of Nottingham, Sutton*
11 *Bonington Campus, Loughborough, LE12 5RD, UK*

12

13 *²Department of Geology & Geophysics, University of Edinburgh, Grant Institute, West*
14 *Mains Road, Edinburgh, EH9 3JW, UK.*

15

16 *³International Centre for Research in Agroforestry, ICRAF House, PO Box 30677,*
17 *Gigiri, Nairobi, Kenya*

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20 **Running title:** Measuring leaf area in tree rows

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1 **ABSTRACT**

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3 Two methods for determining the leaf area of trees growing in rows using an LAI-2000
4 Plant Canopy Analyser were tested against destructive measurements for Croton
5 megalocarpus and Melia volkensii, species with differing canopy characteristics. The
6 trees ranged between 4.0 and 7.5 m in height and formed part of an agroforestry
7 experiment in semi-arid Kenya where rapid fluctuations in canopy cover rendered
8 allometric approaches inappropriate for determining leaf area. The first method used
9 unmodified theory for determining leaf area in continuous canopies which has proved
10 suitable for isolated bushes. In the second method, path lengths through the canopy
11 were calculated from simple measurements of canopy dimensions and the importance
12 of subsidiary assumptions concerning leaf angle distribution was tested. Leaf angle
13 distribution, which is required for canopy simulation models, was also determined
14 using both direct and indirect approaches and the effect of using assumed leaf angle
15 distributions when calculating leaf area was assessed. The canopy analyser proved
16 unsuitable for measuring leaf angle distributions in isolated canopies, and it was
17 necessary to make direct canopy measurements for this instrument to be used for
18 smaller canopies. It was also shown that, even when path lengths are measured,
19 calibration may be necessary to avoid bias; uncalibrated leaf area density estimates
20 were, on average, underestimated by 16% for M. volkensii and overestimated by 8 %
21 for C. megalocarpus with respect to the destructively determined values.

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24 **Keywords:** LAI-2000, plant canopy analyser, leaf area, leaf area density, leaf angle
25 distribution, tree rows, Croton megalocarpus, Melia volkensii, agroforestry.

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1 INTRODUCTION

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3 The quantity and pattern of radiation interception by vegetation canopies, upon which
4 plant growth and productivity ultimately depend, are related to the number and spatial
5 distribution of individual canopy elements. In agroforestry systems, the tree canopy is
6 important not only in influencing tree-environment interactions, but also because it
7 modifies the microclimate experienced by associated crops (Jackson and Palmer, 1989;
8 Tournebize and Sinoquet, 1995; Brenner, 1996) and influences soil moisture content
9 due to effects on water uptake, transpiration and soil evaporation (Ong *et al.*, 1991;
10 Howard *et al.*, 1995). Norman and Campbell (1989) suggested that descriptions of
11 canopy structure may reveal the strategy adopted by individual species in dealing with
12 the evolutionary process of adaptation to the prevailing physical, chemical or biotic
13 factors by reflecting their inherent patterns of activity. The latter is of interest to
14 agroforestry as the timing of phenological changes in relation to the prevailing
15 environment play a determining role in tree-crop interactions (Broadhead *et al.*,
16 2002b).

17 Canopy structure is usually quantified in terms of leaf area and the spatial and
18 geometric organisation of individual elements within a defined canopy envelope. The
19 problems associated with quantification generally increase with the size and temporal
20 and spatial heterogeneity of the canopy. Norman and Campbell (1989) broadly
21 classified the methods available for quantifying canopy structure as being either direct
22 or indirect. Direct methods are often reliable but are usually destructive and become
23 excessively laborious when applied to large or temporally heterogeneous canopies.
24 However, the closeness of the coupling between radiation exchange and canopy
25 structure often enables canopy characteristics to be inferred from radiation
26 measurements using theory developed from the Monsi and Saeki/Beer's law equation
27 (Monsi and Saeki, 1953; Anderson, 1966; Ross, 1975). Indirect methods may
28 therefore be used in conjunction with assumptions concerning canopy shape and the
29 distribution and orientation of leaves to provide more rapid assessments of leaf area.
30 However, cases where some of the simpler assumptions are less likely to apply, e.g.
31 where foliage is non-randomly distributed (Norman and Jarvis, 1975; Cohen *et al.*,

1 1995; Lang et al., 1985), or canopies are discontinuous (Jackson and Palmer, 1989;
2 Brenner et al., 1995) must be recognised and potential errors avoided by removing or
3 redefining assumptions.

4 The primary objective of the work reported here was to develop a rapid method for
5 determining canopy structural parameters for Melia volkensii and Croton
6 megalocarpus to provide input variables for canopy simulations and allow direct
7 comparisons of tree species. Attempts to develop allometric relationships between
8 branch cross-sectional area and leaf area similar to those established by previous
9 workers (Nygren et al., 1994; Lott et al., 2000) were confounded by the continual and
10 rapid fluctuation of leaf cover in M. volkensii and, to a lesser extent, C. megalocarpus
11 (Broadhead et al., 2002a, b). The physical size and height of the trees also precluded
12 direct measurement of canopy characteristics without felling them. Two indirect
13 approaches based on measurements made using an LAI-2000 canopy analyser were
14 therefore compared with a direct method for assessing leaf area.

15 A secondary objective was to determine leaf angle distributions for both species; two
16 indirect methods using the LAI-2000 were again compared with a more laborious
17 direct method. These measurements also allowed the error involved in the assumption
18 of a spherical leaf angle distribution, required under certain circumstances when using
19 the LAI-2000, to be assessed.

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1 THEORY AND METHODS

3 Experimental design

4 The experimental design was a randomised complete block with four replicates. The
5 18 x 18 m plots contained a central row of 19 trees (586 trees ha⁻¹) planted in an east-
6 west orientation and were surrounded by 1 m wide buffer zones. To maintain the
7 uniformity of the tree canopies in each plot branches deviating significantly from the
8 collective canopy perimeter were pruned before the onset of the rains. Trenches dug to
9 a depth of 2 m around all plots and refilled at the beginning of each cropping season
10 were used to minimise root interference between plots. Maize (Zea mays L.) and
11 beans (Phaseolus vulgaris L.) were grown during the long (March-July) and short rainy
12 seasons (October-February) respectively. Full experimental details are given by
13 Broadhead et al. (2002a).

15 Indirect leaf area measurements

16 An LAI-2000 Plant Canopy Analyser (Li-Cor Inc., Lincoln, NE, USA) was used to
17 determine leaf areas for M. volkensii and C. megalocarpus using a standard method for
18 horizontally continuous canopies and a modification of the isolated tree technique
19 described by Li-Cor (1992). The instrument was originally designed to estimate the
20 leaf area index of continuous canopies, but has been used with isolated trees with
21 varying degrees of success (Brenner et al., 1995; Villalobos et al., 1995; Grace and
22 Fownes, 1998). Its use for estimating leaf area in isolated tree rows has not previously
23 been documented.

24 The LAI-2000 has a hemispherical lens and optical sensors that detect radiation at five
25 zenith angles (Welles and Norman, 1991; Li-Cor, 1992). Gap fractions at each angle
26 are determined from measurements of diffuse radiation made above and below the
27 canopy (Fig. 1); inversion and numerical integration of the transmission data are used
28 to estimate leaf area density (LAD). Measurements are made under diffuse radiation
29 conditions as the presence of even small amounts of direct radiation may introduce
30 substantial errors (Welles, 1990). View caps may be used to restrict the azimuthal

1 view range of the lens if large gaps in the canopy are present or the canopy of isolated
 2 plants is asymmetric. It is assumed that: 1) foliage elements are small relative to the
 3 area of view at each zenith angle; 2) foliage is randomly orientated with respect to the
 4 azimuth and randomly distributed within a defined envelope; and 3) foliage does not
 5 reflect or transmit radiation below 490 nm (Li-Cor, 1992).

6 Comparisons were made between estimates of leaf area obtained using the method for
 7 continuous canopies where path lengths were estimated as $1/\cos\theta$ and a second method
 8 using calculated path lengths. The theory used to calculate foliage area is based on the
 9 gap fraction or contact frequency technique developed by Warren-Wilson and Reeve
 10 (1959) and described in detail by Welles and Norman (1991) and Li-Cor (1992).
 11 Assuming azimuthal symmetry, the probability of transmission (T) of a ray of zenith
 12 angle θ is given by:

$$13 \quad T(\theta) = \exp[-G(\theta)\mu S(\theta)] \quad [\text{Eq. 1}]$$

14 where $G(\theta)$ is the fraction of foliage projected in direction θ , μ is foliage density and
 15 $S(\theta)$ is the path length through the canopy. This may be rewritten as:

$$16 \quad G(\theta)\mu = -\frac{\ln(T(\theta))}{S(\theta)} \equiv K(\theta) \quad [\text{Eq. 2}]$$

17 where $K(\theta)$ is the contact frequency, or the average number of contacts per unit length
 18 that a probe would make when passed through the canopy at zenith angle θ . The
 19 analytical solution for foliage density is given by Miller (1967) as:

$$20 \quad \mu = 2 \int_0^{\pi/2} \frac{-\ln(T(\theta))}{S(\theta)} \sin\theta d\theta \quad [\text{Eq. 3}]$$

21 Numerical integration over the five zenith angles gives:

$$22 \quad \mu = 2 \sum_{i=1}^5 \frac{-\ln(T_i)}{S_i} W_i \quad [\text{Eq. 4}]$$

1 where T_i denotes the proportion of radiation transmitted at the five zenith angles and W_i
 2 represents $\sin\theta_i d\theta_i$ values computed by breaking the 0 to 90° interval into five unequal
 3 intervals based on the central zenith angle assigned to detector rings 1-5 (7°, 23°, 38°,
 4 53°, 68°) and normalising the values obtained (i.e. scaling them so their sum is equal to
 5 1). The $d\theta$ values correspond to the zenith interval covered by the detector rings.
 6 Substitution from equation 2 gives:

$$7 \quad \mu = 2 \sum_{i=1}^5 K_i W_i \quad [\text{Eq. 5}]$$

8 **Method 1** for estimating leaf area entailed the use of path lengths calculated as $1/\cos\theta$
 9 to provide estimates of leaf area index (Welles and Norman, 1991). This approach is
 10 generally used for horizontally continuous canopies (Li-Cor, 1992), but was found by
 11 Brenner *et al.* (1995) to provide reliable estimates for isolated hemispherical bushes.
 12 This method assumes that path lengths are approximated by the reciprocal of the cosine
 13 of the zenith angle (ring view angle) and that the leaves are randomly distributed
 14 within the canopy and symmetrically distributed with respect to azimuth; in the present
 15 study, the canopies within individual tree rows were assumed to be homogeneous
 16 within each 1 m row length. To facilitate comparison with method 2, leaf area index
 17 values were converted to leaf area density using estimated canopy dimensions as
 18 detailed below.

19 **Method 2** differed in that canopy dimensions were used to estimate path lengths, S , for
 20 each zenith angle. This is recommended for isolated plants in which the horizontal
 21 extent of the canopy is less than three times plant height (Li-Cor, 1992). Assumptions
 22 differed from those in Method 1 as the canopies within individual tree rows were
 23 elliptical in cross-section; path lengths could therefore be approximated from simple
 24 canopy measurements. Measurements were made using a 90° view cap, with the
 25 sensor positioned centrally between adjacent trees and directed perpendicular to the
 26 tree row towards the north or south. This procedure restricted the canopy view to a
 27 volume approximated by a 90° segment of an ellipsoid with semi-axis dimensions
 28 defined by direct measurements of the canopies (Fig. 1); it also decreased the number
 29 of measurements required to define the canopy perimeter. Path length (S) was

1 calculated from this defined ellipse and the measured sensor height for each LAI-2000
2 measurement as detailed in Appendix 1.

3 Depending on the position of the sensor relative to the canopy, light received by one or
4 more of the outer detector rings (see Fig. 1) may not actually pass through the canopy.
5 To calculate the relevant K_i value (see Eq. 5), foliage density (μ) for the ring was
6 assumed to be equal to that calculated for the outermost ring which did receive light
7 that had passed through the canopy; thus by substituting for μ in equation 2, the
8 following may be written:

$$9 \quad \frac{K_i}{G_i} = \frac{K_{i-1}}{G_{i-1}} \quad \text{[Eq. 6]}$$

10 The value of K_i required to estimate canopy leaf area density (Eq. 5) can then be
11 approximated as:

$$12 \quad K_i = \frac{G_i}{G_{i-1}} K_{i-1} \quad \text{[Eq. 7]}$$

13 where G_i represents the fraction of foliage projected in the direction of the five zenith
14 angles (i) calculated from direct measurements of leaf angle distribution.

15 The inclination angles of c. 150 randomly sampled leaves from both C. megalocarpus
16 and M. volkensii were measured to provide input for a canopy simulation model
17 (Broadhead, 2000) and estimate $G(\theta)$ values for LAI-2000 measurements where values
18 for outer rings were missing. Because leaves were only accessible from permanent
19 canopy-level platforms, measurements were confined to the southern side of the
20 canopies in Replicates 2 and 4. Measurements were made at all levels in the canopy
21 using a protractor with a weighted dial mounted at its centre, as described by Norman
22 and Campbell (1989).

23 To estimate missing LAI-2000 K_i values, measured leaf angle distributions were used
24 to calculate $G(\theta)$ values for zenith angles corresponding to the LAI-2000 view angles
25 (7° , 23° , 38° , 53° , 68°). For each zenith angle, $G_\alpha(\theta)$, the fraction of foliage inclined at
26 angle α projected in direction θ was calculated for nine leaf angle classes centred at

1 10° intervals between 5 and 85°. The formulae follow Welles and Norman (1991), but
 2 using a correction applied to the second equation (J. Welles, pers. comm.). Thus:

$$3 \quad G_{\alpha}(\theta) = \cos \alpha \cos \theta \quad (\text{for } \alpha + \theta \leq \pi / 2) \quad [\text{Eq. 8}]$$

$$4 \quad G_{\alpha}(\theta) = \frac{2}{\pi} \sin \theta \sin \alpha \sin \beta + \left(1 - 2 \frac{\beta}{\pi}\right) \cos \theta \cos \alpha \quad (\text{for } \alpha + \theta \geq \pi / 2) \quad [\text{Eq. 9}]$$

5 and

$$6 \quad \cos \beta = \frac{\cos \alpha \cos \theta}{\sin \alpha \sin \theta} \quad [\text{Eq. 10}]$$

7 G_i values, which provide a measure of the fraction of foliage projected in the direction
 8 of the five zenith angles, were calculated by summing the product of G_{ij} , the fraction of
 9 foliage in leaf inclination angle class j projected in direction i , and f_j , the fraction of
 10 leaves in leaf inclination angle class j , as follows:

$$11 \quad G_i = \sum_{j=1}^9 G_{ij} f_j \quad [\text{Eq. 11}]$$

12 Estimation of leaf area from measurements which included the contribution of branch
 13 surface area was achieved in the present study by empirical calibration. Lang (1991)
 14 advocated that directly measured surface areas of branches should be subtracted from
 15 indirect estimates to obtain leaf surface area. However, this method is unsuitable for
 16 isolated canopies, for which the sensor position affects the proportion of branch area
 17 projected in the direction of the sensor, as branches are generally inclined upwards and
 18 outwards from the main stem. Thus, if the sensor is positioned adjacent to the stem, as
 19 suggested by Li-Cor (1992), the branch surface area projected in the direction of the
 20 sensor will be smaller than if the sensor is placed at the edge of the canopy. Therefore,
 21 although empirical calibration may appear less attractive than a fully mechanistic
 22 approach, the lack of appropriate theory to account for branches makes calibration
 23 desirable.

1 **Canopy analyser measurements**

2 A 90° view cap was used to restrict the azimuthal range of the sensor. Measurements
3 were made at 1 m intervals to the north and south of trees 5 to 10 within two replicate
4 plots for each species, providing 20 measurements per species. An effort was made to
5 include the entire range of leaf area densities present in each dataset by subjectively
6 selecting two 5 m row sections for each species with relatively low and high leaf area
7 densities. Individual ‘above canopy’ measurements made 10 m from the tree rows
8 were followed in close succession by a series of five measurements beneath the canopy
9 at adjacent points along the row. The sensor head was levelled using the integral spirit
10 level and directed with the aid of a compass towards the north or south azimuth for all
11 above and below canopy measurements. Practical problems were encountered with the
12 rapid failure of light following sunset at equatorial latitudes and the lack of overcast
13 days during some seasons which limited the opportunity to make measurements under
14 diffuse radiation conditions. Data were downloaded onto a PC and subsequent
15 calculations performed using a spreadsheet. Dimensions to define the ellipse for path
16 length and canopy volume calculations were taken as the averages of three sets of
17 canopy measurements made at the sensor measurement position, and at distances of 50
18 cm to either side. These comprised the top and bottom heights of the canopy and
19 distance to the edge of the canopy from the centre of the tree row (Fig. 1). M.
20 volkensis canopies averaged 6.6 ± 0.18 m width and 5.1 ± 0.13 m depth and C.
21 megalocarpus canopies 5.7 ± 0.09 m width and 3.0 ± 0.08 m depth. Both canopies of
22 both species were, to a first approximation, elliptical in cross section although those of
23 C. megalocarpus tended to broaden with height.

24

25 **Direct measurement of leaf area**

26 Leaf area was measured immediately after completing LAI-2000 measurements. Due
27 to the practical difficulty of stripping leaves from trees and calculating the volume of
28 canopy sections with complex profiles, it was assumed that the leaf area density of the
29 volume viewed by the canopy analyser corresponded to a 1 m wide section oriented
30 perpendicular to the tree row and centred on the measurement point. Leaf area was
31 determined by measuring the dry weight of leaves removed from the canopy section

1 and multiplying the values obtained by the corresponding specific leaf area determined
2 for sub-samples with the aid of an ADC LA-2000 leaf area meter (Analytical
3 Development Company, Hoddesden, Herts). Leaf area density was obtained by
4 dividing leaf area by the estimated volume of the canopy section from which the leaves
5 were removed.

6

7

1 RESULTS AND DISCUSSION

3 Leaf inclination

4 Mean leaf inclination was calculated from LAI-2000 output using both of the methods
5 for estimating path length described above. Norman and Welles (1983) suggested that
6 constraints should be applied for extreme leaf angles due to uncertainties in the
7 empirical relationship used to relate radiation measurements to leaf angle. The first
8 method, with path lengths equal to $1/\cos\theta$ produced out-of-range values (i.e. $>90^\circ$ or
9 $<0^\circ$) in 39 out of 40 cases. This was to some extent expected as actual path lengths
10 differed systematically from the theoretical lengths for horizontally continuous
11 canopies, leading to errors in the contact frequencies (K_i) used to calculate mean leaf
12 inclination (Li-Cor, 1992). For the second method, in which estimated path lengths
13 were used, 60% of values were within range for M. volkensii (mean leaf inclination
14 $50.0 \pm 4.42^\circ$) and 95% for C. megalocarpus ($58.2 \pm 2.94^\circ$). The out-of-range values
15 were partly attributable the small, non-horizontally homogeneous canopies of the trees,
16 which violated the implicit assumption in substituting K from equation 2 into equation
17 5 that leaf angle distribution, represented by $G(\theta)$, and μ are constant, and that contact
18 frequency, K , is affected only by changes in zenith angle. In reality, leaf angle
19 distribution and μ are often not constant in isolated canopies as leaf area density and/or
20 leaf angle may be greatest at the edges of the canopy. When out-of-range values were
21 excluded, Kruskal-Wallis tests showed that mean leaf inclination angles did not differ
22 significantly from directly measured values for either M. volkensii ($p=0.70$) or C.
23 megalocarpus ($p=0.61$).

24 Mean leaf inclination angles derived from direct measurements were greater in C.
25 megalocarpus ($60.3 \pm 1.30^\circ$) than in M. volkensii ($51.1 \pm 3.33^\circ$; $p<0.01$). Measured
26 leaf inclination angles showed a greater frequency of more steeply inclined leaves in
27 the former species (Fig. 2). Spherical leaf angle distribution is also shown for
28 comparison, where the frequency of leaves in the n^{th} leaf angle class is given by:

$$29 \quad f(n) = \cos((n-1)\delta) - \cos(n\delta) \quad [\text{Eq. 12}]$$

1 and δ denotes class width in degrees. G-tests (Sokal and Rohlf, 1998) showed that
2 direct measurements of leaf angle distribution using class widths of 5° differed
3 significantly from the spherical distribution in C. megalocarpus ($p < 0.01$), but not in M.
4 volkensisii ($p = 0.18$).

5 The smaller G_i values obtained at higher zenith angles in M. volkensisii meant that the
6 conventional assumption of a spherical leaf angle distribution for missing measurement
7 rings resulted in overestimation of leaf area density. The opposite was true for C.
8 megalocarpus, for which G_i was larger at higher zenith angles. Within the c. 300
9 measurements made for each species, outer ring measurement values were missing on
10 59 and 71% of occasions for C. megalocarpus and M. volkensisii respectively. With one
11 ring missing, the differences in leaf area density resulting from use of spherical rather
12 than measured leaf angle distributions was 3.9% for C. megalocarpus and -0.4% for M.
13 volkensisii, rising to 11.1 and -7.7%, respectively, with three rings missing. Directly
14 measured distributions were therefore used to calculate G_i in Eq. 7 when values for one
15 or more of the outer LAI-2000 measurement rings were missing.

16

17 **Leaf area**

18 Regression of results from Method 1 against direct measurements of leaf area density
19 (LAD; Table 1, Figs. 3a and 4a) provided much poorer fits and larger underestimates
20 than Method 2 (Table 1, Figs. 3b and 4b). The underestimation provided by Method 1
21 resulted from the inappropriateness of the theory for small canopies that did not fill the
22 view of the canopy analyser. The slope of the regression did not differ significantly
23 from zero for C. megalocarpus ($p = 0.12$), partly due to two outlying points with
24 particularly high measured values, but was significant for M. volkensisii ($p < 0.01$)
25 despite similar underestimates of LAD at high leaf area density. Method 1 was
26 therefore considered inappropriate for small canopies.

27 Figures 3b and 4b show the relationships between measured and estimated values of
28 LAD obtained using Method 2. On average, LAD was overestimated by 8.4% in C.
29 megalocarpus and underestimated by 15.7% in M. volkensisii. The slopes of the
30 regression lines were significantly less than 1 for both C. megalocarpus ($p = 0.043$) and

1 M. volkensii ($p < 0.001$). This effect was probably attributable either to leaf clumping, a
2 common cause of underestimation when leaf area is determined using indirect methods
3 (Cohen et al., 1995; Hanan and Bégué, 1995; Levy and Jarvis, 1999), or to light
4 scattering within their canopies (Macfarlane et al., 2000). However, observations
5 suggested that the lower regression slope for M. volkensii resulted from clumping of
6 leaves around terminal nodes. In C. megalocarpus leaves were more evenly distributed
7 along closely spaced branches.

8 Intercepts with the y-axis were positive and differed significantly from zero ($p = 0.05$;
9 Table 1), indicating that the presence of non-leafy plant material such as branches and
10 trunks caused LAD to be overestimated at low measured values. The much larger
11 positive intercept for C. megalocarpus reflects the greater proportion of branch surface
12 area within its smaller, denser canopy. At higher LADs, where the effect of non-leaf
13 surface area is reduced (Smolander and Stenberg, 1996), estimated and measured
14 values corresponded more closely ($\pm 10\%$). By contrast, leaf areas in M. volkensii
15 were underestimated, particularly at higher LAD values, probably due to the more
16 clumped nature of its canopy. This may be further explained by increases in canopy
17 clumping as leaf area index increases, as reported for apple trees by Cohen et al.
18 (1995).

19 The wider scatter around the regression line for M. volkensii resulted partly from
20 within-row variation in leaf area as direct measurements showed that mean coefficients
21 of variation within tree rows were 41% greater than in C. megalocarpus. The greater
22 influence of branch surface area on radiation transmission associated with the lower
23 LAD values for M. volkensii may also have increased errors, as reported for sparse
24 eucalypt canopies (Whitford et al., 1995).

25 Although no measurements were made, it is possible that the leaves of both species
26 were not randomly distributed with respect to the azimuth. If this was the case, bias
27 may have resulted because the LAI-2000 sensor was directed outwards from the centre
28 of the canopy. Leaves facing away from the axis of the tree rows, as found in orange
29 tree hedgerows in Israel (Cohen and Fuchs, 1986), would result in overestimation of
30 leaf area. Additional measurements made parallel to the axis of the rows would be
31 necessary to avoid such errors. Such measurements were not made in the present study

1 because the close spacing of the trees meant that trunks and large branches dominated
2 the field of view of the canopy analyser.

3 As residuals from the regression analysis showed no apparent skewing, it was
4 concluded that no obvious relationships in the data obtained remained unaccounted for
5 in either species. The random variation was of little importance given the repetition of
6 the measurements to estimate leaf area for individual tree rows. Method 2 was
7 therefore used in conjunction with the calibration to assess the leaf area of trees
8 throughout the measurement campaign.

9 Variation in measurement techniques renders comparison with results obtained by
10 other workers difficult. The LAI-2000 has not been used extensively with isolated
11 canopies in previous studies and its use with isolated tree rows has not been
12 documented. The results obtained for M. volkensii at higher leaf area densities are
13 consistent with the isolated tree technique used for Acacia koa by Grace and Fownes
14 (1998), in which leaf area was also underestimated. However, Brenner et al. (1995)
15 found that the LAI-2000 overestimated the total surface area of isolated Retama
16 sphaerocarpa bushes by c. 14%; the discrepancy was attributed to errors in the
17 estimation of path length.

18

19 **CONCLUSIONS**

20 The significant correlations between measured leaf area density and estimated values
21 obtained using directly estimated path lengths (Figs. 3b and 4b) demonstrate that the
22 theory initially described by Welles and Norman (1991) and further developed in the
23 present study may be used reliably to calibrate LAI-2000 output for isolated tree rows.
24 The particular success of the approach for C. megalocarpus reflected its greater canopy
25 homogeneity in terms of leaf area and canopy dimensions relative to M. volkensii. The
26 high leverage of the points representing the greatest leaf area densities for both species
27 (Figs. 3b and 4b) suggests that further confirmatory measurements would be desirable.

28 Measurement of the leaf area of isolated canopies is challenging and represents an
29 extreme test of indirect methods. Although the method developed here involved some

1 data manipulation to avoid the use of questionable assumptions, the time required
2 could be greatly reduced in future studies. The method reported here has potential for
3 use with isolated tree rows. In the absence of independent measurements of additional
4 canopy structural parameters, validation and/or calibration may be necessary.

5

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9

1 **APPENDIX 1**

2 Derivation of formula to determine ray path length, S , through a tree canopy with an
3 elliptical cross section (cf. Fig. A1).

4 The position of E , the entry point of a notional ray with an angle θ from the vertical
5 (Fig. 1), is found in terms of α from the measured dimensions p , a and b . p is the
6 distance of the canopy analyser sensor below the centre of the canopy, while a and b
7 are defined by canopy dimensions.

8 The standard polar co-ordinates for an ellipse are:

9 $x_e = a \cos \alpha$ A1

10 $y_e = b \sin \alpha$ A2

11 Inspection of Figure A1 reveals the following relationship:

12 $x_e = p \tan \theta + y_e \tan \theta$ A3

13 which on substituting equations A1 and A2 into A3 gives: A4

14
$$\tan \theta = \frac{a \cos \alpha}{b \sin \alpha + p}$$

15 Squaring both sides and using De Moivre's theorem gives:

16 $\sin^2 \alpha (a^2 + b^2 \tan^2 \theta) + \sin \alpha 2pb \tan^2 \theta + (p^2 \tan^2 \theta - a^2) = 0$ A5

17 which on solving for alpha gives:

18
$$\alpha = \sin^{-1} \left(\frac{-pb \tan^2 \theta \pm a(a^2 + \tan^2 \theta (b^2 - p^2))^{1/2}}{a^2 + b^2 \tan^2 \theta} \right)$$
 A6

19 Both positive and negative solutions give the correct path length, although the latter
20 value is negative quantity.

1 To determine S from β and E , E , defined as the Cartesian origin, is related to ellipsoid
 2 co-ordinates x' and y' by:

$$3 \quad x' - x_e = x \quad \text{A7}$$

$$4 \quad y' - y_e = y \quad \text{A8}$$

5 The straight line, D , has an intercept equal to zero as the line is defined as passing
 6 through the Cartesian origin, therefore:

$$7 \quad y = \tan \beta x \quad \text{A9}$$

8 The general equation for an ellipse is:

$$9 \quad \frac{x^2}{a^2} + \frac{y^2}{b^2} = 1 \quad \text{A10}$$

10 and for this ellipse:

$$11 \quad \frac{x'^2}{a^2} + \frac{y'^2}{b^2} = 1 \quad \text{A11}$$

12 Substituting for x' and y' and combining with A9 gives:

$$13 \quad \left(\frac{x + x_e}{a} \right)^2 + \left(\frac{\tan \beta x + y_e}{b} \right)^2 = 1 \quad \text{A12}$$

14 The solutions to this quadratic are 0 and

$$15 \quad x = \frac{-(b^2 2x_e + a^2 2y_e \tan \beta)}{(b^2 + a^2 \tan^2 \beta)} \quad \text{A13}$$

16 therefore, from equation A9

$$17 \quad y = \tan \beta \left(\frac{-(b^2 2x_e + a^2 2y_e \tan \beta)}{(b^2 + a^2 \tan^2 \beta)} \right) \quad \text{A14}$$

18 which by Pythagoras' theorem gives:

A15

$$1 \quad S = \frac{2ab(b \cos \alpha + a \sin \alpha \tan \beta)}{\cos \beta (b^2 + a^2 \tan^2 \beta)}$$

2

3

1 **FIGURE LEGENDS**

2

3 Figure 1. Schematic cross-section of tree canopy showing the five spatial regions of
4 diffuse radiation interception corresponding to the light detecting rings 1-5 centred on
5 zeniths of 7, 23, 38, 53 and 68° as measured by the LAI-2000 canopy analyser.
6 Dimensions recorded at 50 cm intervals along the tree row to allow estimation of path
7 length and canopy volume for the defined ellipse are also shown.

8 Figure 2. Measured leaf angle distributions for M. volkensii and C. megalocarpus, and
9 the theoretical spherical function, where inclination angle represents elevation above
10 the horizontal.

11 Figure 3. Leaf area density (LAD) estimated from LAI-2000 canopy analyser
12 measurements using (a) $1/\cos\theta$ path lengths (Method 1) and (b) estimated path lengths
13 (Method 2) plotted against directly measured values for C. megalocarpus. Solid lines
14 show linear regressions fitted to the data; dashed lines show the 1:1 relationship.

15 Figure 4. Leaf area density (LAD) estimated from LAI-2000 canopy analyser
16 measurements using (a) $1/\cos\theta$ path lengths (Method 1) and (b) estimated path lengths
17 (Method 2) plotted against directly measured values for M. volkensii. Solid lines show
18 linear regressions fitted to the data; dashed lines show the 1:1 relationship.

19 Figure A1. Ellipse defined from canopy measurements to calculate path length, S ,
20 where D represents a notional ray (short dashes), E is the entry point the ray into the
21 canopy, F is the exit point of the ray from the canopy, P is the distance between sensor
22 and centre of canopy, a is the ellipse semi-axis length (distance of the canopy edge
23 from the tree row), b is the ellipse semi-axis length ($0.5(\text{canopy top height} - \text{canopy}$
24 $\text{bottom height})$), θ is the zenith angle (ring view angle), $\beta = 90^\circ - \theta$, α is the angle
25 between the horizontal at canopy centre and E , and x_e and y_e are coordinates relating E ,
26 the Cartesian origin, to the ellipsoid origin x',y' . Solid arrow heads represent
27 dimensions taken from tree measurements; open arrow heads represent calculated
28 dimensions.

29

1 Table 1. Regression statistics for leaf area density (LAD) estimated using the LAI-
 2 2000 canopy analyser using $1/\cos\theta$ path lengths (Method 1), estimated path lengths
 3 (Method 2) and measured directly for C. megalocarpus and M. volkensii.

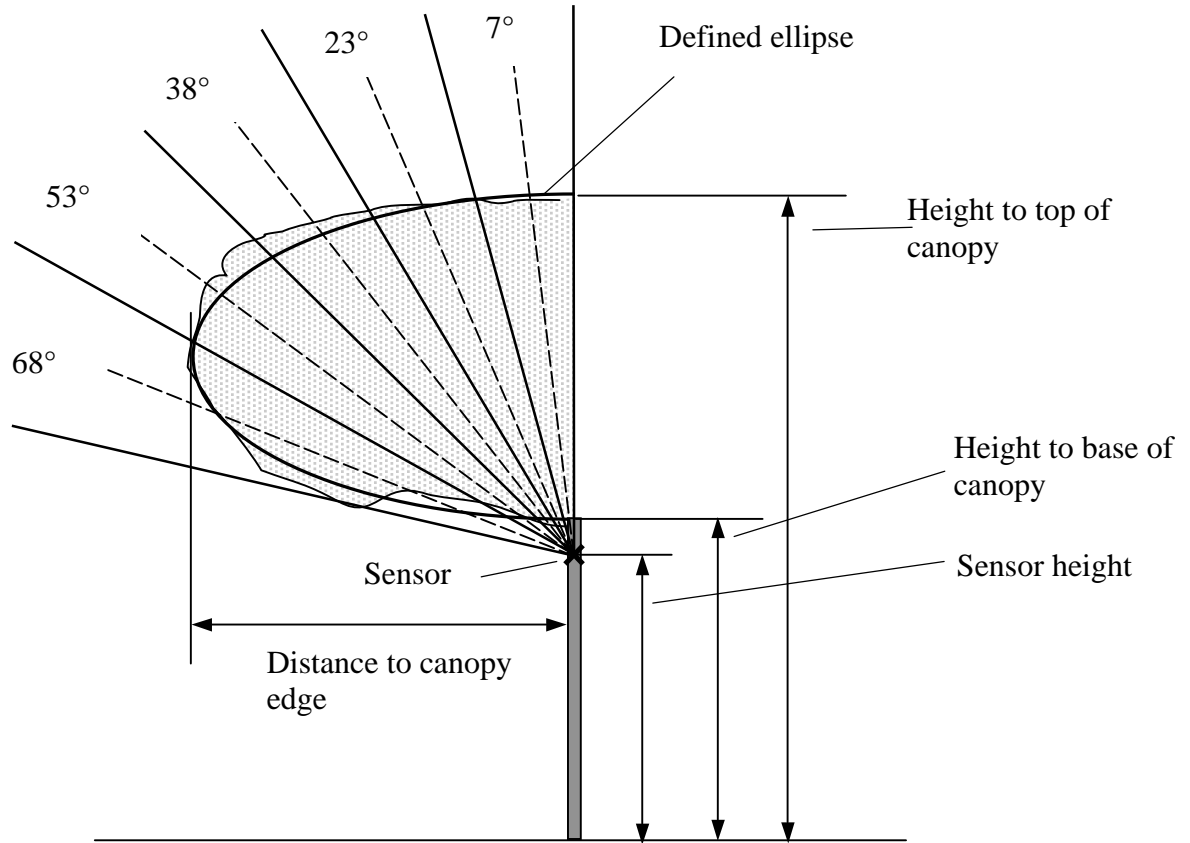
	Response variate	Explanatory variate	Slope \pm se*	Intercept \pm se	r^2	n
Method 1						
<u>C. megalocarpus</u>	Estimated LAD	Measured LAD	0.12 \pm 0.075 (p=0.121)	1.39 \pm 0.22 (p<0.001)	0.13	20
<u>M. volkensii</u>	Estimated LAD	Measured LAD	0.22 \pm 0.07 (p=0.008)	0.209 \pm 0.05 (p<0.001)	0.33	20
Method 2						
<u>C. megalocarpus</u>	Estimated LAD	Measured LAD	0.83 \pm 0.080 (p<0.001)	0.70 \pm 0.024 (p=0.009)	0.85	20
<u>M. volkensii</u>	Estimated LAD	Measured LAD	0.63 \pm 0.092 (p<0.001)	0.13 \pm 0.063 (p=0.05)	0.72	20

4 *-P values indicate significance of slope difference from zero.

5

1 1.1.1.1 Broadhead et al Figure 1

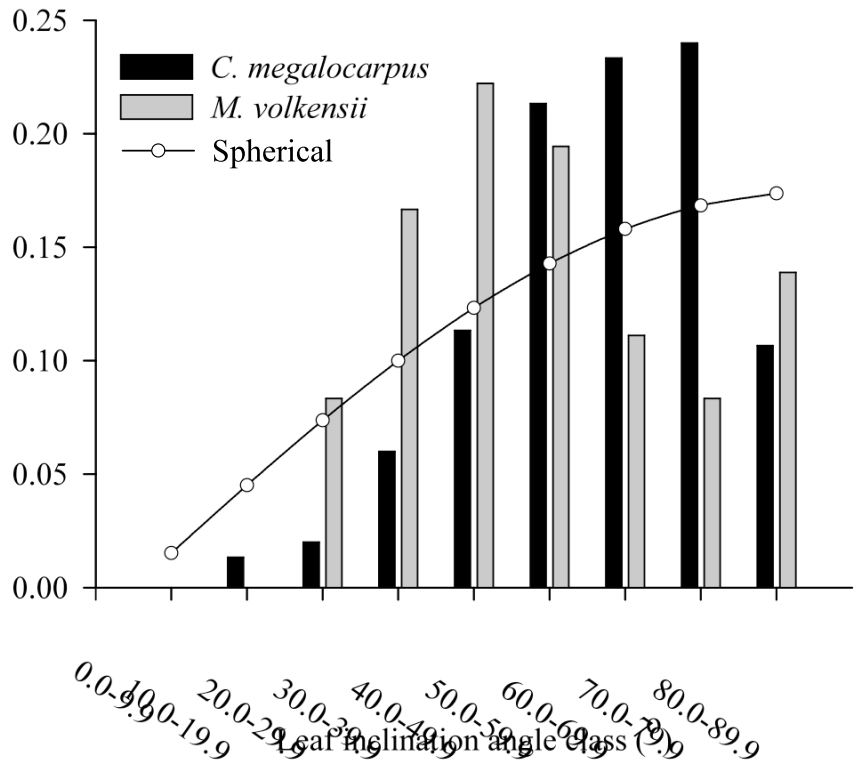
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1 **Broadhead et al Figure 2**

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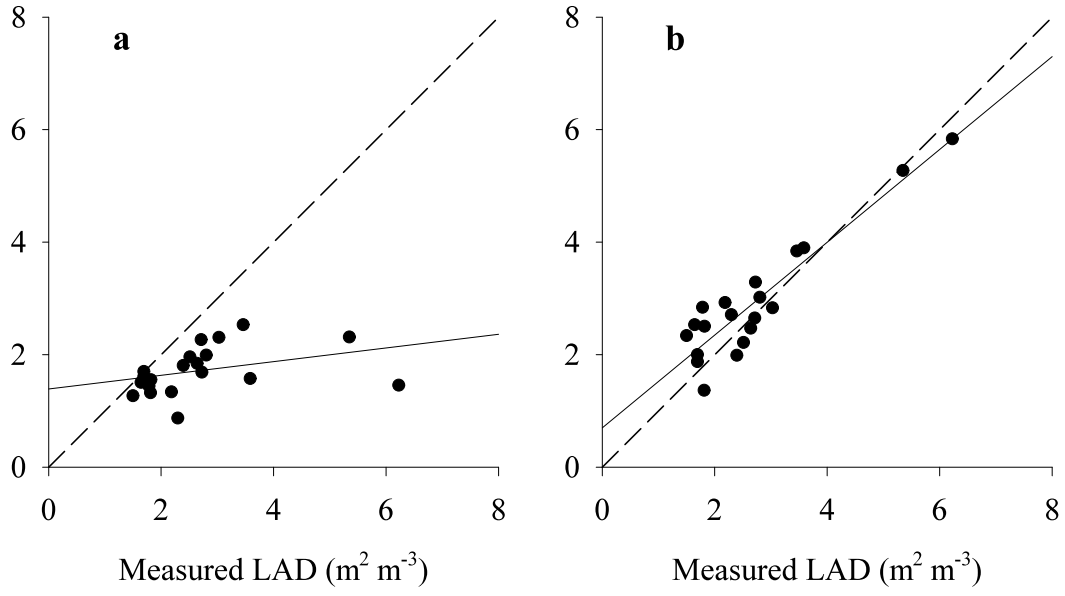


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2 1.1.1.2 Broadhead et al Figure 3

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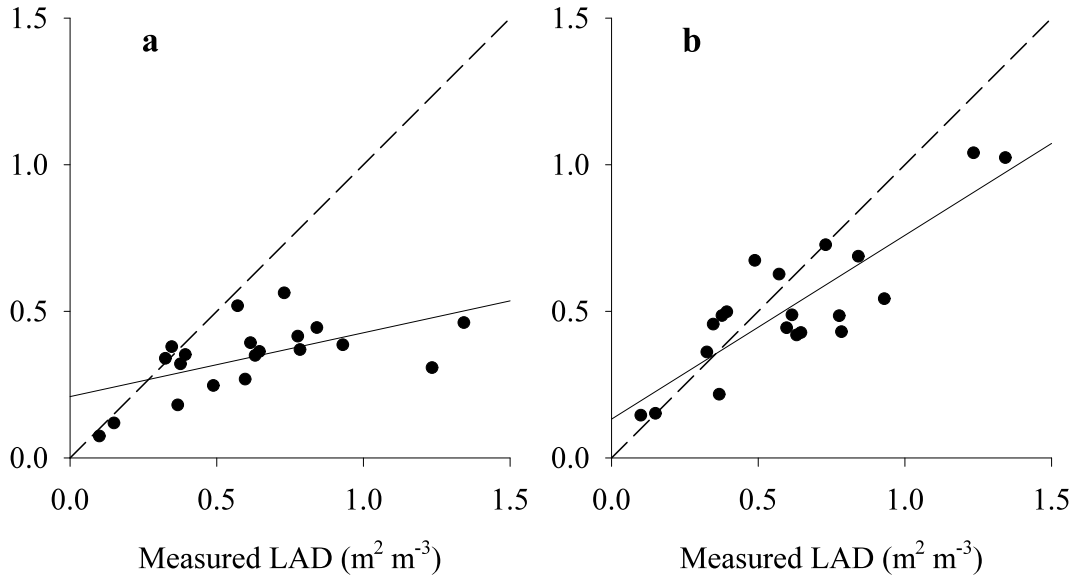
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1 1.1.1.3 Broadhead et al Figure 4

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1 **Broadhead et al Figure A1**

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