Networks in Plant Epidemiology: From Genes to Landscapes, Countries, and Continents

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ABSTRACT


There is increasing use of networks in ecology and epidemiology, but still relatively little application in phytopathology. Networks are sets of elements (nodes) connected in various ways by links (edges). Network analysis aims to understand system dynamics and outcomes in relation to network characteristics. Many existing natural, social, and technological networks have been shown to have small-world (local connectivity with short-cuts) and scale-free (presence of super-connected nodes) properties. In this review, we discuss how network concepts can be applied in plant pathology from the molecular to the landscape and global level. Wherever disease spread occurs not just because of passive/natural dispersion but also due to artificial movements, it makes sense to superimpose realistic models of the trade in plants on spatially explicit models of epidemic development. We provide an example of an emerging pathosystem (Phytophthora ramorum) where a theoretical network approach has proven particularly fruitful in analyzing the spread of disease in the UK plant trade. These studies can help in assessing the future threat posed by similar emerging pathogens. Networks have much potential in plant epidemiology and should become part of the standard curriculum.

Additional keywords: biodiversity, complexity and stability, modeling host–pathogen interactions, network theory, sudden oak death, systems biology.

Networks are sets of nodes connected by links in various ways (Box 1). Although the properties of random networks have already been systematically investigated in the 1960s, a growing body of literature is now using networks in a range of ecological applications, including the study and management of human, animal, and plant diseases (29,49,58,80,131) (Fig. 1). Given the generality and flexibility of the approach, network representations can be used at a variety of levels in plant pathology, from gene expression during host–pathogen interactions, to the development of plant epidemics among fields, farms, and landscapes and to trade movement of plants infected by pathogens or infested by insects among regions and countries.

Network structure has profound effects on the dynamics of an epidemic within a population (51,61,127). In today’s globally connected world, social and transportation networks play a crucial role in the spread of human infectious diseases (21,53,83). A network approach provides insights into the transmission of infectious diseases also in animals more generally (40,45,78,137). Although there is an increasing interdisciplinary application of networks in epidemiology, relatively little attention has been paid to these analytical approaches in plant sciences. Hence the need for this review, which aims to summarize recent progress in this rapidly developing field and to highlight research challenges specific to plant pathology.

In today’s plant pathology, as in other fields, there is a need for integrating investigations at the molecular, mycelium, plant, regional and international scale (9,48,102,111,118,120,132). Networks can provide such a unifying framework. They can be (i) perceived at an abstract level (e.g., fungal species occurring on the same plant species host), (ii) materialized by a physical structure (e.g., the root system of plant individuals connected by mycorrhiza, or vice versa), and (iii) underlying flows of energy, matter or information (e.g., the exchanges of knowledge, equipment and money among farmers, plant health consultants, researchers and phytopharmaceutical companies).
A key point in network theory is that physical distance among nodes may only matter to a limited extent (22,60,110,125). This is increasingly recognized in plant pathology, due to the many examples of long-distance movement of pathogens. However, there is a growing consensus among researchers that the number of connections (and its variability among nodes) plays a fundamental role in how networks function and in how epidemic spread in networks can be controlled. This insight may be very helpful for plant health authorities worldwide, wherever these are struggling to cope with ever-increasing volumes in trade of potentially infected plants. By targeting super-connected traders and major pathways, control can be made more efficient and effective.

**NETWORKS IN MOLECULAR PLANT PATHOLOGY**

There is much potential in the use of networks at a molecular level (1). Molecular plant pathology is particularly amenable to network-thinking given the possibilities to use networks as a model of the interactions among genes, proteins, enzymes, and other cellular constituents contributing to host resistance or pathogen infection (86,128). An example is given by the network built from the gene-for-gene relationships between rice and various avirulence genes of the pathogen Xanthomonas oryzae (Fig. 2A). In Figure 2A, nodes are isogenic lines of rice that are connected if they share genes with high resistance (with respect to avirulence genes). It is possible to build a similar network with the same data, but using genes as nodes, and connecting them if they share isogenic lines of rice with a certain parameter. This kind of visualizations can help in identifying particularly promising genes for developing, e.g., host resistance to pathogens. Other types of presentations (e.g., matrices, genetic maps, verbal descriptions, frequency distributions) are equally valuable, but network diagrams are an intuitive way to provide an overview of gene-for-gene relationships in a host–pathogen system (Fig. 2B). Such networks are increasingly used in systems biology (25,43,46,101), where they are for example instrumental in unraveling the role of specific genes in particular cellular functions. Similarly, networks have been frequently used in phylogeographic studies of plant pathogens based on molecular markers, from nested haplotype networks to minimum spanning networks (18,39,144). Networks are of course important in the reconstruction of the tree of life (32,94) and in the study of lateral transfer in prokaryote genome evolution (23). However, there is certainly the opportunity for more frequent use of networks in molecular plant pathology. This not just to improve understanding, but also to help in better managing disease in the field (20,76).

Recent advances in genome sequencing technology are about to further increase the availability of genetic data, both at the intra-specific and community level (109). Metagenomic data from 454 sequencing can be presented in a variety of ways, but may be fruitfully employed also to build networks. For example, when data are available for a certain plant pathogen from multiple locations/host, a network can be built based on the similarity among the pathogen strains. Figure 3 shows an example of such network visualization at the interspecific level. This is still based on pathogen presence only, rather than genetic similarity of pathogen strains, but may be helpful in identifying host taxa playing a pivotal role in spreading a certain disease in the semi-natural environment, in crop plants, and plants in the trade. Pilot use of 454 sequencing in the study of fungal diversity reminds us of our still limited understanding of the biodiversity of fungi in soils and the phyllosphere (14,59,75,93). It is likely that our
current knowledge of the biodiversity of plant pathogens in seminatural and cultivated ecosystems may be just as narrow.

**NETWORKS AND THE COMPLEXITY-STABILITY DEBATE**

Coupling the new sequencing technologies with network theory in plant pathology would enable us to better understand the role of the diversity of both plant hosts and associated microorganisms in shaping plant epidemics. Networks may provide a tool to test mechanisms linking plant diversity and ecosystem susceptibility to plant pathogens: (i) insurance hypothesis: the presence of some nodes (species) insures against the disappearance of others; (ii) redundancy hypothesis: some nodes can be removed without damage to the system; (iii) idiosyncratic hypothesis: the response of the network to the removal of nodes is not simply predictable; (iv) rivet hypothesis: some nodes have a more important role than others in providing stability; and (v) null hypothesis: network functionality is independent of the number of nodes (97). There is increasing observational, experimental, and theoretical evidence that higher intra- and interspecific diversity of plant hosts is associated with lower impact of plant pathogens and pests (36, 57, 62, 71, 91, 116), but we are still far from understanding the regulating or synergistic effects of the co-occurrence of different plant pathogens and pests in the same ecosystem or over large regions (19, 126, 133).

In many managed ecosystems, human activities can result in the inadvertent or intended removal of species. For example, disease management may temporarily reduce the abundance of a plant pathogen and of other associated species. Despite the counterintuitive results of some previous complexity-stability models (which implied that more complexity resulted in less stability [80]), recent advances in food web theory (a special application of networks) have made it clearer that biodiversity loss can often be followed by a decrease in ecosystem stability (38). In order to improve the sustainability of agriculture, there is a need for more awareness of the long-term ecological consequences of large-scale pesticide application. Studying the complexity/simplicity of food webs in fields/regions cultivated with varying degrees of pesticide use can inform us about the future prospects of such widespread practices. If generalized pesticide use results in simpler food webs, and if simpler food webs are less resilient to system perturbations (e.g., introduced plant pathogens), then long-term pesticide use is a short-sighted practice. There are of course also the issues of pesticide resistance, environmental pollution, and toxic residues in food to consider.

**LANDSCAPE EPIDEMIOLOGY AND NETWORKS**

There is an increasing relevance of plant disease outbreaks at the landscape level (102). This may be the consequence of the growing homogeneity of cultivated landscapes, due in turn to larger field sizes, similar choice of crops, and artificial movement of pathogens among different regions. At the same time, plant pathologists are approaching such regional outbreaks with the quantitative tools of landscape ecology (50). Expanding the key...
role of the classic disease triangle (host–pathogen–environment) over a region of interest, landscape epidemiology studies the regional variation in host presence and susceptibility, pathogen diversity and disease severity, and the extent to which the biotic and abiotic environments are conducive to plant epidemics.

When plant pathological studies were restricted to single geographical locations, there was limited opportunity to think about the connections between stands or fields in the region of interest. Enlarging the view to whole landscapes and considering different survey plots in the region of interest prompts the question of whether or not pathogens are moving from one sampled area to others. This is a research question that new genetic tools can help investigate and which can be conveniently framed in terms of network theory (6). Given the pervasive presence and activities of human beings, plant diseases are not the sole outcome of the interactions between host, pathogen, and environment (112). The importance of people in moving plant pathogens and in influencing host–pathogen interactions, as well as their environment, is particularly strong at the landscape level (77). Where pathogen dispersal does not occur continuously within a landscape because of trade networks, long-distance connections can be effective shortcuts for plant diseases (54, 82, 138).

An example of such a situation is provided by the emerging regional outbreaks of *Phytophthora ramorum*, the causal agent of sudden oak death in the West Coast of the United States, of sudden larch death in Japanese larch plantations in the UK, and of leaf blight and twig dieback on a range of species in the United States and of *Phytophthora ramorum* populations in nurseries are genetically ancestral to all Californian forest populations (39). A realistic integration of the horticultural movement of potentially infected plants in spatially explicit models of disease development has been shown to be necessary for a proper simulation of the epidemiological system for *P. ramorum* and other similar plant pathogens also in England and Wales (47). There is much scope for an integration of genetic investigations reconstructing the spread of *P. ramorum* in the plant trade (39, 79, 104) with network modeling scenarios developed to predict future developments of the epidemic and to make policy and management more effective.

For example, key recommendations for policy and management have been obtained from a susceptible-exposed-infectious-susceptible model of *P. ramorum* epidemic development in the UK including information on the spatial distribution of potential hosts, as well as a realistic super-imposed network of commercial plant movements (47). The current policy relative to *P. ramorum* in plant traders of the UK and other European countries comprises both surveys of national plant health authorities and mandatory reporting of the pathogen by nursery growers (143). A series of simulation experiments were run, with variation in the epidemic pressure and in the connection between seminatural vegetation and horticultural trade, with or without disease spread in commercial trade, and with or without inspections-with-eradication. The results of the simulations suggest that the current inspection policy is likely to control most epidemics (epidemic final size was reduced by inspections by about 90%) and to avoid escape of the epidemic from infected areas.

**FIGURE 2**

A, Network of gene-for-gene relationships between rice and diverse avrBs3/pthA avirulence genes in *Xanthomonas oryzae* pv. *oryzae* based on coexistence of high resistance in the same gene for different isogenic lines of rice; the strength of the lines reflects the number of connections, i.e., the number of genes with high resistance in the two isogenic lines of rice so connected. Data obtained from Wu et al. (142). B, Scaled illustration of the distribution of virulence differences between isolates of wheat stem rust from the eastern and central United States that originated asexually. Each sphere represents a cluster of genetically similar isolates, and its diameter is proportional to the maximum number of loci at which isolates of the cluster differ in virulence. Distances between spheres are proportional to the mean number of virulence differences between clusters (reprinted from Roelfs and Groth [107], with kind permission of The American Phytopathological Society).
Web of genera susceptible to *Phytophthora ramorum* in 
**A**, the seminatural environment (woodland, historic gardens) and **B**, the horticultural trade (plant nurseries and retail centers). Two genera are connected if *P. ramorum* was detected on individual plants of these genera at the same location (2003 to 2005, England and Wales, data provided by DEFRA, UK). The connection strength reflects the number of locations with co-occurrence of the infected plant genera. Modified from Pautasso et al. (96).

### Box 2

**Key quantities for epidemiological dynamics in network.**

<table>
<thead>
<tr>
<th>Quantity</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Epidemic threshold ( \lambda )</strong></td>
<td>Let ( p_t ) be the probability of transmission and ( p_p ) the one of persistence. ( (1 - p_p) ) is the probability of recovery. At the epidemic threshold ( p_t^<em>/(1 - p_p^</em>) = \lambda ), the epidemic neither grows nor dies out (equilibrium conditions). When ( p_t/(1 - p_p) &gt; \lambda ), the epidemic develops. When ( p_t/(1 - p_p) &lt; \lambda ), the epidemic dies out.</td>
</tr>
<tr>
<td><strong>Epidemic final size ( S )</strong></td>
<td>For networks where nodes are either infected or not, ( S ) can be defined as the number of infected nodes at the epidemic threshold ( \lambda ). For networks where nodes are infected along a continuum of infectious states (e.g., plant nurseries where a fraction of the total number of plants is infected), ( S ) can be assessed at the epidemic threshold either as the sum of infectious status of all nodes or as the number of nodes with an infectious status higher than an arbitrary value.</td>
</tr>
<tr>
<td><strong>In- and out-degree</strong></td>
<td>Number of links into and from a node, respectively. In directed networks, epidemic final size is generally positively correlated to the out-degree of the initially infected node (99).</td>
</tr>
<tr>
<td><strong>Correlation between in- and out-degree</strong></td>
<td>Correlation coefficient between incoming and outgoing links of a node across all nodes of a network. Other things being equal, and unless networks are sparsely connected, the correlation between in- and out-degree is negatively associated with the epidemic threshold (87).</td>
</tr>
<tr>
<td><strong>Connectance</strong></td>
<td>The connectance ( C = \text{Links}/\text{Nodes}^2 ) is the fraction of links realized within a network. A network can only have as many links as its squared number of nodes (including self-loops and considering a link from node ( z ) to ( w ) separately from a link from ( w ) to ( z )). For a given network structure and correlation between in- and out-degree, the higher the connectance, the lower the epidemic threshold (87).</td>
</tr>
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pathogen into the seminatural environment from the horticultural trade. However, in some simulations, escape did occur, thus emphasizing the importance of stochasticity and initial conditions in plant epidemic development in networks (47).

THE HORTICULTURAL TRADE AS A COMPLEX NETWORK

There are many examples of unintended introductions of exotic plant pathogens which have resulted in considerable economic losses to the horticultural, agricultural and food industries (44, 106,139). Emerging plant epidemics are in many cases a threat not only to global and regional food security (3) but also to the health of seminatural and pristine ecosystems (11,65,66). The rapid structural development in the regional and international connectivity due to affordable long-distance transport and outsourcing of manufacturing, as well as labor-intensive production (including horticulture [24]), is confronted from a biosecurity perspective by different countries in various ways (28,64,73,74). For many countries, the growing security risk posed by plant pathogens is likely to be exacerbated by climate changes (41,115). Together with increased trade and network connectivity, climate change may facilitate the spread of new plant pathogens because it is likely to increase the potential climatic suitability to such pathogens of regions which previously could not be colonized (95,108,134). Although there is evidence that the structure of contact networks (Fig. 4) can have a key influence on the epidemic threshold of diseases spreading in such networks, little is known about the structure of regional and international horticultural trade networks.

Moreover, most research in network epidemiology has relied so far on models of disease development in large-size networks (thousands of nodes or more) and there have been relatively few theoretical investigations on whether results obtained for large-size and infinite networks also apply to small-size networks (hundreds of nodes or less). Small-size networks are relevant not only for regional horticultural trade systems, but also at an international level when considering countries as individual nodes. Similarly, much attention has been paid to epidemics in undirected networks. Undirected networks have symmetric links (i.e., the connection from producer x to wholesaler z implies the link from z to x) (Boxes 1 and 2). However, the probability that a plant grower will be linked to a retail center will tend to be different from the reverse connection. This makes it necessary to use asymmetric adjacency matrices (tables of links among nodes which do not necessarily have reverse connections) in order to study plant epidemics in realistic trade networks.

Figure 5A shows an example of epidemic development (susceptible-infected-susceptible model) in a directed network. The epidemic is started at a single node. Nodes with a connection from the starting node will be infected at the next time step with a certain probability of transmission. In turn, already infected nodes will be infected at the next time step depending on their infection status and on a certain probability of persistence. For simplicity’s sake, the probability of infection transmission is the same for all connections in a given network replicate. Similarly, the probability of infection persistence is the same for all nodes in a certain network replicate. For each network structure, the two probabilities of persistence and transmission define an epidemic threshold, which is independent of the starting node of the epidemic (98) (Fig. 5B). This epidemiological model does not result in either susceptible or infected nodes, as nodes will have an

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**FIGURE 4**

Four main types of networks (119,140): A, local (connections are only present between neighbor nodes), B, random (nodes are connected randomly according to a uniform probability distribution), C, small-world (local networks with some nodes rewired randomly, thus generating shortcuts [long-distance connections]), and D, scale-free (new nodes are preferentially connected to already highly connected nodes [4]).
A, Conceptual model of the analyses (87,98,99). A simple model of disease spread in a directed network based on two parameters (probability of infection persistence in an infected node and probability of infection transmission between connected nodes). Node color conveys infection status (from red to white: shift from maximal to minimal infection). Steps are time steps. B, Epidemic threshold of small-size directed networks as a function of the probability of infection persistence and the probability of infection transmission (based on the model in panel A, and modified from 98). Outer lines are confidence intervals (standard deviation) for 100 replicate networks with a given structure. Local, random, and small-world threshold and confidence interval lines overlap with each other. Two-way: correlation between links in and out of nodes >0.2 (super-connected nodes have many incoming and outgoing links). One-way: correlation between links in and out of nodes <-0.2 (super-connected nodes have either many incoming links and a few outgoing links or many outgoing links and a few incoming links).
infection status along a continuum. This better captures the reality of the nursery trade, as plant growers, wholesalers and retailers are typically not completely infected, but will tend to have a certain proportion of infected plants at a given point in time.

In this epidemiological model along a continuum of node infection in a directed, small-size network, heterogeneity in the contact structure (a few nodes with many links but most nodes with a few links, i.e., a scale-free structure) can markedly lower invasion thresholds (the boundary between no epidemic and an epidemic) compared with local, small-world, and random structures (Fig. 4; 58,100). Epidemic thresholds are lower for small-size, directed networks with the presence of super-connected individuals, provided there is a correlation between the number of outgoing and incoming links among nodes (Fig. 5B; Box 2). This result implies that in small-size horticultural networks, targeted control toward commercial players with a high number of connections (both in and out of them) is likely to make disease control more effective than random or even systematic phytosanitary approaches.

The importance of the correlation between incoming and outgoing links across nodes of the network is confirmed at different levels of connectance, irrespective of the network structure (local, random, small-world, and scale-free) and despite variation in the clustering coefficient (87). Targeted control can thus be successful in the presence of heterogeneities in the degree (number of links; Box 2 for further definitions) of nodes, even when there is uncertainty in the contact structure of a trade network (as is the case for many exchange systems of plants and their associated organisms). Figure 5B shows that it is possible to bring an epidemic under control by changing the structure of the network, without having to decrease the probability of infection persistence and/or of transmission. In the presence of super-connected nodes, the most effective way to achieve such epidemic control is to move from a two-way to a one-way network, i.e., from a network with positive correlation among links in and out of nodes, to a network with a negative correlation of in- and out-links.

Depending on the characteristic of the pathogen, the putative structure of the network, the estimated probabilities of persistence and of transmission, and the budget constraints at a given point in time, control strategies may have to focus on reducing transmission and/or persistence without changing the links in the system, but it is possible that influencing the network structure may be more cost-effective than the traditional inspection and quarantine policies. Similar considerations apply to influencing hierarchical categories in networks of various structure and connectance level, i.e., the proportions of producers, wholesalers and retailers in a trade network. Other things being equal, for non-scale-free network structures adding wholesalers to a network is associated with an increase in the correlation among links in and out of nodes, and thus with a reduction in the epidemic threshold (100). The opposite result is obtained for the proportion of producers and retailers.

**FIGURE 6**
Frequency distribution for a realistic reconstruction of the horticultural trade in the UK of A, number of incoming links, B, number of outgoing links, and C, link distances. D, Correlation between number of incoming and outgoing links. Data from spatially explicit simulations of the spread of *Phytophthora ramorum* in the UK (46). Frequency distribution of number of affected *P. ramorum* E, plants and F, records per site in England and Wales (2003 to 2006), data from Defra, UK.
A result which appears to be present regardless of network type and level of connectance is the strong correlation at the threshold conditions between epidemic final size (the number of nodes with infection above an arbitrary value at equilibrium, or the sum of the infection status of all nodes at equilibrium) and the number of out-going connections of the starting node of the epidemic (99). In terms of _P. ramorum_, these epidemic final sizes (although no equilibrium may ever be reached in the real world) would be, for example, the number of nurseries/retail centers with more than a certain proportion of plants infected, or the overall number of infected plants in all nurseries/retail centers. The implication is again that heterogeneity in the contact structure of horticultural trade networks is likely to increase the risk of major epidemics occurring, if by chance a major producer with a high number of out-links is infected.

Worryingly, little is known not only about the current contact structure of horticultural networks within and among nations, but also about how this is changing. Simulations of the spread of _P. ramorum_ in the UK incorporating realistic assumptions about the horticultural trade (47) result in heterogeneity in the number of incoming and outgoing links, as well as the presence of small-world properties (long-distance connections), and a weak correlation among number of incoming and outgoing links (Fig. 6). The heterogeneity in the network structure could be at least in part responsible for the observed heterogeneity in the number of _P. ramorum_ affected plants and records per site: most sites where _P. ramorum_ was detected have only one or a few plants infected, whereas a few sites have hundreds or thousands of individual plants infected (Fig. 6).

Links among horticultural traders are realized by plant shipments, which may or may not involve plants susceptible to _P. ramorum_, which in turn may or may not be infected by the pathogen (and may or may not show symptoms of the disease, thus making it not straightforward for infected shipments to be detected before departure, en route or on arrival). The presence of a link between plant traders is thus distinct from the probability of transmitting the disease along that link. What is necessary in order to establish the network type underlying the spread of _P. ramorum_ are data on the number of links of traders of the major ornamental plants susceptible to _P. ramorum_ (e.g., _Calluna_, _Camellia_, _Erica_, _Hamamelis_, _Magnolia_, _Pieris_, _Rhododendron_, _Syringa_, _Vaccinium_, and _Viburnum_).

An increase in the connectivity among nations and continents due to higher volume in trade of crops, plants, and flowers (Fig. 7) may have consequences not only for the likelihood of introduction of new pathogens and other organisms associated with plants (27,113,135), but also for gene flow and thus for the evolutionary potential of plant pathogens (70,81). Results from the modeling of disease spread in small-size networks call therefore for the long-term collection of data on the number and degree distribution of plant producers, wholesalers, and retailers in different nations (100). There is the need for comparable long-term data on the trade volumes in ornamental, horticultural, and agricultural crops among countries, regions, and individual firms.

**FUTURE CHALLENGES IN PLANT NETWORK EPIDEMIOLOGY**

We believe networks are likely to provide a useful tool in understanding and managing plant epidemics in a changing world. In addition to asymmetry (directed links), models of disease spread in the plant trade need to add dynamic (8) and weighted (5) features to the networks investigated. Real-world plant trade networks are neither static in their structure nor uniform in the strength of the connections. The relatively rare use of network epidemiology in botanical science compared with what has happened in human and animal pathology (13,58,89) may be due to lack of suitable data for plant diseases, but could well change in the future with the adoption of the latest genetic technologies also in plant sciences. Examples of future challenges for a successful use of network theory in plant disease epidemiology include the following.

- Integrating network approaches with recent advances in probabilistic modeling of phytosanitary inspection policies (121–124) and with existing methodologies such as cluster, path, and principal component analysis.
- Merging models of plant disease spread and control in networks with economic assumptions on the availability of resources and the behavior of human agents to obtain more realistic epidemic management scenarios (31,35,52,63,69).
- Moving from descriptive studies of patterns in network structure to testing hypotheses explaining underlying processes (88).
- Learning from related developments in using network theory in (plant) genetics, ecology, and evolution (7,15,16,22,33,103,129).
- Adding network theory to the traditional plant epidemiology and botanical curriculum (17,34,72,130).
- Adapting available software to visualize genetic, social, and ecological networks for plant epidemiology data (37,67,68,105,114).
Using insights from network theory to facilitate dissemination of plant health knowledge among plant pathologists, farmers and consumers (85).

Adopting network concepts in philosophical discussions about, e.g., causation in epidemiology and the nature of health/disease (12,30,117).

Investigating the combined effect of the forecasted expansion of agricultural trade networks and changes in climate for better mapping the likely severity of future plant epidemics (10,55,84,92,136).

ACKNOWLEDGMENTS

We thank C. Brasier, S. Denman, T. Döring, M. Garbelotto, G. Hirsch, A. Inman, V. Kertesz, C. Manceau, J. Parke, L. Pellis, T. Rafoss, V. Sybren, F. van den Bosch, P. Weisberg, and J. Webber for insights and discussions, and N. Grünwald, T. Matoni, M. Shaw, and anonymous reviewers for helpful comments on a previous draft. This review was partly funded by the Rural Economy and Land Use (RELU) Programme, UK, and by the French Ministries in charge of Agriculture and Sustainable Development.

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