

Resistance to Ceftazidime/Avibactam plus Meropenem/Vaborbactam When Both Are Used Together Is Achieved in Four Steps in Metallo- β -Lactamase-Negative *Klebsiella pneumoniae*

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ABSTRACT Serine β -lactamases are dominant causes of β -lactam resistance in *Klebsiella pneumoniae* isolates. Recently, this has driven clinical deployment of the β -lactam- β -lactamase inhibitor pairs ceftazidime/avibactam and meropenem/vaborbactam. We show that four steps, i.e., *ompK36* and *ramR* mutation plus carriage of OXA-232 and KPC-3-D178Y variant β -lactamases, confer ceftazidime/avibactam and meropenem/vaborbactam resistance when both pairs are used together. These findings have implications for decision making about sequential and combinatorial use of these β -lactam- β -lactamase inhibitor pairs to treat *K. pneumoniae* infections.

KEYWORDS KPC, OXA-48, β -lactamase, porin

Klebsiella pneumoniae isolates can become resistant to third-generation cephalosporins (3GC) and/or carbapenems through acquisition of a wide range of serine β -lactamases (1). Most clinically significant are the CTX-M (2), KPC (3), and OXA-48-like (4) types. In response to the rise in KPC, which can confer 3GC and carbapenem resistance, serine β -lactamase inhibitors, including avibactam and vaborbactam, have recently been developed. Avibactam is used in combination with the 3GC ceftazidime (5). It inhibits CTX-M, KPC, and OXA-48-like β -lactamases (6, 7). Ceftazidime-avibactam (CAZ/AVI) nonsusceptibility can be caused by KPC changes, e.g., D179Y or V239G (8, 9), or by a P170S change in CTX-M (10). Vaborbactam is used in combination with the carbapenem meropenem (11). It has potent activity against KPC and, to a lesser extent, CTX-M (12). Meropenem-vaborbactam (MER/VAB) resistance in *K. pneumoniae* isolates is caused by loss of OmpK36 and OmpK35 porins in backgrounds carrying KPC or OXA-48-like enzymes (12, 13).

Given the appearance of *K. pneumoniae* clinical isolates resistant to MER/VAB or CAZ/AVI, it has been suggested that a combination of both given together would overcome isolates resistant to one or the other when used separately (14, 15). The aim of the work reported here was to identify steps that can generate resistance to MER/VAB and CAZ/AVI when used together.

First, a plasmid (pOXA-232) encoding the OXA-48-like carbapenemase OXA-232 was purified from *K. pneumoniae* clinical isolate KP

TABLE 1 MICs of meropenem with or without vaborbactam and of ceftazidime with or without avibactam against derivatives of *K. pneumoniae* clinical isolates

<i>K. pneumoniae</i> derivative	MIC ($\mu\text{g} \cdot \text{ml}^{-1}$) of ^a :			
	Meropenem	MER/VAB CAZ/AVI	Ceftazidime	CAZ/AVI
KP21[ramR] (pOXA-232)(pUBYT)	1	1/8	2	0.5/4
KP21[ramR] pOXA-232)(pCTX-M-14)	1	1/8	32	1/4
KP21[ramR] pOXA-232)(pCTX-M-14 P170S)	1	1/8	256	4/4
KP21[ramR] (pOXA-232)(pKPC-3)	128	2/8	>256	8/4
KP21[ramR] (pOXA-232)(pKPC-3-D178Y)	2	2/8	>256	256/4
KP21[ramR] (pOXA-232)(pKPC-3-V239G)	64	4/8	>256	128/4
KP21[ramR] ompK35(pOXA-232)(pUBYT)	4	4/8	2	0.5/4
KP21[ramR] ompK35(pOXA-232)(pCTX-M-14)	4	4/8	32	1/4
KP21[ramR] ompK35(pOXA-232)(pCTX-M-14 P170S)	2	2/8	>256	4/4
KP21[ramR] ompK35(pOXA-232)(pKPC-3)	128	1/8	>256	0.125/4
KP21[ramR] ompK35(pOXA-232)(pKPC-3-D178Y)	4	4/8	>256	128/4
KP21[ramR] ompK35(pOXA-232)(pKPC-3-V239G)	64	4/8	>256	32/4
KP21[ramR] ompK36(pOXA-232)(pUBYT)	256	256/8	2	0.5/4
KP21[ramR] ompK36(pOXA-232)(pCTX-M-14)	256	256/8	32	1/4
KP21[ramR] ompK36(pOXA-232)(pCTX-M-14 P170S)	256	256/8	>256	8/4
KP21[ramR] ompK36(pOXA-232)(pKPC-3)	>256	256/8	>256	16/4
KP21[ramR] ompK36(pOXA-232)(pKPC-3-D178Y)	256	256/8	>256	>256/4
KP21[ramR] ompK36(pOXA-232)(pKPC-3-V239G)	>256	256/8	>256	128/4
KP21[ramR] ompK36(pKPC-3)	>256	16/8	>256	8/4
KP21[ramR] ompK36(pKPC-3-D178Y)	64	16/8	>256	256/4
KP21[ramR] ompK36(pKPC-3-V				

TAC-3') primers. The MER/VAB MIC against the *ompK36* point mutant KP21 M(pOXA-232) and against the insertionally inactivated mutant KP21 *ompK36*(pOXA-232) was 256/8 $\mu\text{g} \cdot \text{ml}^{-1}$, confirming resistance (Table 1). This finding reveals that OmpK35 downregulation seen in *ramR* mutants mimics the effect of OmpK35 loss previously shown to be essential alongside OmpK36 loss for MER/VAB resistance in *K. pneumoniae* isolates producing an OXA-48-like enzyme (12, 13).

Both KP21(pOXA-232) *ompK36* mutants remained susceptible to ceftazidime as expected, since OXA-232 only weakly hydrolyses ceftazidime (23) (Table 1). Aiming to increase ceftazidime MICs, we introduced the low-copy-number recombinant plasmid pCTX-M-14. To make pCTX-M-14, *bla*_{CTX-M-14} was amplified along with its native promoter from human urinary *Escherichia coli* isolated from a primary care setting (24) using CTX-M-14 FW (5'-CCGGAATCACTACTACCTTGCTTTCTGA-3') and RV (5'-CCGGAATTCGCTAGCGGAACGTTTCATCAG-3') primers and ligated into pUBYT at the EcoRI site (25). Carriage of pUBYT recombinants was selected using kanamycin (30 $\mu\text{g} \cdot \text{ml}^{-1}$).

Even though CTX-M-14 only weakly hydrolyses ceftazidime (26), in the *ramR* mutant KP21, carriage of pCTX-M-14 conferred ceftazidime resistance, as seen in KP21(pOXA-232)(pCTX-M-14), KP21 M(pOXA-232)(pCTX-M-14), and KP21 *ompK36*(pOXA-232)(pCTX-M-14) (Table 1). However, these derivatives remained ceftazidime susceptible in the presence of 4 $\mu\text{g} \cdot \text{ml}^{-1}$ avibactam (MedChemExpress), which is a potent inhibitor of CTX-M (5) (Table 1). Replacement of *bla*_{CTX-M-14} with *bla*_{CTX-M-14-P170S}, encoding a variant associated with reduced CAZ/AVI susceptibility (10), representing the naturally occurring variant CTX-M-19 (27), drove the CAZ/AVI MIC against KP21 *ompK36*(pOXA-232) and KP21 M(pOXA-232) up to 8/4 $\mu\text{g} \cdot \text{ml}^{-1}$, which is one doubling dilution below the breakpoint for resistance. To enable this, CTX-M-14 site-directed mutagenesis was performed directly on the recombinant plasmid pCTX-M-14 using the QuikChange Lightning site-directed mutagenesis kit (Agilent, USA) with the CTX-M-14-P170S-FW primer (5'-TCTGGATCGCACTGAATCTACGCTGAATACCGC-3').

Next, we insertionally inactivated *ompK35* in KP21 M(*ompK36*)(pOXA-232)(pCTX-M-14-P170S) using the method described above but with the *ompK35* KO FW (5'-TCCCAGACCACAAAAACCG-3') and RV (5'-CCAGACCGAAGAAGTCGGAG-3') primers and checked with *ompK35* full-length FW (5'-CACTTCGATGTATTAAACCAG-3') and RV (5'-ATGATGAAGCGCAATATTCTG-3') primers. However, this did not further increase the CAZ/AVI MIC, confirming that *ramR* mutation phenotypically mimics OmpK35 loss (Table 1). Accordingly, it was not possible for us to generate derivatives resistant to both MER/VAB and CAZ/AVI using CTX-M-14-P170S, even in a *ramR ompK36 ompK35* triple mutant background coproducing OXA-232.

KPC-3 is a carbapenemase that

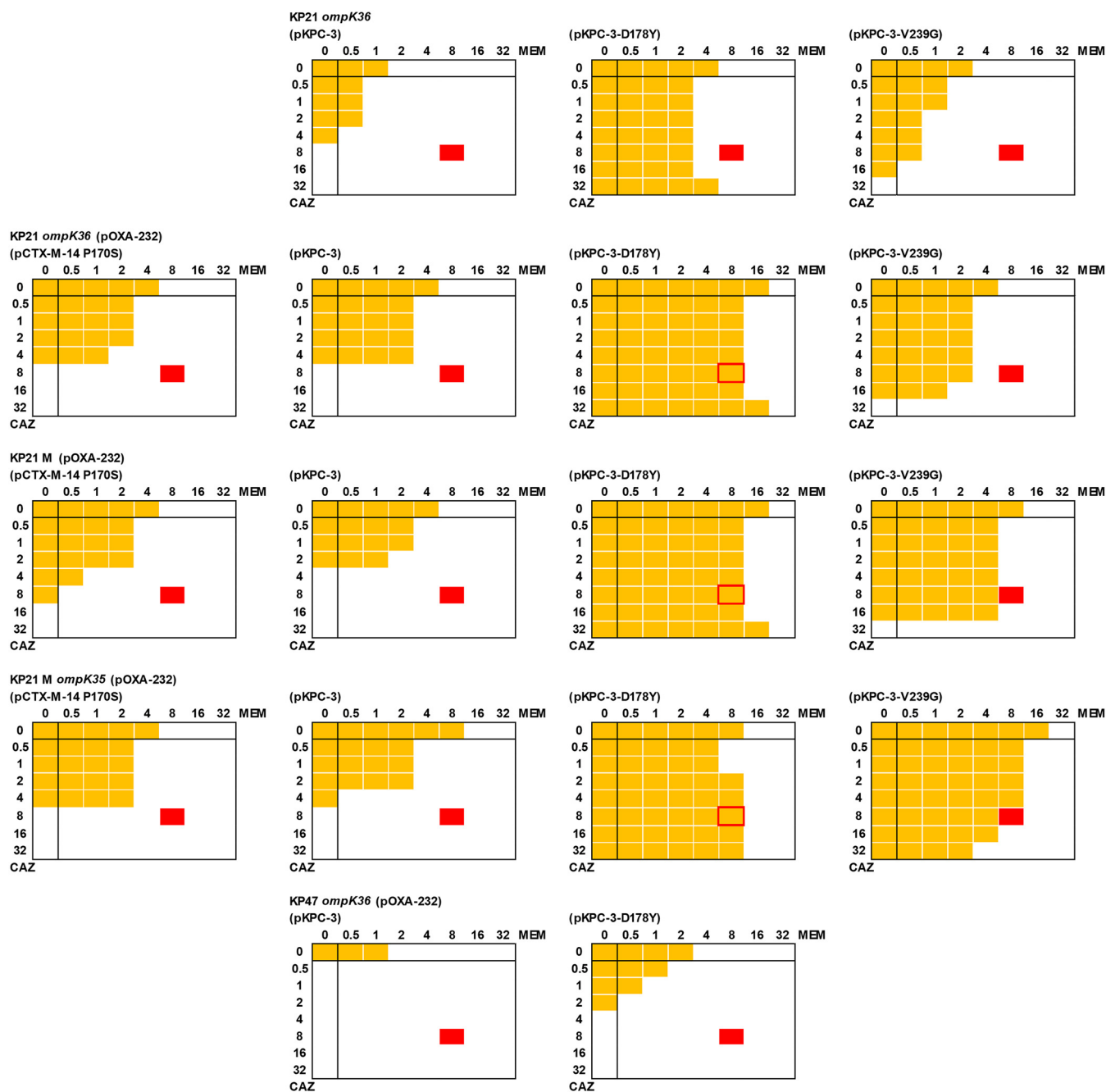


FIG 1 Checkerboard assays for ceftazidime and meropenem in the presence of avibactam and vaborbactam. Each image represents duplicate assays for an 8-by-8 array of wells in a 96-well plate. All wells contained CA-MHB, including avibactam ($4 \mu\text{g} \cdot \text{ml}^{-1}$) and vaborbactam ($8 \mu\text{g} \cdot \text{ml}^{-1}$). A serial dilution of meropenem (MEM; x axis) and ceftazidime (CAZ, y axis) was created from $32 \mu\text{g} \cdot \text{ml}^{-1}$ in each plate as recorded. All wells were inoculated with a suspension of bacteria, made according to CLSI microtiter MIC guidelines (18), and the plate was incubated at 37°C for 20 h. Growth was recorded by measuring $\text{OD}_{600\text{nm}}$ and growth above background (broth) is shown as a yellow block. Growth at $8 \mu\text{g} \cdot \text{ml}^{-1}$ ceftazidime and $8 \mu\text{g} \cdot \text{ml}^{-1}$ meropenem (indicated in red) in the presence of vaborbactam and avibactam defined resistance based on CLSI breakpoints (19). Bacterial suspensions used were as follows: top row, KP21[*ramR*] *ompK36*; second row, KP21[*ramR*] *ompK36*(pOXA-232); third row, KP21[*ramR*] M[*ompK36*](pOXA-232); fourth row, KP21[*ramR*] M[*ompK36*] *ompK35*(pOXA-232); and fifth row, KP47 *ompK36*(pOXA-232). In each case, bacteria also carried the following plasmids (where tested): first column, pCTX-M-14 P170S; second column, pKPC-3; third column, pKPC-3-D178Y; and fourth column, pKPC-3-V239G.

Next, we introduced plasmids encoding the KPC-3 variants associated with CAZ/AVI resistance into the *ompK36* mutant MER/VAB-resistant derivatives KP21 M(pOXA-232) and KP21 *ompK36*(pOXA-232). As expected, the result was resistance to CAZ/AVI and MER/VAB when used separately (Table 1). OXA-232 production is not essential for this phenotype because KP21 *ompK36*(pKPC-3-D178Y) and KP21 *ompK36*(pKPC-3-V239G),

lacking OXA-232, were also resistant to CAZ/AVI and MER/VAB when used separately (Table 1). Furthermore, we found that CAZ/AVI plus MER/VAB resistance can be conferred by production of wild-type KPC-3 in a *ramR ompK36* double mutant carrying OXA-232 (Table 1). Clinical *K. pneumoniae* isolates with *ompK35* and *ompK36* mutation and elevated KPC-3 production that are CAZ/AVI resistant have recently been identified (28), which supports our finding that KPC-3 mutation is not essential.

Finally, checkerboard assays were performed using an adapted microtiter MIC assay to test whether CAZ/AVI and MER/VAB would work synergistically against derivatives resistant to both pairs when used separately (Fig. 1). Briefly, a phosphate-buffered saline bacterial suspension was prepared to obtain a stock optical density at 600 nm (OD_{600}) of 0.01. The final volume in each well of a 96-well cell culture plate (Corning Costar) was 200 μ l and included 20 μ l of the bacterial suspension. All wells contained cation-adjusted Muller-Hinton broth (CA-MHB) with avibactam (4 μ g $\cdot$ ml⁻¹) and vaborbactam (8 μ g $\cdot$ ml⁻¹) with serial dilutions of meropenem and ceftazidime. Bacterial growth (OD_{600}) was determined after 20 h using a POLARstar Omega spectrophotometer (BMG Labtech). These assays confirmed that KP21 M(pOXA-232) and KP21 *ompK36*(pOXA-232) carrying pCTX-M14-P170S, pKPC-3, or pKPC-3-V239G were susceptible to meropenem in the presence of vaborbactam plus CAZ/AVI, suggesting that combined therapy would still work (Fig. 1). Further disruption of *ompK35* did not alter this result. However, KP21 M(pOXA-232)(pKPC-3-D178Y) and KP21 *ompK36*(pOXA-232)(pKPC-3-D178Y) were resistant to meropenem (MIC, 16 μ g $\cdot$ ml⁻¹) and ceftazidime (MIC, $\geq$ 64 μ g $\cdot$ ml⁻¹) in the presence of vaborbactam plus avibactam.

We have, therefore, generated *K. pneumoniae* derivatives resistant to CAZ/AVI and MER/VAB, when both pairs are used together. This was achieved in four steps relative to wild-type isolates: *ramR* frameshift mutation, acquisition of OXA-232, *ompK36* frameshift mutation (or insertional inactivation), and acquisition of KPC-3-D178Y. When we constructed a derivative of clinical isolate KP47 (wild type for *ramR* [16]) carrying pOXA-232 and pKPC-3-D178Y and with *ompK36* insertional inactivation as described above, the derivative was resistant to CAZ/AVI and MER/VAB when used separately (Table 1) but not when used together (Fig. 1). When we constructed a derivative of KP21 *ompK36* carrying pKPC-3-D178Y but not pOXA-232, it was also resistant to CAZ/AVI and MER/VAB when used separately (Table 1) but not when used together (Fig. 1). This confirms that *ramR* mutation and production of OXA-232 are both necessary for the dual-resistant phenotype. Because OXA-232 has a relatively low level of carbapenemase activity compared with the more commonly encountered OXA-48 (23), we are confident that this finding is representative of the wider family of OXA-48-like enzymes.

In conclusion, this work confirms the remarkable capacity of *K. pneumoniae* isolates to acquire resistance to the latest combination therapies available in the clinic by layering mechanisms. Of course, the order in which the four identified steps occur does not affect the result. So, it would be prudent to make every effort to identify, via molecular diagnostics, intermediate stages in this acquisition process. It would also be prudent to not rely on sequential use of CAZ/AVI and MER/VAB, which might select for the dual-resistant phenotype observed here.

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