

Effect of autogenous shrinkage on microcracking and mass transport properties of concrete containing supplementary cementitious materials

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Abstract

It is well-known that supplementary cementitious materials (SCMs) and low water-to-binder (w/b) ratio increase autogenous shrinkage, but the impact on microcracking and long-term transport properties is less understood. This paper examines the effect of microcracking induced by autogenous shrinkage on transport properties of concretes cured up to ~3.6 years. Variables include SCM type (9% SF, 70% GGBS), w/b ratio (0.20-0.45), maximum-aggregate-size (MSA: 5-20 mm) and shrinkage reducing admixture (SRA). Oxygen diffusivity, permeability and water sorptivity were correlated with microcracks characterised using laser scanning confocal microscopy and 3D X-ray microtomography. Results show greater microcracking in mixes containing SCMs, low w/b ratio and large MSA. At the same w/b ratio and binder type, strong positive correlations are observed between transport and microcracking with increasing MSA, confirming the negative impact of autogenous shrinkage. SRA was effective in reducing these effects. The significance is compared with drying shrinkage and implications for durability are discussed.

Keywords: Shrinkage (C); microcracks (B); transport properties (C); durability (C); high performance concrete (E)

1 Introduction

The use of concretes containing supplementary cementitious materials (SCMs) and low water-to-binder (w/b) ratio is becoming increasingly common due to their improved mechanical properties, enhanced durability and sustainability. For example, high-performance concretes (HPC) are produced with a very low w/b ratio (typically ≤ 0.4), incorporating SCMs such as silica fume (SF) and ground granulated blastfurnace slag (GGBS), and superplasticiser to enhance workability. However, such concretes are susceptible to autogenous shrinkage and microcracking induced by self-desiccation. Such cracks may partially negate the advantages of HPC by reducing its strength and durability through the provision of preferential pathways for the transport of water and deleterious species. Autogenous shrinkage is known to become significant at water/cement ratio of ≤ 0.42 [1], where all capillary water is consumed and the capillary pore structure becomes depercolated.

While there are many studies focusing on the nature of autogenous deformation [2-6] and possible mitigation methods [6-9], there are very few studies which examine the associated microcracking. Where studies are available, they involve mainly model composite samples using idealised elements as internal restraints [10, 11]. For example, Lura *et al.* [11] used model composites consisting of steel rods of different sizes cast within cement paste to study the formation of microcracks under autogenous conditions. They found that microcracks propagated in radial direction for 1 to 2 mm from the rod, ranging from 11 to 25 μm in width. Clearly, such characterisation is not representative of real concrete which is inherently multiphase, multiscale, time-dependent and three-dimensional. Additionally, autogenous shrinkage-induced microcracks are sensitive to external moisture and temperature, and therefore especially difficult to characterise without inadvertent modification [10]. This can be overcome with the use of non-destructive X-ray imaging, which provides 3D representations of microcracks with minimal disturbance to the sample [12, 13].

Despite the significance of autogenous shrinkage-induced microcracks, their actual effect on long-term transport properties remains unclear. While there are studies that have characterised the transport properties of low w/b cementitious systems containing SCMs [14-18] and shrinkage reducing admixture (SRA) [19, 20], none have linked them to autogenous shrinkage and related microcracking. A probable reason for this lies in the fact that it is practically difficult to de-couple the contribution of microcracks from those of gel and capillary porosity, and air voids which are inherently interconnected. Furthermore, transport measurements are critically dependent on the moisture state of concrete and pre-conditioning is required to empty accessible pores (including microcracks) to ensure meaningful measurements [15]. Previous studies [21, 22] have investigated the influence of drying-induced microcracking and related size effects on transport properties of conventional concretes. However, such microcracks occur mainly near the surface exposed to drying and as such are not comparable to those induced by autogenous shrinkage.

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48 This paper attempts to characterise autogenous shrinkage-induced microcracks and their influence on transport
 49 properties of concrete. A range of concretes with different binder types, w/b ratio, maximum size of aggregate and SRA,
 50 cured for up to ~3.6 years were investigated. Microcracks were characterised using laser scanning confocal microscopy
 51 (LSCM) and three-dimensional X-ray microtomography (X-ray μ CT). Oxygen diffusivity and permeability, water sorptivity
 52 and accessible porosity were measured and correlated with observed microcracks. The aim was to establish the link
 53 between autogenous shrinkage and microcracking, and to what extent these influence mass transport. It is anticipated
 54 that this work would provide a better understanding of the significance of autogenous shrinkage on the long-term
 55 durability of concrete.

56 2 Experimental

57 2.1 Materials and mix proportions

58 Twenty-seven concrete mixes were prepared according to the proportions in Table 1. These were classified into three
 59 series according to binder type. Each series consists of 9 mixes with different w/b ratio (0.20, 0.25, 0.30, 0.35, 0.40 and
 60 0.45), maximum size of aggregate (MSA: 5, 10, 20 mm) and a mix containing SRA to serve as reference. The mixes were
 61 designed to absolute volume. Ordinary Portland cement CEM I 52.5R, CEM I 52.5R with 9 wt.% replacement of SF, and
 62 pre-blended CEM III/B 42.5N containing 70% GGBS were used as binders. Their oxide composition, loss on ignition,
 63 specific gravity and fineness are shown in Table 2.

64 A polycarboxylate-based superplasticiser (Sika[®] ViscoCrete 20RM) was used to improve the workability of mixes with
 65 w/b ratios ≤ 0.35 . A hydroxyl compound-based SRA (Sika[®] Control 40) was used to reduce shrinkage in the reference
 66 mixes. The amount of superplasticiser required to achieve good compaction was determined from trial mixes, while the
 67 amount of SRA was based on the manufacturer's recommended dosage (1 wt. % binder). Thames Valley sand with MSA
 68 of 5 mm and Thames Valley gravel with MSA of 5, 10 and 20 mm were used as fine and coarse aggregates respectively.
 69 Aggregate fraction was set to 68% vol. at fine-to-total aggregate mass ratio of 0.40 for all mixes. The aggregate particle
 70 size distributions are shown in Fig. 1. Their specific gravity, 24-h water absorption and moisture content are provided in
 71 Table 3. Tap water was used as batch water, adjusted to account for aggregate absorption.

72 2.2 Samples and curing

73 Cement and aggregates were dry-mixed in a 30-litre pan mixer for 30 s. Water was then added for further mixing of 3
 74 min. Silica fume and superplasticiser were pre-mixed with batch water. 81 cylinders of 80 mm \varnothing \times 300 mm were cast
 75 for linear deformation and transport measurements. These dimensions were chosen to achieve length/diameter ratio \geq
 76 3.5 and diameter/MSA ratio ≥ 4 to ensure good sampling volume and measurements [23]. The moulds were made of
 77 stiff tubes internally lined with polyethylene to avoid leakage and to reduce friction. The two ends were wax sealed with
 78 plastic caps with a steel stud (5 mm \varnothing \times 16.5 mm) half embedded in concrete. Three replicates were prepared for each
 79 mix. In addition, 24 discs of 95 mm \varnothing \times 65 mm were cast in lidded plastic moulds for microcrack characterisation with
 80 laser scanning confocal microscopy (LSCM). Samples were vibrated in three layers until no significant release of air
 81 bubbles. All were compactable with no evidence of bleeding.

82 Samples were hermetically sealed to prevent moisture exchange, then laid horizontally and cured at 20 °C for 3.6 years
 83 in order to study the impact of microcracking on long-term transport properties. Periodic weighing showed mass loss of
 84 concrete of $< 0.4\%$ over time, indicating that the samples were effectively sealed and drying shrinkage was negligible.
 85 After curing, the discs were demoulded and a thin slice of 65 \times 95 \times 8 mm³ was sectioned vertically with a diamond
 86 precision saw from the centre for LSCM. In addition, cylindrical cores of 30 mm \varnothing \times 65 mm were diamond-cored from
 87 the SF-blended samples for 3D X-ray μ CT observation. Our previous study [13] showed that autogenous shrinkage-
 88 induced microcracking was most severe at early ages and most microcrack characteristics became relatively stable from
 89 7 d onwards. The cores for X-ray μ CT were scanned as-is whereas the slices for LSCM were prepared as described in
 90 Section 2.5.

91 2.3 Linear deformation

92 A length comparator with a digital gauge of 0.001 mm accuracy was used for shrinkage measurement. Prior to each
 93 measurement, the device was calibrated with a 300 mm invar reference rod. The initial length (L_0) was taken when the
 94 samples had set (~6 h after casting for CEM I and SF systems; ~12 h for CEM III systems). The cylinders were carefully
 95 loaded onto the device with the end studs aligned with the gauge. Subsequent length readings (L) were taken daily for
 96 the first 2-3 weeks, then at weekly intervals. The linear strain was calculated as $(L - L_0) \div L_0 \times 10^6$ ($\mu\epsilon$). Three replicates
 97 were measured and averaged. Further details on the experimental set-up are available in [24].

98 2.4 Mass transport properties

99 The 80 mm \varnothing \times 300 mm cylinders were sectioned from the centre using a diamond abrasive cutter to produce four
 100 replicate discs of 80 mm \varnothing \times 50 mm for mass transport testing. The discs were conditioned at 50% RH, 20 °C in sealed
 101 environmental chambers until equilibrium (mass change \leq 0.002% per day). The chambers contained saturated
 102 magnesium nitrate hexahydrate to maintain RH, soda lime to prevent carbonation and motorised fan for air circulation.
 103 Weight loss from drying was small because the samples had low w/b ratios and were sealed cured for 3.6 years prior to
 104 conditioning. Nevertheless, it is important to ensure homogenous moisture state across all samples for meaningful
 105 transport measurements [15].

106 O₂ diffusivity, O₂ permeability and water sorptivity were measured in sequence. O₂ diffusion was performed by
 107 exposing the opposite flat faces of the disc to O₂ and N₂ streams respectively at equal pressure. The sample was sealed
 108 with a silicone rubber ring at a small lateral confinement of 0.57 MPa in a steel cell to prevent side leakage without
 109 causing damage or crack closure [25]. The gases counter-diffused through the sample and the O₂ concentration in the
 110 outflow N₂ stream was monitored at steady state using a zirconia analyser. The O₂ concentration and flow rates were
 111 used to calculate the diffusion coefficient according to Fick's first law of diffusion.

112 O₂ permeation was conducted in a similar cell by subjecting one face of the disc to O₂ and measuring the outflow from
 113 the other face at steady state. Three gas pressures: 0.5, 1.5 and 2.5 bars were tested. At each pressure, the apparent
 114 permeability was calculated using Darcy's law for compressible fluids. Klinkenberg correction for gas slippage was then
 115 applied to determine the intrinsic permeability coefficient.

116 Water absorption was performed by placing the disc on two plastic strips in a tray containing water at depths of 2 to 3
 117 mm from the wetted surface. The amount of water absorbed was measured periodically up to 3 weeks with an
 118 electronic balance of 0.01 g accuracy. The tray was covered to prevent drying of the sample and care was taken to avoid
 119 condensation forming/falling on the sample. The absorption per unit inflow area was plotted against square-root of
 120 time and sorptivity was determined from the slope of the regression line across nine readings taken in the first 24 h. In
 121 cases where absorption was extremely low, the regression line was extended to cover more readings. The R² value was
 122 \geq 0.9 in all cases. Following water absorption, the discs were submerged in water under vacuum for 4 h and then left
 123 submerged until full saturation ($<$ 7 d) to determine accessible porosity.

124 2.5 Imaging microcracks

125 Slices for LSCM were dried by sublimation using rapid freeze-drying to reduce the risk of additional drying induced
 126 damage [26, 27]. The slices were then impregnated with fluorescent epoxy to preserve the microcracks according to the
 127 procedures described in [28]. A low-viscosity epoxy resin (Struers EpoFix) doped with 0.05 wt.% fluorescein (Struers
 128 EpoDye) and thinned with 5 wt.% toluene was used. The samples were de-aired at -1 bar for 40 min before resin was
 129 introduced without breaking the vacuum. Following that, compressed air at 2.5 bars was applied for 2 h to increase
 130 impregnation. After the epoxy was fully cured, the samples were ground flat and polished with diamond at successively
 131 finer grits to 1 μ m.

132 LSCM imaging was performed with a Leica TCS SP5. A 5 \times objective with a field of view of 3.10 \times 3.10 mm² and a
 133 theoretical xy resolution of 1.30 μ m was used. This is sufficient to resolve and characterise the microcracks. An argon
 134 laser of 488 nm (15% intensity) and a band pass filter of 500 to 600 nm were used for optimal excitation and detection
 135 of fluorescence. The pinhole was maintained at 1 Airy unit. The images were digitised to 2048 \times 2048 pixels with a
 136 spacing of 1.514 μ m. The detector sensitivity was adjusted to ensure optimal contrast. Automated tile imaging was
 137 performed with a motorised scanning stage (0.025 μ m resolution) to capture the entire sample cross-section (65 \times 95
 138 mm²). In total, 600 images (20 \times 30 tiles with 10% overlapping) were acquired per sample.

139 The cores for X-ray μ CT (SF-blended samples) were scanned with Metris X- Tek HMX ST 225. Despite its lower spatial
 140 resolution than LSCM, X-ray μ CT provides 3D images of the microcracks for topological characterisation of connectivity
 141 and tortuosity [13]. The X-ray source was set to 220 kV and 200 μ A, and a 0.5 mm thick copper filter was used to
 142 minimise beam-hardening effects. The source-sample-detector distances were set to 91.5 mm and 1088 mm
 143 respectively. The rotation step was set to 0.1146° and a total of 3142 projections were acquired. The exposure time was
 144 1.25 s per frame and the total imaging time was \sim 1 h per sample. Image reconstruction was performed with centre shift
 145 and beam hardening corrections applied. The reconstructed image volumes were digitised to 2011 \times 2011 \times 2011 voxels
 146 at 15.5 μ m voxel size.

147 2.6 Image analysis

148 The image tiles collected with LSCM were stitched based on phase correlation [29] in Fiji [30]. Solid phases such as
 149 aggregates and cement paste appear dark whereas pores, air voids and microcracks filled with fluorescent epoxy

150 appear green. Microcracks can be distinguished from other features based on their elongated morphology, high
 151 brightness and well-defined boundaries. A local thresholding approach was adopted to segment the microcracks.
 152 Amongst several methods tested (e.g. Bernsen [31], Phansalkar [32] and Niblack [33]), Sauvola's method [34] was found
 153 to be the most satisfactory and produced segmented microcracks that matched the original image. This method
 154 calculates threshold based on the mean grey level and standard deviation at a local domain set to 20 pixels. Other
 155 segmented features such as air voids, pores or noise (Fig. 2b) were removed via filtering and morphological operations
 156 in Avizo® Fire. Further details can be found in [24].

157 The 3D X-ray μ CT images were segmented following the procedures described in [13]. In brief, the image was median-
 158 filtered and segmented using the Overflow method [35]. Following that, a series of filtering and morphological
 159 operations were applied to separate microcracks from other connected phases. These involved removal of aggregates
 160 with low grey levels overlapping with those of microcracks and air voids, removal of air voids based on shape factor and
 161 volume, and preservation and clean-up of remaining microcracks.

162 Eight parameters were quantified. These are summarised in Table 4 and detailed in [13, 36-42]. Measurements were
 163 performed using either BoneJ (v.1.40) in Fiji or Avizo® Fire. Connectivity and tortuosity were measured in 3D. The
 164 segmented microcracks were skeletonised using medial-axis thinning algorithm for measuring crack length and density.
 165 The microcracks were labelled based on 26-neighbourhood to identify every voxel that touches one of the faces, edges
 166 or corners of the largest percolated network for calculating connectivity.

167 3 Results

168 3.1 Autogenous shrinkage

169 Fig. 2 shows the measured linear deformation. All samples without SRA experienced the highest rate of shrinkage in the
 170 first 14 d which then gradually decreased over time, consistent with other studies [13, 43, 44]. Total shrinkage ranged
 171 between 100 and 350 $\mu\epsilon$. GGBS and SF increased total shrinkage by an average factor of ~ 1.9 and ~ 1.3 respectively
 172 relative to CEM I only systems. On average, over 82% of the total shrinkage occurred in the first two weeks for the
 173 GGBS blended concrete, compared to 65% for SF blended and 48% for the CEM I only concretes. In contrast, samples
 174 with SRA showed a slight expansion ($< 60 \mu\epsilon$) in the first 3 weeks, followed by gradual shrinkage. The initial expansion is
 175 due to increased oversaturation and crystallisation of portlandite [45-47]. SRA was clearly effective in reducing the rate
 176 and magnitude of shrinkage by a factor of 4 to 5. Mixes containing SCMs showed the largest reduction.

177 As expected, mixes with low w/b ratio experienced higher autogenous shrinkage due to less water available and hence
 178 greater self-desiccation. A noticeable increment in shrinkage was observed between w/b 0.45 to 0.40, especially for
 179 blended systems. This corresponds to the w/b ratio at which the amount of available water is insufficient for complete
 180 hydration. It is interesting to note that shrinkage increased with maximum aggregate size (MSA) irrespective of binder
 181 type (Fig. 2d). This is because a larger particle size at constant aggregate content reduces the total aggregate surface
 182 area that bonds with cement paste and hence the amount of restraint to shrinkage.

183 3.2 Transport properties

184 Fig. 3 presents the average transport properties plotted against total autogenous shrinkage. The transport data
 185 spanned between one and three orders of magnitude, ranging from 1.09 to $4.89 \times 10^{-9} \text{ m}^2/\text{s}$ for diffusivity, 0.23 to 71.1
 186 $\times 10^{-18} \text{ m}^2$ for permeability and 1.24 to $23.5 \text{ g}/\text{m}^2 \cdot \text{min}^{0.5}$ for sorptivity. It is worth noting that these are significantly
 187 lower than the values reported in our previous studies [21, 48] consistent with the fact that the concretes tested in this
 188 study have smaller w/b ratios and longer curing age.

189 As expected, lowering the w/b ratio and the presence of SCMs decreased long-term mass transport. Overall, concretes
 190 with GGBS gave the lowest mass transport properties. Relative to CEM I concretes, GGBS decreased mass transport by
 191 an average of 33%, 27% and 26% for diffusivity, permeability and sorptivity respectively, after the 3.6 years of sealed
 192 curing. The corresponding values for SF were 26%, 13% and 16%. SCMs had a greater effect on mixes at high w/b ratio.

193 When comparing mixes at the same w/b ratio (0.3) and binder type, it is clear that the use of SRA decreased transport
 194 properties substantially in all cases. The reduction was at least a factor of 2 to over 45, and the most pronounced
 195 reduction occurred for permeability. Therefore, autogenous shrinkage has a significant influence on mass transport
 196 properties. It should however be pointed out that SRA can increase the viscosity and decrease the surface tension of
 197 pore solution and this may also contribute to reduced water sorption [49].

198 Fig. 4 shows strong positive correlations between transport properties and accessible porosity irrespective of mix
 199 composition (w/b ratio, binder type, SRA and MSA). It should be noted here that the measured porosity is the sum of
 200 pores, air voids and cracks that are accessible to transport. Therefore, the strong correlations suggest that both pores

201 and cracks contribute to transport. However, the correlation for permeability showed greater scatter compared to
 202 diffusivity and sorptivity. This is due to the fact that pressure-induced flow occurs preferentially through paths of least
 203 resistance and hence, permeability is highly sensitive to presence of interconnected microcracks [50].

204 3.3 Maximum aggregate size

205 Table 5 presents the mass transport properties and accessible porosity of concretes at w/b 0.30 with different
 206 maximum aggregate size (MSA) to examine size effects. Given that all systems shown in Table 5 had the same w/b ratio,
 207 aggregate volume and curing age, their accessible porosity and transport properties were expected to be invariant to
 208 MSA. However, this was not the case. The results consistently showed that transport properties and accessible porosity
 209 increased with increasing MSA. The size effect was most pronounced with blended systems. Diffusivity and sorptivity
 210 increased by up to a factor of 2 to 3, but permeability increased by over a factor of 30 to 700. This can only be
 211 attributed to additional microcracks (rather than capillary or gel porosity) that formed in systems containing larger
 212 coarse aggregate particles, consistent with the increase in autogenous shrinkage shown in Fig. 2d.

213 3.4 Microstructure

214 Fig. 5 presents example LSCM images of the silica fume-blended samples. Mixes at lower w/b ratio showed decreased
 215 fluorescence intensity due to reduced capillary porosity, but a greater amount of microcracking. The microcracks
 216 appear interconnected and distributed across the entire cross-section. They occur either at aggregate-paste interface
 217 (bond cracks) or through the cement paste (matrix cracks, marked by arrows), with tendency to propagate through air
 218 voids. The notable presence of air voids which can be as high as ~2% at low w/b ratio was to be expected due to
 219 difficulties in achieving full compaction [13, 51]. At the same w/b ratio, the presence of SRA reduced microcracking
 220 significantly.

221 Fig. 6 shows example 3D views of the segmented microcracks obtained with X-ray μ CT. The microcracks are shaded to
 222 indicate local thickness (width). The microcracks appear spatially distributed throughout the of 30 \emptyset \times 65 mm cores.
 223 The amount, length and connectivity of microcracks increased at lower w/b ratio. Many envelopes of bond cracks are
 224 visible, indicating that the orientation of microcracks is dependent on that of aggregates.

225 3.5 Quantitative analysis of microcracks

226 Table 6 presents the measured microcrack characteristics. Cumulative distribution of width and length of microcracks
 227 are shown in Fig. 7. The average widths ranged from ~ 15 to 100 μ m. The results show that volume fraction, average
 228 width and length, density and connectivity of the microcracks increased with decreasing w/b ratio. In contrast,
 229 tortuosity decreased while specific surface area and degree of anisotropy remained relatively constant. Overall, the
 230 microcracks are relatively isotropic due to the random orientation of aggregate particles independent of w/b ratio. As
 231 expected, the number of cracks decreased with increasing microcrack volume fraction and connectivity.

232 Comparing mixes of the same w/b ratio, it is evident that the presence of SCMs increased the amount of microcracking.
 233 The effect of GGBS was most pronounced, in line with the measured autogenous shrinkage (Fig. 2). However, the
 234 presence of SRA decreased microcracking significantly. For example, the volume fraction, average length and density of
 235 microcracks decreased by up to 9 \times , 2 \times and 5 \times respectively. The specific surface area increased by up to 13 \times , possibly
 236 due to pore refinement [52].

237 The effect of MSA on microcracking is shown in Table 7. The volume fraction, width and length of microcracks increased
 238 with increasing MSA. For example, the volume fraction and average width of microcracks increased by 6 \times and 3 \times
 239 respectively when MSA increased from 5 to 20 mm. This is consistent with the increase in autogenous shrinkage (Fig.
 240 2d) and confirms that the observed size effects in mass transport (Table 5) are linked to microcracks. Specific surface
 241 area and degree of anisotropy remained relatively constant.

242 3.6 Correlations between microcracks, autogenous shrinkage and mass transport

243 Fig. 8 plots the correlations between microcrack volume fraction, autogenous shrinkage and accessible porosity for all
 244 samples. It is clear that the amount of microcracking increased with autogenous shrinkage (Fig. 8a), but decreased at
 245 higher accessible porosity (w/b ratio) and with the presence of SRA, irrespective of binder type (Fig. 8b). This shows
 246 that the observed microcracking was induced by autogenous shrinkage and that it had greater severity in denser matrix,
 247 consistent with previous studies on drying shrinkage [21, 53]. At the same w/b ratio, microcracking increased with MSA
 248 (Fig. 8c). This confirms that the size effects discussed in Section 3.3 are indeed caused by additional microcracking that
 249 formed in concretes containing larger aggregate particles.

250 4 Discussion

251 4.1 *Other factors that may contribute to microcracking*

252 Sample preparation for microscopy may cause artificial microcracking, particularly during the initial sectioning and
 253 freeze-drying processes. However, the possibility of damage during grinding and polishing is reduced by epoxy
 254 impregnation. Furthermore, any new cracks that form after epoxy impregnation would not fluoresce and hence are not
 255 visible under LSCM. To assess the potential damage, a reference concrete was prepared with CEM I 52.5R at 0.50 w/c
 256 ratio, 10 mm MSA and cured for 14 days in saturated lime solution. The concrete was then sectioned, freeze-dried,
 257 epoxy impregnated, ground and polished, and characterised using LSCM following the same procedures described in
 258 Sections 2.5 and 2.6. The high w/c ratio and short wet curing would prevent autogenous shrinkage, but increase the risk
 259 of mechanical damage. Thus, the reference was likely to overestimate the extent of sample preparation damage.

260 The analysis showed that the reference concrete had microcracks, but these were sparsely distributed and much less
 261 severe compared to the sealed cured samples. The microcrack volume fraction and density were only 0.07% and 0.06
 262 mm/mm² respectively, and 95% of the microcracks had widths below 20 µm (average 11.5 µm). These are small
 263 compared to the values reported in Tables 6 and 7, and Fig. 7. Nevertheless, the potential damage induced by sample
 264 preparation is not negligible and this should be kept in mind when interpreting microscopy data. In any case, all
 265 samples were subjected to the same preparation to allow a fair comparison.

266 4.2 *Comparison between autogenous shrinkage and drying shrinkage microcracks*

267 It would be interesting to compare autogenous shrinkage-induced microcracks with those induced by drying shrinkage
 268 since concrete structures can be exposed to both conditions. Previous studies [21, 22, 48] have characterised
 269 microcracking in pastes, mortars and concretes with and without SCMs (SF, GGBS, PFA), when subjected to various
 270 drying severity from gentle stepwise drying at 21°C/93%→3% RH to more extreme drying at 21°C/55% RH, 21°C/33%
 271 RH, 21°C/0% RH, 50°C/7% RH or 105°C. The results collectively showed that drying-induced microcracks occur near the
 272 exposed surface only and propagate into depths of several mm. The crack width, length and density increased with
 273 drying severity. However, the majority of the cracks (over 80%) had widths less than 10 µm and lengths shorter than
 274 100 µm, even in the most severe drying conditions. The microcracks contribute only 0.1 to 1.3% of the total accessible
 275 porosity. These values are much smaller compared to microcracks induced by autogenous shrinkage (cf. Tables 6 and 7,
 276 Figs. 7 and 8). Furthermore, autogenous-shrinkage induced microcracks are well-distributed and interconnected
 277 throughout the entire sample volume (Figs. 5 and 6).

278 4.3 *Size effects on shrinkage, microcracking and mass transport properties*

279 Increasing the aggregate particle size consistently led to an increase in autogenous shrinkage, microcracking, accessible
 280 porosity and mass transport, especially permeability. This is similar to the size effects observed in cementitious
 281 materials subjected to drying shrinkage [21, 54], but it is worth noting that the impact on mass transport found in this
 282 study is much larger than that reported in Wu et al. 2014 [ref] on 28-day CEM I mortars and concretes at 0.5 w/c ratio.
 283 This is in line with the results showing that autogenous shrinkage causes a more severe and percolated microcracking
 284 compared to drying shrinkage. Furthermore, the effect of microcracking on mass transport is more pronounced in
 285 systems with dense matrix (low w/b ratio, prolonged curing) compared to a more porous matrix, as shown in three-
 286 dimensional flow simulations [50]. Microcracks become the predominant flow paths in very dense matrix containing
 287 little or no capillary porosity.

288 It is worth pointing out that the observed size effects cannot be attributed to percolation of the so-called porous
 289 interface transition zone (ITZ) between aggregate and cement paste. Firstly, the use of SCMs, low w/b ratio and
 290 prolonged curing mean that the samples tested in this study would have very dense ITZs, as seen in the microstructure
 291 (Fig. 5). Secondly, increasing MSA at constant aggregate content equates to fewer aggregate particles, smaller surface
 292 area and thus lower interface regions per sample volume. Thirdly, the separating distance between neighbouring ITZs
 293 increases at larger MSA. All of these factors cannot explain why transport increases with MSA (cf. Table 5 and Fig. 8c),
 294 therefore ITZ is not the main influencing factor. However, microcracking could increase the connectivity of the ITZ,
 295 thereby exacerbating its effect on transport.

296 4.4 *Significance of autogenous shrinkage on mass transport properties*

297 It is well-known that low w/b ratio systems containing SCMs such as GGBS and SF have dense microstructure and low
 298 mass transport properties. This is despite the fact that they experience high autogenous shrinkage (e.g. [43, 55, 56]).
 299 For example, three-dimensional microscopy showed that GGBS and SF refine capillary pore structure, reduce
 300 percolation connectivity and increase diffusion tortuosity [18]. However, self-desiccation decreases internal humidity,
 301 causing the paste matrix to shrink over time. The consumption of portlandite by pozzolanic reaction of the SCMs is also

302 believed to induce additional shrinkage due to the removal of micro-restraints in the paste matrix [6]. This shrinkage
 303 can lead to microcracking that increases long-term mass transport and partly offsets the beneficial effect of pore
 304 refinement.

305 Real concrete structures are rarely maintained under strict autogenous conditions. They are often exposed to cyclic
 306 drying and wetting which can induce further dimensional changes and microcracking. The thermal effects from early
 307 cement hydration and SCM reactions can also induce thermal expansion and contraction which in turn affect the
 308 magnitude and rate of autogenous shrinkage [23]. This is especially significant in large concrete structures where
 309 internal heat cannot be dissipated and may therefore exacerbate microcracking.

310 However, very few studies have examined the nature of the associated microcracking and its impact on long-term
 311 performance. This is due to the difficulties in characterising the microcracks in three-dimensions and isolating their
 312 effect from those of capillary and gel pores, and air voids that are inherently interconnected. The data from this study
 313 shows that after 3.6 years of sealed curing, blended concretes at low w/b ratio continue to show the lowest mass
 314 transport properties, despite experiencing the highest amount of autogenous shrinkage-induced microcracking.
 315 Nevertheless, the microcracking can be mitigated to a large extent by using small aggregate particle sizes and by
 316 incorporating shrinkage reducing admixture. Our data shows that such measures can further decrease long-term
 317 diffusivity and sorptivity by a factor of 2 to 3, and decrease permeability by over a factor of 30 to 700.

318 5 Conclusions

319 This study examined the influence of autogenous shrinkage on microcracking and long-term mass transport properties
 320 of concretes containing supplementary cementitious materials. Twenty-seven concretes with different binder types
 321 (CEM I, CEM I with 9% silica fume replacement, CEM III containing 70% GGBS), w/b ratio (0.20 to 0.45), maximum
 322 aggregate size (MSA: 5, 10, 20 mm) and shrinkage reducing admixture (SRA) were prepared and subjected to sealed
 323 curing for up to ~3.6 years. Oxygen diffusivity, permeability and water sorptivity were tested and the results correlated
 324 to microcrack characteristics obtained using laser scanning confocal microscopy and three-dimensional X-ray
 325 microtomography. The key findings are:

- 326 a. Total autogenous shrinkage ranged between 100 and 350 $\mu\epsilon$. The highest shrinkage occurred in concretes with low
 327 w/b ratio containing SCMs and larger aggregate particles. The presence of silica fume and GGBS increased
 328 autogenous shrinkage by a factor of ~1.3 and ~1.9 respectively relative to the CEM I systems. SRA decreased the
 329 rate and magnitude of shrinkage by a factor of 4 to 5, with blended mixes showing the largest reduction.
- 330 b. Autogenous shrinkage induces microcracks that are interconnected and distributed across the sample volume with
 331 average widths ranging from ~ 15 to 100 μm . The volume fraction, width and length, density and connectivity of
 332 the microcracks increased with increase in shrinkage. In contrast, tortuosity decreased while the specific surface
 333 area and degree of anisotropy remained relatively constant. Mixes containing SCMs and large aggregate particles
 334 showed the most severe microcracking. The use of SRA decreased microcracking, consistent with the reduction in
 335 shrinkage.
- 336 c. In comparison with drying-induced microcracks, autogenous shrinkage-induced microcracks are larger in size, more
 337 densely distributed and interconnected. They occur throughout the sample whereas drying-induced microcracks
 338 occur near the first several mm of the exposed surface only.
- 339 d. Increasing the aggregate particle size consistently led to an increase in autogenous shrinkage, accessible porosity
 340 and mass transport, especially permeability. Transport properties increased with MSA due to the increase in
 341 microcracking and the effect was most pronounced with blended systems. This is similar to the size effects
 342 observed in cementitious materials subjected to drying shrinkage.
- 343 e. Relative to CEM I concretes, GGBS decreased mass transport by an average of 33%, 27% and 26% for diffusivity,
 344 permeability and sorptivity respectively. The corresponding values for SF were 26%, 13% and 16%. SCMs had a
 345 greater effect on concretes at high w/b ratio.
- 346 f. Blended concretes at low w/b ratio show the lowest long-term mass transport properties, despite having the
 347 highest autogenous shrinkage and microcracking. However, further improvements in durability of such concrete is
 348 possible by removing these microcracks, either by using shrinkage reducing admixture or small aggregate particle
 349 size. We estimate that such measures can further decrease diffusivity and sorptivity by a factor of 2 to 3, and
 350 decrease permeability by over a factor of 30 to 700.

351 **Acknowledgements**

352 M.J. Mac acknowledges the funding from the European Union Seventh Framework Programme under grant agreement
353 264448. We thank Mr Andrew Morris for his help with the laboratory work. The research leading to this publication
354 benefitted from EPSRC funding under grant No. EP/R010161/1 and from support from the UKCRIC Coordination Node,
355 EPSRC grant number EP/R017727/1, which funds UKCRIC's ongoing coordination.

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464 **Effect of autogenous shrinkage on microcracking and mass transport properties of concrete**
 465 **containing supplementary cementitious materials**

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 470 **Table 1 Mix proportion of tested concretes.**

Mix ID*	CEM I (kg/m ³)	SF (kg/m ³)	GGBS (kg/m ³)	Water (kg/m ³)	w/b (-)	MSA (mm)	Sand (kg/m ³)	Gravel (kg/m ³)	SP (kg/m ³)	SRA (kg/m ³)
CEM I										
0.20-C	586	-	-	117	0.20	10	718	1077	12	-
0.25-C	542	-	-	136	0.25	10	718	1077	8	-
0.30-C	503	-	-	151	0.30	10	718	1077	5	-
0.35-C	470	-	-	165	0.35	10	718	1077	2	-
0.40-C	441	-	-	176	0.40	10	718	1077	-	-
0.45-C	413	-	-	186	0.45	10	718	1077	-	-
0.30-C-5	503	-	-	151	0.30	5	702	1053	5	-
0.30-C-20	503	-	-	151	0.30	20	724	1086	5	-
0.30-C-SRA	495	-	-	149	0.30	10	718	1077	5	5
CEM I + 9% SF										
0.20-SF	534	53	-	117	0.20	10	718	1077	12	-
0.25-SF	485	48	-	133	0.25	10	718	1077	8	-
0.30-SF	451	45	-	149	0.30	10	718	1077	5	-
0.35-SF	422	42	-	162	0.35	10	718	1077	3	-
0.40-SF	396	39	-	174	0.40	10	718	1077	-	-
0.45-SF	371	37	-	183	0.45	10	718	1077	-	-
0.30-SF-5	451	45	-	149	0.30	5	702	1053	5	-
0.30-SF-20	451	45	-	149	0.30	20	724	1086	5	-
0.30-SF-SRA	444	44	-	146	0.30	10	718	1077	5	5
CEM III (70% GGBS)										
0.20-GGBS	170	-	397	113	0.20	10	718	1077	11	-
0.25-GGBS	158	-	368	132	0.25	10	718	1077	8	-
0.30-GGBS	147	-	342	147	0.30	10	718	1077	5	-
0.35-GGBS	137	-	321	160	0.35	10	718	1077	2	-
0.40-GGBS	129	-	301	172	0.40	10	718	1077	-	-
0.45-GGBS	121	-	282	181	0.45	10	718	1077	-	-
0.30-GGBS-5	147	-	342	147	0.30	5	702	1053	5	-
0.30-GGBS-20	147	-	342	147	0.30	20	724	1086	5	-
0.30-GGBS-SRA	145	-	337	145	0.30	10	718	1077	5	5

471 *C = CEM I; SF = silica fume; GGBS = ground granulated blastfurnace slag; SRA = shrinkage reducing admixture, MSA = maximum size
 472 of aggregate; SP = superplasticiser

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476 **Table 2 Oxide composition and physical properties of binders.**

Binder	Oxide composition (%)									Specific gravity (-)	Fineness (cm ² /g)	Loss on ignition (%)
	CaO	SiO ₂	Al ₂ O ₃	Fe ₂ O ₃	MgO	Na ₂ O	K ₂ O	SO ₃	Cl-			
CEM I 52.5 R	63.4	20.8	5.4	2.4	1.5	0.3	0.7	2.9	<0.1	3.06	2910	2.10
CEM III/B 42.5 N	48.0	29.2	8.9	1.2	4.8	0.2	0.6	2.6	0.1	2.90	4635	1.40
Silica fume	0.15	98.7	0.31	0.02	0.04	0.09	0.30	-	-	2.30	-	0.47

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479 **Table 3 Properties of aggregates.**

Aggregate type	MSA (mm)	Specific gravity (-)	24-hr absorption (%)	Moisture content (%)
Sand	5	2.56	0.80	0.20
	10	2.60	0.79	0.50
Gravel	10	2.70	0.76	0.45
	20	2.74	0.72	0.40

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482 **Table 4 Methods for quantifying microcracks.**

Parameter	Software	Method	Definition
Volume fraction	Fiji (BoneJ)	Pixel counting	Total area of microcracks ÷ image area
Specific surface area (SSA)	Avizo® Fire	Line-object intercept to compute Crofton perimeter [36]	Total Crofton perimeter ÷ total area of microcracks
Degree of anisotropy (DA)	Fiji (BoneJ)	Mean intercept length (MIL) [37,38]	DA = 1 – [minimum eigenvalue ÷ maximum eigenvalue of MIL vector cloud]; 0 < DA < 1 (0: isotropic, 1 = anisotropic)
Width	Fiji (BoneJ)	Thickness computing [39,40]	Area based local size of microcracks computed by fitting the largest circle to every point in the microcracks.
Length	Fiji (BoneJ)	Dendritic length via medial surface axis thinning [41]	Area ÷ pixel side length of individual skeletonised microcrack
Density	Fiji (BoneJ)	Dendritic density via medial surface axis thinning [41]	Total dendritic length of skeletonised microcracks ÷ image area
Connectivity	Avizo® Fire	Labelling	Volume of largest connected microcrack ÷ total volume of microcracks
Tortuosity	Avizo® Fire	Centroid path computing [42]	Total path length through centroids of microcracks on each image plane ÷ straight distance between two end planes along x, y or z axis

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Table 5 Effect of maximum aggregate size (MSA: 5, 10, 20 mm) and binder type on transport properties and accessible porosity of 0.30 w/b concretes after 3.6 years sealed curing. Numbers in parentheses represent \pm one standard error.

MSA	O ² diffusivity ($\times 10^{-9}$ m ² /s)			O ² permeability ($\times 10^{-18}$ m ²)			Water sorptivity (g/m ² min ^{0.5})			Accessible porosity (%)		
	C	SF	GGBS	C	SF	GGBS	C	SF	GGBS	C	SF	GGBS
5	1.91 (0.39)	1.10 (0.09)	1.09 (0.12)	0.23 (0.01)	< 0.1	< 0.1	10.1 (4.32)	6.12 (0.04)	5.24 (0.12)	2.37 (0.52)	1.30 (0.02)	1.52 (0.04)
10	2.86 (0.18)	2.16 (0.04)	1.73 (0.17)	4.52 (0.84)	0.50 (0.19)	0.44 (0.23)	9.10 (0.73)	7.58 (0.25)	5.60 (0.13)	4.30 (0.60)	3.46 (0.12)	1.52 (0.10)
20	2.93 (0.26)	3.56 (0.19)	3.10 (0.60)	7.74 (2.87)	71.1 (13.9)	22.4 (5.74)	12.6 (1.65)	16.3 (2.36)	13.1 (0.71)	5.68 (0.76)	5.91 (0.49)	5.27 (0.80)

* C = CEM I, SF = silica fume, S = slag

Table 6 Effect of w/b ratio and binder type on the characteristics of autogenous shrinkage induced microcracks in concretes with MSA 10 mm.

w/b	Vol. fraction (%)			Spec. surface (mm ⁻¹)			Density (mm/mm ²)			Degree of anisotropy (-)			Connectivity (-) SF	Tortuosity (-) SF		
	C	SF	GGBS	C	SF	GGBS	C	SF	GGBS	C	SF	GGBS		x	y	z
0.20	1.73	1.91	2.18	10.3	9.24	8.06	0.49	0.39	0.31	0.14	0.34	0.36	54.2	5.91	5.41	4.86
0.25	1.64	1.94	2.17	9.97	8.67	7.95	0.43	0.40	0.33	0.31	0.29	0.27	46.2	5.62	5.81	5.45
0.30	1.62	1.79	2.08	9.27	8.85	7.88	0.42	0.36	0.30	0.34	0.37	0.36	38.6	8.68	4.55	5.20
0.35	1.30	1.63	1.68	9.57	9.02	6.88	0.31	0.26	0.22	0.22	0.28	0.30	16.7	7.42	7.55	6.46
0.40	0.88	0.95	1.33	10.2	8.70	6.33	0.21	0.15	0.18	0.17	0.07	0.16	15.9	8.96	7.18	7.11
0.45	0.17	0.21	0.40	11.7	9.19	7.05	0.10	0.04	0.08	0.11	0.15	0.16	3.33	13.3	11.9	13.3
0.30*	0.30	0.21	0.33	112	73.0	106	0.17	0.07	0.12	0.15	0.18	0.08	-	-	-	-

* Containing SRA

Table 7 Effect of maximum aggregate size (MSA: 5, 10, 20 mm) and binder type on the characteristics of autogenous shrinkage induced microcracks in 0.30 w/b concretes.

MSA	Vol. fraction (%)			Spec. surface (mm ⁻¹)			Average width (μ m)			Average length (μ m)			Density (mm/mm ²)			Anisotropy (-)		
	C	SF	GGBS	C	SF	GGBS	C	SF	GGBS	C	SF	GGBS	C	SF	GGBS	C	SF	GGBS
5	0.39	0.55	0.62	12.8	12.1	11.1	14.7	23.3	32.4	95	103	113	0.27	0.24	0.19	0.27	0.23	0.27
10	1.62	1.79	2.08	9.27	8.85	7.88	38.2	50.3	69.6	161	194	174	0.42	0.36	0.30	0.34	0.37	0.36
20	2.34	2.87	3.63	7.04	6.28	5.57	45.5	71.8	102.3	239	214	250	0.52	0.40	0.36	0.26	0.25	0.49

Fig. 1 Particle size distribution of coarse and fine aggregates.

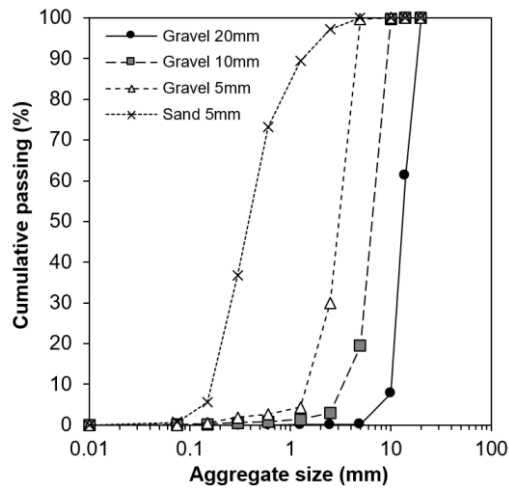


Fig. 2 Autogenous shrinkage (linear deformation) of concretes with different w/b ratios and binder types (a-c), and maximum size of aggregates (MSA, d).

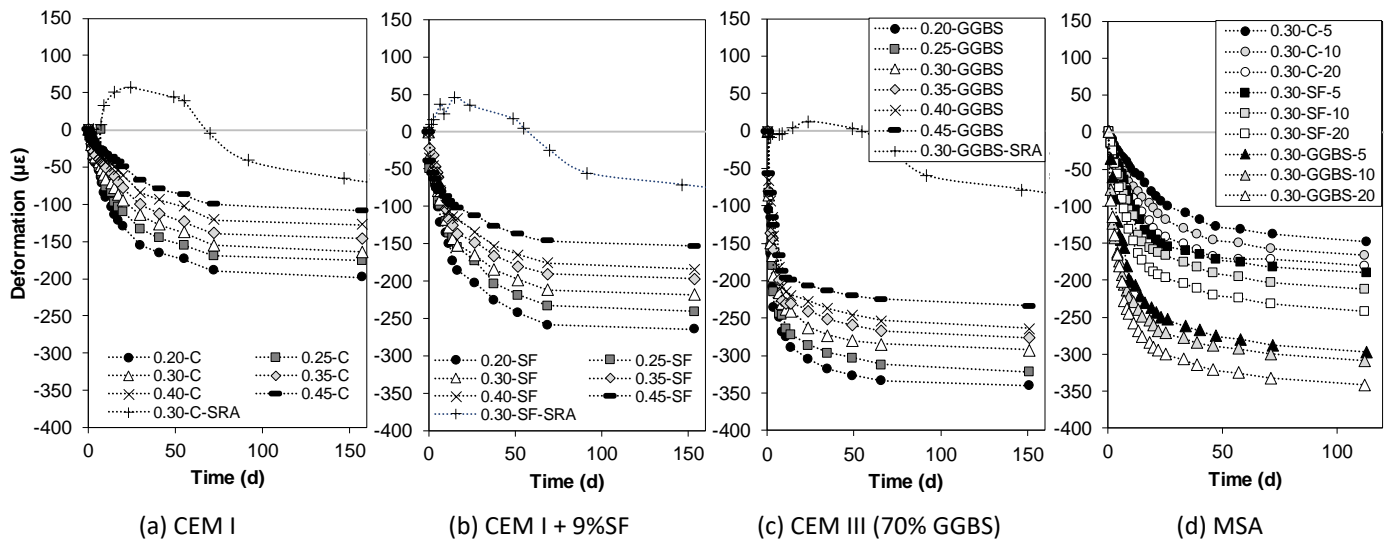


Fig. 3 Correlations between mass transport properties and autogenous shrinkage for concretes with different binder types and w/b ratio after 3.6 years sealed curing. Mixes containing shrinkage reducing admixture (SRA) are circled. Error bars represent \pm one standard error.

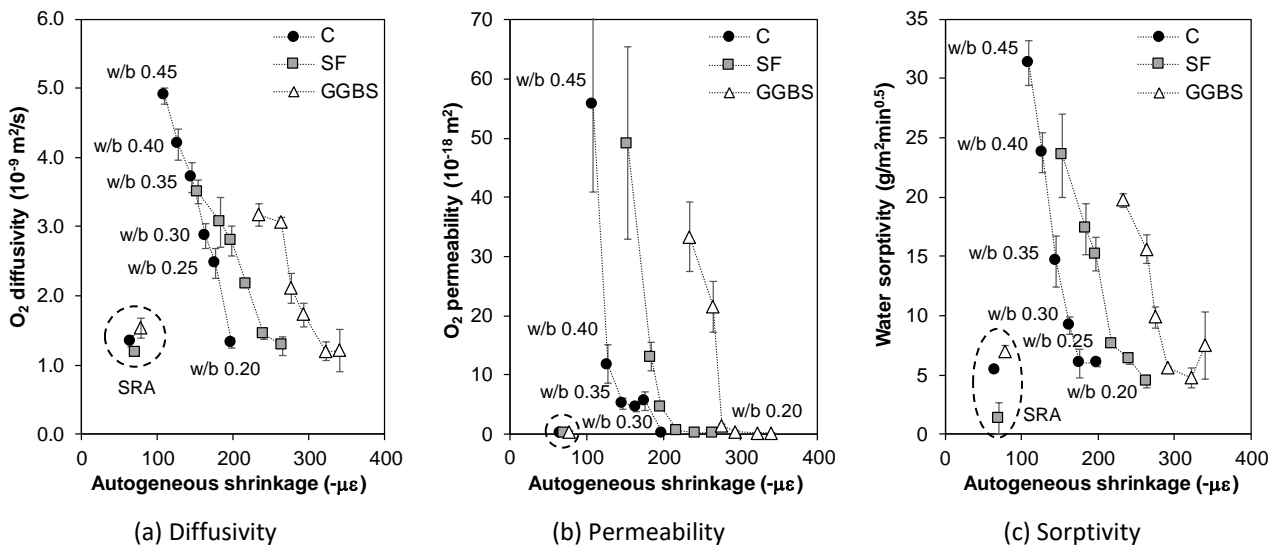


Fig. 4 Correlations between transport properties and accessible porosity for all samples after 3.6 years sealed curing. Error bars represent \pm one standard error.

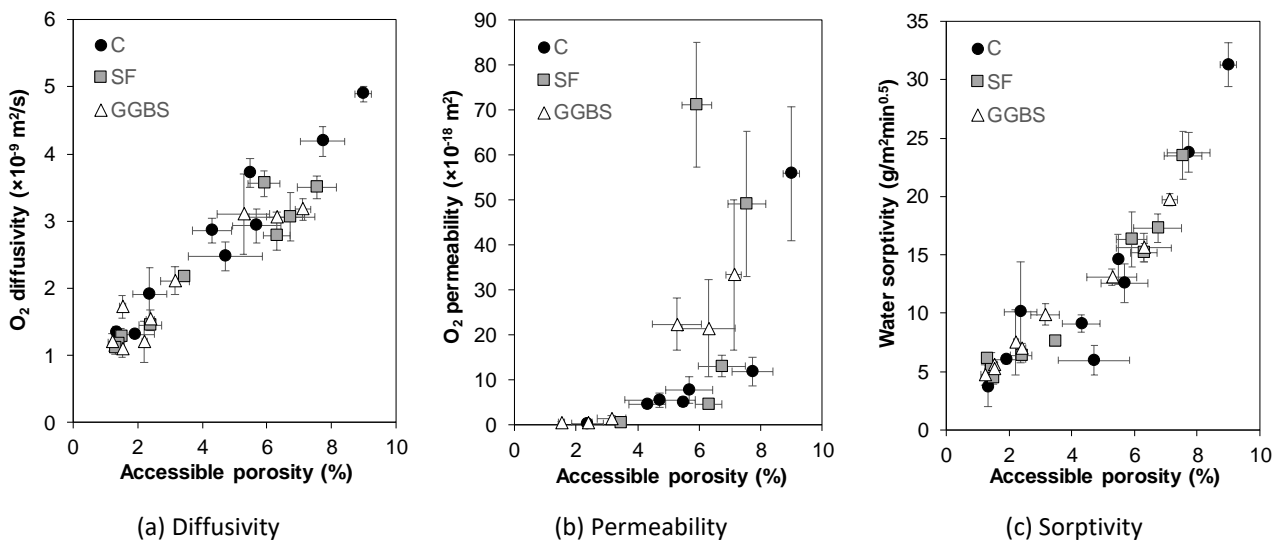
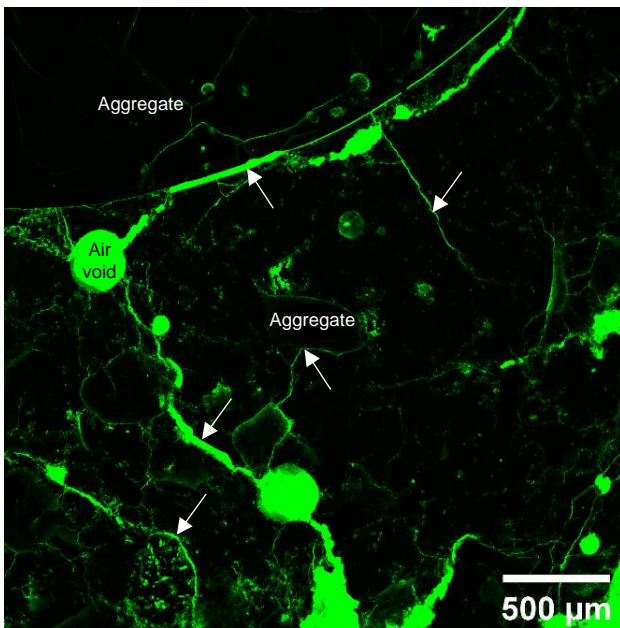
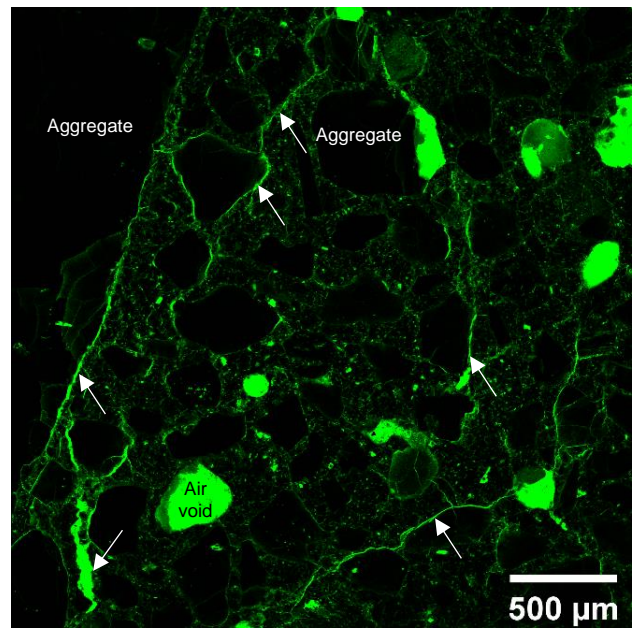


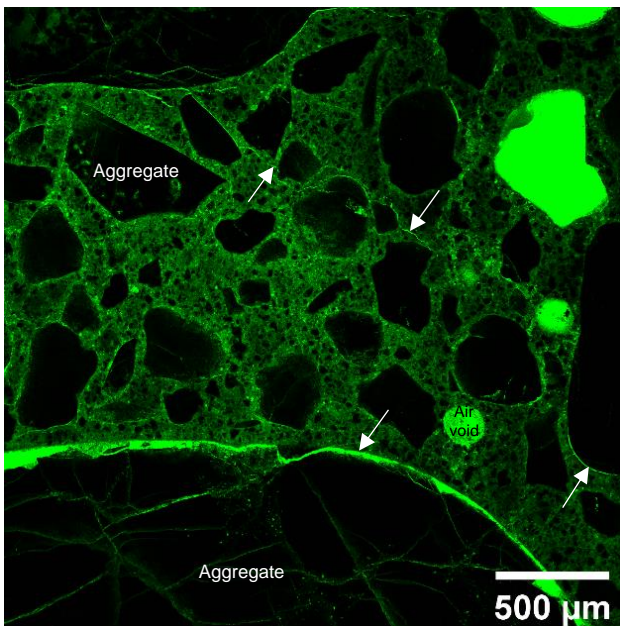
Fig. 5 Example LSCM images of SF-blended concretes. Mixes at lower w/b ratios showed less capillary porosity, but higher amounts of microcracking (arrowed).



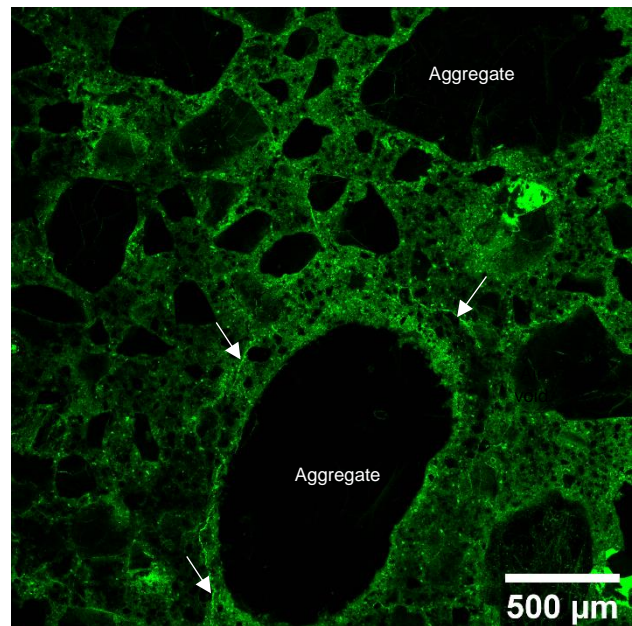
(a) 0.20-SF



(b) 0.25-SF



(c) 0.30-SF



(d) 0.35-SF

Fig. 6 3D views of microcracks extracted from X-ray μ CT images of silica fume-blended systems. Colour bars represent local thickness (microcrack width).

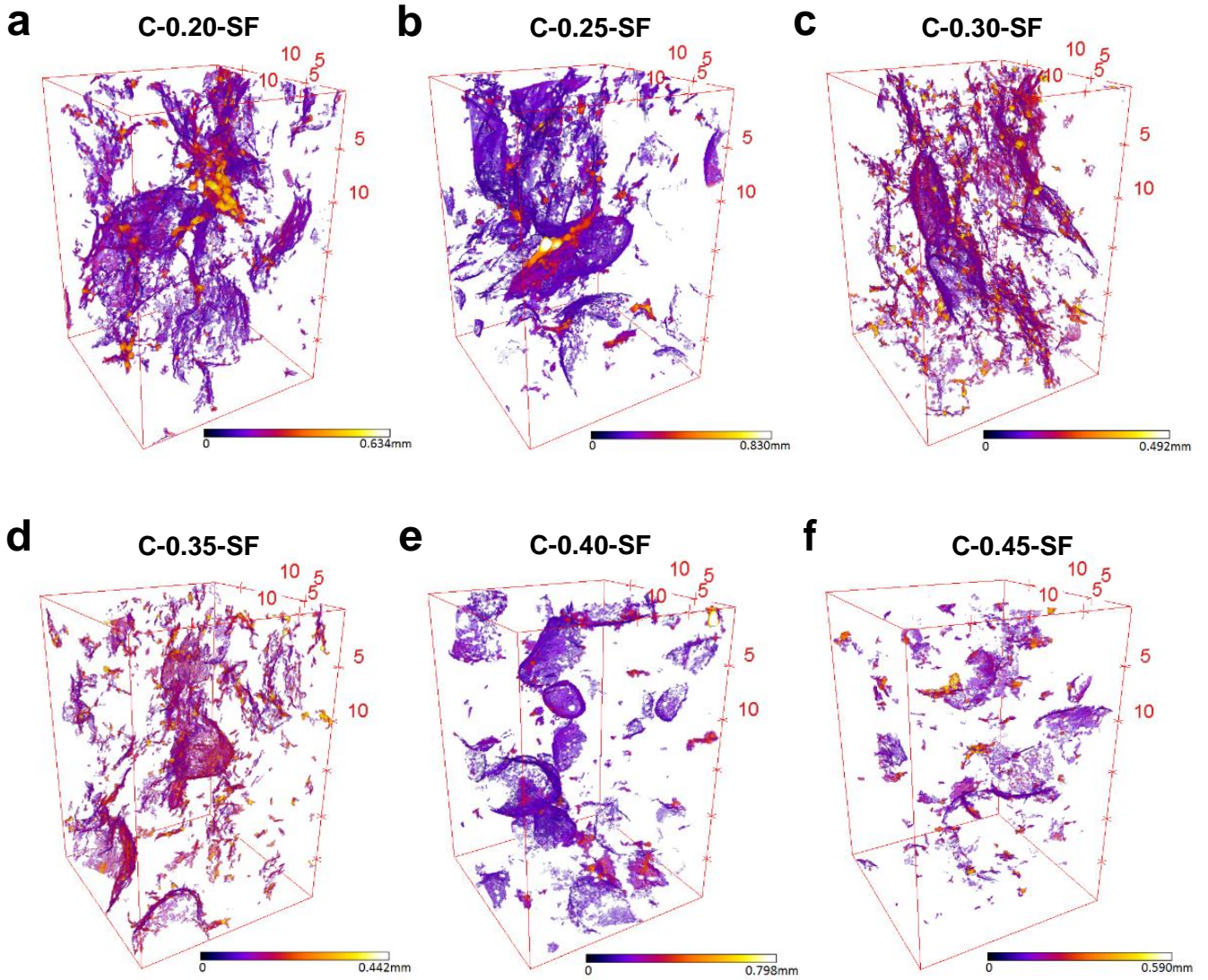


Fig. 7 Cumulative distribution of microcrack width (a) and length (b) measured on cross-section (65 × 95 mm) of concretes with different binder types and w/b ratios. Average values are indicated by markers.

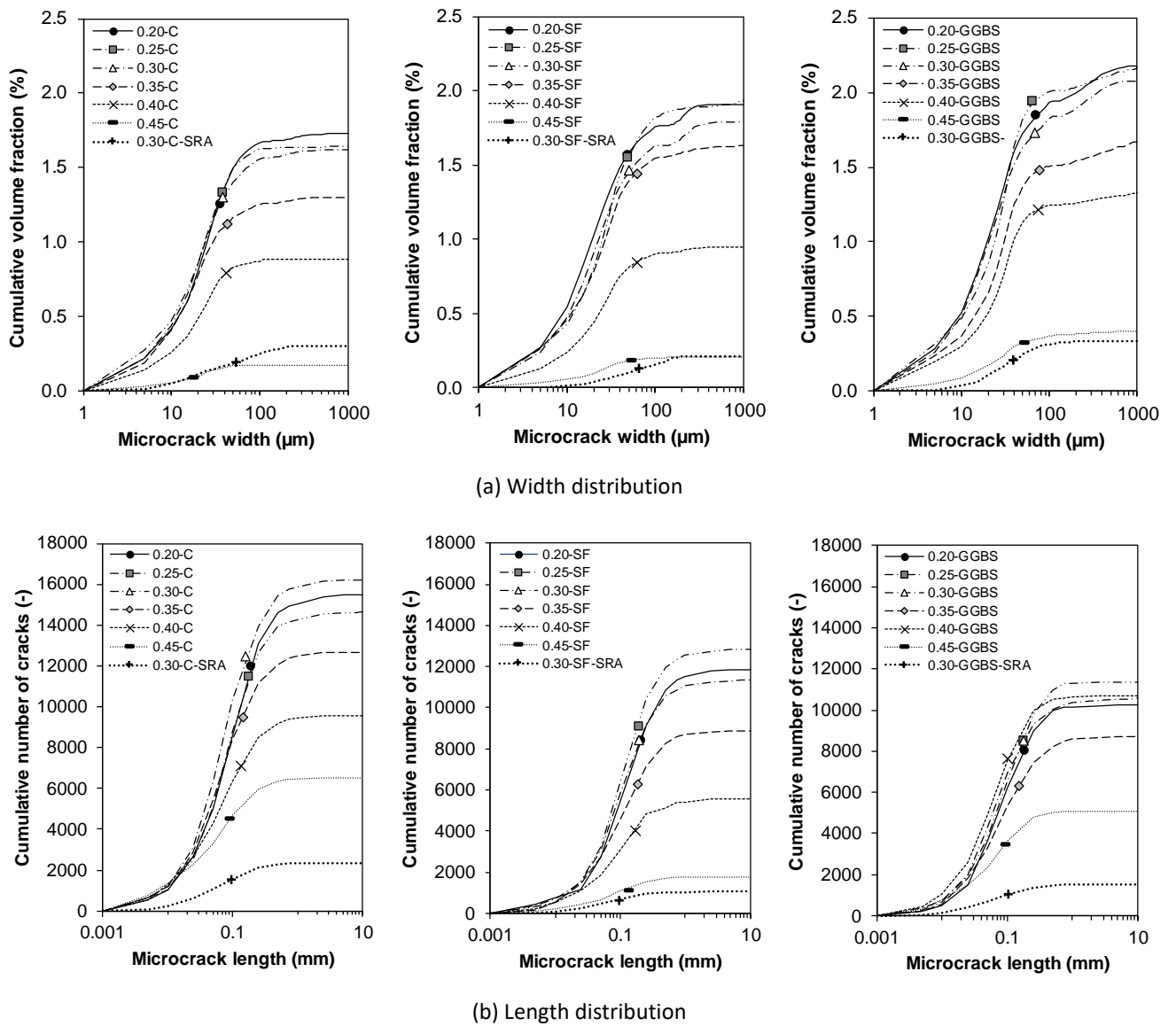


Fig. 8 Relationships between microcrack volume fraction, autogenous shrinkage and accessible porosity for different binder types, w/b ratios (a, b) and maximum aggregate size MSA (c).

