

HYPERS Simulations of Solar Wind Interactions with the Earth's Magnetosphere and the Moon

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Abstract

Hybrid simulations, where ions are treated kinetically and electrons as a fluid, seek to describe ion microphysics with maximum physical fidelity. The hybrid approach addresses the fundamental need for space plasma models to incorporate physics beyond magnetohydrodynamics. Global hybrid simulations must account for a wide range of both kinetic ion and whistler/Alfvén wave spatio-temporal scales in strongly inhomogeneous plasmas. We present results from two three-dimensional hybrid simulations performed with a novel asynchronous code, HYPERS designed to overcome computational bottlenecks that typically arise in such multiscale simulations. First, we demonstrate an excellent match between simulated lunar wake profiles and observations. We also compare our results to similar ones from two other hybrid simulations performed with conventional (time-stepped) codes. Second, we investigate the interaction of the solar wind with the Earth's dayside

magnetosphere under conditions when the orientation of the interplanetary magnetic field is quasi-radial. In this high-resolution simulation we highlight three-dimensional properties of foreshock perturbations formed by the backstreaming ions.

Keywords: Models, Magnetosphere, Kinetic, Hybrid

1 Introduction

Forecasting the behavior of the Earth’s magnetosphere is one of the grand challenges of space physics research. The reliance of our society on space-based assets for telecommunication, weather monitoring, and surveillance drives the need for better understanding of the factors that control magnetosphere dynamics. The Earth’s magnetosphere is a complex, nonlinear system, where many distinct physical processes operate across scales and couple together in different regions [e.g. 1]. A majority of existing physics-based global models employ magnetohydrodynamics (MHD) as the underlying framework for describing plasma dynamics. Such models are known to have mixed success in reproducing observations [e.g. 2]. Kinetic physics of the solar wind-magnetosphere coupling has long been discussed as one of the important ingredients missing from such models. That is because kinetic effects often control mass and energy transport, especially in numerous magnetospheric boundary layers, such as the bow shock and the magnetopause. Kinetic effects are also clearly important for describing the foreshock regions, dynamics of ionospheric outflows, and magnetic reconnection.

The potential significance of the kinetic effects has stimulated an extensive body of work aimed at constructing global models that go beyond MHD. Fluid models could be obtained by utilizing underlying theoretical approximations for describing plasma motion that average out certain scales. MHD is the most widely used and successful approximation of this type, but multi-fluid or extended fluid models have also been proposed [e.g. 3]. Augmented fluid models, with better closures of moment equations, are also being pursued to improve the representation of kinetic physics [e.g. 4, 5]. More sophisticated approximations of this type, such as the gyrokinetic approach [6], which has been hugely successful in magnetic fusion energy applications, average out some degrees of freedom (e.g. particle gyro-motion). A more direct approach is to include microscopic physics only locally in selected regions of configuration space by embedding a kinetic solver within a large-scale fluid

31 framework [7, 8, 9, 10, 11].

32 The focus of this paper is a particular approximation known in plasma
33 physics as a quasineutral hybrid description. The electron inertial scales and
34 radiation effects are removed from this approximation and microscopic ion
35 physics is incorporated with maximum fidelity [12, 13, 14]. The hybrid ap-
36 proach, bridging scales between MHD and full plasma kinetics, has shown
37 great promise in global magnetospheric and laboratory plasma applications.
38 In many cases hybrid-PIC (Particle-in-Cell) [14] and hybrid-Vlasov [15]) mag-
39 netospheric models reveal significantly different plasma dynamics compared
40 to fluid models, producing closer matches between simulation results and
41 observations. This comes, however, at the expense of having to numerically
42 handle a wide range of spatio-temporal scales (compared to MHD), which
43 gives rise to daunting computational challenges in global three-dimensional
44 (3D) simulations.

45 Below we discuss how some of these challenges have been overcome in a
46 novel, asynchronous hybrid code, HYPERS (HYbrid Particle Event-Resolving
47 Simulator) [16]. The main goal of this paper is to provide a status update
48 on the continuous development of HYPERS capabilities by discussing results
49 from two challenging 3D problems performed here as case studies. Specifi-
50 cally 1) we compare results from lunar wake simulations to both observations
51 and previous simulations to demonstrate the accuracy of HYPERS and reveal
52 computational details that affect physical fidelity of hybrid simulations, and
53 2) we present results from a high-resolution 3D simulation of the solar wind
54 interaction with the Earth’s dayside magnetosphere and discuss our findings
55 in the context of theory and available observational data.

56 **2. Hybrid Parallel Event-Resolving Simulator (HYPERS)**

57 HYPERS is an asynchronous, massively parallel hybrid code, which treats
58 ions as particles and electrons as a massless quasineutral fluid in the Darwin
59 (radiation-free) approximation [16, 17]. Compared with conventional hybrid
60 codes, HYPERS implements a novel computational approach to simulation:
61 Event-Driven Multi-Agent Planning System (EMAPS). EMAPS is a newer
62 acronym that replaces a more general term, DES (Discrete-Event Simulation)
63 used in previous HYPERS related publications to emphasize event-driven
64 computation. This new acronym emphasizes self-adaptivity of asynchronous
65 rule-based calculations compared to conventional DES. EMAPS, acting as an
66 intelligent ”Simulation Time Operating System”, evolves the hybrid model in

67 time via change prediction, detection and execution, rather than synchronous
68 time stepping. As a result, EMAPS enables stable and accurate time advance
69 of temporally disparate computational elements (particles, discretized vari-
70 ables, external models, etc) on their own local timescales, i.e. without forcing
71 their global update at predetermined time steps. This property of EMAPS
72 dramatically improves the fidelity and efficiency of multiscale hybrid simula-
73 tions compared to synchronous time stepping, which makes EMAPS an ex-
74 cellent choice for modeling strongly coupled and inhomogeneous systems such
75 as planetary magnetospheres. HYPERS has already performed challenging
76 modeling tasks on massively parallel supercomputers with more than 100,000
77 cores. More sophisticated simulations will inevitably benefit from incorpo-
78 rating mesh refinement techniques and taking advantage of steady progress
79 in computing power.

80 In HYPERS the global model of solar wind interactions with planetary
81 bodies is initialized with a uniform (generally multiple ion species) plasma
82 flow, which streams past a spherical conducting or resistive obstacle. This
83 obstacle may represent an inner magnetospheric boundary with a magnetic
84 dipole, or an unmagnetized body such as the Moon. In addition, ion outflows
85 can be optionally enabled to study their impact on magnetospheric processes.
86 The Earth radius, as well as the magnetopause position are typically scaled
87 down in global hybrid simulations compared to their actual values. For in-
88 stance, the characteristic proton skin depth, λ_p in the solar wind is of order
89 100 km, the Earth radius is $\sim 64\lambda_p$ and the magnetopause distance, R_{MP}
90 is $\sim (6 - 15)R_E \sim (400 - 1000)\lambda_p$. The largest 3D HYPERS simulations
91 to date used approximately $1000 \times 2000 \times 2000$ cells and $R_{MP} \sim 160\lambda_p$.
92 Earlier, detailed comparisons of global HYPERS simulations with similar
93 simulations performed with a time-stepped hybrid code, H3D demonstrated
94 the superior performance of HYPERS in terms of computing speed and nu-
95 merical accuracy, with HYPERS producing less diffusive and less dispersive
96 solutions [16].

97 In the simulations discussed in this paper all external domain boundaries
98 are considered to be absorbing for waves. This is implemented by introduc-
99 ing spatial layers where the plasma resistivity grows towards external bound-
100 aries. The domain boundaries in the solar wind direction (x-direction) are
101 absorbing for particles. Other domain boundaries implement semi-reflective
102 conditions that absorb highly energetic and back-streaming particles and re-
103 flect other particles. All particles are absorbed when they hit the obstacle
104 boundary. Interplanetary (IP) shocks and solar wind discontinuities can be

105 initialized in HYPERS by changing plasma injection parameters at the in-
106 flow boundary. Rotational discontinuities may be introduced by modifying
107 the tangential electric field at the inflow boundary. Locally modified compo-
108 nents of the Interplanetary Magnetic Field (IMF) tangential to the injection
109 surface are then transported into the simulation domain by free streaming
110 plasma. EMAPS automatically adjusts particle and field time steps in ac-
111 cordance with local flow conditions to maintain prescribed accuracy.

112 **3. Lunar Wake Simulations**

113 Recent spacecraft missions have effectively established the Moon as a
114 unique plasma physics laboratory for studying universal processes at the
115 scale of the ion inertial length. Many of these phenomena affect all plan-
116 ets, including the Earth. Kinetic ion simulations of solar wind interactions
117 with the Moon are useful for both explaining observations and improving
118 hybrid simulation models [18, 19, 20], which are actively used for exploring
119 the multiscale physics of planetary magnetospheres. Predictive capabilities
120 of computational hybrid models strongly depend on their implementation
121 details such as spatial-temporal discretizations of Maxwell’s equations, equa-
122 tions of particle motion and particle-mesh coupling (interpolation) schemes.
123 In addition, as we show below, physical fidelity of results may be greatly
124 affected by a modeling method chosen for treating low-density and vacuum
125 regions where the standard hybrid model is not applicable.

126 Given the relative simplicity of the Moon’s environment compared to the
127 Earth’s magnetosphere, as well as availability of numerous lunar wake obser-
128 vations, such as recorded by the Time History of Events and Macroscale In-
129 teractions during Substorms (THEMIS)/Acceleration, Reconnection, Turbu-
130 lence and Electrodynamics of the Moon’s Interaction with the Sun (ARTEMIS)
131 spacecraft [21], lunar simulations present an excellent test bed for validating
132 hybrid codes used in space plasma physics.

133 Below we compare HYPERS results with observations and results from
134 similar 3D simulations of solar wind interactions with the Moon obtained
135 with two other hybrid codes, namely a code used by Omidi et al. [22] and
136 the AMITIS code [23], used by Poppe [24] in a comment on the former. The
137 goal of all these studies is to accurately simulate physical phenomena recorded
138 by the ARTEMIS P2 spacecraft during its crossing of the Moon’s wake. In
139 addition, a comparative analysis of three hybrid simulations serve the purpose
140 of demonstrating the role of numerical effects in hybrid simulations.

141 In our study we use baseline solar wind parameters from the "Run-0"
 142 simulation by Omidi et al. [22]. We employ a resistivity model that treats
 143 the Moon and low-density plasma regions as highly resistive media with a
 144 resistivity, $\eta \approx 2 \times 10^7 \Omega \cdot m$, similar in magnitude to the resistivity used in
 145 the AMITIS simulations [23]. The purpose of this ad hoc resistivity model is
 146 to enable fast propagation of magnetic field in vacuum in the absence of the
 147 displacement current (radiation) term in the hybrid equations. In addition,
 148 a small constant value of resistivity, $\eta = 10^2 \Omega \cdot m$ is applied inside plasma
 149 to smooth out noise. To avoid spurious features at wake edges, where the
 150 resistivity becomes discontinuous, we smooth the resistivity by applying a
 151 spatial filter.

152 Following [22] the x and y axes in our lunar wake simulations are opposite
 153 to the corresponding GSE axes, and the orientation of the z axis is the
 154 same. The solar wind streams along the x direction. We assume that the
 155 interplanetary magnetic field with a strength, $B_0 = 9 \text{ nT}$ lies in the x-y plane
 156 with a cone angle of 30 degrees: $\mathbf{B}_0 = [7.8, -4.5, 0] \text{ nT}$. The solar wind is
 157 composed of protons only: the proton number density, $n_0 = 3.5 \text{ cm}^{-3}$, the
 158 proton speed, $V_0 = 610 \text{ km/s}$, and the proton and electron temperatures,
 159 $T_p = T_e = 22 \text{ eV}$. For the chosen parameters the Moon's radius, $R_M \approx 14\lambda_p$
 160 and the Mach number, $M_A = V_0/V_A \approx 5.8$, where $\lambda_p = c/\omega_p$ is the proton
 161 inertial length and V_A is the Alfvén speed, $V_A = B_0/\sqrt{4\pi n_0 m_p}$ (ω_p and m_p
 162 are the proton plasma frequency and mass, respectively).

163 To establish convergence of numerical results with respect to mesh resolu-
 164 tion we have conducted simulations using two different meshes, $\Delta x = \Delta y =$
 165 $\Delta z = \lambda_p$ ($100 \times 100 \times 100$ cells) and $\Delta x = \Delta y = \Delta z = 0.5\lambda_p$ ($200 \times 200 \times 200$
 166 cells). These simulations were initialized with 100 macro-particles per cell
 167 and run for a time period $\simeq 2L/V_0$ (L is the domain length in the x-direction),
 168 long enough to establish a time-steady profile of the lunar wake. The electric
 169 field at the upstream boundary is set to the unperturbed solar wind value,
 170 $\mathbf{E}_0 = -\mathbf{V}_0 \times \mathbf{B}_0$ and computed self-consistently at other boundaries. Tan-
 171 gential components of self-generated magnetic field are set to zero at the
 172 upstream boundary and remain floating at other boundaries. Note that the
 173 HYPERS solver automatically takes into account nonuniform resistivity in
 174 the lunar wake simulations, producing field time step distributions shown in
 175 Fig. 1.

176 Omidi et al. [22] explored simulation setups where in addition to bulk
 177 thermal protons ("Run-0") the solar wind was also initialized with small pop-
 178 ulations of energetic protons. The energetic ions were claimed to dominate

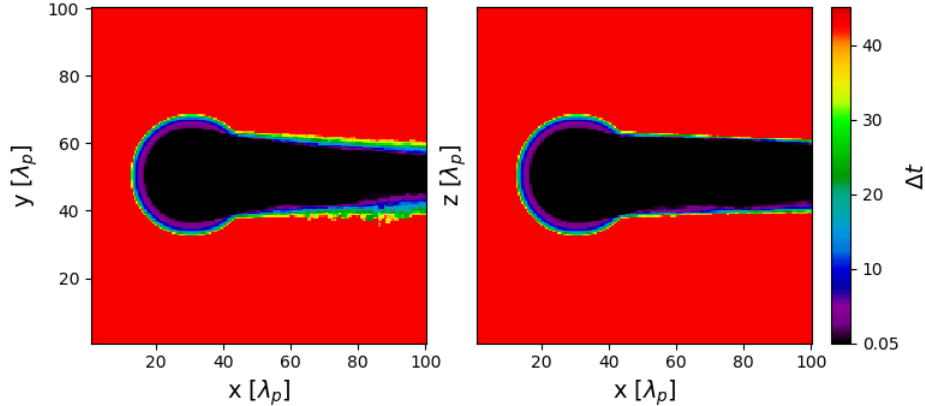


Figure 1: Time steady distributions of field time steps normalized to the inverse proton plasma frequency, ω_p^{-1} in two central planes, x-y and x-z in the 3D HYPERS lunar wake simulation with $\Delta x = 0.5\lambda_p$. The black color corresponds to small time steps taken by the field solver to correctly describe fast magnetic field diffusion in cells where the plasma density falls below the cutoff density ($\simeq 0.18 \text{ cm}^{-3}$)

179 solar wind interactions with the Moon. These conclusions were challenged
 180 by Poppe [24], followed by a reply by Omidi et al. [25]. Our study focuses
 181 on three questions brought up in this discussion: 1) Is the presence of ener-
 182 getic ions in the solar wind essential for explaining the observed lunar wake
 183 structure, and most notably its magnetic field profile? 2) Is the compres-
 184 sional wake structure simulated by Omidi et al. [22], but not observed in
 185 the AMITIS simulations [24], physical? 3) How well can hybrid simulations
 186 estimate the amplitude of the magnetic rarefaction wake during the inbound
 187 and outbound paths of the ARTEMIS spacecraft trajectory?

188 Below we present our results in a form convenient for critical comparisons
 189 with both simulations [22],[24] and observations. Fig. 2 matches magnetic
 190 field magnitudes in our simulations, as a function of spacecraft transit time,
 191 with observations discussed in [22]. Fig. 3 contains plasma density and mag-
 192 netic field magnitude snapshots (cross-cuts) from our higher-resolution run.
 193 This figure can be directly compared with Fig. 2 in [24] and similar figures in
 194 [22]. Fig. 2 can also be directly compared to Fig. 3 in [24] and similar figures
 195 in [22].

196 We further evaluate our simulation results in a step-by-step fashion with
 197 a focus on the three science questions formulated above.

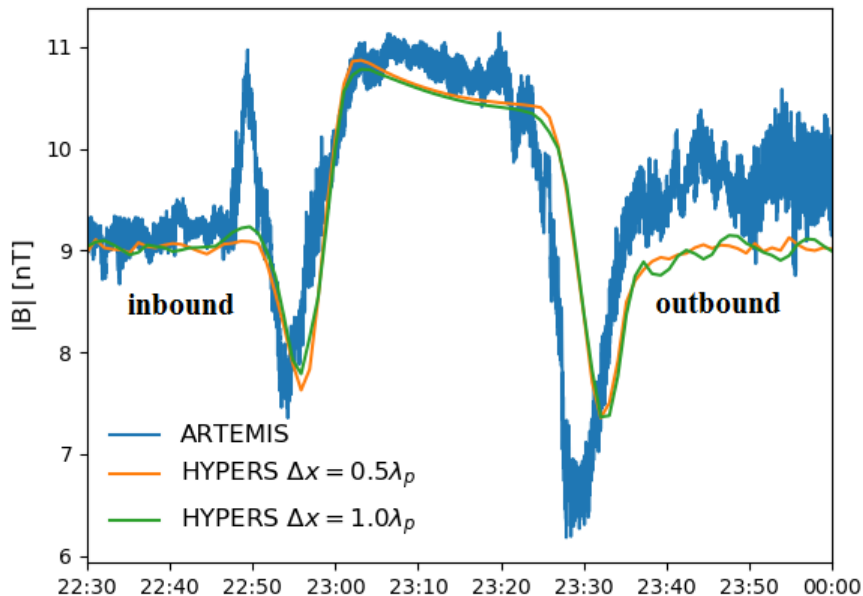


Figure 2: Comparison of the ARTEMIS P2 magnetic field magnitude profile [22] with results from two HYPERS lunar simulations with different mesh resolutions.

198 **Q1: Magnetic field profile.** As noted by Poppe [24] the hybrid model
 199 [22] lacks a vacuum resistivity model. Though details of their resistivity
 200 model are unclear, Omidi et al.[25] confirmed they did not use a large resis-
 201 tivity in the wake region where the hybrid model breaks down in the absence
 202 of plasma. We concur with [24] that such a model is necessary for lunar
 203 wake studies since it provides a physical mechanism for fast magnetic field
 204 propagation in vacuum in the absence of radiation effects. In the absence
 205 of this "vacuum" resistivity, Omidi et al. [22] obtained an unphysical mag-
 206 netic field profile in their baseline case ("Run-0", no energetic ions). Adding
 207 populations of energetic ions into the solar wind then resulted in producing
 208 simulation profiles that matched the observational data more closely. Based
 209 on these findings Omidi et al. [22] concluded that energetic ions play a domi-
 210 nant role in explaining the observed magnetic field magnitudes in the Moon's

211 wake. The comment by Poppe [24], however, pointed out that the lunar wake
212 in the baseline case in [22] was modeled incorrectly. In other runs Omidi et
213 al. [22] initialized the solar wind with energetic ions that formed a low-density
214 plasma in the wake, capable of supporting fast magnetic propagation. Not
215 surprisingly, magnetic field amplitude profiles in those simulations were found
216 to be more realistic [24].

217 In our simulations the vacuum resistivity is chosen to be large enough to
218 enable converging results. These simulations convincingly prove (see Fig. 2)
219 that one can accurately simulate the observed magnetic field magnitudes in
220 the Moon’s wake without assuming the presence of energetic ions in the solar
221 wind. We generated these wake profiles along a path obtained by combining
222 three segments of the ARTEMIS spacecraft trajectory. The data are then
223 interpolated from simulation cells that are the closest to points chosen in this
224 path. In the simulation frame of reference the Moon-centered coordinates of
225 the chosen four points of the ARTEMIS trajectory in R_M units are as follows:
226 22:30 (0.14,-1.95,-0.63), 23:00 (1.31,-0.81,-0.13), 23:30 (1.55,0.85,0.44), 24:00
227 (1.02,2.20,0.83).

228 **Q2: Compressional effects.** Omidi et al. in their reply [25] to the
229 comment by Poppe [24] acknowledge the importance of describing vacuum
230 in the Moon’s hybrid simulations as a highly resistive medium. At the same
231 time they note that the AMITIS simulations [24] do not show a compressional
232 wake in the Moon’s tail structure. Indeed, Fig. 2 in [24] lacks this feature.
233 Moreover, a conclusion is made in [24] that compressional effects in the wake
234 observed in [22] may be transient in nature since the simulation [22] may
235 not have reached a steady state. In their turn, Omidi et al. [25] refer to
236 the presence of this feature in their simulations as an evidence in support
237 of their conclusion that the Moon’s wake is dominated by energetic protons
238 with large Larmor radii. We note, however, that the compressional wake can
239 be also observed in our steady state solutions (see Fig. 3), obtained in the
240 absence of energetic ions in the solar wind. Moreover, similar compressional
241 effects are also observed in our lower-resolution simulation, as well as in
242 earlier simulations by Poppe et al. [26]. The perturbations in the lunar
243 wake arise from a combination of compressional and Alfvénic effects [27].
244 Omidi et al. [25] show that additional data from the ARTEMIS spacecraft
245 demonstrate that the compressional wake is part of the lunar tail structure
246 and not associated with crustal fields.

247 **Q3: Diamagnetic depressions.** Omidi et al. [25] correctly note that
248 the AMITIS code underestimates the amplitude of the rarefaction magnetic

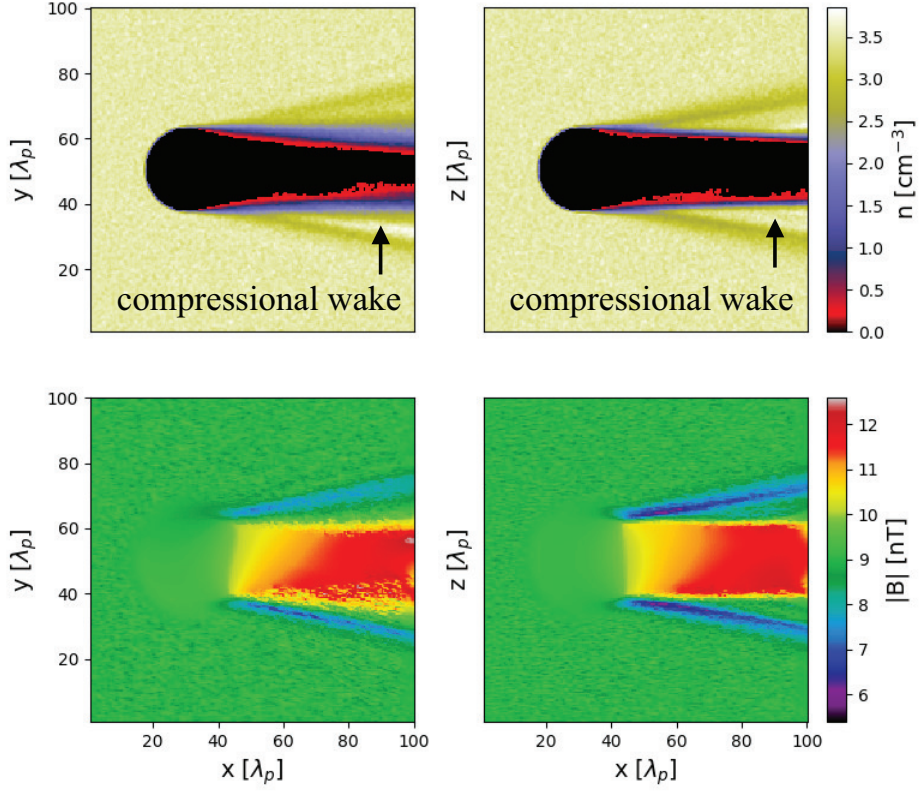


Figure 3: Time steady plasma density and magnetic field magnitude in two central planes, x-y and x-z in the HYPERS lunar simulation with $\Delta x = 0.5\lambda_p$.

249 signal during the outbound part of the ARTEMIS spacecraft trajectory.
 250 They, however, proceed with using this fact as an additional argument in
 251 support of their theory of energetic ion dominance in the lunar wake. In-
 252 deed, in [24] this feature in Fig. 3 is significantly damped compared to the
 253 observational data. Omidi et al. [25] ultimately conclude that it is not clear
 254 how this result can be further improved without modifying the resistive vac-
 255 uum model. The profiles of magnetic field obtained in our simulations are
 256 shown in Fig. 2. They do a much better job matching the observations in
 257 question than the magnetic field profiles obtained by Omidi et al. with ener-
 258 getic ions, which show significant variations in signal magnitude and profile
 259 shapes. Therefore, we conclude that the resistive wake model is more con-
 260 sistent with the ARTEMIS observations than the model with energetic ions,

261 proposed by Omidi et al. [22, 25].

262 Notably absent from all three simulations is a strong paramagnetic en-
263 hancement observed by the ARTEMIS P2 spacecraft during the inbound part
264 of its trajectory, as seen in Fig. 2. Although HYPERS shows transient com-
265 pressional magnetic field enhancements at the same location at early simula-
266 tion times, this response eventually becomes small in the steady state, as seen
267 in this Figure. Not all ARTEMIS lunar wake crossings observe such strong
268 magnetic field enhancements at this location. For instance, ARTEMIS data
269 shown in Figure 2 in [25] demonstrate small paramagnetic responses, similar
270 in magnitude to ones observed in our simulations. Therefore, we hypothesize
271 that transient solar wind effects, such as variations in solar wind density and
272 velocity, may play a role in producing and controlling this feature. Lunar
273 crustal magnetic fields have also been suggested as an alternative explanation
274 for the observed paramagnetic enhancement [e.g. 28]. These effects, however,
275 are not taken into account in our simulations.

276 To summarize, HYPERS simulations of the Moon’s wake demonstrate
277 that the observed wake profiles can be accurately predicted by hybrid simu-
278 lations that represent the vacuum portion of the model with a highly resistive
279 medium, as earlier shown by Poppe [24],[26]. In particular, quantitative re-
280 sults produced in HYPERS simulations with a vacuum resistivity model and
281 no energetic ions are in an excellent match with the ARTEMIS observations.

282 4. Simulation of Solar Wind Interaction with the Dayside Magne- 283 tosphere

284 In this section we describe a global 3D HYPERS simulation of the solar
285 wind interaction with the Earth’s dayside magnetosphere. The overall geom-
286 etry and methodology of this simulation setup resemble those used in many
287 prior studies in 2D [e.g. 29, 15, 30, 31, 32, 33, 34] and 3D [e.g. 35, 36, 37].
288 At the same time, the unique computational properties of HYPERS enable
289 us to conduct large-scale, high-quality simulations with relatively modest
290 computational costs. Specifically, in this simulation the computational do-
291 main of size $L_x \times L_y \times L_z = 1024 \times 2048 \times 2048 \lambda_p$ is discretized with
292 $n_x \times n_y \times n_z = 512 \times 1024 \times 1024$ cells arranged in a uniform Cartesian
293 mesh.

294 The solar wind proton plasma continuously streams from the injection
295 (left) boundary with an initial speed, $V_0 = -10V_A$ in the negative GSM
296 x direction. The interplanetary magnetic field, \mathbf{B}_0 is in $x - z$ plane and

297 inclined at an angle of 21.6° with respect to the x axis, with a positive GSM
 298 z component. The solar wind is initialized with the following dimensionless
 299 parameters characteristic of a specific observational event: $c/V_A = \omega_p/\Omega_{cp} =$
 300 7800 (Ω_{cp} is the proton cyclotron frequency computed with respect to B_0),
 301 and ion and electron betas, $\beta_i = 0.6$, and $\beta_e = 1.6$, respectively. As in the
 302 Moon’s study above, an adiabatic equation of state with $\gamma = 5/3$ is used for
 303 fluid electrons.

304 The Earth’s magnetic field is represented by a dipole located at the center
 305 of the right simulation boundary, $x_{GSM} = 0$. The strength of the dipole is
 306 rescaled to yield a reference magnetopause standoff distance, $D_p = 160 \lambda_p$.
 307 The actual distance to the magnetopause is larger. For example, at time
 308 $t\Omega_{cp} \approx 300$, when the magnetosphere is fully developed, the magnetopause
 309 standoff distance is approximately $215 \lambda_p$ at the subsolar point, while the
 310 distance to the bow shock is approximately $255 \lambda_p$. A perfectly conducting
 311 obstacle of radius $R_o = 92 \lambda_p$ surrounds the dipole. Below we discuss the
 312 most salient features observed in this simulation. Note that we use GSM
 313 coordinates in this discussion.

314 Fig. 4 illustrates the asynchronous nature of HYPERS time advance in
 315 this 3D magnetospheric simulation. It demonstrates an instantaneous dis-
 316 tribution of self-driven local field (left panel) and particle (right panel) time
 317 steps. In contrast to traditional explicit algorithms, where global time steps
 318 would have to be smaller or equal to the minimum value found in these two
 319 distributions, the HYPERS algorithm provides a significant degree of op-
 320 timization by enabling local time steps to vary in space and time through
 321 event-driven adaptation to physical features dynamically developing in the
 322 simulation. This makes HYPERS simulations of the Earth’s magnetosphere
 323 numerically stable, physically accurate and computationally fast.

324 It is well appreciated that the quasi-radial IMF conditions considered in
 325 this study lead to a highly dynamic interaction of the solar wind with the
 326 magnetosphere, which are driven, in part, by low frequency perturbations
 327 formed in the ion foreshock by instabilities associated with the backstream-
 328 ing ions. These perturbations can grow to large amplitudes, giving rise to
 329 a multitude of nonlinear phenomena, such as steepened fronts referred to
 330 as shocklets, short large-amplitude magnetic structures (SLAMS), and cavi-
 331 tons [38]. Such highly energetic dayside transient phenomena as Magne-
 332 tosheath High-Speed Jets (HSJs) are also associated with quasi-radial IMF
 333 conditions [39].

334 The overall morphology of foreshock perturbations in this 3D simulation

335 is illustrated by Fig. 5. Similarly to results from previous 2D hybrid simula-
 336 tions performed with similar parameters [40] (see also [33, 30, 29, 41]), low-
 337 frequency waves in the foreshock exhibit properties resembling the so-called
 338 30s ULF waves. In particular, they are formed as slightly oblique perturba-
 339 tions in an extended foreshock region. In the simulation frame of reference,
 340 these perturbations are observed as left-hand polarized compressional waves
 341 with wavelengths of the order of $100 \lambda_p$ and frequencies of approximately
 342 $0.5\Omega_{cp}$, corresponding to the period of approximately 32-33 s, assuming the
 343 reference magnetic field of 4 nT. In the solar wind frame of reference, however,
 344 these perturbations become right-hand polarized and propagate upstream.

345 While the foreshock fluctuations have a finite perpendicular wavelength
 346 with respect to the background magnetic field, a visual inspection indicates
 347 that they tend to acquire a large-scale transverse structure as they steepen
 348 while being convected towards the bow shock. Close to the bow shock, the
 349 characteristic size of this "super-structure" becomes comparable to the size of
 350 the foreshock region. This conclusion is generally consistent with estimates
 351 of the correlation length based on observations [42]. The fluctuations are
 352 observable in the region extending approximately $1000 \lambda_p$ upstream from the
 353 bow shock, a scale which is comparable to the size of the simulation domain
 354 and could likely be larger if the domain is extended.

355 To further illustrate properties of the ion foreshock perturbations, Fig. 6
 356 shows profiles of density, parallel temperature, magnetic field, and ion veloc-
 357 ity in a 2D $x - z$ plane passing through the sub-solar point (at $y = 1024\lambda_p$).
 358 In addition, the 1D cut along a dashed line shown in the rightmost panel re-
 359 sults in profiles of n , B , and V in Fig. 7. It is clear that the fluctuations are
 360 mildly compressible at significant distances from the shock, with amplitudes
 361 $\delta|B|/B_0 \sim 0.1 - 0.2$. Furthermore, the wavefronts have a small, but finite an-
 362 gles with respect to the magnetic field. A field-aligned beam of backstreaming
 363 ions, evident in T_{\parallel} and $|\mathbf{V}|$ plots, is present at the edge of the foreshock [30]
 364 and appears to generate waves at somewhat larger angles than those inside
 365 the foreshock. These waves steepen as they are convected towards the bow
 366 shock, as is most clearly evident in the B_y component of magnetic field (see
 367 second panel of Fig. 7). Closer to the bow shock the fluctuations become
 368 highly compressible. The fluctuation amplitude reaches levels comparable to
 369 ones of the solar wind magnetic field, $\delta|B|/B_0 \sim 1$, while density fluctuations
 370 (mostly depressions) could be as large as 50%.

371 Frequency spectra of magnetic fluctuations are shown in Fig. 8. Each
 372 spectrum is computed by performing Fast Fourier Transform (FFT) of a time

373 series collected at a fixed location in the simulation domain. The positions
374 of such "control points" (CPs) are indicated in the leftmost panel of Fig. 6
375 by red dots with numbers corresponding to the labels used in Fig. 8. Fluctu-
376 ations are formed with frequencies approximately $\omega \sim 0.5\Omega_{cp}$, as evidenced
377 by a well-defined peak observed at control point 0. Closer to the bowshock
378 (control point 1), the spectra broaden significantly, presumably due to the
379 nonlinear character of the structures. Interestingly, detectable fluctuations
380 are observed in a broad range of frequencies, as could be deduced by com-
381 paring spectra collected inside of the foreshock with those collected in the
382 solar wind (control point 2).

383 In the quasi-parallel regions inside the magnetosheath, the fluctuation
384 level increases further. Here the spectra are generally consistent with a Kol-
385 mogorov power law, although a limited cadence of the simulation output and
386 a relatively small duration of the time series allow only a crude estimate of the
387 spectra. The turbulence level is significantly lower in the quasi-perpendicular
388 regions of the magnetosheath (control point 4).

389 While the highlighted features of foreshock perturbations bear a signif-
390 icant resemblance to those obtained in 2D simulations, the 3D geometry
391 enables much more complex flow patterns and draping of magnetic field
392 compared to 2D. One interesting aspect of the transition from the 2D to
393 3D geometry is to understand how this affects statistics and properties of
394 various nonlinear structures in the foreshock and magnetosheath. In general,
395 statistical information, such as occurrence rates, distribution of characteris-
396 tic sizes, or correlation between various parameters could be obtained from
397 observations. However, because observations are usually collected by a single
398 spacecraft along its trajectory (at best by a very few spacecraft in case of
399 multi-spacecraft missions), the insight into the shape of various structures
400 yielded by 3D kinetic simulations is of great interest. Below we present an
401 example of such an analysis.

402 As is already apparent from Fig. 7, regions of significant simultaneous
403 reduction in the magnitude of magnetic field and density embedded into
404 foreshock perturbations can be found in the simulation described here. Sim-
405 ilar structures, termed foreshock cavities or cavitons, have been extensively
406 studied in previous 2D simulations [e.g. 33, 43, 30, 44] and identified in ob-
407 servations as well [e.g. 45, and references therein]. Fig. 9 illustrates several
408 structures with a significant reduction in magnetic field and density (iden-
409 tified here by a rather strict condition $n < 0.5n_0$ and $|B| < 0.05B_0$) found
410 in the simulation close to the bowshock. They have sizes that range from

411 the mesh scale to tens of ion inertial lengths, although mesh-scale structures
412 were excluded from Fig. 9.

413 Panels c) and d) show typical profiles of magnetic field and density across
414 one of these structures. They demonstrate a significant depression in $|B|$
415 and n and a substantial simultaneous increase in the ion temperature. Such
416 an increase may appear to violate the caviton identification criterion used for
417 example by [45]. However, the kinetic temperature shown here is a second
418 moment of the velocity distribution and as such is sensitive to the presence
419 of super-thermal particles, which are typically observed inside cavitons [46].
420 Furthermore, ion temperature increases inside some depressions have been
421 reported in 2D simulations [e.g. 33, 47], especially for structures that are
422 interacting with the shock and are transitioning into Spontaneous Hot Flow
423 Anomalies [47].

424 5. Summary

425 As of today, MHD is predominantly used for global physics-based mod-
426 eling of the Earth’s magnetosphere. This success comes at the expense of
427 reduced physics compared to more sophisticated kinetic models that compute
428 detailed velocity distributions of plasma species (such as ions in hybrid mod-
429 els) and advance electromagnetic fields and particles on finer spatial meshes
430 and faster time scales. However, under many solar wind and IMF conditions
431 observed fields and plasma dynamics cannot be reproduced by MHD and
432 empirical models. Foreshock turbulence, direct solar-wind ion injections into
433 the cusp, ionospheric ion outflows energized to ring current energies, cascad-
434 ing of large-scale field-aligned currents into kinetic scales, solar wind-Moon
435 interactions and magnetic reconnection are just a few examples where kinetic
436 effects are essential for interpreting spacecraft data.

437 Hybrid simulations employ fully kinetic ions and address the fundamen-
438 tal need for space plasma simulation models to incorporate physics beyond
439 MHD. Global hybrid simulations of magnetospheres, however, must account
440 for a wide range of ion kinetic and cyclotron scales and spatio-temporal scales
441 arising due to short-wavelength waves (whistlers). These short-wavelength
442 scales play an important role in driving instabilities and turbulence, as well
443 as influencing ion velocity distributions, as confirmed by numerous obser-
444 vations. In order to adequately describe these “meso-scale” effects hybrid
445 simulations have to resolve the ion inertial length, $\lambda_p \approx 1/60 R_E$ (R_E is the
446 Earth radius) and fast whistler time scales ~ 0.1 s in the near-Earth region

447 characterized by strong magnetic fields and low plasma density. Further, ro-
448 bust hybrid codes must be able to accurately account for dynamic multiscale
449 turbulent patterns that emerge in global simulations under the influence of
450 different solar wind drivers. The most notable feature that makes HYPERS
451 different from other hybrid codes is an event-based approach to time inte-
452 gration. It enables stable and accurate time advance of particles and fields
453 in a self-adaptive manner, on their own timescales. In this paper we have
454 discussed results from high-resolution 3D simulations of the lunar wake and
455 the Earth’s foreshock performed with HYPERS.

456 The lunar wake study serves two purposes. First, we regard it as a suit-
457 able 3D HYPERS model validation exercise, where we demonstrate a good
458 agreement of our results with the ARTEMIS magnetic field data. Second,
459 this study resolves a disagreement on physical effects that control lunar wake
460 structures (in particular magnetic field profiles) observed by the ARTEMIS
461 spacecraft [22, 24, 25]. We have confirmed that various aspects of these ob-
462 servations can be reproduced with accuracy using a proper resistive vacuum
463 model in hybrid simulations, as has been earlier suggested by Poppe [24],
464 i.e., without having to assume the presence of energetic ions in solar wind,
465 as argued by Omidi et al. [22, 25]. In particular, the HYPERS simulations,
466 which approximate the Moon and wake vacuum as highly resistive media
467 and use a standard solar wind model, match the magnetic field profile in the
468 central lunar tail better than the simulations with energetic protons [22] and
469 the corresponding vacuum model simulations performed with the AMITIS
470 code [24].

471 In the second part of this paper we have investigated a response of the
472 Earth’s dayside magnetosphere to oblique IMF solar wind conditions. This
473 simulation has resolved 3D details of ultra-low-frequency (ULF) wave turbu-
474 lence generated at the ion foreshock, as well as concomitant plasma struc-
475 tures, consistent with observations. We present an analysis of these 3D fore-
476 shock cavities, which have been previously studied only in two dimensions.
477 We also characterize the ULF waves driven by backstreaming ions in the
478 foreshock, and demonstrate turbulent spectra at different control points.

479 For reference, below we provide approximate computational costs of the
480 simulations discussed in this paper. The lunar wake simulations are relatively
481 straightforward to perform with conventional hybrid codes. For this type of
482 simulation the main numerical difficulty is associated with a large vacuum
483 resistivity that imposes small time steps in the wake. The wake dynamically
484 grows in time and eventually occupies a sizeable part of the computational do-

485 main. Therefore HYPERS cannot produce significant speedups in this setup.
486 The coarse mesh run (100x100x100 cells) took approximately 1.7 hours on
487 448 parallel cores of Intel Xeon E5-2680v4 processors on the NASA Pleiades
488 supercomputer. The fine mesh (200x200x200 cells) took approximately 10
489 hours on 3,584 cores. The large magnetospheric run, characterized by a sig-
490 nificant inhomogeneity of field and particle time scales, took approximately
491 22 hours on 131,072 cores of much older AMD 6276 "Interlagos" CPUs on
492 the Blue Waters supercomputer.

493 The HYPERS code has undergone a number of important modifications
494 since its original version was published [16]. The new features have improved
495 the numerical accuracy and performance of HYPERS simulations. For in-
496 stance, a dramatic improvement in numerical accuracy has resulted from
497 implementing a second-order asynchronous correction in the field solver that
498 identically preserves $\nabla \cdot \mathbf{B} = 0$. We have also implemented other impor-
499 tant capabilities that enable us to better concentrate computing power on
500 compute-intense regions of a simulation domain and dramatically reduce the
501 number of mesh cells in global simulations.

502 The results obtained in this paper establish firm grounds for further,
503 more accurate 3D hybrid simulations of the Earth's magnetosphere and other
504 space bodies. A more thorough analysis of the plasma features observed in
505 the Earth's foreshock, as well as algorithmic details of recent HYPERS code
506 modifications, will be presented in separate publications.

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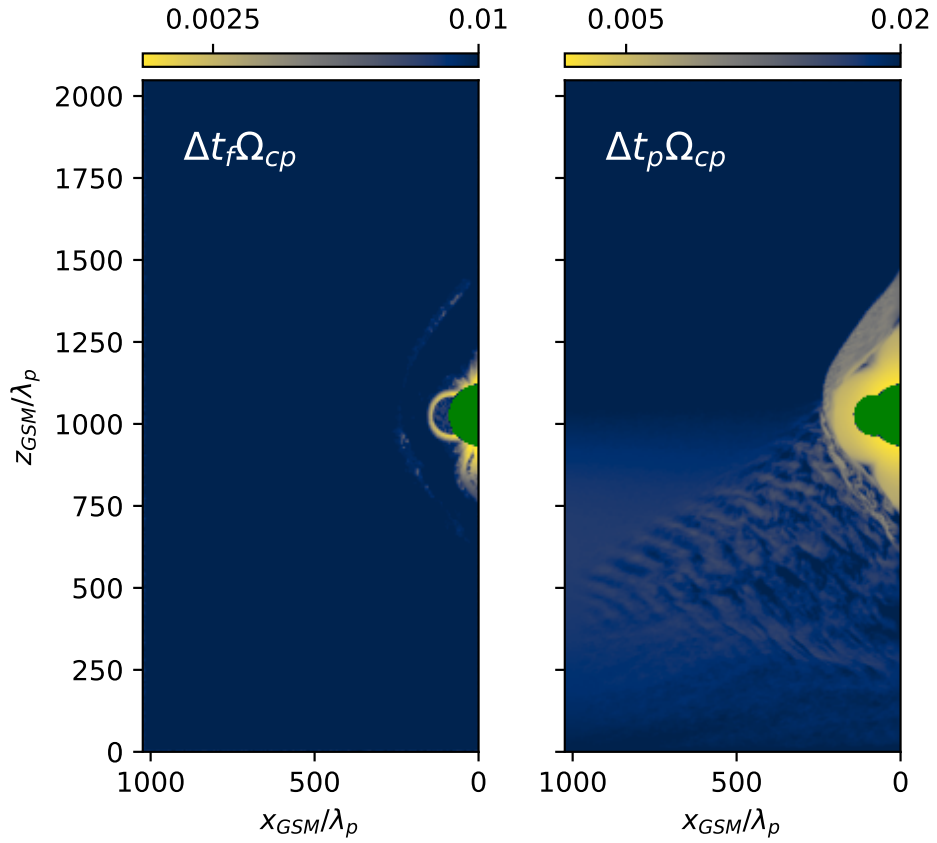


Figure 4: Spatial distribution of field (left) and particle (right) time steps in a global simulation of solar wind interaction with the Earth's magnetosphere. The time steps are normalized to proton cyclotron frequency Ω_{cp} . GSM coordinates are used here and in the subsequent figures illustrating the simulation of solar wind interaction with the Earth's magnetosphere.

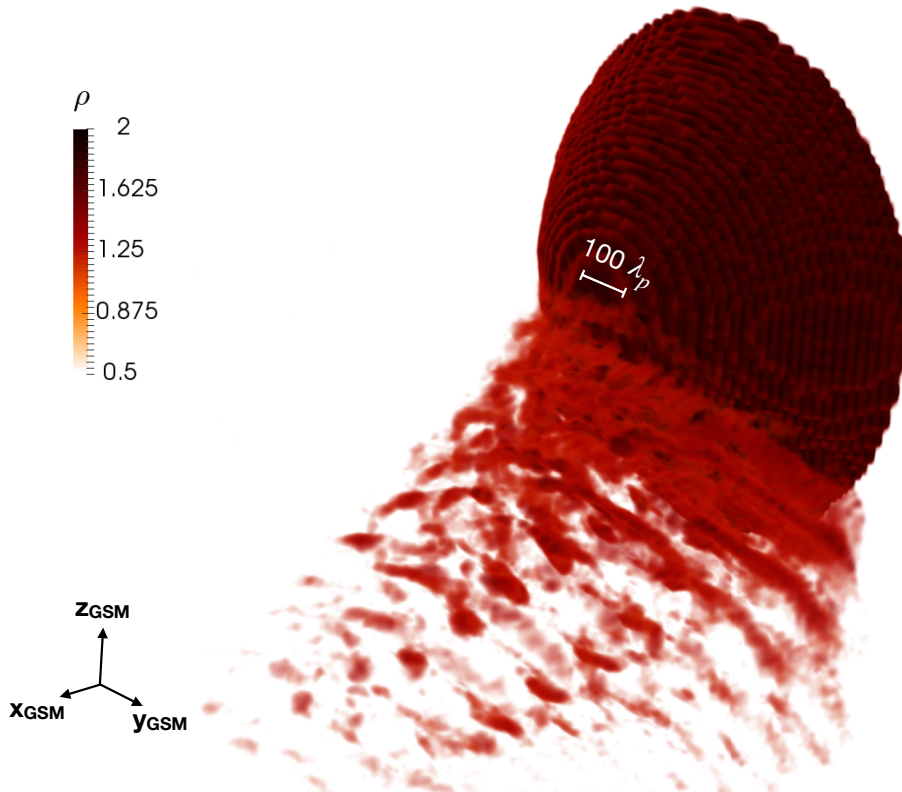


Figure 5: Volumetric rendering of plasma density in a global 3D HYPERS simulation of the solar wind interaction with the dayside magnetosphere. The large-scale perturbations excited by backstreaming ions in the ion foreshock are clearly visible. The upper limit for color scale is chosen to be twice the solar wind density, which highlights the bow shock surface.

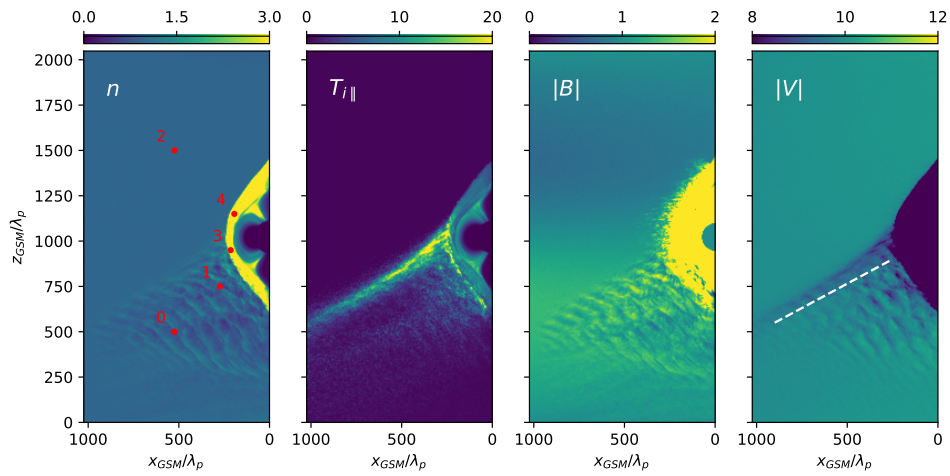


Figure 6: Mid-plane 2D cuts illustrating the ion foreshock structure in a 3D large-scale HYPERS simulation of the solar wind interaction with the dayside magnetosphere. Left to right: plasma density n , parallel ion kinetic temperature $T_{i||}$, magnitude of the magnetic field $|B|$, and ion velocity $|V|$. The red numbered dots on the left panel indicate locations of control points where the spectra shown in Fig. 8 were collected. The dashed line in the right panel shows a location of the cut used in Fig. 7

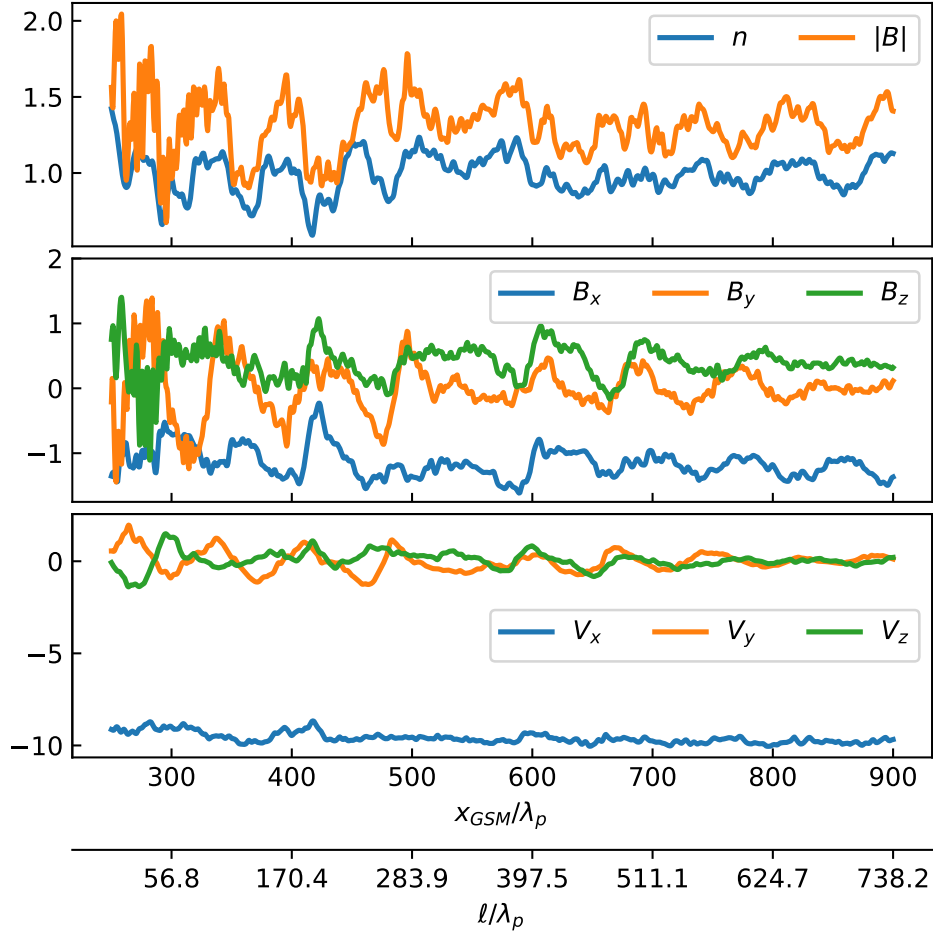


Figure 7: Profiles of plasma density and magnetic field magnitude (top), magnetic field components (middle) and velocity components (bottom) along the cut indicated in the right panel of Fig. 6. The second horizontal axis shows distance ℓ along the cut.

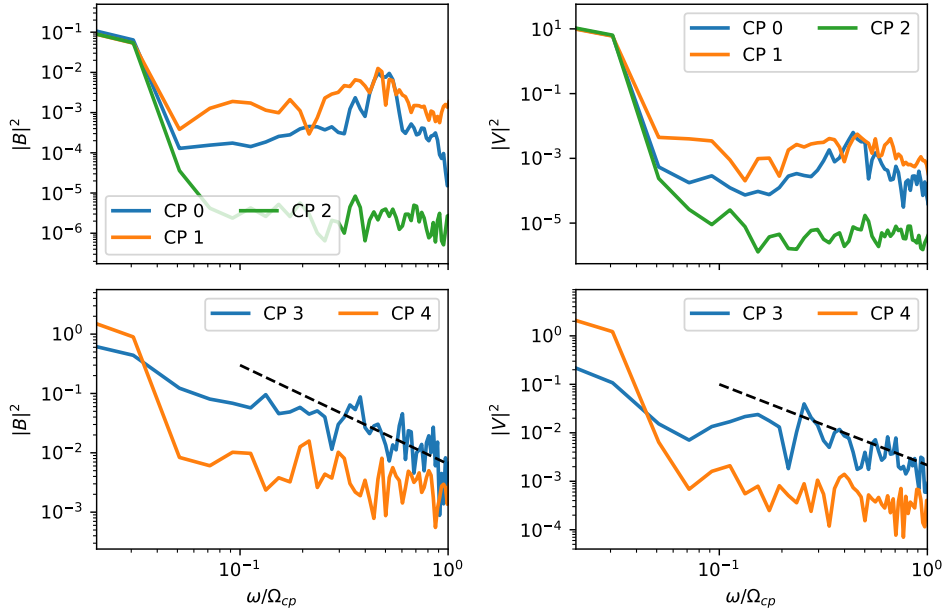


Figure 8: Frequency spectra of magnetic (left column) and velocity (right column) fluctuations at 5 control points (CP) indicated by red dots in Fig. 6. CPs 3 and 4 are located in the magnetosheath, while CPs 0–2 are outside the bowshock. For reference, the Kolmogorov scaling $\omega^{-5/3}$ is indicated in the bottom two panels by the dashed line.

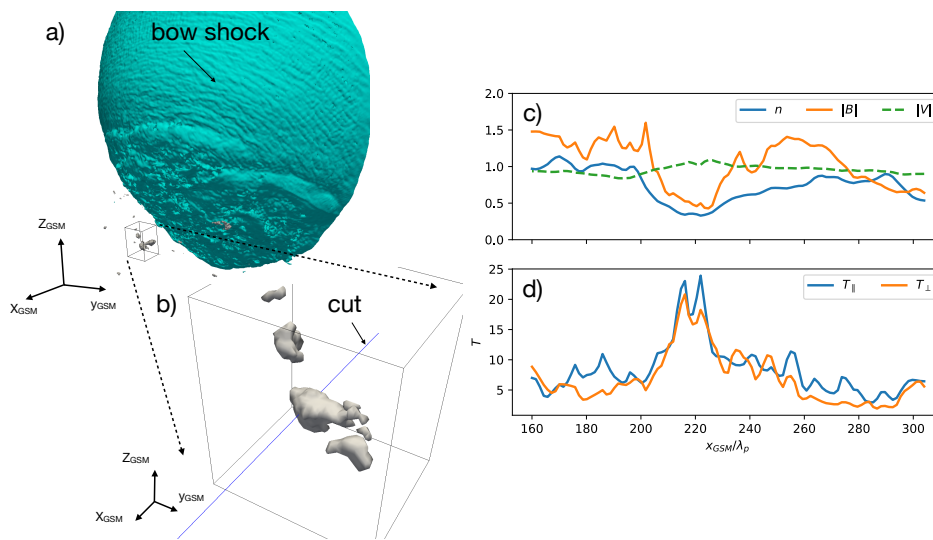


Figure 9: An example of structures characterized by correlated significant depressions of magnetic field and plasma density: a) shock surface (identified as an isosurface of constant density $n = 2.5n_0$) and several structures highlighted by light grey surfaces. The box indicates a region of the simulation domain with size $l_x \times l_y \times l_z = (50 \times 60 \times 75)\lambda_p$ zoomed into in panel b); panels c) and d) show profiles of magnetic field, density, and temperature along a cut passing through the structure as indicated in panel b).