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6 **A Novel Data-Driven Framework Based on BIM and Knowledge Graph for**

7 **Automatic Model Auditing and Quantity Take-off**

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19 **ABSTRACT**

20 Model auditing is a critical step before conducting Building Information Modeling (BIM)-
21 based Quantity Take-off (QTO) because these models may contain various human errors
22 and mistakes, leading to insufficient semantic information and inconsistent modeling style
23 in BIM models. The traditional object-oriented approach has difficulties in representing
24 unstructured BIM data (e.g., interrelationships), while rule-based methods involve
25 tremendous human efforts to develop rule sets, lacking flexibility for different
26 requirements. Therefore, this study aims to establish a novel data-driven framework based
27 on BIM and knowledge graph (KG) to represent unstructured BIM data for automatic
28 inferences of auditing results of BIM model mistakes. It starts by establishing a BIM-KG
29 data model via identifying required information for auditing purposes. Subsequently, BIM
30 data is automatically transformed into the BIM-KG representations, the embeddings of
31 which are trained using a knowledge graph embedding model. Automatic mechanisms are
32 then developed to utilize the computable embeddings to effectively identify mistake BIM
33 elements. The framework is validated using illustrative examples and the results show that
34 100% mistake elements can be identified successfully without human intervention.

35

36 **Keywords:**

37 Building information modeling, Quantity take-off, BIM information quality, BIM model
38 auditing, Knowledge graph embedding

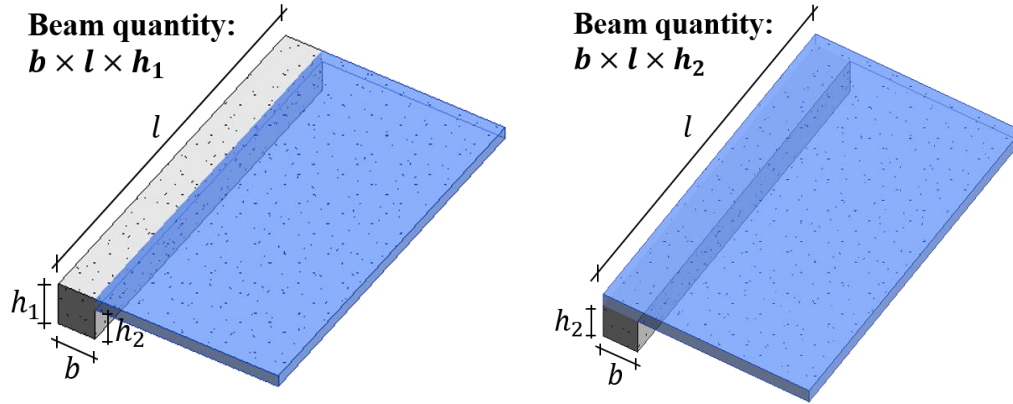
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40 **1. INTRODUCTION**

41 Quantity take-off (QTO) is a process of recognizing measurement items, obtaining
42 dimensional information, and calculating the items in units such as areas and volumes from
43 construction documents [1]. It plays a significant role in a construction project since it
44 affects multiple important tasks throughout the project lifecycle, such as the estimation of
45 preliminary cost in early design, preparation of the bill of quantities for project tendering,
46 and material procurement in construction [2,3]. Traditionally, QTO is time-consuming and
47 error-prone as it requires professional quantity surveyors to manually interpret 2D design
48 drawings and calculate the results based on predefined rules in the measurement standards
49 [4–6]. With the development of Building Information Modeling (BIM) techniques, this

50 process has been revolutionized because quantities can be automatically extracted from 3D
51 models together with the geometric attributes [5]. Therefore, the BIM-based QTO can
52 provide more automatic and accurate estimation of material quantities, greatly reducing
53 human effort and errors in estimations [5,7,8].

54 In order to obtain accurate quantities that are compliant with measurement
55 standards under the BIM-based method, BIM models need to be created in a consistent
56 way according to specifications on modeling styles and semantic information [9–11]. Fig
57 1 illustrates how the inconsistent modeling styles can impact quantities from BIM models:
58 because the geometric representations are different, the output quantities are different for
59 the beam (and the slab) in the two modeling styles shown in Fig 1 (a) and (b). According
60 to the Hong Kong Standard Method of Measurement [12] (HKSM) where the major
61 measurement logic is similar to that in commonwealth countries (the UK, Singapore, etc.),
62 either may be correct, depending on the concrete grade information. As shown in Fig 2, if
63 the beam has a different concrete grade than the slab, it is measured through the slab (i.e.,
64 $b \times l \times h_1$); otherwise, it is measured to the soffit of the slab (i.e., $b \times l \times h_2$). In this case,
65 all the beam-suspended slab joints should be created in either of the ways but consistently
66 to enable easy adjustments for the output quantities to achieve accurate QTO. For instance,
67 if all the beam-suspended slab joints in the BIM model are created in the way shown in Fig
68 1 (a), accurate beam quantities can be obtained by simply making 0 or $b \times l \times (h_1 - h_2)$
69 adjustments for all the beams according to the concrete grade information after extracting
70 the quantities (i.e., $b \times l \times h_1$) from the BIM model. Otherwise, if there are multiple
71 modeling styles (e.g., the styles shown in Fig 1 (a) and (b)) for such beam-suspended slab
72 joints, it would be time-consuming to make adjustments for the beams created in different
73 ways. In addition, as Fig 2 shows, BIM models should contain sufficient semantic
74 information such as concrete grade so that the calculation logic can be determined
75 successfully in the BIM-based QTO process.



(a) The beam takes precedence over the slab (b) The slab takes precedence over the beam

76 Fig 1. Inconsistent modeling styles for a beam-suspended slab joint (adopted from [13])

77

CLASSIFICATION TABLE				MEASUREMENT RULES
18. Suspended slabs			m ³	M.12 The measurement of suspended slabs is taken across columns and beams, except where the columns or beams are of a different mix.
19. Coffered and troughed slabs			<ol style="list-style-type: none"> 1. Horizontal 2. Sloping ≤ 15° 3. Sloping > 15° 	

78

79 Fig 2. Part of the HKSM descriptions for measuring slab quantities [12]

80 Nevertheless, it is not uncommon to see different BIM modelers using different
 81 methods of modeling in practice [3,14], resulting in different modeling styles for the same
 82 thing, regarding the precedencies between elements in different parts of the building and
 83 making the output quantities troublesome to adjust. In addition, they are not as aware of
 84 the importance of the required information in BIM models for QTO as quantity surveyors
 85 are [15]. Thus, the BIM models may not contain all the necessary information for
 86 determining the measurement logic in the standards [16]. Therefore, it is necessary to audit
 87 BIM models against agreed specifications to ensure a consistent modeling style and
 88 sufficient semantic information in the BIM model before conducting BIM-based QTO.

89 However, the proper representation of unstructured BIM data for model auditing is
 90 still a matter of concern. BIM information is stored in an object-oriented and parametric
 91 manner, indicating that a BIM model is assembled by different elements with different sets
 92 of properties [17,18]. Such object-oriented representations are implicit when expressing
 93 the unstructured interrelationships between elements [19]. An example of different

94 precedencies and topological relationships between building elements regarding their
95 modeling styles is shown in Fig 1. The second challenge concerns the utilization of the
96 representation of unstructured BIM data for automatic BIM model auditing. The specified
97 requirements are buried in various texts. Heavy human intervention is required to align
98 them with the BIM data so as to identify elements that are not modeled appropriately. Thus,
99 an automatic way that utilizes BIM data to identify the mistake patterns is needed to reduce
100 the considerable human effort in this process. As a semantic graph representation with
101 heterogeneous features, Knowledge Graph (KG) [20] provides new insights to express and
102 process object properties and relationships explicitly and automatically. Previous studies
103 have leveraged such a representation for BIM model information management [21–24],
104 demonstrating the potential of KG to analyze the rich semantics in BIM models. However,
105 the application of KG for BIM model auditing is still immature.

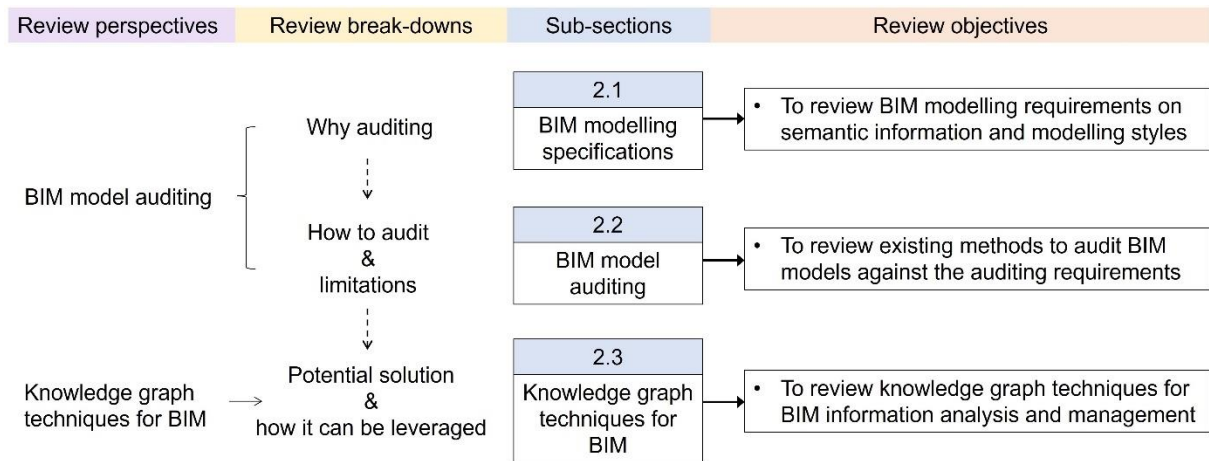
106 Therefore, this study aims to develop a novel data-driven framework, with the aid
107 of BIM and KG, to automatically audit BIM models for the purpose of QTO. This includes
108 the design of KG-based representation and transformation mechanisms to express
109 unstructured BIM data, as well as the development of BIM-KG data manipulation and
110 inference mechanisms to determine auditing results efficiently for mitigating human
111 intervention. Since concrete structures are one of the most common structures and their
112 quantities from BIM are vulnerable to the aforementioned modeling issues, this study
113 includes them as the scope of QTO-oriented BIM model auditing. Meanwhile, this study
114 focuses on auditing mistakes about semantic information (i.e., absent or inappropriate
115 semantic attributes) and modeling style (i.e., inconsistent topological arrangements
116 between elements) considering that they are typical modeling concerns in BIM models
117 [9,15,25]. The proposed framework begins with the establishment of a BIM-KG data model
118 through identifying the required information to conduct BIM model auditing for QTO.
119 Based on the BIM-KG data model, BIM data is transformed to the KG representations
120 automatically. Following this, manipulation mechanisms utilizing the transformed
121 representations are designed to efficiently identify the elements not in compliance with the
122 requirements. The performance of this proposed framework is validated using a set of BIM
123 models with different kinds of mistakes about semantic information and modeling style.

124 The rest of the paper is organized as follows: Section 2 introduces related studies
 125 about BIM modeling specifications, attempts on BIM model auditing and using knowledge
 126 graph techniques for BIM. Section 3 describes the methodology part, including the BIM-
 127 KG data model, transformation, and utilization mechanisms to conduct BIM model
 128 auditing automatically, followed by the illustrative examples to validate its performance in
 129 Section 4. Finally, Section 5 presents the conclusion and future work.

130

131 **2. RELATED WORK**

132 As shown in Fig 3, previous studies are reviewed from two aspects in this section,
 133 namely BIM model auditing and knowledge graph techniques for BIM. Section 2.1
 134 introduces BIM modeling specifications about semantic information and modeling styles
 135 to state the necessity and contents of auditing requirements. Section 2.2 focuses on existing
 136 methods to audit BIM models against the auditing requirements as well as their limitations.
 137 Section 2.3 aims to review the uses of knowledge graph techniques in BIM to show the
 138 potential benefits of such techniques to the auditing. Finally, research gaps are identified
 139 in a summary.



140

141

Fig 3. Overview of related work

142 **2.1. BIM Modeling Specifications**

143 The construction industry is dominated by official and/or recommended
 144 specifications to guide its activities and procedures [9]. Likewise, BIM models should be
 145 created having uniformity so as to prevent conflicts in downstream applications. To achieve
 146 this, administration organization/regulatory bodies in different areas issue various BIM

147 modeling specifications to regulate BIM modeling practice, some of which emphasize the
148 requirements on semantic information and modeling style in BIM models. The Standard
149 for Graphic Expression of Building Information Modeling [26] in China includes
150 general descriptions about the types of required semantic information and detailed
151 guidance about joining precedencies when modeling joints to regulate BIM model
152 expressions for different disciplines. Similarly, the Hong Kong Housing Authority issued
153 the Standard Approach of Modeling (SAM) [27] for creating structural BIM models with
154 emphasis on some semantic information in particular and explicit descriptions about
155 consistent BIM modeling methods. As for QTO, two BIM requirements [28,29] in Finland
156 specify the required semantic information (e.g., construction type, material type) and how
157 BIM models should be created consistently, especially for joining precedencies between
158 different building elements (e.g., *“The joining of slabs and walls must be modeled such that
159 the slab ends to the surface of the load-bearing wall structure without extending inside it.”*),
160 in detail to ensure that BIM models meet necessary conditions for QTO purposes. In
161 addition, the National BIM Standard-United States Version 3 (NBIMS-US V3) [30]
162 published by National Institute of Building Sciences in the US describes detailed exchange
163 requirements from design to QTO (e.g., classification code, construction type) so that the
164 quantities can be generated from BIM models successfully. The BIM Model Information
165 Requirements for Quantity Take-off (BIM MIR for QTO) [31] from the Hong Kong
166 Institute of Surveyors also emphasizes the importance of semantic information in BIM
167 models and specifies the minimum information requirements (e.g., concrete grade) as well
168 as basic modeling styles to ensure consistency for QTO (e.g., *“Beam is defined as
169 horizontal element. The beams will not cut the vertical element. The geometry of beams is
170 joined with the slabs where the slabs take precedence.”*), supplemented with guidance on
171 extracting quantities in compliance with measurement rules. These specifications emphasis
172 and specify the requirements of semantic information and modeling styles for BIM models
173 to support downstream tasks (e.g., QTO) and produce consistent results [26–31]. However,
174 the requirements are buried in texts. How to align them with BIM data to make sure BIM
175 models follow these specifications is unclear and needs further investigations.

176

177 **2.2. BIM Model Auditing**

178 To tackle this problem, some software tools have been developed to audit BIM
179 models before the model delivery between different disciplines to ensure that the specified
180 requirements in the specifications are satisfied. For example, Solibri Model Checker [32]
181 is widely adopted to check the required semantic data and geometry constraints (e.g.,
182 spacing limit, clashing elements) in Industry Foundation Classes (IFC) models through
183 rigorous rule patterns set by domain experts. Similarly, through customizing rule sets,
184 Autodesk Model Checker for Revit [33] can check Revit models against the requirements
185 and generate compliance reports. On the other hand, various studies have explored ways to
186 formulate systematic frameworks utilizing different software tools so that BIM model
187 auditing can be performed comprehensively. Zadeh et al. [34] proposed a framework to
188 assess the information conformance with owner requirements when using BIM models for
189 facility management (FM), and conducted testing by integrating different tools such as
190 Solibri Model Checker and Revit Schedule. Cavka et al. [35] defined levels of owner's
191 operational requirements in the FM stage, including model structure, model content, and
192 design compliance, and proposed ways to audit BIM models before handover mainly based
193 on Solibri Model Checker. Similarly, with the help of the Solibri Model Checker, Gholami
194 et al. [36] performed the quality checking of BIM models against the energy analysis
195 requirements such as architectural layout and general space check (e.g., space boundary).
196 Making use of customized checklists and queries on database systems such as Microsoft
197 Access, Donato et al. [37] assessed the information quality (e.g., adequacy, readability) of
198 BIM models during the architectural design process. In short, these studies attempted to
199 audit BIM models with respect to the requirements on semantic data and geometry
200 constraints, with predefined rule patterns that involve extensive human effort and external
201 software tools outside the BIM authoring software.

202

203 **2.3. Knowledge Graph for BIM**

204 A knowledge graph is a representation of facts using a graph-structured data model,
205 which includes: 1) entities that are real objects or abstract concepts; 2) relationships
206 between entities; 3) semantic descriptions denoting the meanings of the entities and
207 relationships (e.g., type, property) [38]. It is referred to a graph due to the graph data
208 structure [39] and a knowledge base for manipulation and inference based on the stored

209 facts [40]. The graph representation provides explicit expressions of entities and
210 relationships with rich semantics [41–43], and thus is more amenable to semantic pattern
211 recognition [44]. Such a representation technique has been explored to represent and
212 analyze BIM information that inherently contains heterogeneous entities and relationships
213 for different purposes. For example, the graph representation can be used to express BIM
214 models for BIM data management. The semantic information and relationships (e.g.,
215 connectivity, containment) in BIM models can be represented using graph data models,
216 based on which flexible and efficient information queries can be achieved [21,22]. The
217 flexibility of graph representation can also support generative building design. Through
218 representing spaces as entities and adjacencies as relationships, spatial layout designs can
219 be generated using graph transformations [45,46] and evolutionary algorithms [47].
220 Moreover, some studies have explored the use of graph representations to validate BIM
221 data against certain requirements. Pauwels et al [48] described a typical semantic rule
222 checking process by extracting related IFC information and making use of semantic web
223 rules or Resource Description Framework (RDF) graphs to express rules. Zhong et al [49]
224 developed an ontology-based framework to support building environmental compliance
225 checking under BIM environment, where knowledge about BIM, environmental
226 information and building regulations is expressed in ontologies and SPARQL rules are
227 utilized to conduct the rule-reasoning process. Jiang et al [50] presented BIM models and
228 building codes in ontologies and developed mapping and checking rules to automatically
229 validate BIM data against ambiguous regulatory information. In short, these studies tried
230 to automate the BIM data validation process using ontological representations and rule-
231 based reasoning techniques, which rely heavily on human experts to develop both graph
232 representations and rules.

233 In summary, BIM models need to have sufficient semantic information and
234 consistent modeling style to enable a smooth BIM-based QTO process. There are some
235 studies [34–37] trying to check such prerequisites from specifications and/or owner’s
236 requirements for BIM models. But their representations of BIM data are limited to an
237 object-oriented approach and have difficulties in expressing and auditing the unstructured
238 complex constraints (e.g., appropriate joining precedencies between different building
239 elements) explicitly. Moreover, their ways of relying on external software tools and

240 predefined rule patterns to compare BIM data against the requirements are labor-
241 consuming. A few studies have demonstrated the strength of graphs to represent and
242 validate BIM information. However, a proper representation for auditing both semantic
243 information and modeling style is still lacking. On the other hand, existing studies are still
244 limited to a top-down approach that requires considerable effort from domain experts to
245 design both graph representations and validation rules. To address these problems, this
246 study proposes a semi-bottom-up framework that is driven by BIM data in compliance with
247 the requirements and proper BIM-KG representations to automatically identify
248 problematic BIM models. Proper BIM-KG representations and the transformation
249 mechanisms are designed to explicitly express unstructured BIM data in terms of semantic
250 information and modeling style. A knowledge graph embedding model is utilized to
251 transform the BIM-KG data into embeddings/vectors, based on which corresponding
252 mechanisms are developed for automatic inferences of auditing results.

253

254 **3. METHODOLOGY**

255 Fig 4 presents an overview of the proposed methodology, with explanatory pictures
256 attached as examples for some key concepts and steps. Knowledge from QTO-related BIM
257 modeling specifications (e.g., BIM MIR for QTO [31]) is leveraged to identify the
258 requirements (e.g., requirements on semantics and topology aspects) for QTO-oriented
259 BIM model auditing. Following this, the BIM-KG data model is established to represent
260 relevant entities, attributes and relationships and the standard BIM model is defined as a
261 BIM model that has sufficient semantic information and consistent modeling style
262 according to the requirements from relevant specifications. Based on the BIM-KG data
263 model, BIM model information of interest (e.g., semantic information, topological
264 arrangement relationship) that is needed to audit the identified requirements is
265 automatically transformed from a standard BIM model to BIM-KG triples in the form of
266 $\langle head, relation, tail \rangle$ through attribute extraction and geometry manipulation. An
267 improved knowledge graph embedding model (i.e., improved TransR) is then used to get
268 the embeddings of the standard BIM-KG triples. For the new BIM model to be audited, the
269 corresponding new BIM-KG triples are generated and their embeddings are obtained
270 through contextual comparison between the standard BIM-KG triples and the new ones.

271 Furthermore, the embeddings are utilized to score those new BIM-KG triples and
272 automatically decide on the auditing results with respect to a self-determined proper
273 threshold (i.e., a value that can clearly distinguish scores of correct and mistake BIM-KG
274 triples / elements given that correct and mistake triples / elements have high and low scores,
275 respectively). The rejected elements are returned to the BIM modelers for modification
276 over several rounds until acceptance. Details of the methodology are described in following
277 subsections.

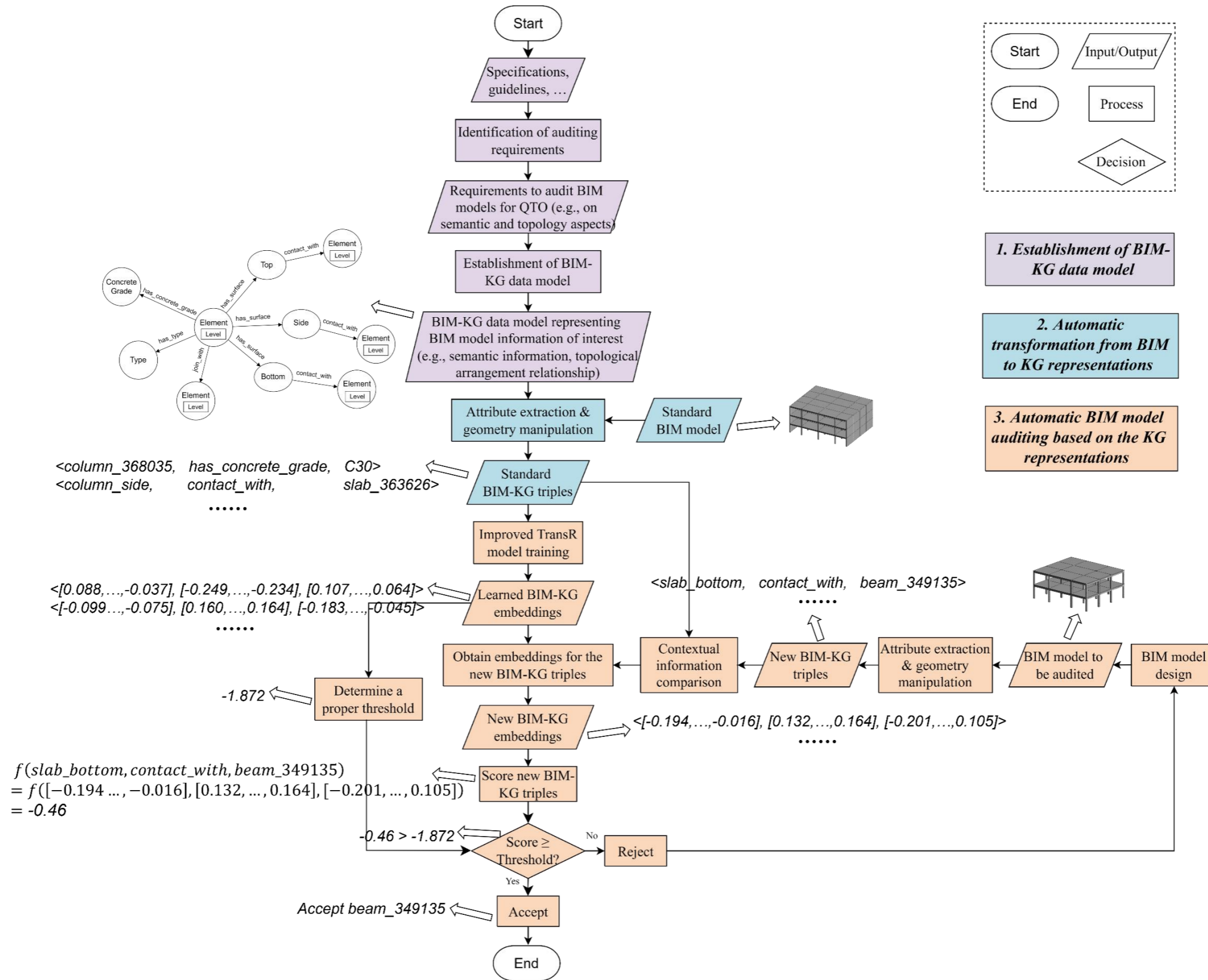
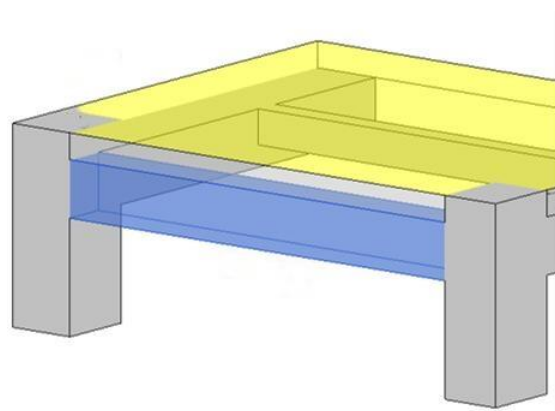


Fig 4. Overview of the proposed methodology framework

281 **3.1. BIM-KG data model**

282 **3.1.1. BIM-KG information requirements**

283 In this study, BIM Model Information Requirements for Quantity Take-off (BIM
284 MIR for QTO) [31] by The Hong Kong Institute of Surveyors is selected as an example
285 BIM modeling specification for illustration. The requirements on semantic information and
286 consistent modeling style are common to most BIM modeling specifications. Regarding
287 the auditing scope, typical building elements (i.e., slab, beam, column, wall) are selected
288 for illustrative purposes. The requirements mainly cover two aspects: 1) consistent
289 modeling style. Fig 5 shows the requirements on the modeling style of beams as an example.
290 Vertical elements and slabs take precedence over beams. In essence, it shows the
291 requirements on the proper topological arrangement relationships between elements.
292 Different topological arrangements result in different contact situations between elements.
293 For instance, the slab is in contact with the side and top of the beam when the beam and
294 slab take precedence, respectively (shown in Fig 1). Therefore, the topological contact
295 relationships between elements are required to identify different modeling styles. In
296 addition, connectivity information is needed since elements are connected with each other
297 at the joints. 2) sufficient semantic information. To perform the QTO logic specified in the
298 measurement rules for these common concrete elements, elements should carry adequate
299 object information including concrete grade and construction type (e.g., horizontal/slope
300 etc. in the beam example shown in Fig 5).



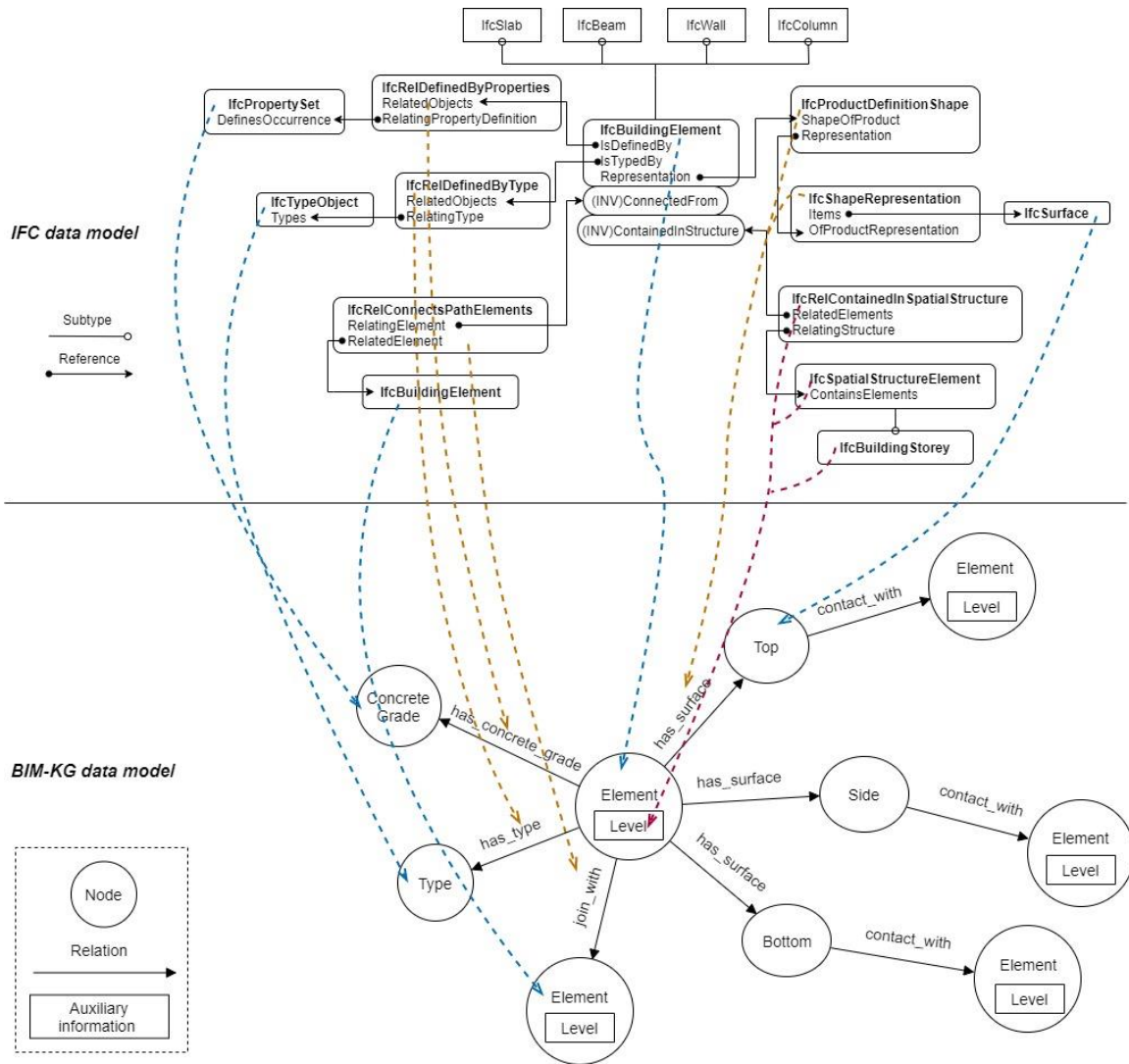
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302 Fig 5. Example requirements on modeling style of beams (description in the
303 specification: *Beam is defined as horizontal element. The beams will not cut the vertical*
304 *element. The geometry of beams is joined with the slabs where the slabs take*
305 *precedence.*) (adopted from [31])

306

307 **3.1.2. BIM-KG representation**

308 Based on the identified required information for QTO-oriented BIM model auditing,
309 a BIM-KG data model is established to transform BIM data into KG triples with reference
310 to the IFC data model under IFC4_ADD2_TC1 [51]. Fig 6 shows how the nodes, relations,
311 and auxiliary information in the BIM-KG data model are mapped and established based on
312 the identified information requirements for auditing in Section 3.1.1. For example, as
313 concrete grade information is needed for auditing, the nodes *element* and *concrete grade*
314 and the relation *has_concrete_grade* are mapped from the entities *IfcBuildingElement*,
315 *IfcPropertySet*, and *IfcRelDefinedByProperties* specified in the IFC data model. Although
316 level information is not included in the required information for auditing, it can be utilized
317 to locate elements. Thus, level information is defined as auxiliary information in the
318 *element* nodes. In addition to those nodes and relations that can be established in reference
319 to the IFC data model, the relation *contact_with* is introduced to associate face nodes (i.e.,
320 *top*, *side*, *bottom*) with *element* nodes so that the information about topological
321 arrangement relationships between elements mentioned in Section 3.1.1 can be captured
322 for auditing modeling styles. Of note is that the *element* entity can be a *real element* or
323 *empty* since elements and their faces may not join/contact with anything. To construct this
324 BIM-KG, triples in the form of $\langle head, relation, tail \rangle$ with auxiliary information (i.e.,
325 levels of the elements) are generated from the BIM models, which will be introduced in
326 next section. Table 1 lists some examples of triples under the defined BIM-KG data model.



327

328 Fig 6. BIM-KG data model for QTO-oriented BIM model auditing and its relationship
 329 with IFC data model

330

331

Table 1. Examples of triples to construct the defined BIM-KG

BIM-KG triple definition	BIM-KG triple instance
$\langle element, has_concrete_grade, element \rangle$	$\langle beam_123^1, has_concrete_grade, C30 \rangle$
$\langle element, join_with, element \rangle$	$\langle beam_123^1, join_with, slab_234^1 \rangle$
$\langle element, has_surface, top \rangle$	$\langle beam_123^1, has_surface, beam_top \rangle$
$\langle top, contact_with, element \rangle$	$\langle beam_top, contact_with, slab_234^1 \rangle$

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¹: 123 and 234 are the unique identifiers of the elements and serve as suffixes to distinguish
 333 different element instance entities

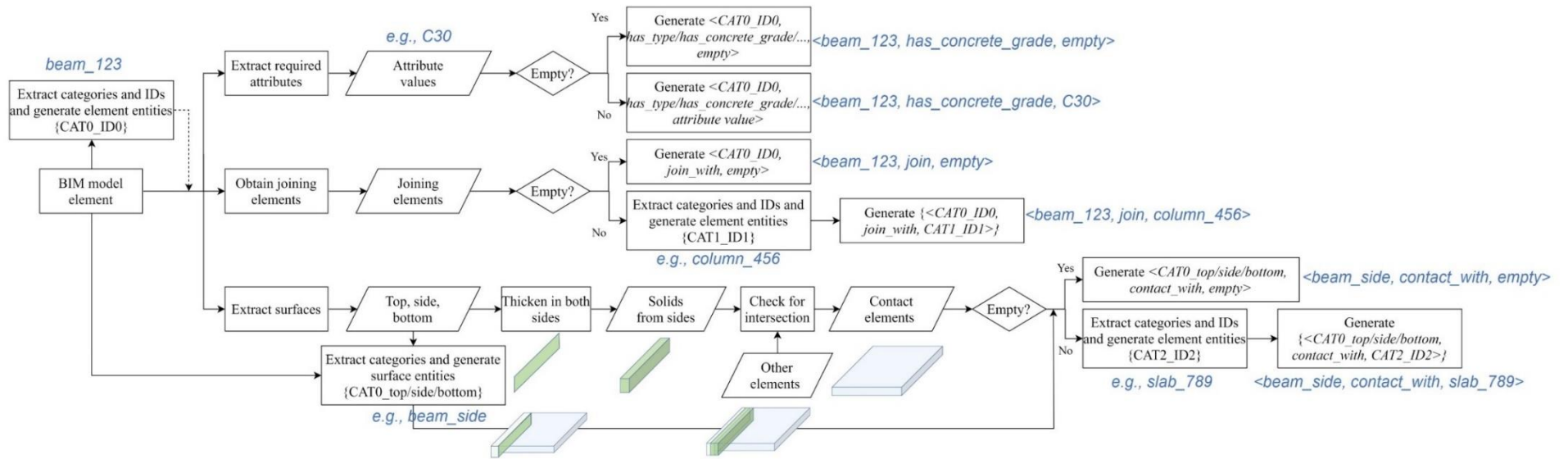
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336 3.2. Automatic Transformation to KG

337 With respect to the BIM-KG data model in Fig 6, a transformation mechanism is
338 developed to automatically convert BIM data into BIM-KG triples to construct the BIM-
339 KG. The details are illustrated in Fig 7 with *beam_123* as an example. First, the category
340 and ID information of the element are extracted to form a unique entity name. The semantic
341 attributes and joining elements are obtained to generate triples on semantic information
342 and element connectivity such as $\langle beam_123, has_concrete_grade, empty \rangle$ and
343 $\langle beam_123, join_with, slab_234 \rangle$. The generation of triples related to the topological
344 contact information between elements is based on [13]. The faces of the element are
345 extracted and thickened on both sides (i.e., the extracted faces are extruded into solids, as
346 shown in Fig 7). Intersection checking is performed between the corresponding generated
347 solids and other elements to detect the elements in contact with the faces. As a result, triples
348 such as $\langle beam_side, contact_with, slab_234 \rangle$ and $\langle beam_side, contact_with, empty \rangle$ are
349 obtained. Finally, these triples form the base of the BIM-KG for model auditing.

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Fig 7. Mechanism to transform BIM data to KG triples

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354 **3.3. Automatic BIM Model Auditing Based on KG**

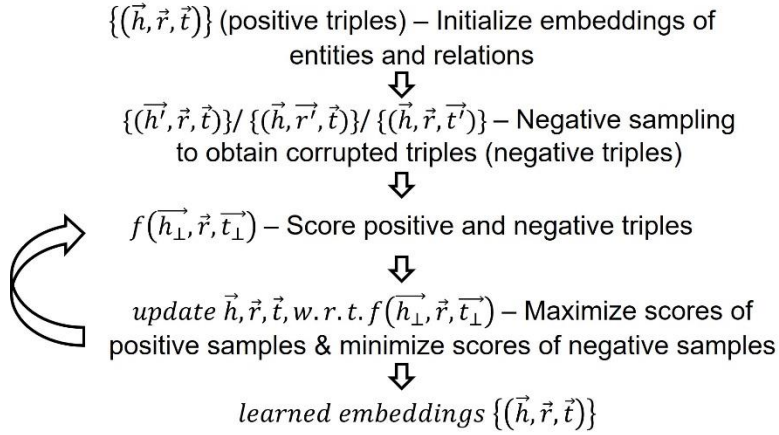
355 **3.3.1. Improved TransR model to obtain KG embeddings**

356 Based on the transformation mechanism described in Section 3.2, standard BIM-
357 KG representations are generated from the standard BIM model. Then, auditing
358 mechanisms are developed to automatically determine the mistake elements in BIM models
359 based on the manipulation of the transformed BIM-KG representations. First, a knowledge
360 graph embedding model is utilized to train the embeddings for the entities and relations.
361 Given a KG with a collection of triples $S = \{(h, r, t)\}$, where h is a head entity, t is a tail
362 entity, and r is the relation between them, knowledge graph embedding is a technique that
363 converts the entities and relations into vectors (i.e., embeddings). By doing so, the
364 manipulation of KG entities and relations for downstream applications can be simplified
365 to numerical computations while the inherent structure is preserved [52].

366 There are many methods to obtain knowledge graph embeddings, among which
367 TransE and its variants are simple yet effective with good performance [53,54]. TransE
368 [55] is a pioneering and representative model for obtaining knowledge graph embeddings.
369 As shown in Fig 8, TransE iteratively optimize embeddings of entities and relations in the
370 KG triples. More specifically, embeddings of entities and relations in the KG triples
371 (positive triples) are first initialized randomly. Then, entities and relations in the positive
372 triples are shuffled to get negative triples (i.e., triples that are unobserved in the KG). The
373 assumption in TransE is that the sum of embeddings of the head entity and relation (i.e.,
374 $\vec{h} + \vec{r}$) should be close to the embedding of the tail entity (\vec{t}) if the triple is positive, as
375 shown in Fig 9. Thus, a Euclidean distance-based score function is utilized to score the
376 positive and negative triples, as defined in Eq. (1), where $\vec{h}, \vec{r}, \vec{t}$ are the embeddings of the
377 head entity, relation, and tail entity, respectively, and $f(h, r, t)$ is the score of the triple
378 (h, r, t) . The embeddings are then updated to maximize and minimize the scores of positive
379 and negative triples, respectively. After updating in iterations, the learned embeddings
380 make $\vec{h} + \vec{r} \approx \vec{t}$ (i.e., the assumption) hold for every (h, r, t) . Details of TransE can be
381 found in [55].

$$f(h, r, t) = - \left\| \vec{h} + \vec{r} - \vec{t} \right\|_{L_1/L_2} \quad (1)$$

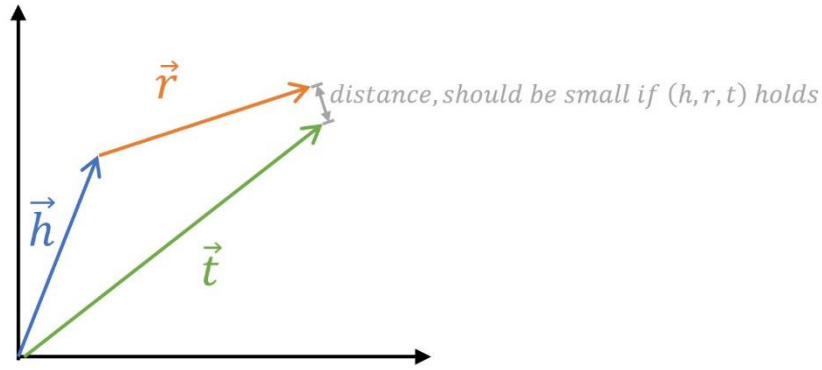
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Fig 8. Key idea of TransE model [55]



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Fig 9. Illustration of the TransE assumption (i.e., the sum of embeddings of the head entity and relation, $\vec{h} + \vec{r}$, should be close to the embedding of the tail entity, \vec{t} , if the triple is positive)

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However, TransE suffers from complex relations such as 1-to-N, N-to-1, and N-to-N [53,56]. To address these limitations, TransR [53] is proposed, where the entities are embedded in the same vector space while different relations are represented in different relation spaces, and projection matrixes for different relations are introduced to project the entity embeddings into the relation-specific spaces, as follows:

$$\vec{h}_\perp = M_r \vec{h} \quad (2)$$

$$\vec{t}_\perp = M_r \vec{t} \quad (3)$$

$$f(h, r, t) = -\|\vec{h}_\perp + \vec{r} - \vec{t}_\perp\|_{L_1/L_2} \quad (4)$$

394

395

in which M_r refers to the projection matrix of relation r , \vec{h}_\perp and \vec{t}_\perp stand for the head and tail entity embeddings respectively that are projected from the entity space into the space

396 of relation r . Consequently, the scoring function is formulated in Eq. (4). Other parts (e.g.,
 397 embedding initialization, negative triple sampling, embedding updating with respect to
 398 triple scores) are similar to TransE (Fig 8, Fig 9). Details of TransR can be found in [53].

399 TransR regards different projection matrixes as dense ones with the same
 400 dimension. However, such assumption ignores the imbalance of relations, which means the
 401 numbers of triples/entities connected by different relations are different. For example, in
 402 the BIM-KG data model for the QTO-oriented BIM model auditing (shown in Fig 6), the
 403 relation *contact_with* connects at least three times as many triples/entities as *has_type* does.
 404 Considering the projection matrixes for different relations in the same way cannot
 405 distinguish them. To address this limitation, dynamic sparse matrixes are proposed instead
 406 of static dense ones for the projection operation in the original TransR so as to overcome
 407 the relation imbalance issue, as follows:

$$\delta_r = 1 - n_r/n_{max} \quad (5)$$

$$\vec{h}_\perp = M_{\delta_r} \vec{h} \quad (6)$$

$$\vec{t}_\perp = M_{\delta_r} \vec{t} \quad (7)$$

408 where a sparse factor δ_r is introduced to dynamically adjust the sparsity of the projection
 409 matrixes for different relations, n_r is the number of triples connected by relation r and
 410 n_{max} is the maximum value, δ_r indicates how many elements in the projection matrix are
 411 0 values. Correspondingly, M_{δ_r} is the dynamic sparse projection matrix of relation r , where
 412 a ratio of δ_r elements in the matrix are set to 0 randomly. The scoring function is defined
 413 as the same as that in TransR, as shown in Eq. (4).

414 Through the training of this improved TransR model, embeddings of the entities
 415 and relations in the standard BIM-KG from the standard BIM model are obtained. The
 416 embeddings are then utilized in subsequent steps to determine mistake elements. The
 417 standard BIM model/standard BIM-KG is the single source of truth, based on which wrong
 418 patterns in different BIM models are identified using the embeddings. Details are provided
 419 in the following sections 3.3.2 and 3.3.3.

420

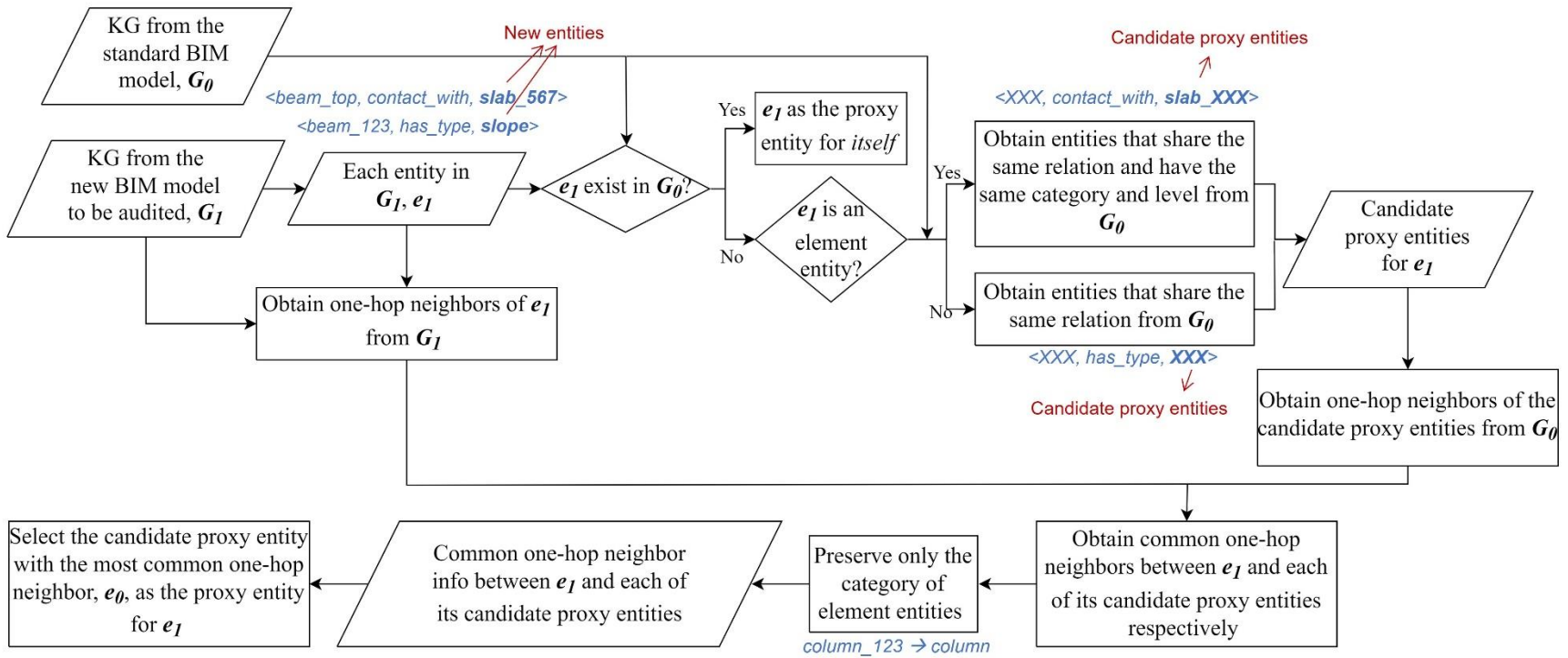
421 3.3.2. Contextual comparison to obtain embeddings of new BIM-KG entities

422 Following the transformation mechanism described in Section 3.2, new BIM-KG
 423 entities are generated from the new BIM model to be audited. Note that the embeddings

424 are trained over the standard BIM-KG. In other words, only the entities and relations in the
425 standard BIM-KG have corresponding embeddings. For example, an entity *slab_234* in the
426 standard BIM-KG has an embedding of $[-0.201, 0.089, \dots, 0.105]$. However, the new BIM-
427 KG entities may not appear in the standard BIM-KG, which causes problems in the auditing.
428 For instance, a new entity *slab_567* does not exist in the standard BIM-KG and thus has
429 no corresponding embedding. Consequently, it cannot be scored (i.e., take the embeddings
430 of entities and relations in a BIM-KG triple into a predefined score function such as Eq. (4)
431 to calculate a triple score) and compared with a threshold to decide whether it should be
432 rejected or accepted according to the auditing procedure in Fig 4. To tackle this problem
433 that new unseen entities from new BIM models may not have embeddings, a contextual
434 comparison mechanism is developed as follows.

435 Proxy entities are selected for the new unseen entities from the new BIM model to
436 be audited. For a new unseen entity, a proxy entity is an entity with high semantic
437 similarities in the standard BIM-KG where each entity has an embedding trained by the
438 improved TransR. The embedding of the proxy entity then serves as the embedding of the
439 new unseen one for scoring the new triple in subsequent steps. The semantic similarities
440 between the proxy entity and the new unseen entity are different for different kinds of
441 entities. For new element entities (e.g., *slab_567*), the proxies should share similar
442 semantic properties and spatial positions. For new attribute entities, the proxies should
443 belong to similar elements. For example, the proxy for the new entity *slope* in a new triple
444 $\langle \text{beam}_{123}, \text{has_type}, \text{slope} \rangle$ should be one of the beam types such as *horizontal* rather
445 than *vertical* which is a column type. To achieve this, a mechanism based on contextual
446 information comparison is developed to obtain appropriate proxy entities. As shown in Fig
447 10, if the unseen new entity is an element entity (e.g., *slab_567*), the entities from the
448 standard BIM-KG that share the same relation, category, and level act as candidate proxy
449 entities. Otherwise, if it is an attribute entity (e.g., *slope*), the candidate proxy entities are
450 those with the same relation from the standard BIM-KG. Following this, one-hop neighbors
451 (i.e., the directly connected entities in the KG) of the obtained candidate proxy entities are
452 compared with those of the new entity respectively to derive common neighbor information
453 (e.g., category, property) between this new entity and each of its candidate proxies. Based
454 on such neighbor information, the candidate proxy entity with the most similar common

455 neighbors is selected as the proxy entity. Finally, the embedding of the proxy entity serves
456 as that of the new entity for the subsequent scoring process.



457

458

Fig 10. Mechanism to obtain embeddings of new BIM-KG entities

459 **3.3.3. Self-evolving mechanism to determine a proper threshold**

460 Once the embeddings of the unseen entities from the new BIM model to be audited
461 are obtained, the scores of relevant triples can be calculated and compared with the
462 threshold to determine the auditing results (i.e., whether the involved elements in the triples
463 should be accepted or rejected). For example, the score of a new BIM-KG triple
464 $\langle beam_top, contact_with, slab_234 \rangle$ from a new BIM model is calculated using the
465 obtained embeddings and then compared with a threshold to decide whether $slab_234$
466 should be rejected or accepted. However, the threshold the scores need to be compared
467 with is unknown. To obtain a proper threshold automatically, a self-evolving mechanism
468 is proposed. It is a process of updating the threshold from a randomly initialized one to a
469 proper one according to the auditing results in iterations over a set of BIM models without
470 human intervention.

471 As shown in Fig 13, a set of new BIM models to be audited with different mistakes
472 about semantic information and modeling style is used to develop the threshold iteratively.
473 In each epoch (i.e., a complete pass through the entire set of new BIM models), a set of
474 triples from new BIM models are scored using the scoring function shown in Eq. (4). A
475 triple is accepted if its score is greater than the current threshold, meaning that the involving
476 elements in this triple are classified as correct (i.e., the element is modeled in accordance
477 with the specification). Otherwise, the elements are deemed as mistakes and rejected for
478 modifications. Based on the classification results, the confusion matrix shown in Fig 11 is
479 derived.

		True Class	
		Positive	Negative
Predicted Class	Positive	True Positive (TP)	False Positive (FP)
	Negative	False Negative (FN)	True Negative (TN)

480

481

Fig 11. Confusion matrix

482 Then, the sensitivity that measures how many truly mistake elements are classified
 483 as mistakes and the specificity that measures how many truly correct elements are classified
 484 as correct are calculated according to Eqs. (8) - (9). Subsequently, the threshold is
 485 iteratively updated with respect to Eq. (10), as follows:

$$Sensitivity = TP/(TP + FN) \quad (8)$$

$$Specificity = TN/(TN + FP) \quad (9)$$

$$\theta = \theta_0 + \lambda(f(h, r, t)_{max} - f(h, r, t)_{min}) - \gamma(f(h, r, t)_{max} - f(h, r, t)_{min}) \quad (10)$$

$$\lambda = \lambda + \lambda_0(1 - sensitivity) \quad (11)$$

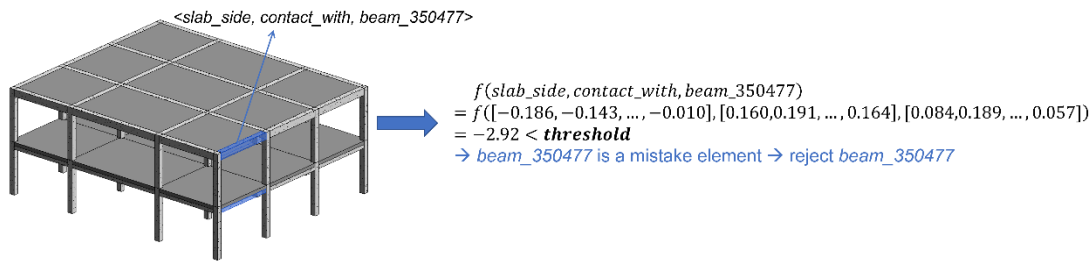
$$\gamma = \gamma + \gamma_0(1 - specificity) \quad (12)$$

486 where θ is the learned threshold and θ_0 is the initial one, λ is the introduced adjusting factor
 487 to increase the threshold to filter mistake elements as much as possible, γ is the introduced
 488 penalty factor to decrease the threshold to avoid reporting correct elements as mistake ones,
 489 and $f(h, r, t)_{max}$ and $f(h, r, t)_{min}$ are the maximum and minimum scores of the triples from
 490 the standard BIM model, respectively. λ and γ are also obtained iteratively and
 491 dynamically according to Eqs. (11) - (12), where λ_0 and γ_0 are the initial values,
 492 respectively.

493 Finally, if the average sensitivity and specificity over the BIM models in the
 494 development set meet certain criterions, the average threshold is regarded as the final one.
 495 Otherwise, another epoch is conducted to further optimize the threshold until the
 496 performance criterions (i.e., sensitivity and specificity) are satisfied or the number of
 497 epochs reaches the limit. In this mechanism, the criteria for sensitivity (i.e., 0.95) is stricter
 498 than that for specificity (i.e., 0.9) because it is more important to find as many mistake
 499 elements as possible for model auditing problems.

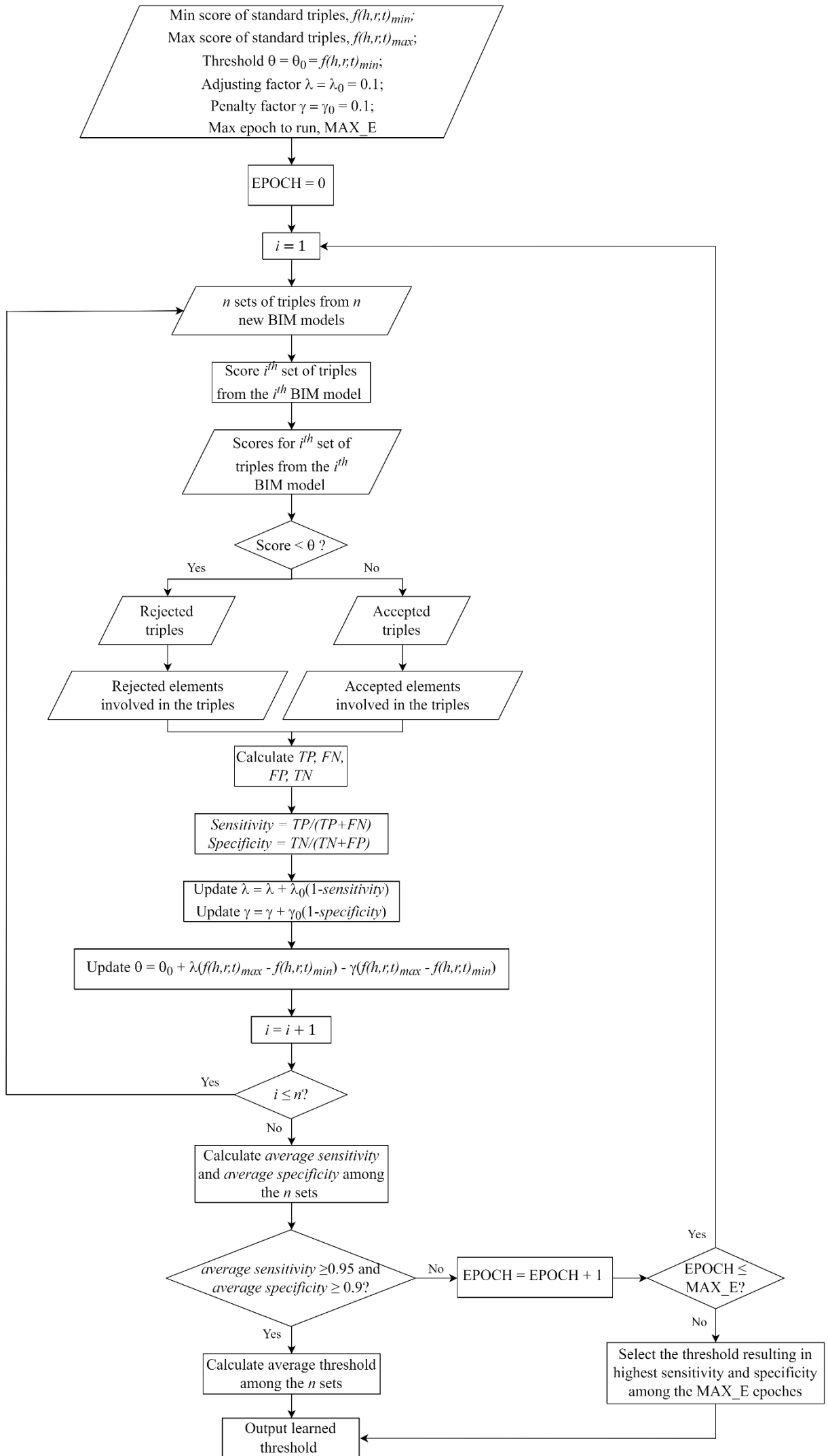
500 Through this self-evolving mechanism, a threshold to filter out mistake elements is
 501 obtained automatically. For the new BIM model to be audited, corresponding new BIM-
 502 KG triples are generated according to the transformation mechanism provided in Section
 503 3.2 and their embeddings are obtained through the contextual comparison described in
 504 Section 3.3.2. Then, the Euclidean distance-based score function shown in Eq. (1) takes
 505 the embeddings of the new BIM-KG triples to calculate their scores. As described in
 506 Section 3.3.1, given that the embeddings will favor high scores for positive triples (i.e.,
 507 BIM-KG triples with correct elements) and low scores for negative triples (i.e., BIM-KG

508 triples with mistake elements), the scores are compared with the obtained threshold to
 509 decide the auditing results. More specifically, if the score of a new BIM-KG triple is lower
 510 than the threshold, the element involved in the triple is deemed as a mistake element and
 511 thus is rejected. Otherwise, the element is regarded as a correct one and is accepted. Fig 12
 512 shows an example of how the BIM-KG embeddings help to identify mistake elements with
 513 the threshold as the criterion. Three embeddings $\overrightarrow{slab_side}$ (\vec{h}), $\overrightarrow{contact_with}$ (\vec{r}), and
 514 $\overrightarrow{beam_350477}$ (\vec{t}) are obtained for the triple $\langle slab_side, contact_with, beam_350477 \rangle$.
 515 The score function takes the embeddings to calculate a score for the triple. In this example,
 516 $beam_350477$ is a mistake element modeled inappropriately according to the BIM OIR for
 517 QTO [31] (i.e., it wrongly takes the precedence over slabs). As shown in Fig 12, the triple
 518 score is lower than the threshold. Given that BIM-KG triples with mistake patterns are
 519 supposed to obtain low scores (i.e., lower than the threshold), the element, $beam_350477$,
 520 in the triple is deemed as a mistake one and is rejected for further modifications.



521

522 Fig 12. Examples of scoring BIM-KG triples with the threshold as the criterion to
 523 identify mistake elements



524

525

Fig 13. Mechanism to determine a proper threshold

526 4. ILLUSTRATIVE EXAMPLES

527 The proposed framework has been validated through identifying different kinds of
528 mistake elements about semantic information and modeling style based on real BIM
529 projects. The BIM models presented in this paper were developed based on real-world BIM
530 projects with similar characteristics accordingly, for illustration. The BIM models are
531 created by Autodesk Revit 2021 [57]. Dynamo 2.10 [58] is utilized to develop the prototype
532 program for the mechanism in Section 3.2 in order to perform the transformation from BIM
533 data into BIM-KG triples. The BIM-KG entities and relations are stored in a graph database,
534 namely Neo4j Community Edition 4.3.2 [59]. The improved TransR model in Section 3.3.1
535 is implemented with Python 3.7.10 and PyKEEN 1.5.1.dev0 [60]. Other BIM modeling
536 auditing mechanisms described in Section 3.3.2 and 3.3.3 are also built with Python 3.7.10.
537 Py2neo 2021.1.5 [61] is used to conduct the interaction (e.g., retrieval of one-hop neighbors)
538 between the Neo4j database and the Python scripts for the auditing mechanisms.

539

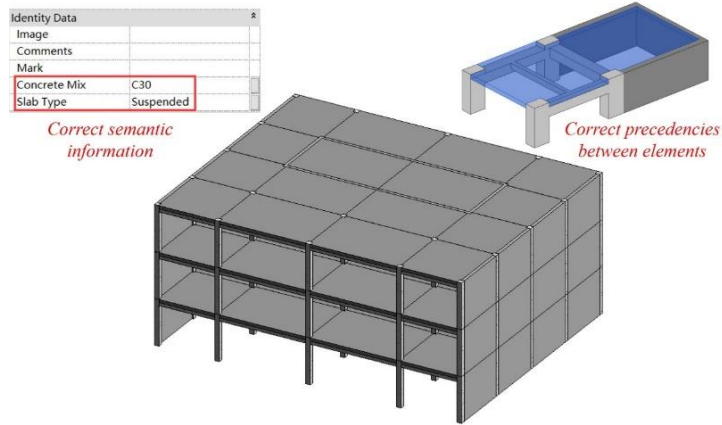
540 4.1. Configuration of BIM Models

541 Fig 14 shows 13 BIM models prepared using Autodesk Revit 2021 for illustration
542 purposes. Model A (Fig 14 (a)) is the standard BIM model in accordance with the BIM
543 MIR for QTO [31], meaning that it is created according to the following requirements:

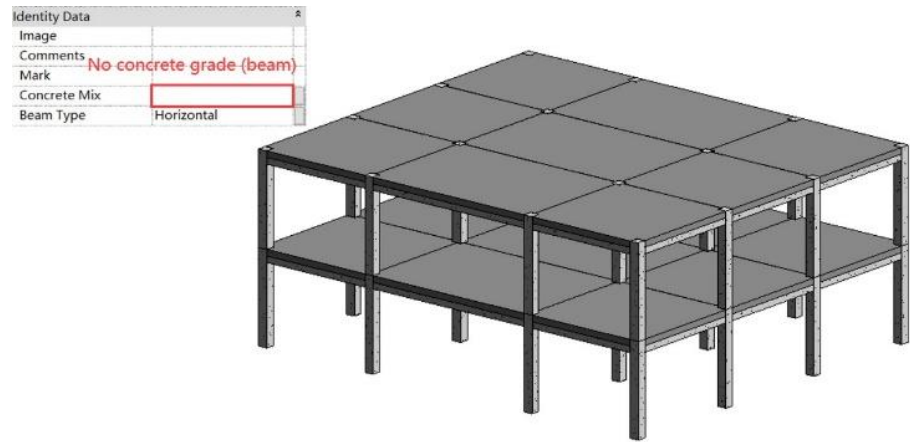
- 544 (1) Sufficient semantic information
- 545 • The model contains all the necessary semantic attributes such as type and
546 concrete grade for QTO.
- 547 (2) Consistent modeling style
- 548 • Vertical elements (i.e., columns and walls) take precedence over horizontal
549 elements (i.e., slabs and beams).
 - 550 • Slabs take precedence over beams.

551 Models B – M are regarded as the new BIM models to be audited. As shown in Fig
552 14 (b) – (m), they cover common types of building structures (i.e., frame structure, shear
553 wall structure, shear wall-frame structure) and different mistakes (i.e., insufficient semantic
554 information, different inconsistent precedencies between elements). Models B – I are used
555 for the development of a proper threshold, while models J – M are for the testing purpose.
556 Table 2 shows the quantities that are taken off from the illustrative BIM models with

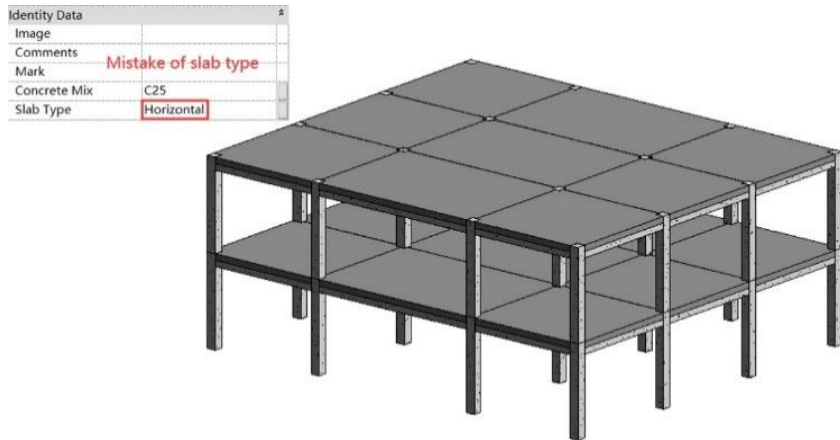
557 different mistakes. They deviate from the baselines (i.e., correct quantities calculated
558 according to the measurement rules). Besides, they are inconsistent and thus are difficult
559 to apply uniform adjustments to correct them. This indicates that it is significant to audit
560 the BIM models to ensure they are prepared consistently according to the requirements so
561 that the quantities can be taken off correctly.



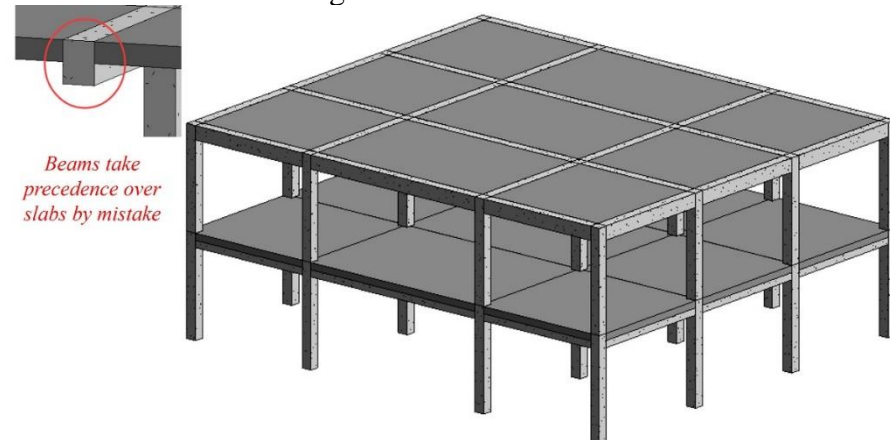
(a) Standard BIM model



(b) Frame structure type – beams on the second floor lack concrete grade information

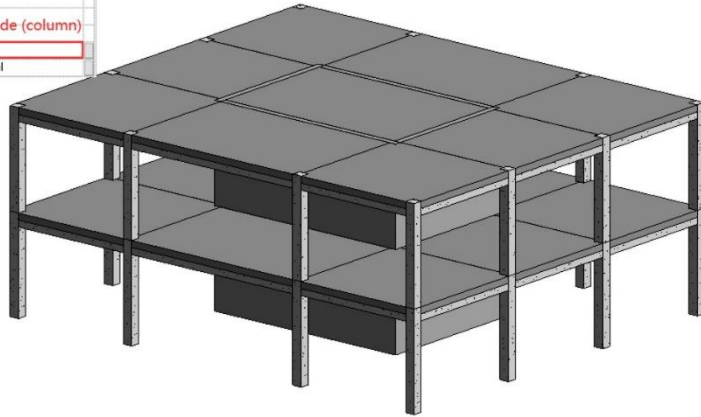


(c) Frame structure type – slabs on the second floor have wrong type information

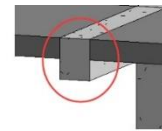


(d) Frame structure type – beams and slabs on the second floor have wrong modeling style

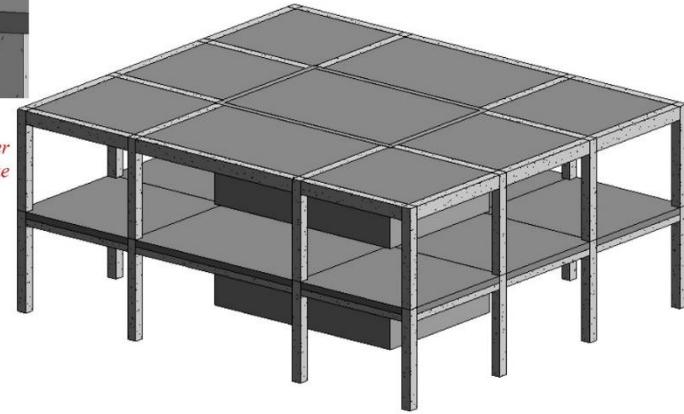
Identity Data	
Image	
Comments	
Mark	No concrete grade (column)
Concrete Mix	
Column Type	Vertical



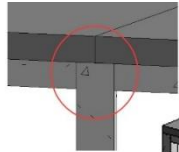
(e) Shear wall-frame structure type – columns on the second floor lack concrete grade information



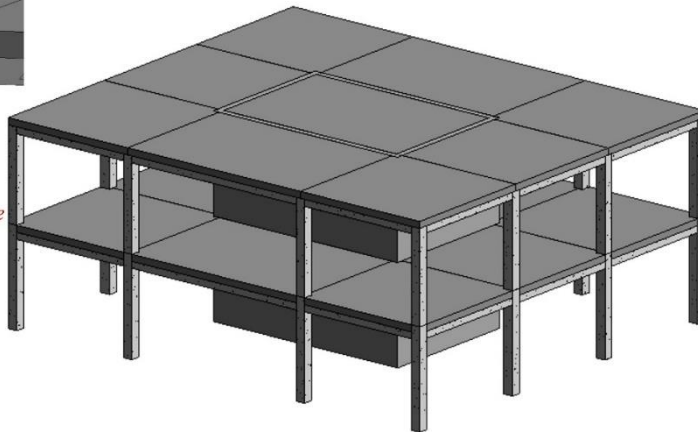
Beams take precedence over slabs by mistake



(f) Shear wall-frame structure type – beams and slabs on the second floor have wrong modeling style

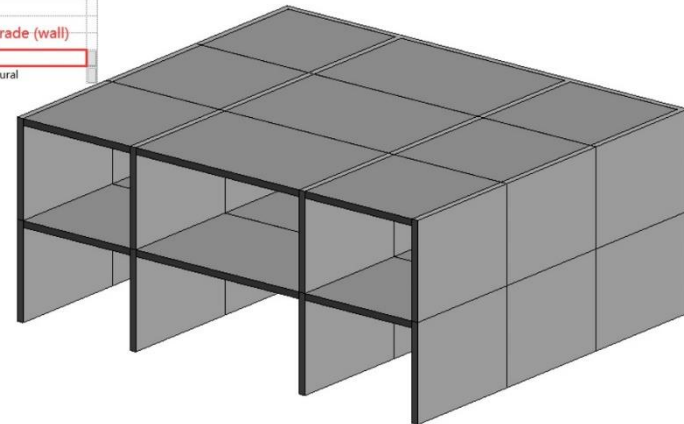


Slabs take precedence over columns by mistake

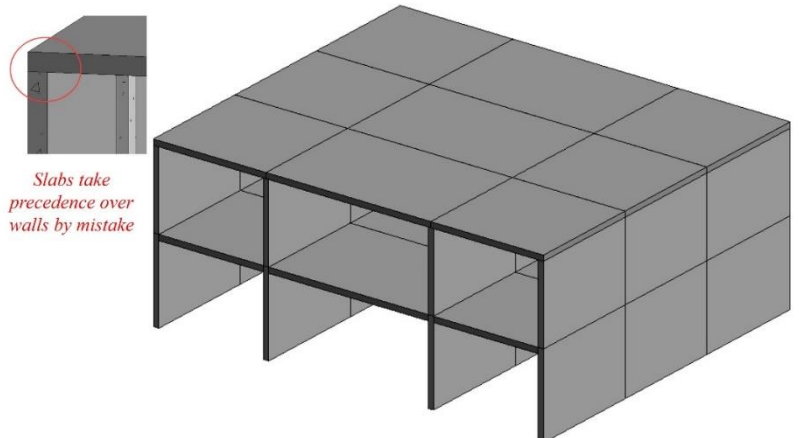


(g) Shear wall-frame structure type – slabs and columns on the second floor have wrong modeling style

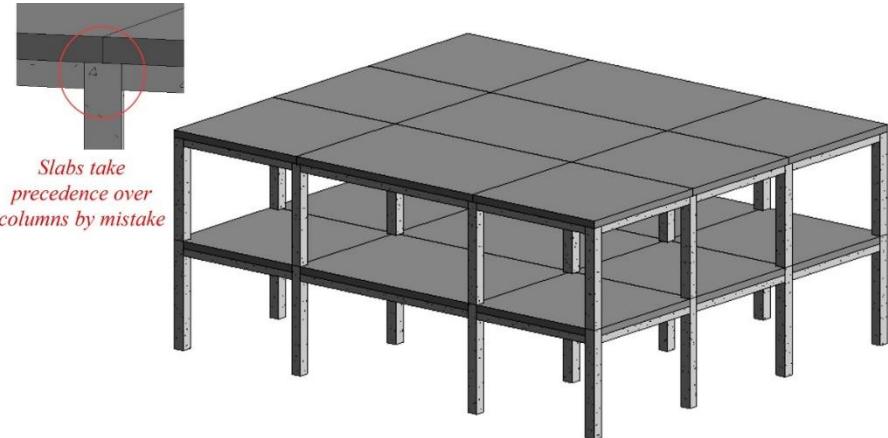
Identity Data	
Image	
Comments	
Mark	No concrete grade (wall)
Concrete Mix	
Wall Type	Structural



(h) Shear wall structure type – walls on the second floor lack concrete grade information

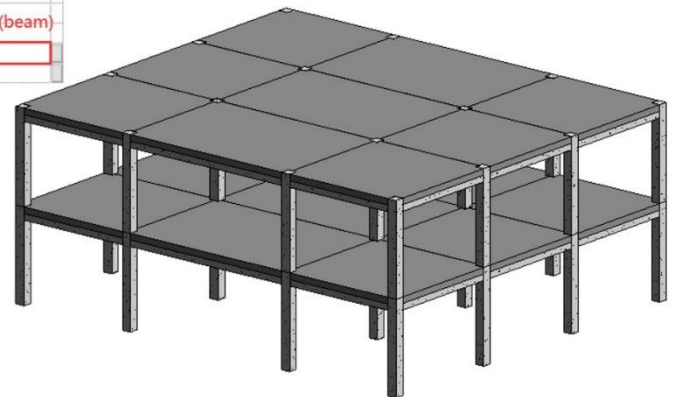


(i) Shear wall structure type – slabs and walls on the second floor have wrong modeling style

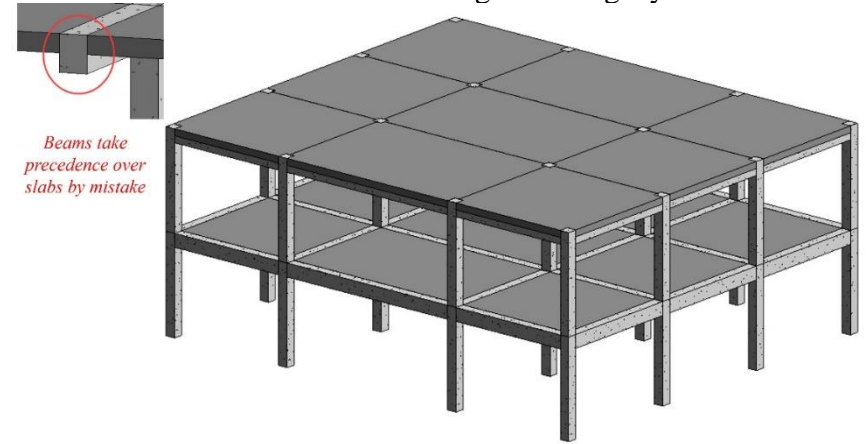


(j) Frame structure type (for testing) – Slabs and columns on the second floor have wrong modeling style

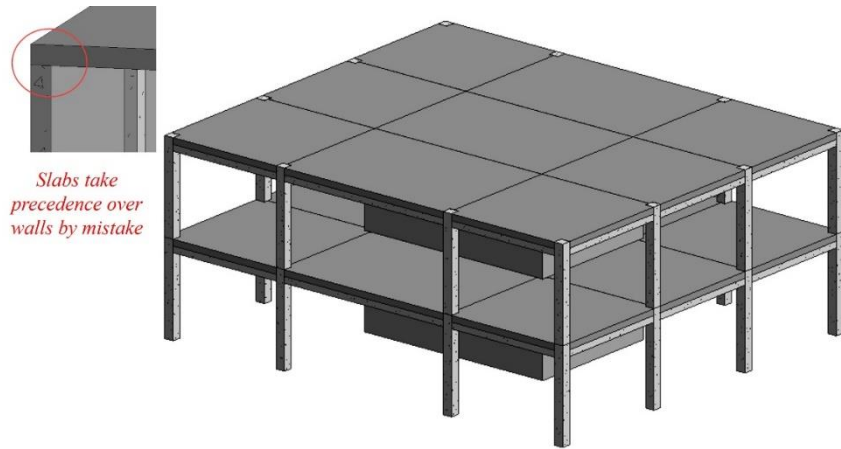
Identity Data	
Image	
Comments	No concrete grade (beam)
Mark	
Concrete Mix	
Beam Type	Horizontal



(k) Frame structure type (for testing) – Beams on the first floor lack concrete grade information



(l) Frame structure type (for testing) – Beams and slabs on the first floor have wrong modeling style



(m) Shear wall-frame structure type (for testing) – Slabs and walls on the second floor have wrong modeling style

562 Fig 14. Configurations of BIM models for the illustration: (a) Model A; (b) Model B; (c) Model C; (d) Model D; (e) Model E; (f) Model F; (g)
563 Model G; (h) Model H; (i) Model I; (j) Model J; (k) Model K; (l) Model L; (m) Model M

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Table 2. Quantities of concrete elements in the illustrative BIM models

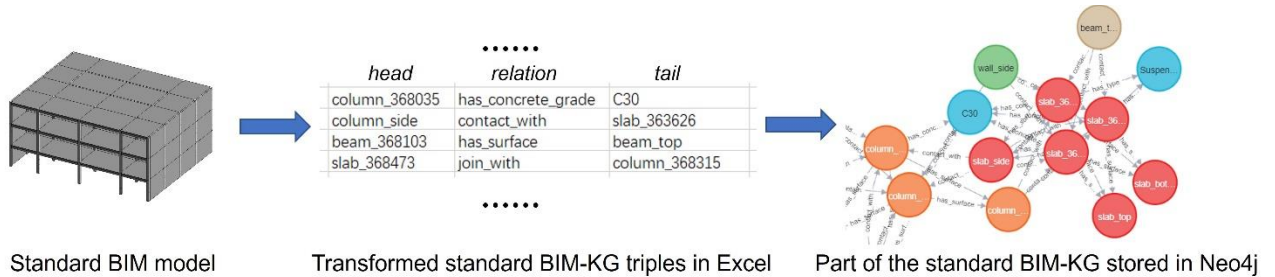
		Frame structure						Shear-wall frame structure				Shear wall structure	
		Model B	Model C	Model D	Model J	Model K	Model L	Model E	Model F	Model G	Model M	Model H	Model I
Slab	Take-off quantities (m ³)	-*	70.36	64.55	70.65	-*	64.55	-*	65.70	70.58	71.17	-*	71.22
	Baseline quantities (m ³)	70.36						70.36				68.74	
Beam	Take-off quantities (m ³)	-*	11.62	17.42	11.62	-*	17.42	9.31	13.97	9.31	9.31	NA	
	Baseline quantities (m ³)	11.62						9.31					
Column	Take-off quantities (m ³)	8.06	8.06	8.06	7.77	8.06	8.06	-*	6.05	5.83	6.05		
	Baseline quantities (m ³)	8.06						6.05					
Wall	Take-off quantities (m ³)	NA						23.30	23.30	23.30	22.47	-*	66.73
	Baseline quantities (m ³)	NA						23.30				69.20	

571 *Note: assume all the elements have the same concrete grade when calculating the quantities for the models*

572 **: Cannot take off quantities due to the lack of necessary semantic information (e.g., concrete grade)*

573 **4.2. Automatic Transformation to KG Representations**

574 A program was developed to examine the BIM-KG transformation mechanism in
 575 Section 3.2 in Dynamo [61], which enables customized BIM data extraction and processing.
 576 As shown in Fig 15, BIM-KG fact triples in the form of $\langle head, relation, tail \rangle$ are obtained
 577 from the standard BIM model automatically. The entities and relations are then stored in
 578 the Neo4j [64] database, which is a native and flexible graph data platform. Through this
 579 process, BIM models can be automatically transformed into a set of fact triples whose
 580 embeddings are trained for the computation of mistake elements. The stored KG
 581 representations can be used for data (e.g., one-hop neighbors) retrieval in subsequent steps.
 582



583 Standard BIM model Transformed standard BIM-KG triples in Excel Part of the standard BIM-KG stored in Neo4j
 584 Fig 15. Examples of BIM-KG transformation

585
 586 **4.3. Automatic BIM Model Auditing Based on the KG Representations**

587 **4.3.1. Improved TransR model to obtain KG embeddings**

588 The improved TransR model in Section 3.3.1 is utilized to train the standard BIM-
 589 KG fact triples to obtain the embeddings of the entities and relations. Fig 16 presents
 590 examples of the obtained embeddings.

<i>head</i>	<i>relation</i>	<i>tail</i>	<i>head</i>	<i>relation</i>	<i>tail</i>
$\langle column_368035,$	$has_concrete_grade,$	$C30 \rangle$	$\langle [0.088, 0.098, \dots, -0.037],$	$[-0.249, 0.093, \dots, -0.234],$	$[0.107, -0.171, \dots, 0.064] \rangle$
$\langle column_side,$	$contact_with,$	$slab_363626 \rangle$	$\langle [-0.099, -0.020, \dots, -0.075],$	$[0.160, 0.191, \dots, 0.164],$	$[-0.183, 0.157, \dots, -0.045] \rangle$
$\langle beam_368103,$	$has_surface,$	$beam_top \rangle$	$\langle [0.219, 0.189, \dots, -0.209],$	$[-0.146, -0.187, \dots, -0.165],$	$[-0.105, -0.047, \dots, 0.251] \rangle$
$\langle slab_368473,$	$join_with,$	$column_368315 \rangle$	$\langle [-0.191, 0.136, \dots, 0.003],$	$[0.073, -0.010, \dots, 0.082],$	$[-0.017, -0.358, \dots, 0.028] \rangle$
.....				
<i>Standard BIM-KG fact triples</i>			<i>Trained embeddings</i>		

591
 592 Fig 16. Examples of trained embeddings

593 For evaluation of knowledge graph embeddings, a common practice is to perform
 594 the link prediction task and calculate two metrics, namely Mean Rank and Hits@10. For
 595 each fact triple (h, r, t) existing in the KG, h is replaced by every other entity h' in the

596 entity set. The scores (i.e., plausibility) of all the corrupted triples (h', r, t) as well as the
 597 original correct one (h, r, t) is calculated using the embeddings and scoring function and
 598 ranked in descending order. Such a ranking process is also applicable to the situation where
 599 t is replaced. The average rank of the correct fact triples (h, r, t) is the Mean Rank. The
 600 proportion of the correct fact triples ranked in the top 10 over all the correct ones is the
 601 Hits@10. Eqs. (13) – (14) show the calculations, where R is the set of ranks of all the
 602 correct fact triples, r is a rank in R , $|R|$ means the number of the ranks in R , and $[r \leq 10]$
 603 denotes the Boolean calculation (i.e., $[r \leq 10]$ equals 0 if r is greater than 10, otherwise,
 604 it is 1). A lower Mean Rank and higher Hits@10 indicate better quality of the obtained
 605 embeddings.

606 Table 3 lists the evaluation metrics of the TransR and proposed modified TransR.
 607 The results show that the proposed modified TransR outperforms the baseline model,
 608 TransR, consistently, clearly indicating that it has better expressivity and can improve the
 609 quality of the trained embeddings.

$$Mean Rank = \frac{1}{|R|} \sum_{r \in R} r \quad (13)$$

$$Hits@10 = \frac{1}{|R|} \sum_{r \in R} [r \leq 10] \quad (14)$$

610 Table 3. Comparison between the TransR and proposed modified TransR

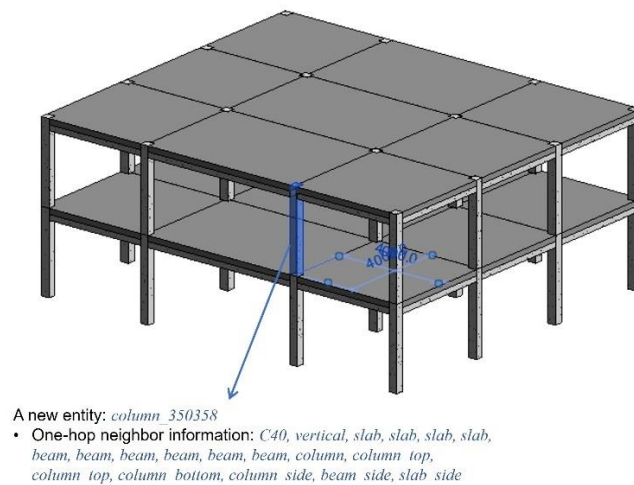
Model	Mean Rank	Hits@10 (%)
TransR	14	68.97
Proposed modified TransR	13	74.37

611

612 4.3.2. Contextual comparison to obtain embeddings of new BIM-KG entities

613 As mentioned in Section 3.3.1, it is necessary to find embeddings for the new
 614 entities from BIM models to be audited. In this section, we use Model B shown in Fig 14
 615 (b) to illustrate the process of obtaining proper embeddings for new entities. Similar to
 616 Section 4.2, BIM-KG triples, including entities and relations, are obtained from Model B
 617 according to the mechanism in Section 3.2. Then, these new entities and relations are also
 618 stored in the Neo4j graph database. As described in Section 3.3.2 (Fig 10), candidate proxy
 619 entities are obtained first for each new entity. For instance, the new entity *column_350358*

620 (Fig 17) from the second floor in the triple $\langle \text{slab_side}, \text{contact_with}, \text{column_350358} \rangle$
 621 considers the column entities that are in the same level and in contact with other entities as
 622 well (i.e., connected by the *contact_with* relation) as its candidate proxy entities. Fig 18
 623 shows five examples. Following this, one-hop neighbors of these candidate proxy entities
 624 and the new entity are retrieved from the BIM-KGs of Model B and the standard BIM
 625 model, respectively. Then, they are compared to find the common one-hop neighbor
 626 information, as illustrated in Fig 18. The common one-hop neighbor information indicates
 627 the semantic similarities described in Section 3.3.2 between the candidate proxy entities
 628 and the new entity. For example, as shown in Fig 6 and Fig 18, such information on an
 629 element entity reveals the common semantic properties (e.g., concrete grade such as *C40*,
 630 type such as *vertical*) and common categories of joining and contacting elements nearby
 631 that describe the spatial position (e.g., corner, edge, middle). Therefore, in Fig 18, the new
 632 entity *column_350358* selects *column_36065* or *column_358067* as its proxy from the
 633 candidates since they share the most common semantic properties (i.e., *C40*, *vertical*) and
 634 spatial positions (i.e., edge) that are derived from the number of common categories of
 635 joining and contacting elements. Through such a contextual comparison based on one-hop
 636 neighbor information between two BIM-KGs, the proxy entity that has the most semantic
 637 similarity with the new one is derived from the standard BIM model. Its embedding is then
 638 used as the embedding of the new entity for calculating the scores of relevant triples.
 639 Afterwards, the calculated scores are utilized to identify mistake elements in the next step.



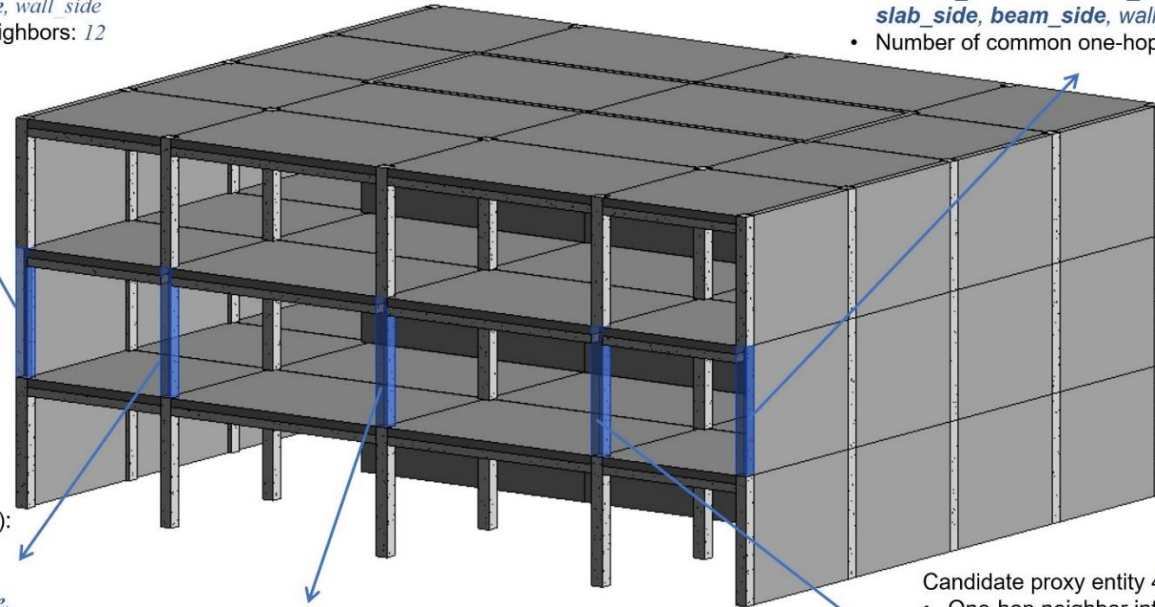
640
 641 Fig 17. A new entity in Model B and its one-hop neighbor information retrieved from the
 642 BIM-KG of Model B

Candidate proxy entity 1: *column_368061*

- One-hop neighbor information (common one-hop neighbors with the new entity are highlighted in bold):
C30, vertical, slab, slab, beam, beam, wall, wall, column, column_bottom, column_bottom, column_top, column_top, column_side, slab_side, beam_side, wall_side
- Number of common one-hop neighbors: 12

Candidate proxy entity 5: *column_368069*

- One-hop neighbor information (common one-hop neighbors with the new entity are highlighted in bold):
C40, vertical, slab, slab, beam, beam, wall, wall, column, column_top, column_top, column_bottom, column_bottom, column_side, slab_side, beam_side, wall_side
- Number of common one-hop neighbors: 13



Candidate proxy entity 2: *column_368063*

- One-hop neighbor information (common one-hop neighbors with the new entity are highlighted in bold):
C30, vertical, slab, slab, slab, slab, beam, beam, beam, beam, beam, beam, column, column_top, column_top, column_bottom, column_bottom, column_side, slab_side, beam_side
- Number of common one-hop neighbors: 18

Candidate proxy entity 3: *column_368065*

- One-hop neighbor information (common one-hop neighbors with the new entity are highlighted in bold):
C40, vertical, slab, slab, slab, slab, beam, beam, beam, beam, beam, beam, column, column_top, column_top, column_bottom, column_bottom, column_side, slab_side, beam_side
- Number of common one-hop neighbors: 19

Candidate proxy entity 4: *column_368067*

- One-hop neighbor information (common one-hop neighbors with the new entity are highlighted in bold):
C40, vertical, slab, slab, slab, slab, beam, beam, beam, beam, beam, beam, column, column_top, column_top, column_bottom, column_bottom, column_side, slab_side, beam_side
- Number of common one-hop neighbors: 19

643

644 Fig 18. Examples of one-hop neighbor comparison between the new entity in the new BIM model and its candidate proxy entities in the standard
645 BIM model

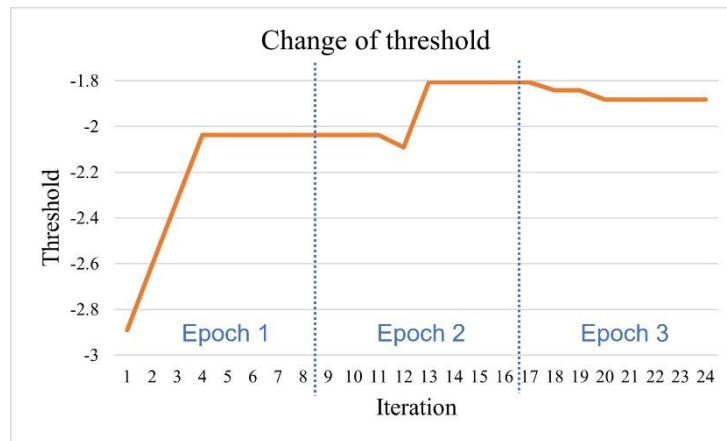
646 **4.3.3. Self-evolving mechanism to determine a proper threshold**

647 Once the embeddings of the entities and relations from the new BIM models to be
648 audited are obtained, the scores of relevant triples are calculated using the scoring function
649 in Eq. (1). They are then compared with a threshold to derive auditing results, as shown in
650 Fig 12. To facilitate such a scoring and comparing process, Models B – I are utilized to
651 learn a proper threshold iteratively according to the mechanism described in Section 3.3.3.
652 Note that the threshold is a constant learned from a set of BIM models (i.e., Models B – I)
653 with different mistakes about semantic information (i.e., absent or inappropriate semantic
654 attributes) and modeling style (i.e., inconsistent topological arrangements between
655 elements). New different BIM models with similar mistakes on the two aspects are
656 compared with the constant threshold to determine mistake elements. Fig 19 presents the
657 self-evolving processes of the threshold as well as other parameters in Fig 13. Each epoch
658 iterates all the models B – I with a gradually changing threshold to filter mistake elements.
659 At the beginning of the first epoch, the low initial threshold results in 0% sensitivity and
660 100% specificity, meaning that all the elements are classified as correct (i.e., the threshold
661 is too low so that no mistake elements are identified). Consequently, the adjusting factor is
662 increased to raise the threshold, which gradually increases the sensitivity. Once the
663 threshold is so high that the specificity drops, the penalty factor is raised to lower the
664 threshold so as to avoid the case where the correct elements are misclassified as mistake
665 ones. Finally, if the sensitivity and specificity reach the plateau and satisfy the criterions in
666 Fig 13, the adjusting and penalty factors are stabilized, leading to a converged threshold,
667 which is -1.872. Then, the comparison between the triple score and the threshold shown in
668 Fig 12 can be undertaken to identify mistake elements.

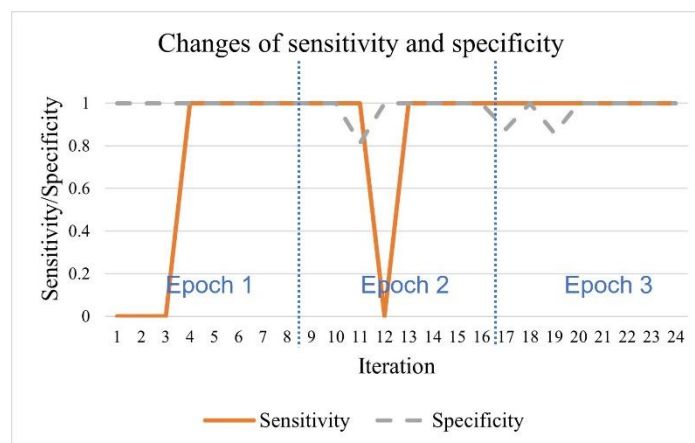
669 Four unseen models J – M (Fig 14 (j) – (m)) with different kinds of mistake
670 elements are used to for evaluation. Similarly, BIM-KG triples are first obtained from these
671 testing models. The embeddings for the new entities and relations are derived and then
672 utilized to compute triple scores, which are compared with the learned threshold (i.e., 1.872)
673 to classify the mistake and correct elements. As shown in Table 4, all the mistake elements
674 in the four testing models are identified successfully with the reasons aligned with the
675 mistakes described in Fig 14 (j) – (m). Table 5 shows the performance metrics of the
676 classifications. 100% sensitivity is achieved in all the testing BIM models, indicating that

677 all the mistake elements are identified successfully. In addition, the proposed method
 678 consistently provides high specificities, meaning that only a few correct elements are
 679 erroneously recognized as mistake elements. This suggests that BIM modelers can be
 680 effectively informed of all the mistake elements that they are most concerned about. The
 681 few correct elements that are identified as mistake elements require little additional effort
 682 to be excluded during the model modification process.

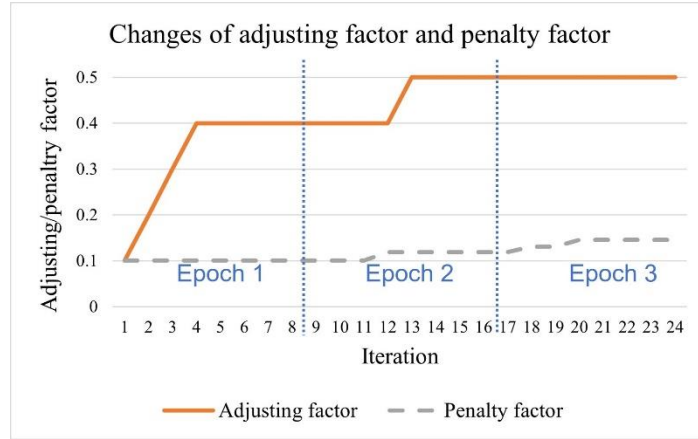
683 After the mistake elements are identified with respect to the proper threshold, they
 684 are rejected. The BIM model is then sent back to the BIM modeler with the list of reject
 685 elements for them to edit until no element is identified as a mistake. As a result, it can be
 686 ensured that all the elements are modeled consistently according to the modelling
 687 requirements in the specification.



(a)



(b)



(c)

688 Fig 19. Changes of parameters in the self-evolving mechanism to determine the
 689 threshold: (a) Changes of threshold; (b) Changes of sensitivity and specificity; (c)
 690 Changes of adjusting factor and penalty factor

691

692 Table 4. Overall auditing results of the testing models

	Mistake elements	All are identified successfully?	Reason
Model J	9 slabs; 16 columns	Yes	9 slabs take precedence over 16 columns by mistake
Model K	24 beams	Yes	24 beams lack concrete grade information
Model L	9 slabs; 24 beams	Yes	24 beams take precedence over 9 slabs by mistake
Model M	9 slabs; 4 walls	Yes	9 slabs take precedence over 4 walls by mistake

693

694 Table 5. Performance metrics of the testing models

	Model J	Model K	Model L	Model M	Average
Sensitivity	100%	100%	100%	100%	100%
Specificity	100%	100%	88%	90%	94%

695

696 5. CONCLUSIONS

697 In this paper, the information requirements of BIM model auditing for QTO
 698 purposes are identified from QTO-oriented BIM modeling specifications in order to
 699 establish a BIM-KG data model to represent unstructured BIM data (including properties
 700 and interrelationships) explicitly, based on which BIM-KG triples are transformed from
 701 BIM models automatically. An improved knowledge graph embedding model is proposed

702 to translate the BIM-KG representations into computable embeddings. Then, auditing
703 mechanisms, including deriving embeddings for new BIM-KG entities and obtaining an
704 appropriate threshold iteratively, are developed to utilize these embeddings for automatic
705 mapping and inferences of auditing results without human intervention. The framework is
706 applied to 13 BIM models for illustration. The results validate the effectiveness of the
707 approaches through automatically and successfully identifying mistake elements in BIM
708 models with different kinds of errors regarding semantic information and modeling style.
709 In addition to the presented mistakes, the proposed framework is also applicable to other
710 BIM modeling issues which originate from the absence of semantic information or
711 inappropriate topological arrangements between elements. For example, inconsistent
712 installation sequences of interior material elements in BIM models (e.g., a gypsum board
713 may be modeled after the floor heating system or the expanded polystyrene in a BIM model,
714 which causes quantity deviations in the areas of the gypsum board) [14] can also be
715 detected since such issues may arise from inconsistent topological arrangements between
716 elements. Overall, this study contributes to the following:

- 717 • The proposed framework utilizes BIM models as training sources to obtain
718 computable embeddings so that the underlying patterns among BIM data can
719 be captured. Such a BIM-based data-driven manner enables automatic and
720 efficient identification of mistake elements without human intervention. To the
721 best knowledge of the authors, this is pioneering research in BIM-based data-
722 driven model auditing for QTO that can greatly reduce the required human
723 efforts on manual inspection or development of rule patterns.
- 724 • This research brings insights on how to improve the efficiency of auditing BIM
725 models for QTO in a fundamental way, through BIM data representation (i.e.,
726 the design of the BIM-KG representation and transformation mechanisms that
727 can preserve both object properties and interrelationships explicitly) and
728 manipulation (i.e., the development of the BIM-KG utilization mechanisms
729 that manipulate the transformed BIM data to achieve the QTO-oriented model
730 auditing purposes). The basic principles are generalizable to future studies on
731 this problem and thus this research provides a reliable foundation.

- 732 • The modified TransR model provides better expressivity in terms of the
733 imbalance of relations in BIM-KG representations, and outperforms the
734 baseline model (i.e., TransR). The modified TransR can hence output better
735 embeddings to support downstream manipulations such as the BIM-KG triple
736 scoring.
- 737 • The proposed framework for BIM model auditing is generic and can be applied
738 for not only QTO purposes but also other applications or projects where BIM
739 models need to satisfy different but consistent requirements on semantic
740 information and modeling style, e.g., standard modeling approaches
741 incorporating structural design concepts [27].

742 However, there are certain limitations as follows. This study mainly focuses on
743 typical building elements of concrete structures and the mistakes about semantic
744 information (i.e., absent or inappropriate semantic attributes) and modeling style (i.e.,
745 inconsistent topological arrangements between elements) in BIM models. Besides, the
746 framework is developed across different platforms and scripting languages, which may
747 make it difficult for domain engineers to grasp. Therefore, future works include: (1)
748 considering more types of building elements and structures, as well as BIM modeling
749 mistakes, to make the framework more comprehensive; (2) developing a more user-
750 friendly one-stop interface integrating different components in the framework to facilitate
751 the usage of it in domain engineers.

752

753

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