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Eco-driving optimal control for electric vehicles with driver preferences

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ABSTRACT

An optimal control formulation of an eco-driving system for front-wheel drive electric vehicles is proposed in this paper, demonstrating that including an optimal control model of driver preferences in such systems can successfully blend the objective of energy-efficiency with the subjective goals of human drivers, including desired following distances and time headways, a desired vehicle speed, smooth vehicle acceleration, and a comfortable corner negotiation speed. This builds on previous works that developed driver preference models for optimal control, but did not apply them to a realistic model of an EV powertrain to evaluate potential energy savings in practice. The resulting optimal control problem (OCP) is simplified for implementation by using a polynomial approximation of vehicle losses, and a relaxation of regenerative braking constraints that accurately accounts for required braking bias in a front-wheel drive vehicle. In testing, over a simulated 25km journey involving rural, motorway and urban sections, blending driver preferences with energy efficiency in this framework achieves energy savings of 21% with only a 7% decrease in average speed. For car-following scenarios, 10–15% energy savings are achievable with no decrease in average speed.

1. Introduction

Environmental concerns and the associated drive towards greater energy efficiency poses great challenges for the automotive industry, making it more urgent to reduce the energy consumption of motor vehicles. There are two major research trends with the aim to reduce energy usage and cut emissions of road vehicles: (a) electrification of vehicle powertrains [1], and (b) guiding of drivers towards a more environmentally friendly driving style referred to as eco-driving [2].

Electrified vehicles, such as battery electric vehicles (EVs) and hybrid electric vehicles (HEVs), are currently intensively investigated and manufactured by the automotive industry, and receive profound focus in academic research [3]. Recently, the marketability of electrified vehicles has become a widely discussed issue. Range anxiety and a high cost make EVs and HEVs less favourable for private users. Therefore, there is an ongoing effort to push for even more energy efficient electrified powertrains and to make them more cost-effective. The energy efficiency of these vehicles can be improved by refining the motor design and choice of energy storage systems [4]. For HEVs, an essential measure is to improve the energy management systems, such that the powertrain is operated more efficiently [5]. In the past decade, the

energy management problem of electrified powertrains has been investigated extensively with a range of proposed strategies, both rule-based and optimisation based (e.g. [6,7]). Recent results also demonstrate that energy management can be improved by considering predictions of vehicle motion [8]. However, the energy consumption calculation in such studies generally assumes the vehicle motion follows predefined drive cycles, so the influence of driver behaviour on the energy usage, for example when following other traffic, is not considered.

There is a significant body of work in eco-driving, in which it has been shown that the way a vehicle is driven can significantly affect the overall fuel or electrical energy use and subsequent emissions [9]. For conventional powertrains, research has estimated that 5%–10% of fuel can be saved if drivers pursue a more fuel efficient, economical and environmentally friendly driving style [10,11]. Eco-driving controllers have also been suggested for hybrid [12] and electric vehicle [13] powertrains, for which the potential energy savings are estimated to be proportionally larger than those in conventional vehicles [14]. Eco-driving behaviours can be studied in different general scenarios such as: car following [15], cornering [16], intersection crossing with traffic lights [17,18] and varying road grade [19]. Ojeda et al. [20], Han et al. [21] proposed speed advisory systems for connected vehicles which

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can generate a near-optimal speed profile. Numerically, the problem is solved using a Model Predictive Control-like approach in which real-time implementation is possible by the analytical solution of a state-constrained Optimal Control Problem (OCP). Kamal et al. [22] presented an eco-driving assistance system for a host vehicle that uses information including the state of the preceding vehicle, road gradients and the state of upcoming traffic signals. A multi-objective cost function is used for the optimal control problem formulation, which not only maximises fuel economy but also regulates a safe headway distance. Model predictive control (MPC) is used to drive the vehicle optimally by anticipating upcoming road traffic. Formulations using optimal control and MPC are interesting given the current research interest in autonomous vehicles as an eco-driving controller could be used directly for longitudinal control of an autonomous vehicle, as well as for driver assistance systems such as speed advisory systems or adaptive cruise control [23,24].

Despite the contributory work, these existing eco-driving techniques neglect the preferences and comfort of the human driver in order to follow the optimised speed profile, even though it is well understood that different drivers have different driving styles [25]. In the present work, a driver preference model developed in [24,26] is adopted which takes into account naturalistic driver preferences in terms of speed, vehicle spacing, acceleration, and cornering speed. For a speed advisory system, the goal is to generate a reference speed profile based on available information about the ego vehicle and its environment, so that by following this reference profile the vehicle will meet performance criteria such as reducing energy consumption and ensuring the safety of the vehicle.

Specific contributions over the existing literature are:

- We develop an OCP for EV speed advisory which incorporates both realistic EV powertrain and vehicle losses and driver preferences on following distances, cornering speeds, and accelerations. This builds on existing OCP frameworks for incorporating driver preferences in eco-driving in [24,26] which have not yet considered the formulation of such controllers with accurate energy losses for an EV powertrain.
- Accurate braking losses are considered for a FWD electric vehicle, accounting for both power limits of the electric motor and the required braking bias to maximise directional stability under braking. Although it has been recognised in the literature that FWD EVs have different regenerative braking capabilities to All Wheel Drive (AWD) EVs (see e.g. [27]), to the best of our knowledge this has not yet been considered in eco-driving optimal control problems. In this work we show that it may be incorporated through a relaxed constraint on the regenerative braking force. For efficient solution by direct methods, the eco-driving OCP is reformulated as one of minimising energy loss, with a polynomial fit for energy losses in the powertrain.
- To evaluate the effectiveness of this approach, we test the method in several scenarios, using a detailed model of an electric vehicle including drag, rolling resistance, mechanical braking losses, DC and AC converter efficiencies, and a realistic motor efficiency map. Eco-driving frameworks incorporating driver preferences in the cost function in the manner of Fleming et al. [24,26] have not been evaluated using real-world driving data in the existing literature, and the results here indicate reductions of 21% in energy usage are possible with only a 7% reduction in average speed over the journey, with the tradeoff between these objectives controllable by adjusting weighting factors in our framework. Unlike approaches that minimise travel time directly, this is achieved while respecting driver preferences on speed, accelerations and following distances.

The details of the work are given in the following sections, in particular Section 2 describes the modelling of the electric vehicle, then Section 3 gives the OCP incorporating the driver preference model.

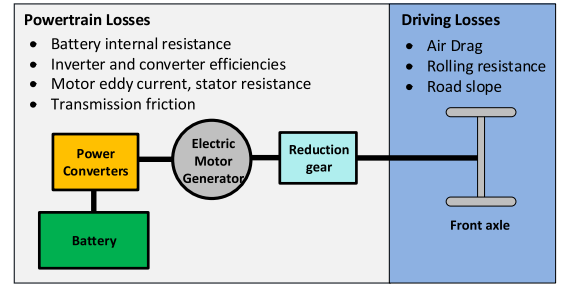


Fig. 1. Electric vehicle powertrain structure and losses considered.

Section 4 applies the developed methods to some simple test cases and a 25 km example driving scenario. Cornering, car-following, and whole-journey scenarios are considered, based on a real-world driving route near Southampton, UK, with real-world driving data used for the lead vehicle in the car-following case. Conclusions are given in the final section.

2. Methods: Electric vehicle modelling

A front-wheel driven electric vehicle (EV) is modelled taking into account the major vehicle and powertrain losses, with regenerative braking to improve the overall efficiency.

2.1. Longitudinal dynamics

According to Newton's second law, the longitudinal dynamics of the EV can be summarised as:

$$m\dot{v} = F - \frac{1}{2}\rho AC_d v^2 - mg(c_{rr} \cos \theta + \sin \theta) \quad (1)$$

where v is the vehicle speed, F is the overall wheel force, and θ the road gradient; the remaining parameters are specified in Table 1. We find it convenient to split the overall longitudinal force, which is positive under traction and negative under braking, into three *non-negative* components

$$F = F_p - F_r - F_f \quad (2)$$

where F_p is the propulsion force, and F_r and F_f are braking forces due to regenerative and hydraulic braking respectively.

2.2. Powertrain model

As shown in Fig. 1, the powertrain contains a battery pack, which powers a synchronous electric motor via power converters (a DC–DC converter and a DC–AC inverter), with the motor then coupled to the front wheels via a reduction gear. The powertrain operation is reversible and allows regenerative braking, in which case the power flow is opposite in direction to the power flow when driving.

The motor shaft and the wheel axle are connected via a fixed gear transmission, which is modelled with a constant efficiency η_t . The motor speed ω_m can be calculated from the vehicle speed v as

$$\omega_m = \frac{N}{r} v \quad (3)$$

where N is the gear ratio and r is the wheel radius. The motor torque T_m , which is positive for propulsion and negative under regenerative braking, is equal to

$$T_m = \frac{r}{N} \left(\frac{F_p}{\eta_t} - \eta_t F_r \right). \quad (4)$$

The main losses in the electrical system include electric motor/generator losses due to stator resistance, eddy currents, bearing friction, and aerodynamic resistance, and inverter and converter losses.

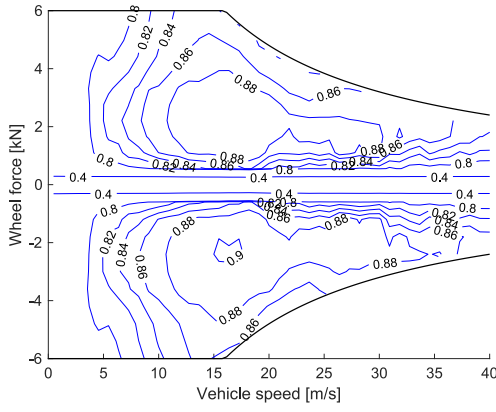


Fig. 2. Powertrain efficiency as a function of the vehicle speed and wheel force (force is positive under propulsion and negative under regenerative braking, efficiency accounts for the losses of the mechanical transmission, electric motor/generator, power converter, and battery).

For our present work, we adapted a permanent magnet motor from ADVISOR [28], for which the main characteristics are given in Table 1 and an efficiency map is illustrated in Fig. 2. The latter is given as a function of the speed and torque

$$\eta_m = \eta_m(\omega_m, T_m) \quad (5)$$

For simplicity, the total efficiency of the power converters η_{pc} is assumed constant. The electric power that must be provided by the battery (output) to the power unit reads

$$P_b = \frac{F_p v}{\eta_t \eta_m \eta_{pc}} - \eta_t \eta_m \eta_{pc} F_r v \quad (6)$$

The open circuit voltage of the battery depends on the state of charge, where the largest variations occur either at low or high values of charge [29]. For the sake of simplicity, we neglect this variability and assume that the open circuit voltage E_0 is constant. We further assume that the battery internal resistance R_b is constant. Therefore, the balance among battery power output, voltage, and current i_b reads:

$$P_b = E_0 i_b - R_b i_b^2 \quad (7)$$

The battery current can therefore be calculated as

$$i_b = \frac{E_0 - \sqrt{E_0^2 - 4R_b P_b}}{2R_b} \quad (8)$$

where i_b is positive when discharging the battery, and negative under regenerative braking. In conclusion, the overall powertrain efficiency may be calculated as

$$\eta = \begin{cases} \frac{F_p v}{E_0 i_b} & \text{propulsion} \\ -\frac{E_0 i_b}{F_r v} & \text{braking} \end{cases} \quad (9)$$

and it is illustrated in Fig. 2.

Finally, the electrical energy consumption reads

$$E_b = \int_0^T (E_0 i_b + L_i) dt \quad (10)$$

while T is the travel time and L_i are the idle power losses that are necessary to sustain vehicle auxiliary systems and are not included in Eq. (6).

For our present work, we consider a compact hatchback BEV, with parameters as summarised in Table 1. The specific energy usage at constant speed is illustrated in Fig. 3 by considering a constant idle power $L_i = 500$ W (dotted line) and without idle power losses

Table 1

Vehicle characteristics and physical parameters.

Symbol	Value	Description
Vehicle parameters		
m	1500 kg	Vehicle mass
h	0.5 m	Centre of gravity height
l_1	1.65 m	CoG distance from the front axle
l_2	1.75 m	CoG distance from the rear axle
$\rho A C_d$	0.86 kgm ⁻¹	Coefficient of drag
c_{rr}	0.01	Coefficient of rolling resistance
r	0.330 m	Radius of the wheels
N	3.8	Reduction gear ratio
$\eta_t \eta_{pc}$	0.97	Transmission and electrical efficiency
Q_{max}	40 kWh	Battery capacity
E_0	400 V	Battery open circuit voltage
R_b	0.0533 Ω	Battery internal resistance
$P_{m,max}$	80 kW	Motor peak power
$T_{m,max}$	280 Nm	Motor maximum torque
$T_{r,max}$	280 Nm	Generator maximum torque
$P_{r,max}$	80 kW	Generator peak power
β_r	0.5	Regenerative braking bias
Driver model parameters		
a	2.5 m/s ²	Driver's acceleration preference
b	3.0 m/s ²	Driver's braking preference
s_0	2.5 m	Desired stationary headway distance
v_d	31.2 m/s	Desired speed
τ	1.5 s	Minimum time gap between vehicles
δ	4	IDM acceleration exponent
Γ	3 m/s ²	Maximum lateral acceleration
Δ	0.002 rad ⁻¹	Safety margin of curvature estimation

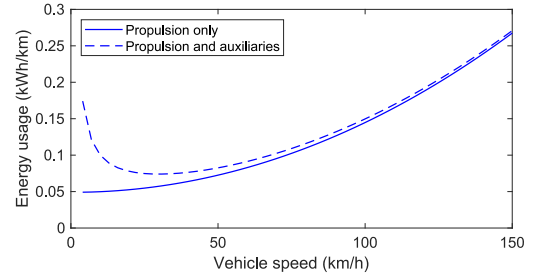


Fig. 3. Specific energy usage while driving at constant speed (the solid line neglects idle losses, the dotted line accounts for an idle power loss of 500 W).

(solid line). Without idling losses, the specific energy usage increases monotonically with the speed, while the introduction of idle power significantly increases the specific energy usage at very low speed, so that a minimum is found at a speed of approximately 35 km/h.

2.3. Regenerative braking

Under braking, the electric power unit can be used as a generator and recover a significant amount of energy. To ensure braking performance and safety, the braking system is completed by front and rear hydraulic brakes. Braking management is not trivial and, in order to maximise the directional stability of the vehicle, the front versus rear braking force distribution is not constant. More specifically, by considering moment equilibrium of the vehicle while decelerating, the braking forces F_1, F_2 that grant the same ratio between braking force on the contact patch and vertical reaction forces at the front and rear axle respectively read [30]

$$\begin{aligned} F_1 &= \frac{l_2 + h(F_r + F_f)}{l_1 + l_2} (F_r + F_f) \\ F_2 &= \frac{l_1 - h(F_r + F_f)}{l_1 + l_2} (F_r + F_f) \end{aligned} \quad (11)$$

where l_1, l_2 are the distances between the vehicle centre of mass and the front and rear axles respectively, while h is the centre of mass

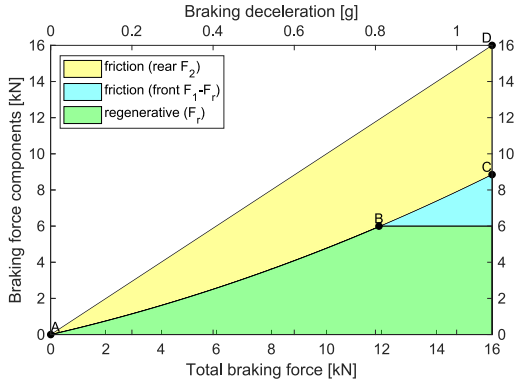


Fig. 4. Braking force distribution and regenerative braking. (the maximum regenerative torque, and the resulting position of B along curve AC, decreases for speeds greater than 16 m/s due to the power limits of the motor).

height. This force distribution is illustrated in Fig. 4 as a function of the overall braking force, while a second axis on the top of the figure also indicates the braking deceleration achieved at low speed, i.e. when air drag is negligible. It is apparent that the front braking (area enclosed below the line ABC) increases more than, and the rear braking (area enclosed by points ABCD) increases less than proportionally to the overall braking force. Under severe braking, when the total braking force is larger than its value at point B, the requested front wheel force exceeds the generator operating range, which is limited both in torque and in power, therefore it is necessary to use friction brakes also. We note that the curve AB can be effectively approximated by a straight line (proportional relationship to the total braking force), therefore the regenerative braking force can be calculated as

$$F_r = \beta_r(F_r + F_f) \quad (12)$$

where β_r is a constant *regenerative braking bias*, until the torque or power limits of the electric motor are exceeded.

3. Methods: Eco-driving optimal control

3.1. Naturalistic driver preference model

In [24], the authors introduced a driver behaviour model that replicates car-following behaviour in a similar fashion to the well known Intelligent Driver Model (IDM) [31], but in addition is capable of replicating driver speed choice when cornering and may be incorporated into OCPs. Accordingly, if suitable IDM parameters including preferences such as maximum acceleration, headway time and minimum following distance, etc. are known for an individual, this model will approximately reproduce their natural driving behaviour. Accordingly, we refer to this as a “naturalistic” OCP model of driving. This model is phrased as the minimisation of a cost function:

$$J_d = \int_0^T L_d dt \quad (13)$$

where the stage cost L_d is defined as

$$L_d = \delta^2 \left(\frac{v}{v_d} - 1 \right)^2 + \left(\frac{u_a}{a} \right)^2 + \left(\frac{u_b}{b} \right)^2 + \gamma(v) \frac{(s/s_d - 1)^2}{(s/s_d)^2 + 1} \quad (14)$$

and where s , v , u_a , and u_b are the headway distance, ego vehicle speed, ego vehicle acceleration, and ego vehicle braking deceleration respectively, the parameter v_d is the desired vehicle speed, which is the speed the driver will take on a straight road without traffic, a is

the desired maximum acceleration, b is the desired deceleration, and s_d is a desired following distance given by

$$s_d = s_0 + \tau v \quad (15)$$

with all parameters defined as in Table 1. Minimising the first term in (14) represents the urge to reduce travel time by driving close to this speed, where the parameter δ corresponds to the acceleration exponent in the IDM [31]. The second term is a headway distance penalty that becomes large for small s , has a minimum at s_d , then tends to a constant positive value as s becomes large to represent the driver's indifference to far away vehicles. The weighting function γ depends on the velocity and is given by:

$$\gamma(v) = 8 \left[(v/v_d)^\delta - 1 \right]^2 \quad (16)$$

The purpose of the weighting factors δ^2 and γ are to ensure that the model gives similar behaviour to the IDM for a given parameter set v_d , u_a , u_b , s_0 , τ and δ . Derivation of these weighting functions and comparisons between this model, the IDM, and naturalistic driving data may be found in [24]. Finally, the third and fourth terms are quadratic penalties on the control inputs of acceleration and braking.

To complete the model of driver preferences, we add constraints on lateral acceleration while cornering, based on the model in [32]. This is equivalent to the following inequality constraint on the lateral acceleration u_y

$$u_y = |\kappa(x)|v^2 \leq \Gamma - \Delta v^2 \quad (17)$$

where $\kappa(x)$ is the road curvature, a function of the travelled distance, Γ is the driver's maximum comfortable lateral acceleration while cornering, and Δ is the driver's “safety margin” of error in their estimate of the curvature when approaching the corner. This may be restated as a speed constraint

$$v \leq \sqrt{\frac{\Gamma}{|\kappa(x)| + \Delta}} \quad (18)$$

which is suitable for inclusion in the optimal control problem. Speed is also constrained to comply with road regulations as:

$$v \leq v_{\max}(x) \quad (19)$$

Note that, without any loss of generality, we may assume that $v_{\max}(x)$ is defined such that it also incorporates the speed constraints resulting from the curvature, (18). Finally, we include a safety constraint on headway distance, which forces the model to perform emergency braking if required

$$s = x_l - x \geq s_0 \quad (20)$$

where x is the position of the front of the ego vehicle, while x_l is the position of the back of the leading vehicle.

3.2. Energy loss model

Minimisation of battery current or power usage is typically difficult when using direct solvers for optimal control, as the resulting optimisation is highly nonconvex in vehicle force and velocity. This typically leads to poor convergence and numerical problems in non-linear programming solvers such as IPOPT, which is used later in the example. For use in an OCP, we approximate the energy losses in the powertrain due to the EV model of Section 2, rather than minimising utilised battery current or energy. Although the resulting problem is still nonconvex in general, bilinear terms such as $P = Fv$ are typically less dominant giving more reliable convergence to a local minimum in practice. Considering conservation of energy, the total energy usage (10) may be rewritten as

$$E_b = \int_0^T (L_v + L_e + L_i) dt + \frac{1}{2}m(v_T^2 - v_0^2) + mg(h_T - h_0) \quad (21)$$

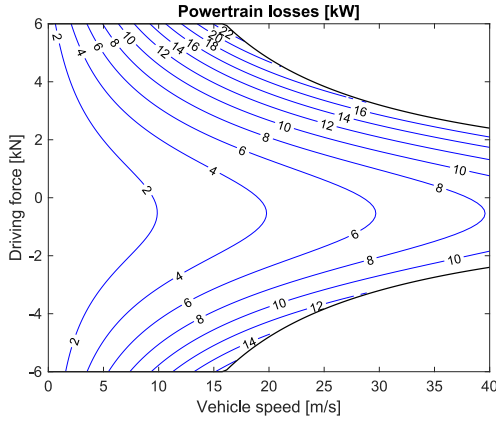


Fig. 5. Approximated powertrain losses as a function of vehicle speed and electrical traction force. Note the asymmetry, caused by the battery power and current values being larger in magnitude under traction than braking.

Table 2
Coefficients of powertrain loss model.

Coefficient	Value and 95% Confidence interval)	
a_{01}	0.0207	(0.0152, 0.0261)
a_{11}	0.0308	(0.0260, 0.0356)
a_{20}	0.0206	(0.0150, 0.0259)
a_{30}	0.00167	(0.000912, 0.00243)
a_{21}	0.0279	(0.0268, 0.0291)

where L_v, L_e, L_i denote the vehicle power losses, powertrain losses, and idle losses respectively and the final two terms represent changes in kinetic and gravitational potential energy over the journey. If the initial and final vehicle speeds v_0 and v_T and elevations h_0 and h_T are known, then the final two terms are fixed. This means that minimising the integral of the losses $L = L_v + L_e + L_i$ is equivalent to minimising E_b within the stage cost of an OCP.

Vehicle losses L_v include those due to mechanical braking, rolling resistance, and aerodynamic drag:

$$L_v = \left(F_f + mgc_{rr} + \frac{1}{2} \rho C_d A v^2 \right) v \quad (22)$$

For optimal control, we approximate the true powertrain losses by a polynomial in $F_m = F_p - F_r$ and v . In our work, this polynomial was determined empirically by fitting a loss function L_e using all polynomial coefficients up to 3rd order in F_m and v , removing any coefficients with a p -value of greater than 0.05. The least-squares fit was then repeated with this reduced set of terms. This gave a function of the form

$$L_e = a_{01}v + a_{11}F_mv + a_{20}F_m^2 + a_{30}F_m^3 + a_{21}F_m^2v \quad (23)$$

with coefficients as shown in Table 2 for the case in which F_m is measured in units of kN and v in m/s. This produced a good fit to the losses of our case study powertrain using the empirical ADVISOR data for the electric motor, with $R^2 = 0.971$, and gave an approximate powertrain loss function to be used in the optimal control problem, as shown in Fig. 5. Although it was obtained empirically, we can ascribe a physical basis to many terms in (23). For example, $a_{11} \approx 0.03$ is expected from the 97% combined efficiency of the mechanical transmission and power electronics $\eta_t \eta_{pc}$, while the presence of v , F^2 and F^2v terms are expected from static friction, Ohmic and hysteresis losses respectively in the electric motor. It is also possible to fit the data using convex functions. However, this produced a much poorer fit to the powertrain loss data with only $R^2 = 0.74$, so we elected to retain the nonconvex formulation in our test cases.

3.3. Inclusion of braking bias constraints

As the driver preference model is expressed as the minimisation of a loss function (13), it is straightforward to blend the two objectives of satisfying the driver's preferences and minimising energy losses in an OCP. This leads to a combined loss function to be minimised:

$$J = \int_0^T \left[(1-w)L_d + w \frac{L_v + L_e + L_i}{L_0} \right] dt \quad (24)$$

The weighting factor w is introduced to balance the two stage cost functions: $w = 0$ describes a naturalistic driving problem, $w = 1$ corresponds to an energy minimisation problem, while intermediate values $0 < w < 1$ represent a compromise between naturalistic driving and energy efficiency; constant L_0 is not necessary, but is helpful in practice to adjust for the differing units and scalings. The motion of the vehicle is described in terms of the speed v and travelled space x , which are controlled by the force at the wheels $F = F_p - F_r - F_f$ according to

$$f_1: \quad \dot{x} = v \quad (25a)$$

$$f_2: \quad m\dot{v} = F - \frac{1}{2} \rho C_d A v^2 - c_{rr}mg - mg\theta \quad (25b)$$

where aerodynamic, rolling resistance and road gradient forces are accounted for according to (1), using a small angle approximation $\cos \theta \approx 1$, $\sin \theta \approx \theta$. As the vehicle is assumed to be front-wheel drive, the braking bias relationship (12) places an effective limit on the proportion of braking that may be regenerative, with some mechanical braking required at the rear wheels required to maintain directional stability. Within the OCP, we wish to satisfy the equality (12) except when this would exceed torque or power limits, so that

$$F_r = \min(\beta_r(F_r + F_f), F_{r,\max}) \quad (26)$$

where $F_{r,\max} = \min(T_{r,\max}N/r, P_{r,\max}/v)$, based on the torque and power limits $T_{r,\max}$ and $P_{r,\max}$ of the motor respectively. Unfortunately, including (26) as an equality constraint in the resulting OCP, or using this equality to eliminate one of the OCP inputs, typically leads to a problem that is difficult to solve numerically. Instead, we consider a relaxation of the OCP with an inequality constraint for the braking bias:

$$F_r \leq \beta_r(F_r + F_f) \quad (27)$$

Typically, unless motor torque or power constraints prohibit it, this constraint is satisfied with equality in the OCP solution, as regenerative braking is preferred over mechanical braking when minimising L_e . In that case, the equality (12) is recovered while retaining a problem formulation that is amenable to solution using direct methods.

3.4. Implementation and computational considerations

When using F_p , F_r , and F_f as control inputs, we consider a minor modification to the driver behaviour model in [24] and redefine L_d according to

$$L'_d = \delta^2 \left(\frac{v}{v_d} - 1 \right)^2 + \gamma(v) \frac{(s/s_d - 1)^2}{(s/s_d)^2 + 1} + \left(\frac{F_p}{mu_a} \right)^2 + \left(\frac{F_r}{mu_b} \right)^2 + \left(\frac{F_f}{mu_b} \right)^2 \quad (28)$$

with separate terms for the components of vehicle acceleration corresponding to the propulsive, regenerative braking and mechanical braking force inputs. This modification has a negligible effect on the resulting driving behaviour, while having separate quadratic penalties on each input improves numerical performance. The full OCP, which has two states (x, v) and three inputs (F_p, F_r, F_f), can be stated as

$$\min_{F_p, F_r, F_f} J = \int_0^T \left[(1-w)L'_d + w \frac{L_e + L_v + L_i}{L_0} \right] dt \quad (29a)$$

$$\begin{aligned} \text{s.t.} \quad \dot{x} &= v \\ m\dot{v} &= F_p - F_r - F_f - \\ &\quad - \frac{1}{2} \rho C_d A v^2 - mg [c_{rr} + \theta(x)] \end{aligned} \quad (29b)$$

$$\begin{aligned} x &\leq x_f(t) - s_0, \quad 0 \leq v \leq v_{\max}(x) \\ 0 &\leq r F_p \leq N T_{m,\max}, \quad 0 \leq F_f \\ 0 &\leq r F_r \leq N T_{r,\max}, \quad (1 - \beta_r) F_r \leq \beta_r F_f \\ -P_{r,\max} &\leq (F_p - F_r) v \leq P_{m,\max} \end{aligned} \quad (29c)$$

Boundary conditions are added for each scenario, and will be specified in the following sections for our examples.

The problem is inherently nonconvex due to the nature of the powertrain and braking losses and constraints. As we retain this non-convexity, there is no guarantee that a solution found by a direct method to solve the OCP is a global minimum. Nonetheless, we find that implementations of (29) perform well in practice. due to the formulation in terms of energy losses L , and the relaxation of the brake bias constraint. When performing collocation and mesh refinement using an hp-adaptive solver such as GPOPS-II [33], with the interior point NLP solver IPOPT used to solve the resulting optimisation problems, a single instance of (29) for a long-horizon scenario with 25 km of driving taking approx. 20 min of simulation time was solved in approximately 2 min on a standard desktop PC equipped with a 9th generation intel i5 processor, corresponding to solution approximately 10 times faster than real time. In contrast, equivalent formulations directly minimising P and/or using F_b as a control input rather than F_r and F_f were found to take an order of magnitude longer to solve, with IPOPT often failing by converging to an infeasible point in our numerical experiments.

Basic numerical efficiency improvements were implemented to speed up evaluation of the cost and constraint functions in our implementation. This included ‘inlining’ evaluation of the loss functions (22), (23) and (28) to avoid function call overheads. Another adjustment is made in the evaluation of (16), where the exponentiation $(v/v_d)^\delta$ is typically a slow step. Yet as typically $\delta = 4$ in the IDM, this may be replaced by two multiplications of the form $(v/v_d)^2 = (v/v_d)(v/v_d)$ and $(v/v_d)^4 = (v/v_d)^2(v/v_d)^2$, which is much faster to evaluate. As these functions and their derivatives are evaluated many times via callbacks during optimisation using IPOPT, these minor modifications lead to significant speed improvements.

4. Results and discussion

The OCP (29) is a multi-objective optimisation, that minimises both energy losses and deviations from a naturalistic driving model. In car-following scenarios, this driving model is meant to replicate the popular Intelligent Driver Model (IDM) for traffic modelling in car-following situations. Therefore, with the desired speed v_d set high, it may be expected to maximise average speed subject to maintaining reasonable car-following distances and cornering speeds. Accordingly, in this section we investigate the Pareto frontier of energy usage E_{0i_b} versus average speed, in which the average speed is optimised indirectly via minimisation of L'_d . However, we note that the proposed method is not intended to provide true Pareto optimal solutions for the multi-objective problem of minimising both energy use and travel time, as such solutions may not respect driver preferences. The OCP is considered in several illustrative examples, before investigating the results in a realistic, long-horizon scenario involving a 25 km drive through rural, highway and urban roads. In each case, the lead vehicle’s position and velocity over time are assumed known to perform an offline evaluation of the effectiveness of the OCP (29). Results for all cases are summarised in Table 3.

4.1. Cornering case

In this scenario, the road consists of two straight segments joined in the middle by a 90° curve with a radius of approximately 30 m. The total length of road is $l = 800$ m, the road elevation is constant $\theta(x) = 0$.

Table 3

Summary of results for all test cases.

	Energy use [kWh/km]	Mean speed [km/h]	Trip length [km]
<i>Cornering</i>			
$w = 0$	0.153	62.1	0.8
$w = 0.5$	0.118	58.7	0.8
$w = 1$	0.068	42.0	0.8
<i>Corner & follow</i>			
$w = 0$	0.134	58.3	0.8
$w = 0.5$	0.116	58.3	0.8
$w = 1$	0.066	41.1	0.8
<i>Car-following</i>			
$w = 0$	0.145	62.6	4.4
$w = 0.5$	0.106	60.7	4.4
$w = 1$	0.095	54.8	4.4
<i>Whole journey</i>			
$w = 0$	0.181	78.5	25
$w = 0.5$	0.143	72.9	25
$w = 1$	0.123	65.1	25

We assume that there is no traffic, except for the ego-vehicle, and the boundary conditions of the OCP problem (29) are set to the following

$$\begin{aligned} x(0) &= 0 & x(T) &= l \\ v(0) &= v_0 & v(T) &= v_0 \end{aligned} \quad (30)$$

where T is the travel time and v_0 is the initial and final speed (which are equal). The travel time and v_0 are considered as free variables that will be determined in the optimisation, with the initial and final speeds constrained to be equal. This can be interpreted as a search for optimal periodic solutions, as if driving on a road with many such curves, each separated by 800 m. We note also that as initial and final speeds are equal, there is no variation of the kinetic energy, which ensures that the energy losses may be minimised in place of energy usage, according to (21). The speed is limited by the cornering constraint (18) defined in Section 3, and it is also limited by the speed limit constraint (19), which is artificially set just above the legal speed limit in this case, to illustrate that the OCP solution will follow the drivers preferred speed v_d when the speed limit constraint $v_{\max}(x)$ is set higher than v_d . This means that the constraint $v \leq v_{\max}(x)$ is inactive except in the corner.

Fig. 6 shows the results of the optimisation in the limit cases of $w = 0$ (driver model only, i.e. “naturalistic” driving) and $w = 1$ (minimisation of energy loss only, “efficient” driving), plus an intermediate case with $w = 0.5$ (both driver preferences and energy efficiency considered, “balanced” driving). The optimised driving behaviour has been found by solving the OCP (29), with the battery power usage E_{0i_b} evaluated using the original current usage function (8) as detailed in Section 2. Comparing the naturalistic and energy-efficient cases, efficient driving may save 56% energy at the cost of a 48% increase in the travel time. However a better compromise may be found between travel time and energy usage: the $w = 0.5$ case which blends the two objectives manages to save 23% electrical energy with only a 6% increase in travel time. This improvement is attributable to the introduction of coasting behaviour before the curve, thereby avoiding large energy losses due to braking.

As the driver model L'_d is being used in these optimisations as a ‘surrogate’ loss function for minimising the travel time, it is also interesting to compare the behaviour of OCP (29) with the alternative of minimising travel time directly in the OCP, i.e. replacing the OCP cost function with:

$$J' = (1 - w)T + w \int_0^T \frac{L_e + L_v + L_i}{L_0} dt \quad (31)$$

A comparison of the Pareto frontier for this ‘minimum time’ optimisation and OCP (29) is given in Fig. 7. The Pareto curves are seen to be very similar, diverging only near $w = 0$. From inspection of the solutions, the difference between the two in the $w = 0$ case is

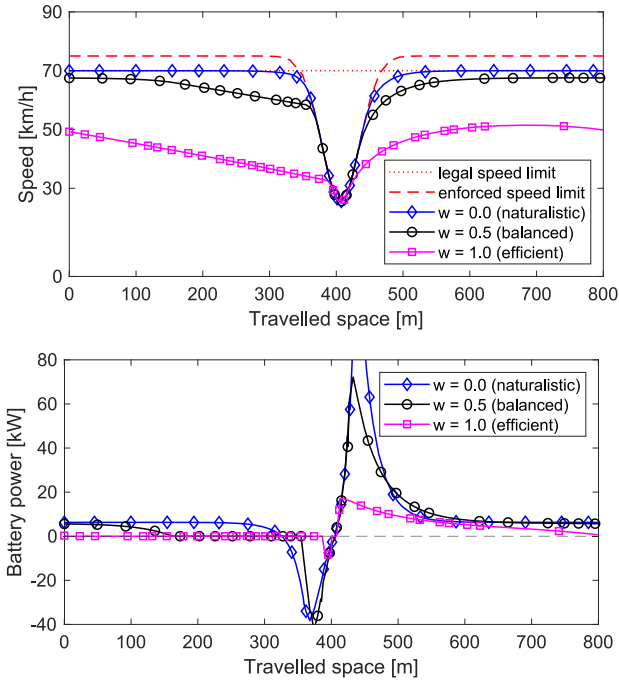


Fig. 6. Solution of the cornering case for three different combinations of driving preferences and energy economy.

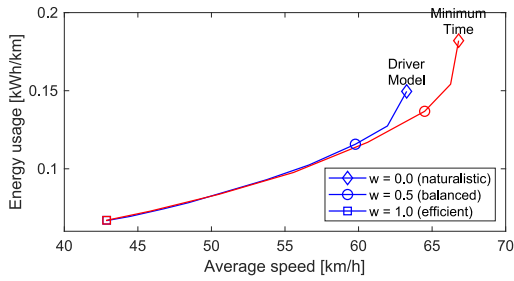


Fig. 7. Pareto comparison of driver model with minimum time optimisation.

attributable to two aspects: (a) that the minimum time solution goes above the legal speed limit (noting that $v_d = 70$ km/h in the driver model prevents this), and (b) the minimum time solution exceeds the driver's desired acceleration and braking accelerations u_a and u_b . In another test, the authors verified that increasing u_a , u_b and v_d in the driver model made the two curves overlap also near $w = 0$. We also note that in the minimum time comparison, the solution for $w = 0.001$ had to be used in place of $w = 0$ due to some ill conditioning when solving with the minimum-time cost only.

4.2. Cornering with following case

We now consider a modification of the cornering scenario in which the ego vehicle is following another leader vehicle on the same section of road. The leader travels at an average speed of 60 km/h with the speed profile shown in Fig. 8 by the blue dashed line, which has sections of deceleration, acceleration and constant speed. The initial travelled distance of the leader vehicle is set as $x_l(0) = 10$, so that the leader vehicle is initially 10 m in front of the ego vehicle. Other boundary conditions are identical to the previous case.

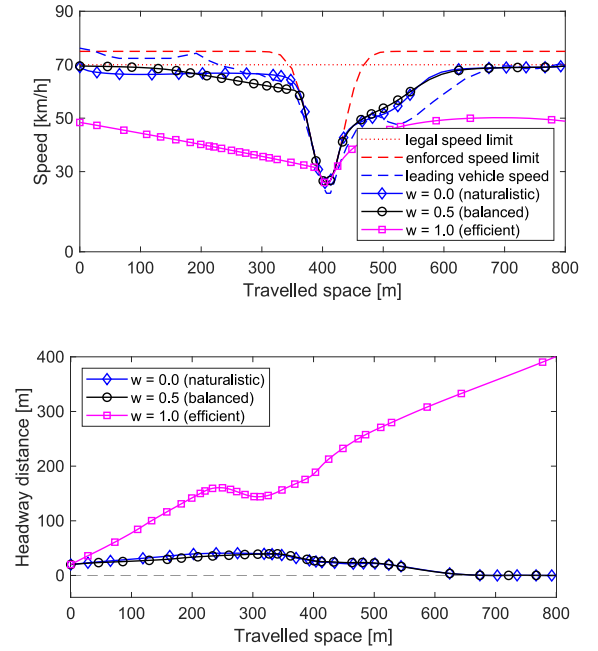


Fig. 8. Solution of the cornering with following case for three combinations of driving preferences and energy economy.

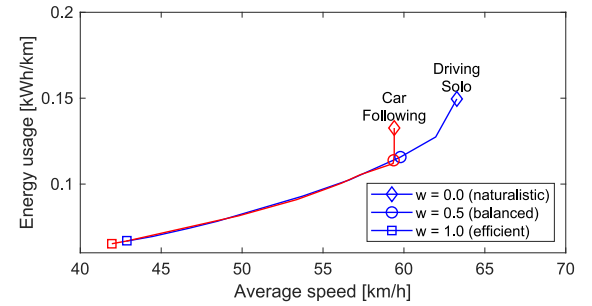


Fig. 9. Pareto comparison of cornering and cornering with following cases.

Results are shown in Fig. 8, in which it is apparent that similar coasting and speed reduction behaviours are found to the non-following case. Viewing the plot of headway distance, the energy-efficient case travels slowly and loses its proximity to the lead vehicle, while the naturalistic and blended cases follow it more closely. Interestingly, there is a minor difference in speed between the naturalistic and blended cases for approximately the first 150 m, where the naturalistic case slows down early to maintain distance from the lead vehicle and continues at a constant speed to the corner, while the blended case maintains the initial speed then follows the leader at a slightly reduced distance before starting to coast down before the corner. The difference from the cornering-only scenario is apparent when inspecting the Pareto frontier (Fig. 9). Here, the Pareto curve is similar in both cases until the average speed is equal to that of the lead vehicle, at which point, further decreases in w lead to a small increase in energy usage without any corresponding increase in average speed, due to this being constrained by the lead vehicle. Therefore the blended case compares favourably with the naturalistic one in this scenario, giving a 13% reduction in energy usage with no reduction in average speed.

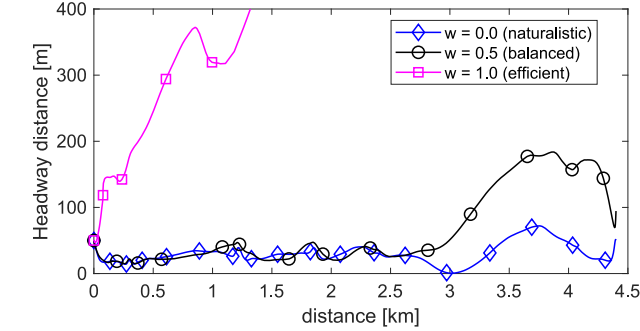
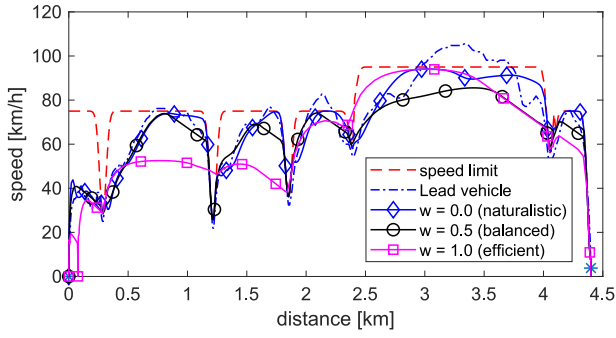


Fig. 10. Solution of the car-following case for three combinations of driving preferences and energy economy.

4.3. Car-following case

We now consider a more complex, car-following scenario on $l = 4.5$ km of rural road, with a lead vehicle following a velocity profile obtained from real-world driving data. The ego vehicle is now assumed to be stationary at the start and end of the simulation, with the boundary conditions

$$\begin{aligned} x(0) &= 0 & x(T) &= l \\ v(0) &= 0 & v(T) &= 0 \end{aligned} \quad (32)$$

where T denotes the travelling time that, once again, is taken as a free variable. Cornering constraints are imposed, which correspond to the measured curvature of corners on the same rural route that the lead vehicle followed during data collection. Results are shown in Fig. 10, which shows the speed and headway distance to the lead vehicle in the naturalistic, energy-efficient and blended cases. In the energy efficient case, it is apparent that the ego vehicle is no longer following the lead vehicle, as the headway distance increases considerably. As in the previous cornering scenarios, it is clear that the blended case achieves significant reductions in energy usage of 24% with only a 3% increase in travel time. Inspecting the Pareto frontier of the multiobjective problem in Fig. 11, we observe that for low values of w , e.g. $w = 0.1$ and $w = 0.2$, there is a considerable improvement in energy usage with almost no corresponding increase in travel time.

4.4. Eco-driving optimisation of a whole journey

To illustrate the scalability of OCP (29) in practice and give an indication of the potential energy savings possible in electric vehicles over a typical journey, we now consider a long horizon optimisation example, representing a full journey made near Padova (IT) along a route consisting of a 7 km rural section, a 14 km motorway section, and a 4 km urban section, for a total of approximately $l = 25$ km of driving. Boundary conditions are the same as in the car-following case

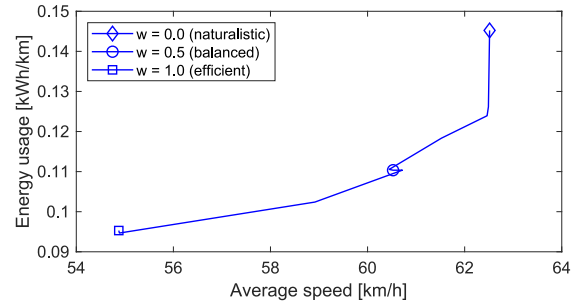


Fig. 11. Pareto frontier in the car-following case, 4.5 km of rural road.

(32), where travel time T was considered as a free variable, while the initial and final speeds were fixed at zero, which once again allows the energy losses to be minimised in place of the energy usage in the OCP.

It is unrealistic to assume that the ego-vehicle will follow the same lead vehicle for an entire long journey. Nonetheless, it is important to take traffic conditions into account in the eco-driving problem to ensure a realistic solution. While the incorporation of predicted traffic conditions into the eco-driving problem in general is a open problem, for this example we account for them by introducing an additional maximum speed constraint that constrains the vehicle to travel slower than the average speed of traffic in each location, i.e. $v \leq v_{\text{traffic}}(x)$, with the traffic velocity obtained from real-world driving data along the route. The route contained several necessary stops at traffic signals and/or stop signs, and the ego-vehicle must make stops at these locations. This allows the problem to be broken down into several sub-problems for efficient solution, in which the optimisation is solved between stops, with the same boundary conditions, and the full solution constructed by stitching together the solutions to the sub-problems. In this example, these sub-problems corresponded to rural, motorway and urban sections of the route, with the rural section split into two parts due to a stop sign.

The optimal control problem was solved for values of $w = 0.0, 0.1, \dots, 0.9$, with the resulting energy usage again evaluated according to the electric vehicle model described in Section 2. A subset of the results illustrating naturalistic, energy-efficient and blended cases is shown in Fig. 12. As in the previous examples, coasting behaviour is evident in the blended and energy-efficient cases. The Pareto optimal frontier is shown in Fig. 13. For the blended case of $w = 0.5$, there is a 21% energy saving compared to the naturalistic driving case, with only a 7.1% decrease in average speed, corresponding to approximately 90 s of additional journey time on a journey of 19 min and 6 s (for the $w = 0$ case).

5. Conclusions

An eco-speed advisory system for front-wheel drive electric vehicle was developed that accounts for desired following distances and time headways, a desired vehicle speed, smooth vehicle acceleration, and a comfortable corner negotiation speed. This was found to successfully blend driver preferences with energy-efficiency, achieving energy savings of 21% with only a 7% decrease in average speed over a 25 km journey involving rural, motorway and urban sections, with improvements also in specific car-following and cornering scenarios.

As the results in this work are limited to offline evaluation of the potential energy savings, future work will focus on developing receding-horizon schemes to approximate the full-horizon solution, which would allow for the proposed OCP to be used as the basis for an eco-ACC or self-driving vehicle subsystem. Computational results in the present paper were promising with effective solutions found on desktop PC hardware approximately 10 times faster than real time, suggesting that specialised online solvers, for example using nonlinear MPC, could achieve similar results in practical implementations.

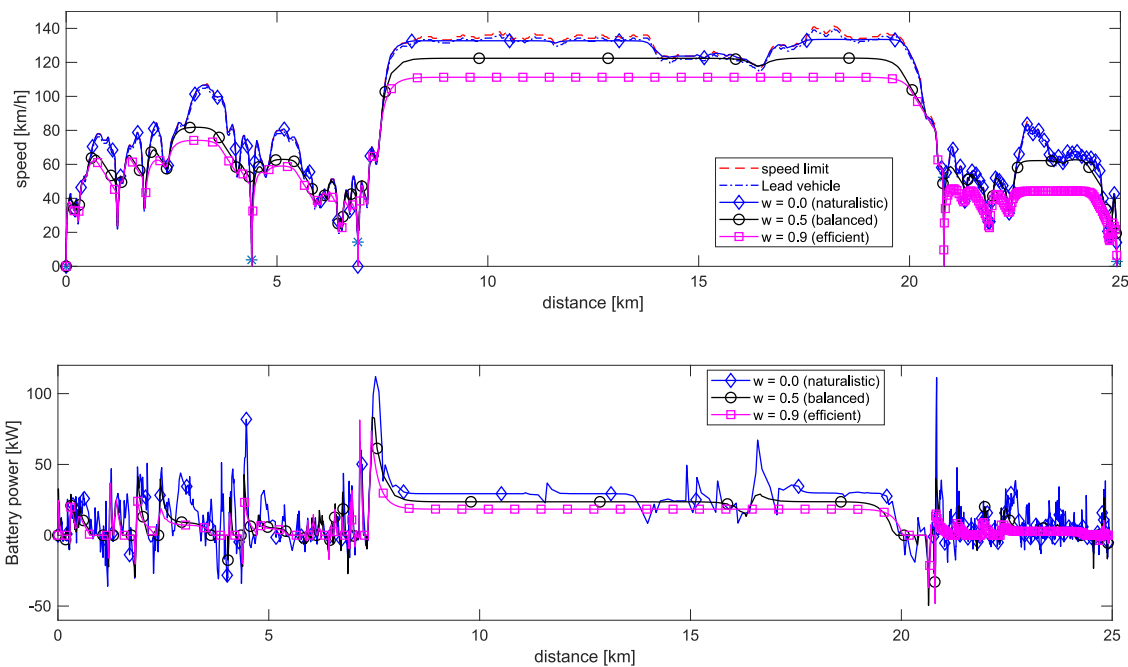


Fig. 12. Solution of the whole journey problem. Stars * denote the 'stop signs' at the approximate start and end of each subsection of the journey.

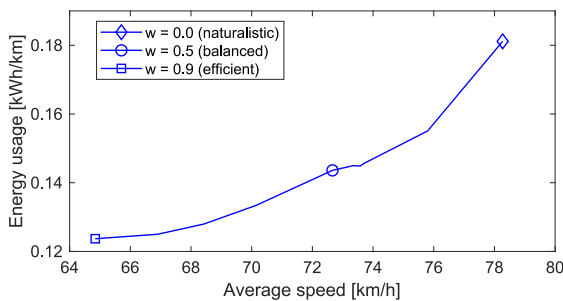


Fig. 13. Pareto comparison, 25 km driving scenario.

CRedit authorship contribution statement

Roberto Lot: Writing – original draft, Supervision, Conceptualization. **James Fleming:** Writing – original draft, Software, Methodology. **Boli Chen:** Writing – review & editing, Methodology. **Simos Evangelou:** Writing – review & editing, Methodology.

Declaration of competing interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Roberto Lot reports financial support was provided by Engineering and Physical Sciences Research Council. If there are other authors, they declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Data availability

The authors do not have permission to share data.

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