A consensus statement for the management and rehabilitation of communication and swallowing function in the ICU: A global response to COVID-19

Amy Freeman-Sanderson, Ph.D., Elizabeth C. Ward, Ph.D., Anna Miles, Ph.D., Irene de Pedro Netto, Ph.D., Sallyanne Duncan, M.Sc., Yoko Inamoto, Ph.D., Jackie McRae, Ph.D., Natasha Pillay, M.S., Stacey A. Skoretz, Ph.D., Margaret Walshe, Ph.D., Martin B. Brodsky, Ph.D., Sc.M., On behalf of the COVID-19 SLP Global Group

PII: S0003-9993(20)31210-7

DOI: https://doi.org/10.1016/j.apmr.2020.10.113

Reference: YAPMR 58014

To appear in: ARCHIVES OF PHYSICAL MEDICINE AND REHABILITATION

Received Date: 7 July 2020

Revised Date: 26 October 2020 Accepted Date: 26 October 2020

Please cite this article as: Freeman-Sanderson A, Ward EC, Miles A, de Pedro Netto I, Duncan S, Inamoto Y, McRae J, Pillay N, Skoretz SA, Walshe M, Brodsky MB, On behalf of the COVID-19 SLP Global Group, A consensus statement for the management and rehabilitation of communication and swallowing function in the ICU: A global response to COVID-19, *ARCHIVES OF PHYSICAL MEDICINE AND REHABILITATION* (2020), doi: https://doi.org/10.1016/j.apmr.2020.10.113.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2020 Published by Elsevier Inc. on behalf of the American Congress of Rehabilitation Medicine



A consensus statement for the management and rehabilitation of communication and swallowing function in the ICU: A global response to COVID-19

Amy Freeman-Sanderson, Ph.D.^{1,2,3} Elizabeth C. Ward, Ph.D.^{4,5} Anna Miles, Ph.D.⁶ Irene de Pedro Netto, Ph.D.^{7,8} Sallyanne Duncan, M.Sc.^{9,10} Yoko Inamoto, Ph.D.¹¹ Jackie McRae, Ph.D.^{12,13} Natasha Pillay, M.S.^{14,15} Stacey A. Skoretz, Ph.D.^{16,17,18} Margaret Walshe, Ph.D.¹⁹ Martin B. Brodsky, Ph.D., Sc.M.^{20,21,22} On behalf of the *COVID-19 SLP Global Group*

- Graduate School of Health, University of Technology, Sydney, NSW, Australia
- Royal Prince Alfred Hospital, Sydney, NSW, Australia
- ³ Critical Care Division, The George Institute for Global Health, Sydney, NSW, Australia
- Centre for Functioning and Health Research, Metro South Hospital and Health Service
- ⁵ School of Health and Rehabilitation Sciences, The University of Queensland
- Speech Science, The University of Auckland, Auckland, New Zealand
- Núcleo de Cardiologia/Centro de Reabilitação Cardiopulmomar, Hospital Sírio Libanês, Sao Paulo, Brazil
- 8 Comitê de Fonoaudiologia, BRASPEN/ SBNPE (Sociedade Brasileira de Nutrição Parenteral e Enteral
- Wellcome Wolfson Institute for Experimental Medicine, Queen's University Belfast
- Speech and Language Therapy, Belfast Health and Social Care Trust
- Faculty of Rehabilitation, School of Health Sciences, Fujita Health University, Nagoya, Japan
- School of Allied Health, Midwifery and Social Care Faculty of Health, Social Care and Education, Kingston and St George's, University of London
- Speech and Language Therapy, University College London Hospitals NHS Foundation Trust
- ¹⁴ Life The Crompton Hospital, Pinetown, KZN, South Africa
- ¹⁵ Life Westville Hospital, Durban, South Africa
- School of Audiology and Speech Sciences, University of British Columbia, Vancouver, British Columbia, Canada
- Department of Critical Care Medicine, University of Alberta, Edmonton, Alberta, Canada
- Centre for Heart Lung Innovation, St. Paul's Hospital, Vancouver, British Columbia, Canada
- ¹⁹ Department of Clinical Speech and Language Studies, Trinity College, Dublin, Ireland
- Department of Physical Medicine and Rehabilitation, Johns Hopkins University, Baltimore, MD, United States
- Division of Pulmonary and Critical Care Medicine, Johns Hopkins University, Baltimore, MD, United States
- Outcomes After Critical Illness and Surgery (OACIS) Research Group, Johns Hopkins University, Baltimore, MD, United States
- The content of this manuscript was not presented
- There was no financial support for this manuscript.
- Associate Professor M.B. Brodsky discloses a relationship with MedBridge Inc. Nil other conflicts of interest are declared by other authors.

Key words/MeSH terms:

severe acute respiratory syndrome coronavirus 2; communication; critical care; deglutition disorders; telemedicine

Word counts: Abstract (245 / 300); manuscript (3093/ 3000)

Corresponding author:
Amy Freeman-Sanderson, Ph.D.
University of Technology Sydney
Graduate School of Health
PO Box 123
Broadway, NSW, 2007
Australia

Phone: +61 (2)95147201

Email: amy.freeman-sanderson@uts.edu.au

ACKNOWLEDGMENTS

The authors wish to thank the support and contributions of the COVID-19 SLP Global Group:

Sally K. Archer, Ph.D.

Sonia Baker, BSpPath

Liza Bergström, Ph.D.

Clare L. Burns, Ph.D.

Tanis Cameron, M.A.

Michelle Cimoli, BSpPath

Nicola A. Clayton, Ph.D.

Gemma Clunie, M.Sc.

Therese Cole, M.A.

Camilla Dawson, DClinP

Karen Dikeman, M.A.

Brooke Duggan, BSpPath

Leanie Engelbrecht, M. Communication Pathology

Nicole Langton-Frost, M.A.

Bronwyn Hemsley, Ph.D.

Hanneke Kalf, Ph.D.

Marta Kazandjian, M.A.

Sunaina Lakha, BA Speech Therapy & Audiology

Stevie Marvin, M.S.

Melanie McIntyre, BSpPath

Jo Puntil-Sheltman, M.S.

José Ribamar do Nascimento Junior, M.Sc.

Debra M. Suiter, Ph.D.

Anna-Liisa Sutt, Ph.D.

Sarah Wallace, P.G. Dip. Sp Path

Charissa J. Zaga, BSpPath, MPH

The authors also wish to acknowledge and thank Peter J.Thomas for his guidance in developing this research.

1	ABSTRACT
2	Objective
3	To identify core practices for workforce management of communication and swallowing
4	functions in COVID-19 positive patients within the ICU.
5	
6	Design
7	A modified Delphi methodology was utilized, with 3 electronic voting rounds. AGREE II and
8	an adapted COVID-19 survey framework from physiotherapy were used to develop survey
9	statements. Sixty-six statements pertaining to workforce planning and management of
10	communication and swallowing function in the ICU were included.
11	
12	Setting
13	Electronic modified Delphi process.
14	
15	Participants
16	35 speech-language pathologists (SLPs) from 6 continents representing 12 countries.
17	
18	Interventions
19	Not applicable.
20	
21	Main Outcome Measures
22	The main outcome was consensus agreement, defined <i>a priori</i> as ≥70% of participants with
23	a mean Likert score ≥7.0 (11-point scale: "0" = strongly disagree, "10" strongly agree).
24	Prioritization rank order of statements in a 4 th round was also conducted.
25	
26	
27	
28	Results

SLPs with a median of 15 years ICU experience, working primarily in clinical (54%), in
academic (29%) or managerial (17%) positions, completed all voting rounds. After the third
round, 64 statements (97%) met criteria. Rank ordering identified issues of high importance.
Conclusions
A set of global consensus statements to facilitate planning and delivery of rehabilitative care
for patients admitted to the ICU during the COVID-19 pandemic were agreed by an
international expert SLP group. Statements focus on considerations for workforce
preparation, resourcing and training, and the management of communication and swallowing
functions. These statements support and provide direction for all members of the
rehabilitation team to use for patients admitted to the ICU during a global pandemic.

42	ABBREVIATIONS	
43	AAC	augmentative and alternative communication
44	AGP	aerosol generating procedure
45	COVID-19	coronavirus disease 2019
46	ICU	intensive care unit
47	FEES	flexible endoscopic evaluation of swallowing
48	SARS-CoV-2	severe acute respiratory syndrome coronavirus 2
49	SLP	Speech-Language Pathologist
50	VFSS	videofluoroscopic swallow study
51		

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is a highly contagious virus responsible for the coronavirus disease 2019 (COVID-19) outbreak and consequential global pandemic. ^{1,2} As of October 6, 2020, there were 35.5 million cases and a sobering 1,044,490 deaths from COVID-19. ICU admissions with infected patients have increased, ^{1,4} ranging 5% to 16% in China, 9% - 46% in Italy, ^{7,8} and as high as 30% in California and Washington. Patients positive for COVID-19 who are intubated, frequently endure lengthy durations of mechanical ventilation, including being turned prone to improve respiratory function, resulting in higher levels of sedation and longer durations of immobilization resulting in iatrogenic impairments that include muscle weakness, fatigue, dysphagia, (neuro)psychological impairments, and impaired activities of daily living. ¹⁰⁻¹² Moreover, severe SARS-CoV-2 infection has also resulted in patients acquiring neurological conditions such as Guillain-Barre syndrome, stroke, and/or corticospinal tract signs following hospital discharge, ¹³⁻¹⁷ emphasizing rehabilitation needs.

Rehabilitation specialists have been historically underutilized in the intensive care unit (ICU). Speech-language pathologists (SLPs) are part of the modern ICU team, providing a key role in intensive care ¹⁸⁻²⁰ and tracheostomy teams. ²¹⁻²³ SLPs provide clinical expertise in cognitive/communication ²⁴ and swallowing functions ^{25,26} in the clinical management of patients during and after mechanical ventilation, regardless of the presence of an oral or nasal endotracheal tube or a tracheostomy.

Survivors of critical illness require access to care and resources for effective recovery and return to work.²⁷ However, little is known about communication and swallowing management or rehabilitation needs for patients with COVID-19. Empirical studies regarding the rehabilitation of patients with COVID-19 are yet to emerge and peer-reviewed guidelines for the management of patients with COVID-19 admitted to ICUs to date have focused on nursing, medical, and physiotherapy practice.^{28,29} Clinical considerations and guidance for acute, subacute, and rehabilitation practices,^{30,31} specifically to support SLP management of communication and swallowing function during the COVID-19 pandemic, are emerging.³²⁻³⁵ The aim of this study was to determine consensus on core SLP practices for workforce

	Journa	1 Pre-1	
various rivadi em	 ww	UUTIL	

80	management and the management of both communication and swallowing functions in
81	patients diagnosed with COVID-19 admitted to the ICU.

METHODS

Participant Recruitment

SLPs with at least 5 years of clinical experience working in ICUs were invited to participate by the principal investigators (PIs: AFS, MBB). All SLPs recruited were either known to the investigators or identified by peers as recognized experts with publications and/or presentations at major international conferences and with expertise in assessing and treating patients in the ICU for communication and swallowing disorders. Experts were sought across 6 continents to provide a global lens with varied clinical, managerial, and research experiences, and varied COVID-19 pandemic experiences. Ethics approval was obtained from University of Technology Sydney and Johns Hopkins University, and all participants provided informed consent.

Survey development

AGREE II³⁶ and an adapted framework of questions²⁹ were used to develop tools for consensus ratings. The statements contained in the survey were developed from guidelines and published research accessible from web searches, speech-language pathology, otolaryngology, and intensive care societies published earlier than April 8, 2020 in conjunction with expert opinion from the authorship group. A pre-study virtual meeting was held on April 7, 2020 to outline study aims, methods, and timeline. The group was then asked to: 1) individually and anonymously review and comment on the 72 draft statements planned for inclusion in the survey and 2) contribute up to 3 additional statements for consideration. In total, the group provided 22 additional statements and after duplicates were removed, 15 statements were included. The PIs consolidated and refined the statements further to exclude statements outlining standard practice, with the final set of 66 statements included in the May 11, 2020 distribution.

Modified Delphi Methods

The Delphi process convenes a group of experts for decision-making during an iterative process of questions, anonymous responses, and controlled feedback to the respondents.³⁷ This study involved 3 rounds of modified Delphi consensus voting. The online platform Qualtrics (2019) was used to collect both the demographic and questionnaire data (Qualtrics, https://www.qualtrics.com, Provo, UT). Each round, participants were reminded that the content was confidential and they were not to share, discuss, or distribute any content. Participants were further reminded to respond using his/her own knowledge and expertise independent of his/her country, place of business, affiliation, society membership, guideline, or other external guidance.

Each participant was sent the link to Round 1 on May 11, 2020, categorized into 3 domains: 1) Workforce planning, preparation, and management, including statements (n=25) relating to organization of personnel and resources to address clinical surge and distribution across service lines, 2) Management of communication function, which considered the organization and resources for assessing and promoting effective patient understanding and expression, regardless of whether the patient was intubated with mechanical ventilation, post-extubation, or not intubated (n=15 statements), and 3) Management of swallowing function (n=26 statements), which considered the organization and resources for assessing and promoting safe and effective swallowing (see Supplemental Material 1). An 11-point Likert scale was used to rate each statement (0=strongly disagree, 10=strongly agree). Consensus agreement was operationally defined *a priori* as ≥70%^{29,38,39} of the participants with a mean Likert score ≥7.0 for any statement.

In Round 1, participants were asked to rate agreement with all 66 statements. During Rounds 2 and 3, participants were asked to rate only those statements that failed to meet consensus on Round 1 or 2 respectively, and explain why they chose that rating for each statement. In both Round 2 (beginning May 15, 2020) and 3 (beginning May 19, 2020) the mean score and standard deviation (obtained from previous round) for any included statement was provided as feedback. Additionally, Round 3 feedback included two anonymous remarks each from participants who scored statements ≤2 and ≥8 from Round 2

that represented reasons for why these "extreme" scores were chosen. These remarks were included as feedback for Round 3 and chosen for inclusion by the PIs. All participants were advised in advance of the planned dates and timing of each rounds of consultation, with each round sent to participants with 96 hours to complete.

An exploratory fourth round (beginning May 24, 2020) of anonymous voting and unrelated to the modified Delphi procedures was added to rank order priorities within each of the 3 domains of questions. Statements that scored a mean Likert score ≥9 and ≥90% consensus were included.

Statistical analysis

Descriptive statistics were used to analyze demographic and statement data.

Differences between groups were analyzed using the Kruskal-Wallis H test. Weighted rank ordering was used to determine prioritization. Stata version 12.1 (College Station, TX) and Microsoft Excel 2019 (Redmond, WA) were used for statistical analyses.

RESULTS

Thirty-five invitations were sent to experts representing 6 continents (12 countries). All agreed to participate. Participants self-identified their current primary role as 19 (54%) clinical, 10 (29%) academic/research, and 6 (17%) managerial/administrative, with a median of 19 (interquartile range [IQR]: 10, 24) years of experience. Years of experience did not differ significantly between groups (H(2) = 3.438, p = 0.18). Participants collectively had a median of 15 (IQR: 10, 20) years clinical ICU experience with no significant difference between groups (H(2) = 1.896, p = 0.38).

Modified Delphi Results

The 3 modified Delphi rounds each had a response rate of 100% (35/35 participants) and was completed within 96 hours of the electronic questionnaire distribution. All participants attested that there was no communication between the PIs, the participants, or other colleagues regarding the content of the questionnaire throughout the modified Delphi rounds.

Round 1 resulted in consensus for 61/66 (92%) statements across the 3 domains.

Round 2 included the 5 items that failed to meet consensus, and agreement was reached for 2 of the 5 statements. Round 3 contained 3 statements, with consensus reached for 1. At the end of 3 modified Delphi rounds, 64/66 (97%) statements reached consensus (Table 1), with 1 statement in *management of communication function* and 1 statement in *management of swallowing function* that did not reach consensus.

Workforce planning, preparation, and management

In Round 1, 24/25 (96%) of the statements reached consensus. The statement that did not reach consensus was: "Strategies, considering patient/family goals, should be posted outside of the patient's room immediately after evaluation or change in recommendations," (M=7.1, SD=2.2, consensus 57%). In Round 2, consensus was reached (M=7.3, SD = 2.2, 74% consensus).

Management of communication function

In Round 1, 14/15 (93%) communication statements reached consensus. The statement that did not reach consensus was: "Speaking (i.e., oral communication) is a low risk aerosol generating procedure (AGP)" (M=5.9, SD=2.9, 49% consensus). In both Rounds 2 and 3, this statement failed to reach consensus (Round 2: M=5.8, SD=2.8, 57% consensus; Round 3: M=5.9, SD=2.8, 63% consensus).

Management of swallow function

In Round 1, 23/26 (88%) of statements reached consensus. The 3 statements that did not reach consensus were: 1) "Assessment of the gag reflex is considered an aerosol generating procedure (AGP). Assessment should be discussed with the treating ICU team" (M=7.1, SD=3.0, 66% consensus), 2) "A voluntary cough (i.e., asking the patient to cough) is considered an aerosol generating procedure. Assessment should be discussed with the treating ICU team" (M=7.2, SD=3.1, 63% consensus), and 3) "Swallowing therapy tasks that are aerosol generating tasks should be provided to patients" (M=6.9, SD=2.7, 57% consensus). After Round 2, participants only agreed that a voluntary cough is an AGP (M=7.7, SD=2.6, 86% consensus), whereas "testing the gag reflex" (M=6.9, SD=2.5, 71% consensus) and "swallowing therapy tasks" (M= 6.8, SD=2.6, 63% consensus) failed to reach consensus. At the end of Round 3, "swallowing therapy tasks" reached consensus (M=7.3, SD=2.7, 77% consensus), but "testing the gag reflex" did not reach consensus (M=5.3, SD=3.2, 49% consensus).

Post-hoc Analysis

A *post-hoc* analysis was completed to address the 17 statements that contained an additional phrase: "...should be discussed with the treating ICU team" (or similar). All of these statements regarded AGPs. On June 17, 2020, a questionnaire was distributed, specifically removing this phrase from each statement (supplemental material 2). Two

additional questions asked participants to average how frequently and how much weight the "discuss with the treating ICU team" phrase influenced the ratings across all questions containing this phrase using a 0-10 scale (i.e., 0=never; 10=always). There was 100% (35/35 participants) response rate. Consensus was reached on 15/17 (88%) statements using previously stated criteria for consensus. The 2 statements that did not reach consensus were: 1) "Swallowing/feeding trials may be considered an aerosol generating procedure" (M=7.4, SD=2.7, 66% consensus) and 2) "Videofluoroscopic swallow studies (VFSS) may be considered an aerosol generating procedure" (M=7.5, SD=2.6, 66% consensus). Finally, for the phrase "...should be discussed with the treating ICU team" (or similar), participants reported a mean of 7.3 (SD=2.7) for how frequently they regarded the phrase and a mean of 6.5 (SD=2.3) for how much weight they placed on the phrase.

Rank Order Results

Thirty-three statements resulted in a mean ≥9.0 for ≥90% of participants during voting rounds. These statements were ranked in priority order across the three survey sections (Table 2) which encompassed five themes (Table 3). The top three statements included: identify staff with ICU-specific skills in relation to communication, swallow, and tracheostomy management; access to resources e.g., glasses, hearing aids, call bells, augmentative and alternative communication (AAC) to enable increased patient communication; and staff should meet regularly with ICU staff (i.e., physicians, nurses) to determine indications for swallowing management in patients with (or suspected) COVID-19.

DISCUSSION

This study engaged a global expert panel of SLPs to determine consensus in 3 domains of SLP practice in the ICU that apply more broadly to rehabilitation professionals and the ICU multidisciplinary teams in several countries. Our criteria for defining consensus ensured a high threshold for final inclusion. We achieved consensus for 97% of the questionnaire's 66 statements across three distinct groups of professionals (i.e., clinicians,

academics/researchers, managers/administrators) from 12 countries on 6 continents regardless of ICU specialty. The 2 statements that did not reach consensus both related to classifying tasks/behaviors as AGPs, one related to communication, the other related to swallowing. Considering the current lack of clarity regarding exactly what SLP tasks meet the criteria for classification as AGPs this finding is not unexpected. However, it does highlight a potential difference in perceived approaches in management of safety risk, work, and health. Prioritization for our panel of SLPs differed across domains. For workforce planning, preparation and management, highest priority was given to specialist training for SLPs and caseload management strategies. For management of communication, highest priority was given to communication access for patients in the ICU. Finally, for management of swallowing, focus was almost entirely on viral containment and enabling patients to continue to receive appropriate and timely swallow assessments and rehabilitation without risking the health of the health professionals (Table 3).

Participants agreed that rehabilitation occurs within and beyond the ICU. As a group, participants' highest ranked item for the workforce planning and management section, was the need to identify SLPs with specific skills for the provision of communication and swallowing rehabilitation in ICU patients. To bolster extent and continuity of care, a multidisciplinary team inclusive of physicians, advanced-practice providers (e.g., nurse practitioner, physician assistant), nurses, respiratory therapists, physical therapists, occupational therapists, dieticians, and social workers is also necessary, but this is only a first step. ²⁰ Strategic planning, including contingencies for service delivery of independent and specialized clinical practices within the changing nature of the pandemic, should be considered. In fact, as an autonomous clinical provider, the weight and frequency of how SLPs regarded the phrase: "...should be discussed with the treating ICU team" influenced their ratings. Prioritizing staffing is paramount to deliver rehabilitation services that will reduce morbidities and to promote improved functional outcomes in survivors of critical illness.

Access to equipment and resources for purposes of enabling patient communication function, was regarded as the highest statement within the communication management survey section. Communication difficulties in the ICU arise from a variety of factors, including loss of voice with mechanical ventilation. Other communication difficulties can co-occur with onset of acquired weaknesses. As a result, patients have diverse communication needs during admission to the ICU, and may require communication supports with all members of the rehabilitation team during periods on and off mechanical ventilation.

Consideration of AGPs is a concept that arose particularly within swallowing function at the start of the COVID-19 pandemic. There were 14/15 (93%) AGP statements in the management of swallowing function section of questionnaire that reached consensus. From January to May, AGP definitions and their delineation of risks continued to mature. 40,42,43 The timing of the questionnaire distributions began during the time of full lockdown, arguably the time of most conservative thinking and uncertainty. Interestingly, the post-hoc questionnaire underscored these findings, but also demonstrated a shift in opinions concerning swallowing feeding trials and the VFSS, i.e., more disagreement that these two procedures should be regarded as AGPs. Distribution of this post-hoc questionnaire in mid-June was approximately 1 month after several countries began phases of reopening. VFSS services/clinics, in particular, were largely shut down across many institutions prior to June when they began reopening. 44 With 5 weeks between Round 1 and the post-hoc questionnaires, this shift in opinions may reflect practice changes and clinical experience, as we learned that differences with the density and potential transmission of SARS-CoV-2 during AGPs can vary across physiological functions of speaking and breathing. This new evidence may have been reflected in the variation of opinions in the expert group. 45-49

Ongoing research into the rehabilitation needs and outcomes of survivors of COVID
19 is needed to assist with ongoing workforce planning and delivery of healthcare. Full

participation across all Delphi rounds and our panelists' experience, individually spanning

multiple countries, attests to the robustness of our findings and the broad applicability across

geographic boundaries in practice.

Limitations

Despite efforts to ensure rigorous methodology, the study has limitations that need to be considered. Recruitment was through a network of experienced ICU clinicians and clinical researchers, and hence may not represent the views of all clinicians. Also, it is acknowledged that although 12 countries were within the participant cohort, the majority (66%) came from 3 specific countries (i.e., Australia, United Kingdom, United States). However, both between and within these countries, variation is evident with SARS-CoV-2 infection rates, pandemic response, and clinical practice. As such we believe each participating clinician brought differing perspectives and experiences to the study, independent of demographic or country composition.

Governing bodies and professional organizations were frequently updating opinions and offering new guidance for safety, clinical procedures, and clinical management. To this point, the World Health Organization (WHO) declared COVID-19 a pandemic on March 11, 2020. ⁵¹ This questionnaire was finalized April 14, 2020 and distributed with ethics committee approvals on May 11, 2020, during the time when the evidence base was emerging. Generally speaking, survey instruments are quick and responsive to obtaining new information. In the rapidly changing environment of a new pandemic, changes in understanding SARS-CoV-2 continued to drive daily policy changes. ⁵²⁻⁵⁴ These changes may not have been updated between the questionnaire's development and its distribution. Global dissemination and relative acquisition of the latest information may not have been equal, potentially leading to differing professional opinions on these two AGP statements. Moreover, we were unable to determine whether the variable opinions among participants was a reflection of regional differences, general ICU experience, or service experience during the COVID-19 pandemic.

Despite the global variability that is known to exist with COVID-19 infection rates and the personal experiences of clinicians in each service and each country, the current study was able to obtain consensus on all but 2 of the items. Because of this, we believe the

current findings objectively represent a group of professionals with differing experiences, but who maintain a unified mindset and approach to the management, assessment, and treatment of communication and swallowing management for patients in ICU diagnosed with COVID-19. Further research is need to explore regional and country needs with the changing nature of COVID-19.

Conclusion

Rehabilitation during the COVID-19 pandemic brings challenges for patients, healthcare workers, and organizations with the added complexity of the highly infectious and transmissible nature of SARS-CoV-2. Key areas of patient rehabilitation within the ICU include communication and swallowing functions. The statements contained in the questionnaire help guide the design and delivery of services to improve communication and swallowing function, while protecting staff and limiting the risk of virus spread. For managers, the workforce statements also support decisions regarding the management of the SLP workforce providing these services. The consensus statements from this work provide a unified voice to guide clinicians in the planning, implementation of initiatives, and prioritization of services for swallowing and communication management in the ICU, and then into the post ICU rehabilitation phase.

REFERENCES

- Wang W, Xu Y, Gao R, et al. Detection of SARS-CoV-2 in Different Types of Clinical
 Specimens. *Jama*. 2020.
- World Health Organization. WHO Director-General's opening remarks at the media briefing on COVID-19 11 March 2020. 2020; 11 March
 2020:https://www.who.int/dg/speeches/detail/who-director-general-s-opening-remarks-at-the-media-briefing-on-covid-19---11-march-2020. Accessed 31 March 2020.
- 352 3. Johns Hopkins University and Medicine Coronavirus Resource Centre. 2020; https://coronavirus.jhu.edu/map.html. Accessed 6 Oct, 2020.
- Murthy S, Gomersall CD, Fowler RA. Care for Critically III Patients With COVID-19.
 Jama. 2020.
- Guan WJ, Ni ZY, Hu Y, et al. Clinical Characteristics of Coronavirus Disease 2019 in
 China. *N Engl J Med.* 2020;382(18):1708-1720.
- Wu Z, McGoogan JM. Characteristics of and Important Lessons From the
 Coronavirus Disease 2019 (COVID-19) Outbreak in China: Summary of a Report of
 72 314 Cases From the Chinese Center for Disease Control and Prevention. *Jama*.
 2020.
- Grasselli G, Pesenti A, Cecconi M. Critical care utilization for the COVID-19 outbreak
 in Lombardy, Italy: Early experience and forecast during an emergency response.
 Jama. 2020.
- 365 8. Immovilli P, Morelli N, Antonucci E, Radaelli G, Barbera M, Guidetti D. COVID-19 mortality and ICU admission: the Italian experience. *Crit Care.* 2020;24(1):228.
- Lewnard JA, Liu VX, Jackson ML, et al. Incidence, clinical outcomes, and
 transmission dynamics of severe coronavirus disease 2019 in California and
 Washington: prospective cohort study. *BMJ*. 2020;369:m1923.
- 370 10. Kiekens C, Boldrini P, Andreoli A, et al. Rehabilitation and respiratory management in 371 the acute and early post-acute phase. "Instant paper from the field" on rehabilitation 372 answers to the Covid-19 emergency. *European journal of physical and rehabilitation* 373 *medicine*. 2020.
- 374 11. Ceravolo MG, Arienti C, De Sire A, et al. Rehabilitation and Covid-19: the Cochrane Rehabilitation 2020 rapid living systematic review. *European journal of physical and rehabilitation medicine*. 2020.
- 377 12. Stierli S, Buss I, Redecker H, et al. Insights from an interprofessional post-COVID-19 rehabilitation unit: A speech and language therapy and respiratory medicine perspective. *J Rehabil Med.* 2020;52(9):jrm00100.
- Helms J, Kremer S, Merdji H, et al. Neurologic Features in Severe SARS-CoV-2
 Infection. N Engl J Med. 2020.
- Toscano G, Palmerini F, Ravaglia S, et al. Guillain-Barre Syndrome Associated with SARS-CoV-2. *N Engl J Med.* 2020.
- 384 15. Beyrouti R, Adams ME, Benjamin L, et al. Characteristics of ischaemic stroke associated with COVID-19. *J Neurol Neurosurg Psychiatry*. 2020:jnnp-2020-323586.
- 386 16. Avula A, Nalleballe K, Narula N, et al. COVID-19 presenting as stroke. *Brain Behav Immun.* 2020;87:115-119.
- 388 17. Markus HS, Brainin M. COVID-19 and stroke-A global World Stroke Organization 389 perspective. *International journal of stroke : official journal of the International Stroke* 390 *Society.* 2020;15(4):361-364.
- 391 18. Sutt A-L, Fraser J. Speaking valves as part of standard care with tracheostomized mechanically ventilated patients in intensive care unit. *Journal of Critical Care*. 393 2015;30(5):1119-1120.
- 394 19. McRae J, Montgomery E, Garstang Z, Cleary E. The role of speech and language therapists in the intensive care unit. *Journal of the Intensive Care Society.* 396 2019:1751143719875687.

- 397 20. Brodsky MB, Pandian V, Needham DM. Post-extubation dysphagia: a problem needing multidisciplinary efforts. *Intensive Care Med.* 2020;46(1):93-96.
- Bonvento B, Wallace S, Lynch J, Coe B, McGrath BA. Role of the multidisciplinary team in the care of the tracheostomy patient. *Journal of multidisciplinary healthcare*. 2017;10:391-398.
- 402 22. Kligerman MP, Vukkadala N, Tsang RKY, et al. Managing head and neck cancer patients with tracheostomy or laryngectomy during the COVID-19 pandemic. *Head* 404 *Neck.* 2020;42(6):1209-1213.
- Ward E, Jones C, Solley M, Cornwell P. Clinical consistency in tracheostomy management. *Journal of Medical Speech-Language Pathology*. 2007;15(1):7-26.
- Freeman-Sanderson AL, Togher L, Elkins MR, Phipps PR. Quality of life improves with return of voice in tracheostomy patients in intensive care: An observational study. *Journal of Critical Care*. 2016;33:186-191.
- 410 25. Brodsky MB, Mayfield EB, Gross RD. Clinical Decision Making in the ICU: Dysphagia Screening, Assessment, and Treatment. *Semin Speech Lang.* 2019;40(3):170-187.
- 412 26. Brodsky MB, Nollet JL, Spronk PE, Gonzalez-Fernandez M. Prevalence,
 413 Pathophysiology, Diagnostic Modalities and Treatment Options for Dysphagia in
- 414 Critically III Patients. *Am J Phys Med Rehabil.* 2020.
- 415 27. Kamdar BB, Suri R, Suchyta MR, et al. Return to work after critical illness: a systematic review and meta-analysis. *Thorax.* 2020;75(1):17-27.
- 417 28. Poston JT, Patel BK, Davis AM. Management of Critically III Adults With COVID-19. 418 *Jama.* 2020.
- Thomas P, Baldwin C, Bissett B, et al. Physiotherapy management for COVID-19 in the acute hospital setting: clinical practice recommendations. *J Physiother*. 2020;66(2):73-82.
- 422 30. Choon-Huat Koh G, Hoenig H. How Should the Rehabilitation Community Prepare for 2019-nCoV? *Arch Phys Med Rehabil.* 2020;101(6):1068-1071.
- 424 31. Grabowski DC, Joynt Maddox KE. Postacute Care Preparedness for COVID-19:
 425 Thinking Ahead. *Jama.* 2020.
- 426 32. Royal College of Speech & Language Therapists. COVID-19 speech and language therapy rehabilitation pathway: Part of the Intensive Care Society Rehabilitation Working Party. In:2020.
- 429 33. Intensive Care Society. Responding to COVID-19 and beyond: A framework for assessing early rehabilitation needs following treatment in intensive care. In:2020.
- 431 34. Zaga CJ, Pandian V, Brodsky MB, et al. Speech-Language Pathology Guidance for
 432 Tracheostomy During the COVID-19 Pandemic: An International Multidisciplinary
 433 Perspective. Am J Speech Lang Pathol. 2020:1-15.
- 434 35. Fritz MA, Howell RJ, Brodsky MB, et al. Moving Forward with Dysphagia Care: 435 Implementing Strategies during the COVID-19 Pandemic and Beyond. *Dysphagia*. 436 2020:1-9.
- 437 36. Brouwers MC, Kho ME, Browman GP, et al. AGREE II: advancing guideline development, reporting and evaluation in health care. 2010;182(18):E839-E842.
- 439 37. Clayton MJ. Delphi: a technique to harness expert opinion for critical decision-making tasks in education. *Educational Psychology*. 1997;17(4):373-386.
- Hepworth LR, Rowe FJ. Using Delphi methodology in the development of a new patient-reported outcome measure for stroke survivors with visual impairment. *Brain Behav.* 2018;8(2):e00898.
- Vogel C, Zwolinsky S, Griffiths C, Hobbs M, Henderson E, Wilkins E. A Delphi study
 to build consensus on the definition and use of big data in obesity research. *Int J Obes (Lond)*. 2019;43(12):2573-2586.
- 40. Bolton L, Mills C, Wallace S, Brady MC, Royal College of S, Language Therapists C-448 AG. Aerosol generating procedures, dysphagia assessment and COVID-19: A rapid 449 review. *Int J Lang Commun Disord*. 2020;n/a(n/a).

- 450 41. Bolton L, Mills C, Wallace S, Brady MC. Aerosol generating procedures, dysphagia
 451 assessment and COVID-19. Royal College of Speech & Language Therapists; 22
 452 April 2020 2020.
- 42. Organization WH. Modes of transmission of virus causing COVID-19: Implications for IPC precaution recommendations. 2020; https://www.cdc.gov/coronavirus/2019-ncov/hcp/infection-control-recommendations.html. Accessed 22 June, 2020.
- 43. Prevention CfDCa. Interim Infection Prevention and Control Recommendations for Patients with Suspected or Confirmed Coronavirus Disease 2019 (COVID-19) in Healthcare Settings [April 13, 2020]. 2020; . 2020. Accessed 22 June, 2020.
- 459 44. Brodsky MB, Gilbert RJ. The Long-Term Effects of COVID-19 on Dysphagia 460 Evaluation and Treatment. *Arch Phys Med Rehabil.* 2020.
- 461 45. Anfinrud P, Stadnytskyi V, Bax CE, Bax A. Visualizing Speech-Generated Oral Fluid Droplets with Laser Light Scattering. *N Engl J Med.* 2020;382(21):2061-2063.
- 463 46. Asadi S, Wexler AS, Cappa CD, Barreda S, Bouvier NM, Ristenpart WD. Aerosol 464 emission and superemission during human speech increase with voice loudness. 465 *Scientific reports.* 2019;9(1):2348.
- 466 47. Stadnytskyi V, Bax CE, Bax A, Anfinrud P. The airborne lifetime of small speech
 467 droplets and their potential importance in SARS-CoV-2 transmission. *Proceedings of the National Academy of Sciences of the United States of America*.
 469 2020;117(22):11875-11877.
- 470 48. Chao CYH, Wan MP, Morawska L, et al. Characterization of expiration air jets and droplet size distributions immediately at the mouth opening. *Journal of aerosol* 472 *science*. 2009;40(2):122-133.
- Workman AD, Welling DB, Carter BS, et al. Endonasal instrumentation and aerosolization risk in the era of COVID-19: simulation, literature review, and proposed mitigation strategies. *Int Forum Allergy Rhinol.* 2020;10(7):798-805.
- 476 50. Fan VY, Jamison DT, Summers LH. Pandemic risk: how large are the expected losses? *Bull World Health Organ.* 2018;96(2):129-134.
- World Health Organization. WHO Timeline COVID-19. . 2020;
 https://www.who.int/news-room/detail/27-04-2020-who-timeline---covid-19. Accessed
 June 18, 2020.
- 481 52. Richardson S, Hirsch JS, Narasimhan M, et al. Presenting Characteristics,
 482 Comorbidities, and Outcomes Among 5700 Patients Hospitalized With COVID-19 in
 483 the New York City Area. *Jama*. 2020;323(20):2052-2059.
- Zhou F, Yu T, Du R, et al. Clinical course and risk factors for mortality of adult
 inpatients with COVID-19 in Wuhan, China: a retrospective cohort study. *Lancet*.
 2020;395(10229):1054-1062.

Grasselli G, Zangrillo A, Zanella A, et al. Baseline Characteristics and Outcomes of 1591 Patients Infected With SARS-CoV-2 Admitted to ICUs of the Lombardy Region, Italy. *Jama*. 2020;323(16):1574-1581.

Table 1. Delphi Voting Rounds

Survey components	Round 1		Round 2		Round 3	
	Statements voted	Consensus reached	Statements voted	Consensus reached	Statements voted	Consensus reached
Workforce planning, preparation and management	25	24	1	1		
Management of communication function	15	14	1	0	1	0
Management of swallowing function	26	23	3	1	2	1
Total statements	66	61	5	2	3	1

Table 2. Prioritization Results

2	Total Dank Coore	Donk
Workforce planning, preparation and management	Total Rank Score	Rank
Identify staff with ICU-specific clinical skills in relation to communication, swallow, and	260	4
tracheostomy patient management.	369	1
Transparent, clear, and timely communication of COVID-19 infection information relating to	224	•
ICU care.	334	2
Review of current caseload service delivery to identify capacity for increased service provision		_
to higher acuity and increased clinical demand.	304	3
Transparent, clear, and timely communication of COVID-19 infection information from federal		
authorities for training in COVID-19 appropriate PPE	304	3
Educate staff to minimize environmental cross contamination with equipment.	284	5
Facilitate clinical education for ICU specific clinical skills in relation to communication, swallow,		
and tracheostomy patient management.	282	6
Educate staff for the developments of COVID-19-specific disease progression (e.g., delayed		
onset of new laryngeal symptoms, post intensive care syndrome-PICS).	256	7
Monitor staff mental well-being.	213	8
Consider provision of rehabilitation services for post-ICU discharge, including access for		
ongoing swallow and communication therapies.	190	9
Staff access to uniforms (e.g., scrubs) for provision of care in ICU.	187	10
Educate staff of reporting guidelines for clinical incidents related to COVID-19.	180	11
Consider additional resources (including training) for the acquisition of telehealth capabilities.	143	12
Consider staff training needs for provision of rehabilitation services post-ICU discharge (i.e.,	143	12
post intensive care syndrome; PICS)	139	13
Management of communication function	Total Rank Score	Rank
	TOTAL NATIK SCOTE	Nalik
Access to resources (e.g., glasses, hearing aids, call bells, AAC) to enable increased patient communication.	247	1
Make accessible a range of communication options to address diverse communication profiles,		
including alternative and augmentative communication systems and strategies, to non-SLP		
	220	2
staff (e.g., nurses, physicians).	L	
Patients should be provided with support for engaging with family and support networks using		2
communication aids and technologies.	209	3
First consider non-aerosol generating communication supports and aids.	195	4
Consider interpreting services (via phone or electronics) to enhance communication (to	4	_
include culturally and linguistically diverse backgrounds).	172	5
Cuff deflation is an aerosol generating procedure. Communication procedures for patients		
with a tracheostomy that require cuff deflation (e.g., speaking valves, leak speech) during		
mechanical ventilation should be discussed with the treating ICU team.	159	6
Cuff deflation is an aerosol generating procedure. Communication procedures for patients		
with a tracheostomy that require cuff deflation (e.g., speaking valves, leak speech) without		_
mechanical ventilation should be discussed with the treating ICU team.	147	7
Above cuff phonation is an aerosol generating procedure. Management and use should be		
Above cuff phonation is an aerosol generating procedure. Management and use should be discussed with the treating ICU team.	129	8
Above cuff phonation is an aerosol generating procedure. Management and use should be	129 97	8 9

Management of swallowing function	Total Rank Score	Rank
Staff should meet regularly with ICU staff (i.e., physicians, nurses) to determine indications for		
swallowing management in patients with (or suspected) COVID-19.	322	1
Cuff deflation is an aerosol generating procedure. Swallowing procedures for patients with a		
tracheostomy that require cuff deflation (e.g., speaking valves) during mechanical ventilation		
should be discussed with the treating ICU team	240	2
Cuff deflation is an aerosol generating procedure. Swallowing procedures for patients with a		
tracheostomy that require cuff deflation (e.g., speaking valves) without mechanical ventilation		
should be discussed with the treating ICU team	231	3
Flexible endoscopic evaluation of swallowing (FEES) is considered an aerosol generating		
procedure. Assessment should be discussed with the treating ICU team.	227	4
Patients should be supported to independently complete aspects of swallow rehabilitation as		
able.	217	5
Non-invasive ventilation (e.g., high flow nasal oxygen, BiPAP) is considered an aerosol		
generating procedure. A swallowing assessment in this context should be discussed with the		
treating ICU team.	210	6
Patients should be encouraged to self-feed where able.	210	6
Swallowing therapy tasks that are not aerosol generating tasks should be provided to patients.	208	8
Videofluoroscopic swallow studies (VFSS) may be considered an aerosol generating procedure.		
Assessment should be discussed with the treating ICU team.	183	9
Cleaning non-invasive equipment (e.g., stethoscopes, flashlights, ultrasound) between		
patients should be discussed with the ICU staff due to risk of cross contamination and		
healthcare worker infection.	167	10
Respiratory muscle strength training (i.e., EMST and IMST) is considered an aerosol generating		
procedure. Implementation should be discussed with the treating ICU team.	95	11

Table 3. Prioritization Statements Themed

Theme	No. of statements	Examples
Viral containment	16	Transparent, clear, and timely communication of COVID-19 infection information relating to ICU care. Videofluoroscopic swallow studies (VFSS) may be considered an aerosol generating procedure. Assessment should be discussed with the treating ICU team.
Managing extreme workloads / influx of patients	2	Review of current caseload service delivery to identify capacity for increased service provision to higher acuity and increased clinical demand. Staff should meet regularly with ICU staff (i.e., physicians, nurses) to determine indications for swallowing management in patients with (or suspected) COVID-19.
Specialist training and staff well being	5	Identify staff with ICU-specific clinical skills in relation to communication, swallow, and tracheostomy patient management. Consider staff training needs for provision of rehabilitation services post-ICU discharge (i.e., post intensive care syndrome; PICS)
Communication accessibility	7	Access to resources (e.g., glasses, hearing aids, call bells, AAC) to enable increased patient communication. Consider additional resources (including training) for the acquisition of telehealth capabilities.
Swallow intervention accessibility	5	Patients should be supported to independently complete aspects of swallow rehabilitation as able. Swallowing therapy tasks that are not aerosol generating tasks should be provided to patients.

NB: Some statements crossed over two themes