

1 **A MULTI-LEVEL BIOGAS MODEL TO OPTIMISE THE ENERGY BALANCE OF**  
2 **FULL-SCALE SEWAGE SLUDGE CONVENTIONAL AND THP ANAEROBIC**  
3 **DIGESTION**

4  
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9 **Abstract**

10 Anaerobic digestion (AD) is a long-established method for treating wastewater sludge and has  
11 been extensively researched, but there remains a lack of generic or practical modelling tools to  
12 guide operators and maximise the energy output. Detailed kinetic models have been developed,  
13 but are too complex as practical tools for industrial level application. A multi-level model of  
14 biogas yield (BY) was therefore developed based on operational data from 72 full-scale sites  
15 in the UK showing a wide range of AD performance. The model focused on the controllable  
16 operational parameters that are currently monitored at full-scale, including: temperature,  
17 hydraulic retention time and dry solids content in the feed sludge. The model effectively  
18 described performance variations in BY of full-scale processes, and provides a practical  
19 management tool to aid decision support to improve AD efficiency and net energy balance.

20 **Keywords**

21 Anaerobic digestion; biogas yield; digestion conditions; energy balance; process optimisation;  
22 sewage sludge

23

## 24 **1. Introduction**

25 The water industry consumes up to 3% of the total energy used (Howe, 2009), is the fourth  
26 most energy intensive sector (POST, 2007) and contributes approximately 1% of national  
27 greenhouse gas (GHG) emissions in the UK (Water UK, 2009); specifically, wastewater  
28 treatment contributes almost 60% of overall GHGs emitted by the industry (Ainger *et al.*, 2009).  
29 However, the water industry is also a significant producer of renewable energy, for example,  
30 20% of the energy consumed in Thames Water is from renewable sources supplied through  
31 anaerobic digestion (AD) of sewage sludge (Thames Water, 2019). Therefore, improving  
32 energy output is one of the key drivers for full-scale AD process management.

33 Anaerobic digestion is well established as a process for the stabilisation and treatment of  
34 residual sewage sludge from wastewater treatment. Scientific models of the AD process have  
35 been developed for almost 40 years, motivated by the need for more efficient operation  
36 (Donoso-Bravo *et al.*, 2011). The complexity of the system requires a modelling approach to  
37 balance the various influencing operational parameters, and specific models have been  
38 developed for different purposes (Kythreotou *et al.*, 2014). The simple stoichiometric equation  
39 first proposed by Buswell and Muller (1952) calculates the maximum biogas potential of the  
40 digestible substrates in sludge. The next generation of models focussed on the rate limiting step  
41 of the biochemistry and were based, for example, on the rates of conversion of fatty acids,  
42 methanogenesis or the hydrolysis of suspended solids (Eastman and Ferguson, 1981). These  
43 models were simple and easy to use, but did not adequately capture the overall process  
44 performance (Donoso-Bravo *et al.*, 2011). More complex models incorporate additional  
45 process steps, microbial species and detailed kinetics, including inhibitory mechanisms, based  
46 on improved microbiological understanding. For example, Hill (1982) used the volatile fatty  
47 acid (VFA) concentration as a key parameter and separated the kinetics of acidogenesis and  
48 acetogenesis into individual stages. More recently, the Anaerobic Digestion Model No.1

49 (ADM1), developed by Batstone *et al.* (2002), describes the dynamics of 24 species and  
50 includes 19 bioconversion processes, and aims to provide a generic model of fundamental AD  
51 mechanisms. Whilst valuable in research, a constant-volume and completely-mixed system is  
52 assumed by ADM1 and this is often difficult to achieve at full-scale (Kythreotou *et al.*, 2014).  
53 Moreover, the complexity and large number of input parameters restricts the application of  
54 dynamic models at a practical level for optimisation of full-scale industrial plant. Several  
55 authors have modified ADM1 for full-scale application to individual sites (Otuzalti and  
56 Perendeci, 2018; Ozgun, 2019) by reducing the number of input parameters. Nevertheless, a  
57 considerable amount of additional chemical information is still required, such as chemical  
58 oxygen demand, VFA and alkalinity, which are not routinely measured at full-scale sewage  
59 sludge AD plant.

60 The parameters that are typically available for process control are usually relatively limited and  
61 include: digestion temperature, hydraulic retention time (HRT), and the dry solids (DS) content  
62 of the digester feed sludge. However, sites with advanced mesophilic anaerobic digestion  
63 (MAD) often record additional sludge chemical properties: volatile solids (VS), VFA, and pH.  
64 The effects of these principal operational parameters on the AD process have been extensively  
65 studied individually in controlled laboratory experiments (Boušková *et al.*, 2005; Alepu *et al.*,  
66 2016; Nielsen *et al.*, 2017). However, it is less clear how digestion conditions affect the  
67 performance of full-scale, industrial AD plants, when interactive effects of multiple process  
68 variables exist. The development of artificial intelligence and deep learning algorithms, linked  
69 to artificial neural networks (ANN) has enabled the simulation of such complex non-linear  
70 systems. Indeed, several authors have developed ANN models of digester performance at full-  
71 scale sites (Qdais *et al.*, 2010; Güçlü *et al.*, 2011). For example, Güçlü *et al.* (2011) accurately  
72 predicted ( $R^2 = 0.71$ ) the daily methane ( $\text{CH}_4$ ) production volume using temperature, pH,  
73 sludge feed volume, VS, VFA and alkalinity as input variables, based on full-scale data

74 collected over a 245 day period at Ankara Central wastewater treatment plant (WWTP). ANN  
75 models undoubtedly provide a major advancement in industrial systems control, however, they  
76 represent a ‘black box’ approach to process modelling and do not provide defined parameters  
77 to interpret the relationships between input and output variables (Dumitru and Maria, 2013).  
78 So far, ANN models have been successfully applied, but only to single, full-scale sewage  
79 sludge AD treatment sites and, consequently, reflect local operational performance conditions  
80 and may not be readily transferrable to other sites.

81 Modelling full-scale AD performance with limited operational parameters presents major  
82 challenges due to the complexity of the full-scale MAD process and relative differences in  
83 operational conditions, sludge composition and data recording between sites. However, it is  
84 possible to overcome these problems by extending conventional regression analysis techniques  
85 through the multi-level modelling of data from multiple sites with a hierarchical or clustered  
86 structure (Harrison *et al.*, 2018).

87 The aim of this research, therefore, is to develop a statistically based, decision support tool, to  
88 predict and optimise AD performance using operational parameters available at full-scale sites,  
89 that can be applied by plant operators to optimise the biogas yield (BY) and energy balance of  
90 full-scale sewage sludge digestion processes. This was achieved by developing a multi-level  
91 model of BY based on operational data from 72 full-scale conventional and advanced, thermal  
92 hydrolysis process (THP) AD sites in the UK. Three calibration strategies were developed for  
93 the model to account for local site conditions, based on recorded BY and electricity yield (EY)  
94 data and the composition of major sludge organic constituents (protein, fat, carbohydrate and  
95 fibre). Finally, we applied the model to devise optimisation strategies to achieve the maximum  
96 net energy output from full-scale AD.

## 97 **2. Material and Methods**

### 98 **2.1. Site and data information**

99 Data were provided by 66 conventional and six THP MAD sludge treatment facilities in the  
100 UK. Operational data were recorded on a daily frequency for periods of 2 to 7 years, between  
101 2009 and 2017. However, the information was collected and reported differently between the  
102 sites and the first stage was to consolidate the numerical information into a consistent format  
103 with equivalent units in a central database. A description of the different types of data recorded  
104 at the sites relating to the AD process is presented in Figure 1. The critical operational  
105 information available at all AD sites included: digestion temperature ( $^{\circ}\text{C}$ ), HRT (d), and the  
106 DS content of the sludge feed (%). Gas volume was recorded as normal cubic metre ( $\text{Nm}^3$ ) and  
107 was combined with sludge volume and DS data to obtain BY ( $\text{m}^3/\text{t DS}$ ). The majority of sites  
108 also reported the volume of biogas distributed between combined heat and power (CHP), boiler  
109 and flare.

### 110 **2.2. Sludge composition analysis**

111 Three conventional MAD sites, representing high (Site 1), moderate (Site 31) and low (Site 38)  
112 BY performance (Figure 2), and one THP site (THP site 4), representing average THP  
113 performance, based on BY, were selected for sampling and sewage sludge composition  
114 analysis. Sludge samples were collected on six occasions from each site at intervals of 6-8  
115 weeks from May 2018 to February 2019 for examination of the fibre, carbohydrate, protein,  
116 fat, DS and VS content. Total nitrogen (TN), ammonium-nitrogen ( $\text{NH}_4\text{-N}$ ) and nitrate-  
117 nitrogen ( $\text{NO}_3\text{-N}$ ) were determined by a standard Dumas method and EPA-600/4-79-020  
118 method 350.1, respectively (USEPA, 1983). Protein was estimated by multiplying the organic  
119 nitrogen fraction (TN minus  $\text{NH}_4\text{-N}$  and  $\text{NO}_3\text{-N}$ ) by 6.25 (Mariotti and Mirand, 2008). The  
120 total fat content was determined by standard procedure, 5520E (APHA, 2005) and the Van

121 Soest (1991) method was used to determine the proportion of cellulose, hemicellulose, and  
122 lignin in the fibre fraction. The difference in VS content and the sum of the various organic  
123 fractions (fibre, protein and fat) was assumed to represent the total carbohydrate concentration  
124 (Astals *et al.*, 2013). Sludge samples were collected at the digester feed, and post digestion.

### 125 **2.3. Statistical analysis and model development**

126 The overall approach to data merger and statistical analysis is shown in Figure 1. The IBM  
127 SPSS Statistics 21 programme was used to complete the statistical analysis calculations. A  
128 descriptive analysis and screening process was applied initially to the conventional and THP  
129 MAD datasets to remove extreme outliers larger than 3 times the interquartile range, using the  
130 boxplot method (Frigge *et al.*, 1989). The agreement with statistical assumptions was tested at  
131 three main levels: (1) significant outliers, high leverage points or highly influential points  
132 (leverage value  $>0.2$  and Cook's Distance values  $>1$ ); (2) independence of observations,  
133 linearity and multicollinearity; and (3) normality of residuals and homoscedasticity. The  
134 screened variables were converted into a consistent format based on monthly average values;  
135 this approach allowed the maximum data capture and provided a representative performance  
136 for each site by removing short-term fluctuations in the process variables.

137 An operational AD modelling strategy requires a large number of sites with different levels of  
138 process performance represented, to capture the complete envelope of conditions as  
139 comprehensively as possible, which cannot be achieved by studying single or small numbers  
140 of sites. Indeed, the observed BY of full-scale, conventional AD sites varied considerably  
141 (Figure 2) due to differences in actual performance, in response to the main process control  
142 variables, and also the influence of local site data measurement, as well as other operational  
143 reasons. Consequently, BY data from specific sites are strongly clustered (Figure S1) and it is  
144 not possible to derive a continuous, absolute statistical regression model with this type of  
145 numerical distribution pattern. Therefore, we used a multi-level regression method (Gelman

146 and Hill, 2006; Gries, 2015) to identify the statistically significant ( $P<0.05$ ), controllable  
147 operational parameters that impact BY, and to assess their individual and interactive effects,  
148 independently of other site-specific conditions. The multi-level model incorporated a varying  
149 intercept to identify the optimum slope coefficients for the significant AD process control  
150 parameters, for the combined data from all sites. The intercept value represents a categorical  
151 factor in the multi-level AD model, and is determined for each specific site data cluster by the  
152 model calibration procedure described below.

153 Backward elimination and forward selection methods (Leech *et al.*, 2015) were used to identify  
154 statistically significant ( $P\leq 0.05$ ), continuous predictor variables in multi-level regression  
155 models of BY. The data was examined in the following sequence of increasing model  
156 complexity: (1) linear regression, (2) curvilinear and non-linear regression (quadratic/cubic and  
157 log transformed), and (3) testing the interactive effects of the significant predictors (all  
158 combinations of interaction terms were tested). The predictors were centred before fitting into  
159 the model, to evaluate interaction effects, by subtracting the overall mean value (for the  
160 combined site data) from each variable (Aiken *et al.*, 1991). The general structures of the multi-  
161 level models tested are summarised in the Supplementary Material.

162 Validation of the conventional MAD model was performed using datasets collected from  
163 selected, specific WWTPs that were: (a) used in model development, and (b) obtained  
164 subsequent to, and independent of, model development. Sites 37, 38 and 42 (Figure 2), with  
165 typical average observed BY values of approximately 400 m<sup>3</sup>/t DS (Table 1; CIWEM, 1996;  
166 Bachmann *et al.* 2015), were selected as good examples for model calibration and validation  
167 with information already used in model development, and Site 31, 38 and 1 were selected as  
168 representative, independent datasets. Conventional and THP MAD datasets were pooled and a  
169 combined Conventional+THP-MAD model was also developed and tested.

170 The default approach to model calibration, to account for site specific conditions for calendar  
171 year periods, estimated the deviation in mean predicted BY values relative to the observed  
172 mean recorded BY for the site, following Equation 2.1 (an example is shown in Figure S2):

173 Site factor =

$$174 \text{ Yearly average observed BY} - [\sum_{i=1}^n (\text{BY predicted by fixed coefficients})_i] / n \quad (2.1)$$

175 Where n is the number of observed BY values each calendar year.

176 Biogas predictions were compared and cross-referenced with electricity generation data from  
177 AD biogas used by CHP plant. The mean annual observed EY also provided an alternative  
178 approach to model calibration for the selected sites by substituting the observed BY in Equation  
179 2.1 with an electricity derived  $\text{BY}_e$ . This was calculated based on a conversion factor of 2.14  
180 for electricity generation from biogas (assuming electrical conversion efficiency = 35% and 1  
181  $\text{m}^3$  biogas = 2.14 kWh electricity; Banks, 2009). The BY equation was modified using the  
182 biogas-to-electricity conversion factor to predict EY and this alternative form of the model was  
183 also validated for the selected sites used in the conventional MAD BY validation. Finally, the  
184 results from the sludge composition analysis were used to calculate a theoretical  $\text{BY}_c$  value for  
185 the examined sites, based on the destruction of major organic fractions and their associated  
186  $\text{CH}_4$  yield values (Figure 1b; see Supplementary Material for further details). This provided an  
187 independent approach to model calibration by substituting  $\text{BY}_c$  for the observed BY in  
188 Equation 2.1 to obtain a sludge-composition derived site factor.

189 Response surface plots of the relative changes in BY were generated based on Model 4b, using  
190 representative combinations of values for two of the continuous variables within the 5 to 95  
191 percentile operational data range, and setting the third factor (temperature, or DS) to their  
192 overall mean values. Thus, when the explanatory variables are set to their mean values, BY is  
193 equivalent to zero. This enabled a generic representation of the overall BY response to the main  
194 operational factors controlling the AD process.



## 195 **3. Results**

### 196 **3.1. Overview of the conventional and THP MAD dataset and AD model**

197 Overall average values of the main operational variables for conventional and THP MAD were  
198 calculated from monthly mean data and are summarised in Table 1, and specific mean data for  
199 individual conventional sites included in model development are shown in Figure 2. The  
200 overall mean BY for conventional treatment sites was approximately 400 m<sup>3</sup>/t DS, which is  
201 typical for sewage sludge MAD (Bachmann *et al.* 2015). The overall mean values for  
202 conventional operational variables were: DS of raw feed sludge, 4.5%; VS of raw feed sludge,  
203 76.1%; HRT, 21.2 days and temperature, approximately 36.0 °C. Volatile solids data is not  
204 collected routinely by all WWTP, and was therefore not included in the AD model development,  
205 but is presented in Table 1 as a parameter used widely in the literature to interpret AD  
206 performance. As would be expected (Barber, 2016), the overall mean BY, DS feed, VS feed,  
207 HRT and digestion temperature for THP MAD sites were all greater compared to the  
208 conventional process and were approximately equivalent to: 440 m<sup>3</sup>/t DS, 7.9%, 78.9%, 22.4  
209 days and 38.0 °C, respectively, albeit for a much smaller subset of 6 sites compared to the  
210 conventional process, which included 66 sites. Nevertheless, the site characteristics were  
211 consistent with the expected operational criteria and performance range of THP MAD (Barber,  
212 2016).

213 The effects of temperature, HRT and DS feed on BY of conventional MAD were all highly  
214 statistically significant ( $P < 0.001$ ) and together with the categorical site factor, explained >50%  
215 of the total variation in BY data, which is extremely important to site operators (Table 2).

216 The natural logarithm model (Model 3) of the continuous predictors explained the largest  
217 overall proportion of total variation in BY (11.9%) and was selected for further analysis, as all  
218 the operational predictors in the model also had interpretable coefficients. This included the

219 statistical analysis of interaction effects, which showed a significant ( $P=0.029$ ) interaction  
220 between HRT and DS feed that was formulated into Model 4a (Table 2). The influence of the  
221 interaction between HRT and DS on the overall, relative response of the BY is shown in Figure  
222 S3 of the Supplementary Material.

### 223 3.2. Model validation

#### 224 3.2.1. Conventional MAD sites

225 Model 4a was validated against specific, selected WWTP datasets. The results showed the  
226 patterns in digester performance were effectively captured, and demonstrated the important  
227 influence of the principal, controllable operating conditions (namely, digestion temperature,  
228 HRT and DS feed) on BY (Figure 3a), especially for Site 37 and 42. Note that the  $R^2$  values  
229 presented in Figure 3a only provide a guide to the model description of operational data, since  
230 the site-specific, predicted BY ( $BY_p$ ) values depend on the calibration frequency. Nevertheless,  
231 an  $R^2$  value of 0.65 was obtained for Site 42, suggesting relatively good data agreement and  
232 recording at this site. A smaller  $R^2$ , such as that obtained for Site 38, indicates that other factors,  
233 not represented in the model, and/or poor data recording, have a stronger influence on the  
234 apparent BY than the main operational, controllable process variables, which could be a trigger  
235 for further site performance investigation.

236 Additional data were collected for conventional sites 1, 31 and 38, between 2016 – 2019, as  
237 part of the sludge composition study, and were also used for model validation as independent  
238 datasets, collected subsequent to the main data pool used to produce the model (Figure 3b).  
239 The results showed that the  $BY_p$  values generally followed the overall patterns in measured  
240 operational monthly average data for Site 38 ( $P<0.001$ ,  $R^2= 0.59$ ) and 31 ( $P<0.001$ ,  $R^2= 0.57$ ).  
241 However, the BY data supplied by Site 1 was significantly above the range considered  
242 representative of conventional AD: 300 – 440  $m^3/t$  DS (CIWEM, 1996; Bachmann *et al.*, 2015).  
243 The default approach to internal site calibration using local BY data will thus track the site

244 information, irrespective of whether this is representative of the actual process BY, as shown  
245 for Site 1 ( $P=0.003$ ,  $R^2= 0.32$ ) (Figure 3b).

### 246 **3.3. Combined Conventional +THP-MAD model**

247 To test whether THP MAD could be explained by the same operational parameters as  
248 conventional MAD, Model 4a was applied to the THP dataset to predict BY. The results  
249 showed the conventional MAD Model 4a gave a good overall description of BY at THP MAD  
250 sites with the default calibration method ( $P<0.001$ ,  $R^2=0.72$ ). The results demonstrated that the  
251 response of these processes to the major operational variables is fundamentally similar,  
252 although THP typically performs at a higher range. Therefore, THP and conventional data were  
253 pooled to generate a combined Conventional-THP model (Model 4b) following the procedure  
254 described in Section 3.1. Model 4a and 4b have equivalent significant predicting parameters  
255 and similar regression coefficients (Table 2; the general form of the equation is given in the  
256 Supplementary Material). Model 4b provided an effective description of the observed BY for  
257 THP (THP sites 1 – 6) and conventional (sites 37, 38 and 42) processes;  $P$  values were  $<0.001$   
258 in both cases, and  $R^2$  was equivalent to 0.73 and 0.59, respectively (Figure S4). Consequently,  
259 Model 4b has practical application in monitoring the full-scale performance of both  
260 conventional and THP MAD.

### 261 **3.4. Electricity yield**

262 Electricity was generated by CHP plant from AD biogas at all sites and, accounting for other  
263 uses (for example, combustion in a boiler and flaring), is related to the amount of biogas  
264 produced. As would be expected, a strong linear relationship ( $R^2 = 0.90$ ,  $P<0.001$ ) was found  
265 between the electricity and biogas yields for the period 2016 to 2019 for selected sites  
266 (Conventional: 1, 31 and 38, and THP site 4; data not shown). However, Site 1 showed a very  
267 different gas-electricity profile compared to the other sites and both gas and electricity yields  
268 were significantly above the expected AD operational range (the mean BY of the data collected

269 between May 2018 – Feb 2019 was  $>1000 \text{ m}^3/\text{t DS}$ , whereas previously it was approximately  
270  $640 \text{ m}^3/\text{t DS}$ , see Figure 2). Poor quality of gas data recording is a possible reason for the  
271 misalignment of gas and electricity data. However, a strong relationship between the biogas  
272 and electricity yields for Site 1 ( $R^2 = 0.90$ ,  $P < 0.001$ ; data not shown) suggested reliable gas  
273 measurement in this case. Therefore, the large biogas (and electricity) yields observed here  
274 were attributed to factors other than gas measurement and we suspect that unreliable  
275 (underestimated) sludge feed volume recording was the most likely explanation. Site 1 was  
276 therefore removed from the correlation of biogas and electricity yield, nevertheless, the  
277 relationship remained highly statistically significant ( $R^2=0.60$ ,  $P < 0.001$ ; data not shown).

278 The electricity modified site factor generated a similar BY compared to observed and default  
279 predicted values for the sites selected for detailed validation assessment (Figure 4). The  
280 detailed operational data used in the validation and site factor calculations are summarised in  
281 Table S1. Small differences between the observed BY and  $BY_e$  may be attributed to the quality  
282 of recording biogas use by CHP, and the efficiency of electricity conversion at specific sites.  
283 Thus, biogas-electricity generation conversion factors provide an effective alternative to the  
284 default biogas approach to site specific calibration of the model.

285 An adjusted form of the BY Model 4a was used to predict electricity yield ( $EY_p$ ) (Model 4c in  
286 the Supplementary Material), by applying the standard biogas-to-electricity conversion factor  
287 (2.14; Banks, 2009), and validated for the selected sites (Figure S5) considered in Figure 3. An  
288 improved  $R^2$  was observed for most of the selected sites compared to  $BY_p$ , and this suggested  
289 that electricity recording may be more reliable than biogas measurement at sites where the  
290 proportion of biogas used by CHP is known, and the electricity conversion efficiency is similar  
291 to the standard value. For example, a small increase in  $R^2$ , from 0.65 to 0.77, was observed for  
292 Site 42, where 94% of biogas was used by CHP and the average biogas-to-electricity  
293 conversion ratio was 1.8.

### 294 3.5. Sludge composition

295 The effects of temperature, HRT and DS feed on full-scale AD performance are represented  
296 by fixed coefficients in the multi-level regression model (Section 3.3). However, sites with  
297 similar operating conditions may have different BY performance, and this may be partly  
298 attributed to the variation in feed sludge composition (Weiland, 2010; Li *et al.*, 2018). The  
299 effect of sludge composition on the AD process was therefore investigated by measuring the  
300 major organic fractions (protein, fat, carbohydrate and fibre contents) in feed and digested  
301 sludge from the four selected MAD sites representing different levels of operational  
302 performance (Conventional: high, Site 1; moderate, Site 31; and low, Site 38; THP Site 4).

303 No significant relation ( $P > 0.05$ ) was detected between BY and sludge feed composition.  
304 However, a compositional  $BY_c$  value was derived for each sampling event based on the  
305 substrates destroyed during AD, and the theoretical  $CH_4$  yield and content in the biogas (See  
306 Figure 1 and S6). A positive and highly statistically significant correlation ( $P = 0.007$ ) was  
307 found between  $BY_c$  and the observed BY for the sites with reasonable biogas recording (Figure  
308 S7). Therefore, observed BY could be confidently described by the  $BY_c$  based on  
309 measurements of energy substrates in sludge pre and post digestion and the fractions destroyed.  
310 This provided a further approach to model calibration that was independent of the standard  
311 operational data recording of biogas and electricity. The results (Figure 4) showed that sludge  
312 composition generally underestimated the BY to some an extent compared to observed,  
313 predicted and electricity derived values. This could be explained because minor energy  
314 substrates may not be determined in the chemical analysis and/or that published gas yield  
315 values for one or more substrates may be slightly underestimated. However, the difference in  
316  $BY_c$  compared to operationally observed or predicted values was particularly distinct for Site  
317 1 ( $BY = 1362 \text{ m}^3/\text{t DS}$ ,  $BY_e = 1466 \text{ m}^3/\text{t DS}$  and  $BY_c = 381 \text{ m}^3/\text{t DS}$ ). The  $BY_c$  value for the  
318 site was representative of typical AD performance and confirmed our suspicion of local

319 problems with recording total sludge volumes fed to the digesters at the site. Therefore, where  
320 there are issues with the local measurement of absolute gas flow or feed volumes, for instance,  
321 quantifying the sludge composition is valuable to: (a) cross-reference the site data and, (b)  
322 independently calibrate the model.

### 323 **3.6. Impacts of digestion conditions on process performance**

324 The relative changes in BY described by Model 4b in response to DS feed and HRT, are shown  
325 in Figure 5a (omitting the site factor) for the average temperature recorded at conventional  
326 MAD sites, equivalent to 35.9 °C (Table 1). Biogas yield declined with increasing DS, but the  
327 magnitude of the response diminished with increasing HRT. The effect of HRT also depended  
328 on the DS concentration and BY increased to a greater extent with longer HRT as DS increased.  
329 For example, increasing HRT from 15 to 20 days raised the relative BY at 2.5% and 4.0 % DS  
330 by 28.1 m<sup>3</sup>/t DS and 36.4 m<sup>3</sup>/t DS, respectively. However, the magnitude of the response in  
331 BY to extending the HRT further from 20 to 25 days diminished at equivalent DS contents in  
332 the feed sludge to 21.8 to 28.3 m<sup>3</sup>/t DS, respectively, due to the logarithmic relationship  
333 between HRT and BY. Thus, the model indicated the overall increases in BY possible with 10  
334 days more HRT were equivalent to approximately 50 and 65 m<sup>3</sup>/t DS for these DS contents in  
335 feed sludge, respectively. The relative changes in BY for THP MAD sites followed similar  
336 patterns to conventional treatment, but in this case, the operational conditions represented  
337 larger DS values and a wider range of HRT (see Table S2 in the Supplementary Material).

338 The effect of temperature and HRT on the relative changes in BY at the overall mean  
339 conventional DS in feed sludge of 4.5% DS (Table 1) is shown in Figure 5b. Biogas yield  
340 increased following a diminishing response to rising temperature. For example, an incremental  
341 rise of 3 °C from baseline temperatures of 33 °C and 36 °C increased BY by 23.1 and 21.2 m<sup>3</sup>/t  
342 DS, respectively. THP MAD processes operated at higher temperature and DS feed, compared  
343 to conventional sites (Table 1). However, multi-level Model 4b showed that the effect of

344 temperature on BY was independent of DS, therefore, equivalent relative increases in BY with  
345 temperature may be expected, irrespective of the differences in DS feed to these processes.  
346 Thus, a 3 °C lift in temperature increased BY by 23.1 m<sup>3</sup>/t DS relative to 33 °C, at both 4.5 and  
347 7.9% DS feed (the average DS values in feed sludge to conventional and THP digesters,  
348 respectively).

### 349 **3.7. Net energy generation**

350 Digester performance is controlled by the combined and interactive effects of the process  
351 parameters (Figure 5). Therefore, selecting a combination of appropriate and corresponding  
352 HRT, DS feed and digestion temperature conditions is necessary to maximise the BY, but this  
353 does not necessarily equate to the optimum performance of full-scale AD in terms of the overall  
354 maximum biogas volume and the net energy balance. The net energy calculations are  
355 summarised in the Supplementary Material. For example, according to Equation S1, if it is  
356 assumed that a 2000 m<sup>3</sup> digester is operated with a 20 day HRT, and daily feed volume of 100  
357 m<sup>3</sup>/day at 5% DS, and produces an optimum BY of 400 m<sup>3</sup>/t DS and total biogas volume  
358 equivalent to 2000 m<sup>3</sup> biogas/d, the results show that increasing the daily feed volume by 33.3%  
359 reduces the HRT from 20 to 15 days and BY from 400 to 358 m<sup>3</sup>/t DS, respectively. However,  
360 the total sludge feed is increased from 5.0 to 6.7 t DS/d. Therefore, although HRT and BY were  
361 reduced, the daily biogas flow increased by 19.4 % to 2387 m<sup>3</sup>.

362 Table 3 shows the energy required to heat 1 t of wet sludge to increase the digestion temperature  
363 by 2 °C, and the net energy generated from biogas produced from 1 t of sludge at DS  
364 concentrations in the feed equivalent to: 2.7%, 3.0%, 4.5% and 7.9%, respectively, according  
365 to Equation S2. A sludge DS of 2.7% was selected to illustrate the effects of a lower range  
366 value on the process energy balance, as this represented the lower 5% percentile of monthly  
367 average operational DS data for conventional MAD sites. Under these circumstances,  
368 increasing the digestion temperature to >39 °C produced a negative net energy balance (the

369 heating efficiency of the system was not considered). This is explained by the greater energy  
370 demand necessary to heat larger volumes of water and the reduced calorific output per wet t of  
371 sludge treated at low DS concentrations compared to larger DS contents. Therefore, it is  
372 recommended that the temperature of conventional MAD operated with low feed DS (<2.7%)  
373 is controlled in the low to medium mesophilic range (<39 °C) to achieve a positive energy  
374 balance. The multi-level model showed that a 2°C lift in temperature can generate an additional  
375 1.3 and 4.1 kWh of energy per t of wet sludge at the average DS concentrations of 4.5 and 7.9%  
376 found in sludge feed to conventional and THP MAD processes, respectively. Thus, the results  
377 demonstrate the potential advantage of increasing the digestion temperature of conventional  
378 MAD processes operated at typical DS feed concentrations, and also supported current  
379 operational strategies of adopting high mesophilic digestion temperatures at full-scale THP  
380 sites (Table 1), to gain the overall maximum quantitative energy benefit. Careful consideration  
381 is also necessary to balance the other main process conditions of sludge DS content and HRT  
382 when selecting the operating temperature for conventional MAD treatment.

## 383 **4. Discussion**

### 384 **4.1. Multi-level modelling of full-scale AD processes**

385 Modelling the performance of full-scale AD processes with a limited number of operational  
386 parameters is challenging due to the complexity of the system and the differences in data  
387 recording quality between sites. However, digestion temperature, DS of feed sludge and HRT  
388 are the main parameters available to operators to control and optimise sludge AD. Therefore,  
389 it would be a considerable advantage if a quantitative, modelling based decision support tool  
390 were available to adjust these conditions on an informed basis to guide and improve process  
391 operation. Here, we used up to 7 years of operational data recorded at 72 full-scale MAD sites  
392 to construct a widely applicable, operationally based, multi-level MAD biogas model to  
393 describe the changes in BY that are influenced by the major controllable AD parameters. The



394 BY was in the range of 150 to 700 m<sup>3</sup>/t DS (Figure 2) and provided a comprehensive range of  
395 performance conditions to develop the multi-level, statistical model. Although, part of this  
396 variation may be attributed to poor measurements at some of the full-scale sites, the mean BY  
397 for conventional MAD was approximately 400 m<sup>3</sup>/t DS, and was entirely consistent with  
398 typical values reported for sewage sludge MAD (Bachmann *et al.* 2015). Furthermore, the BY  
399 was highly statistically significantly correlated to EY ( $P < 0.001$ ,  $R^2 = 0.78$ ), and cross-  
400 validation provided confidence in the overall reliability of biogas recording.

401 Multi-level modelling is an appropriate method of analysis when raw data has a clustered  
402 structure; here, for example, data clustering was caused by inter-site differences that were not  
403 captured by the recorded operational parameters. Thus, the effects of operational parameters  
404 on the relative changes in BY were determined by accounting for the variance attributed to  
405 unknown differences between sites.

#### 406 **4.2. Factors affecting digestion performance**

407 To a large extent, AD research has focussed on maximising biogas or CH<sub>4</sub> yield at laboratory  
408 scale by evaluating individual operational parameters (Nixon, 2016). For example, Dokulilová  
409 *et al.* (2018) showed BY increased with temperature in lab-scale digestion of sewage sludge at  
410 20 days HRT, and suggested the maximum BY occurred in the upper mesophilic range (42 °C).

411 In another example, Alepu *et al.* (2016) reported the CH<sub>4</sub> yield in lab-scale MAD of sludge  
412 increased with HRT and recommended 30 days HRT as the optimum. However, digester  
413 performance is determined by the combined and interactive effects of multiple process  
414 parameters and the multi-level model of full-scale MAD showed statistically significant  
415 positive effects of temperature and HRT, a negative effect of DS, with a positive, statistically  
416 significant interaction between HRT and DS.

417 The optimum performance of full-scale MAD processes requires the adoption of a suitable  
418 HRT depending upon the feed DS concentration (Figure 5a). This is necessary to ensure

419 adequate contact time with the biodegradable substrates for metabolism as DS increases, and  
420 to prevent bacterial washout to maintain a sufficient population in the digester for the efficient  
421 conversion of complex organic matter to CH<sub>4</sub> and CO<sub>2</sub> (Parkin and Owen, 1986).  
422 Pretreatments for sludge are designed to increase the capacity of conventional MAD systems,  
423 and also provide other possible benefits, such as better sludge digestibility and pathogen  
424 reduction. Higher solids inputs and quicker reaction rates are reported (Wilson *et al.*, 2008;  
425 Xue *et al.*, 2015) for thermally hydrolysed sludge in the MAD process, compared to  
426 conventional digestion, and this is attributed to changes in sludge rheology and soluble organic  
427 contents after THP (Barber, 2016; Gurieff *et al.*, 2011; Xue *et al.* 2015). This behaviour was  
428 captured by Model 4b, by the statistically significant ( $P<0.001$ ) main, positive effect of HRT  
429 on BY at full-scale conventional MAD sites, and the statistically significant ( $P=0.038$ )  
430 interaction between DS and HRT. Therefore, the magnitude of the response in BY to changes  
431 in the HRT was greater for THP MAD processes, due to the larger DS concentrations in THP  
432 feed sludge (4.2 – 10.5%), compared to conventional MAD, which operates with a lower range  
433 of DS feed contents (2.7 – 6.3%), over an equivalent range of HRT. Consequently, THP MAD  
434 may achieve a similar BY compared to conventional MAD, but with shorter HRT.

435 In general, the changes in BY observed at operational sites were effectively captured by the  
436 modelled parameters (Section 3.2). However, the extent of the variation explained may vary  
437 between different sites and time periods (Figure 3). This is because the model uses the three  
438 key, operationally monitored, parameters available at and used by all WWTP to control the AD  
439 process, as predictors of the total variation in BY, which, inevitably, also includes variability  
440 potentially attributable to other factors not directly considered in the model. These factors,  
441 amongst others, could include, for example, the sludge composition and primary:secondary  
442 sludge ratio, which determine the theoretical CH<sub>4</sub> yield and biodegradability of the feed sludge.  
443 Different substrates have varying CH<sub>4</sub> potentials, as shown in Figure 1b, and, under optimum

444 digestion conditions, the typical, specific CH<sub>4</sub> yield for surplus activated sludge is 190 - 240  
445 Nm<sup>3</sup>/t VS, which is much smaller compared to primary sludge at 315 - 400 Nm<sup>3</sup>/t VS, under  
446 equivalent conditions (Bachmann *et al.* 2015). This is because activated sludge is of biological  
447 origin and the microbial cells are embedded within the floc structure and extracellular  
448 polymeric substances, which provide a relatively resistant environment to lysis under AD  
449 conditions (Mottet *et al.*, 2010). Digester mixing is also an important factor defining AD  
450 performance, but is difficult to measure and quantify at full-scale, and models of the AD  
451 process generally assume a perfectly mixed system (Kythreotou *et al.*, 2014). The effect of  
452 mixing is linked strongly to the DS in the feed sludge and poorer mixing efficiency at high  
453 solids concentrations has been confirmed using tracer tests (Kamarád *et al.*, 2013). Therefore,  
454 our approach was to combine and capture all such 'known-unknown' variables within the  
455 categorical site factor of the multi-level MAD model.

456 Sludge composition is one such source of potential inter-site variability and routine analysis of  
457 the AD energy substrates is a feasible and practicable option to understand the impact on BY.  
458 Other major sources of variation are still to be identified and explored as a considerable amount  
459 of uncertainty in predicting AD performance and BY remains. For example, the microbial  
460 community involved in AD is dynamic and complex, however, it is well known that a stable  
461 population with the correct balance of the major groups responsible for the various stages of  
462 organic matter conversion by AD is essential (Narihiro *et al.*, 2015). This requires knowledge  
463 of the AD ecosystem to develop a fundamental understanding of how microbial community  
464 dynamics, interactions and functionality influence digester efficiency and stability  
465 (Vanwonterghem *et al.*, 2014). There have been several attempts to define the core AD  
466 microbiome, to identify the critical population responsible for the AD process, for example,  
467 Mei *et al.* (2017) examined 90 full-scale digesters from 5 countries and concluded that AD  
468 microbiomes were influenced by the operating conditions (for example, pretreatment,

469 temperature range, and salinity) and could be classified into eight clusters on this basis.  
470 Therefore, greater fundamental insight to the AD process may be possible from a combination  
471 of engineering and meta-omics analysis.

#### 472 **4.3. Model validation**

473 The  $R^2$  of the validation results (Figure 3) depended on two factors: (1) the extent to which the  
474 coefficients of the principal operational parameter explained the BY, and (2) the frequency of  
475 model calibration to adjust the site factor (intercept) to determine the absolute BY value. The  
476 model was calibrated yearly in the model validation (Section 3.2), and therefore assumed that  
477 the influence of unmeasured factors was consistent for each annual period. The results showed  
478 that the model could capture and track the changing patterns in BY in time series data, and, for  
479 sites with good data quality recording, an  $R^2$  value of 60% or better was achieved (Figure 3).  
480 The most reliable prediction of BY will be obtained for sites with characteristically stable  
481 operating conditions and where the site factor is consistent and captures the effects of other  
482 relevant management factors (for example, sludge composition, mixing efficiency, primary  
483 sludge ratio) that are not explained by the continuous variables in the model.

#### 484 **4.4. Model calibration**

485 Three model calibration methods were applied using the local BY, electricity generation and  
486 sludge composition to calculate the specific site factor to represent the effects of unmeasured  
487 parameters on digestion performance. The default, internal calibration method using local, site  
488 BY data is generally recommended for routine model calibration, however, it relies on the  
489 availability of reliable gas flow measurements. Two additional calibration methods, using EY  
490 or sludge composition analysis, are also proposed, and can be useful when local data issues  
491 exist with the absolute measurement of gas flow or sludge feed volume, for instance.

492 Electricity yield is an effective method to cross-check historical and contemporary biogas data,  
493 and for model calibration, as electricity recording is reliable and routinely available at most

494 AD sites operating CHP. In addition, EY can provide an alternative to modelling BY as an  
495 indicator of AD performance where there is effective site recording of CHP biogas  
496 consumption. For example, in some cases, we found that the multi-level model of EY (which  
497 was based on the BY model, adjusted by a factor for electricity generation) had an improved  
498  $R^2$  value, compared to the corresponding BY prediction for the same site (Figure 3 and S5).

499 Measuring the destruction of the major groups of organic substrate in sludge by AD requires  
500 additional sample collection and analysis and is not routinely conducted at WWTP. The results  
501 presented here indicate the value of this approach to characterise the potential performance of  
502 sludge AD systems; it can provide an independent approach to industry recorded operational  
503 data for model calibration and can be used as a cross-reference, for instance, when there are  
504 multiple data recording issues at a particular site. For example, the  $BY_e$  was consistent with  
505 the observed and predicted BY results for Site 1, but both values were larger than would appear  
506 reasonable for conventional MAD of sewage sludge (Bachmann *et al.* 2015) based on the DS  
507 loading rates to the digesters calculated by the DS concentrations and feed volume reported by  
508 the operator for this site. However, a  $BY_c$  based on sludge composition analysis and the DS  
509 concentration at Site 1 was consistent with the typical performance of conventional MAD of  
510 sewage sludge. Therefore, unreliable recording of sludge feed volume to the AD process was  
511 the suspected cause of the unrepresentative biogas and electricity yield values obtained in this  
512 case.

#### 513 **4.5. Optimisation strategies**

514 The multi-level BY model is based on currently monitored operational parameters and can  
515 provide decision support to increase the efficiency of sewage sludge AD. The model can be  
516 used to determine the appropriate temperature, HRT and DS control metrics to optimise the  
517 BY within the operating boundaries of full-scale sludge AD processes. However, only  
518 focussing on increasing the BY as the main objective of process control does not necessarily

519 maximise the overall process energy balance. For example, reducing the HRT to potentially a  
520 sub-optimal BY can increase the total amount of sludge treated and the overall amount of  
521 biogas produced, thus improving the overall energy balance of the process, compared to  
522 digestion at higher BY (Table 3). Raising the digestion temperature also increases BY, but  
523 requires additional energy input. A positive energy benefit is possible by increasing the  
524 operating temperature in the high mesophilic range  $>39\text{ }^{\circ}\text{C}$ , provided that a minimum DS of  
525 3.0% is supplied in the feed sludge, and a greater energy surplus is obtained with increasing  
526 feed DS content.

## 527 **5. Conclusions**

528 A multi-level regression modelling technique was applied to large, sewage sludge AD, process  
529 monitoring data sets to quantify the significance of operational parameters controlling BY. The  
530 model effectively predicted the digester BY performance using basic operational parameters  
531 (temperature, HRT and DS in feed sludge) that are routinely recorded and used for process  
532 control at full-scale sewage sludge AD plant. The model shows that focussing on increasing  
533 the BY of MAD alone does not necessarily lead to an improvement in overall process  
534 performance in terms of energy balance. The importance of the integrated adjustment of DS  
535 feed, HRT and temperature is emphasised to optimise the overall energy balance of the AD  
536 process.

537 E-supplementary material of this work can be found in the online version of the paper.

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685 **Table 1 Summary of overall mean values of principal parameters used for conventional**  
 686 **and THP MAD process control**

Parameter	Anaerobic digestion type		
	Conventional	THP	
DS feed (%)	Mean	4.5	7.9
	SD	1.1	2.1
VS feed (%)	Mean	76.1	78.9
	SD	4.0	6.3
HRT (days)	Mean	21.2	22.4
	SD	6.9	10.0
Temperature (°C)	Mean	35.9	38.2
	SD	2.2	3.7
BY (m <sup>3</sup> /t DS)	Mean	398.7	438.6
	SD	176.3	148.0

687 BY- biogas yield; DS - dry solids; VS - volatile solids; HRT - hydraulic retention time; SD - standard deviation.

688 Note that VS is not collected at all sites and therefore was not included in model development.

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696 **Table 2 Multi-level biogas models of full-scale anaerobic digestion of sewage sludge**

Model	R <sup>2</sup>	Significant predictors ( <i>P</i> value)	Predictor coefficient	Total variation explained by predictors
1. Linear	0.51	HRT_c ( <i>P</i> < 0.001)	5.54	10.7%
		Temperature_c ( <i>P</i> < 0.001)	6.80	
		DS input_c ( <i>P</i> < 0.001)	-47.6	
		Site factor ( <i>P</i> < 0.001)		
2. Quadratic	0.53	HRT_c ( <i>P</i> < 0.001)	7.17	11.8%
		Temperature_c ( <i>P</i> < 0.001)	6.62	
		DS input_c ( <i>P</i> < 0.001)	-53.2	
		(HRT_c) <sup>2</sup> ( <i>P</i> < 0.001)	-0.20	
		(DS input_c) <sup>2</sup> ( <i>P</i> < 0.001)	7.46	
3. Natural Log	0.53	Ln(HRT)_c ( <i>P</i> < 0.001)	137.1	11.9%
		Ln(Temperature)_c ( <i>P</i> < 0.001)	232.5	
		Ln(DS input)_c ( <i>P</i> < 0.001)	-221.6	
		Site factor ( <i>P</i> < 0.001)		
4a. Interaction	0.53	Ln(HRT)_c ( <i>P</i> < 0.001)	136.2	12.1%
		Ln(Temperature)_c ( <i>P</i> < 0.001)	231.0	
		Ln(DS input)_c ( <i>P</i> < 0.001)	-224.8	
		Ln(HRT) <sub>c</sub> * Ln(DS input) <sub>c</sub> (0.029)	75.5	
		Site factor ( <i>P</i> < 0.001)		
4b. Interaction	0.52	Ln(HRT)_c ( <i>P</i> < 0.001)	133.7	8.5%
		Ln(Temperature)_c ( <i>P</i> < 0.001)	265.3	
		Ln(DS input)_c ( <i>P</i> < 0.001)	-216.4	
		Ln(HRT) <sub>c</sub> * Ln(DS input) <sub>c</sub> (0.037)	61.7	
		Site factor ( <i>P</i> < 0.001)		

697 HRT\_c: hydraulic retention time centred with mean; Temperature\_c: digestion temperature centred with mean;

698 DS input\_c: digester feed dry solids concentration centred with mean; Site factor: is a categorical variable for site.

699 Models: 1, 2, 3, and 4a are conventional MAD and 4b is combined conventional + THP MAD.

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703 **Table 3 Energy balance of conventional and THP MAD with increasing digestion**  
 704 **temperature under different dry solids (DS) feed concentration regimes**

Temperature increase (°C)	31 to 33	33 to 35	35 to 37	37 to 39	39 to 41
Energy required to heat 1 t wet sludge(kWh)	2.3	2.3	2.3	2.3	2.3
Net energy out from 1 t wet sludge in kWh (2.7% DS)	<b>0.4</b>	<b>0.3</b>	<b>0.1</b>	<b>0.0</b>	<i>-0.1</i>
Net energy out from 1 t wet sludge in kWh (3.0% DS)	<b>0.7</b>	<b>0.6</b>	<b>0.4</b>	<b>0.3</b>	<i>0.1</i>
Net energy out from 1 t wet sludge in kWh (4.5% DS)	<b>2.3</b>	<b><u>2.0</u></b>	<b><u>1.8</u></b>	<b><u>1.5</u></b>	<b><u>1.3</u></b>
Net energy out from 1 t wet sludge in kWh (7.9% DS)	<i>5.7</i>	<b><u>5.2</u></b>	<b><u>4.8</u></b>	<b><u>4.4</u></b>	<b><u>4.1</u></b>

705 Note that bold and underlined values reflect conditions that may overlap in operational practice for conventional  
 706 and THP MAD; The italic values reflect extreme cases that fall outside the 90% operational data range (i.e. <5  
 707 and >95 percentile). 2.7% DS is the lower 5% percentile range value of monthly average operational data for  
 708 conventional MAD sites; 3.0% is the break point sludge feed DS for a positive net energy balance for MAD; 4.5%  
 709 and 7.9% DS are the mean values of monthly average operational data for conventional and THP MAD sites,  
 710 respectively.

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717 **Figure Captions**

718 **Figure 1** The overall approach to data collection, model development, validation,  
719 **calibration and optimisation. Operational parameter data available at all sites and used**  
720 **in conventional MAD model development are marked in blue. Theoretical CH<sub>4</sub> yield and**  
721 **CH<sub>4</sub> contents of biogas produced from different specific organic substrates were from**  
722 **Weiland (2010) and Li *et al.* (2018); Electricity conversion factor by combined heat and**  
723 **power (CHP) was from Banks (2009). (solid line: conventional MAD model development**  
724 **pathway; dashed line: conventional + THP-MAD model development pathway). DS – dry**  
725 **solids; HRT – hydraulic retention time; VS – volatile solids; VFA – volatile fatty acids;**  
726 **BY – biogas yield; BY<sub>c</sub> – composition derived biogas yield; BY<sub>e</sub> – electricity derived**  
727 **biogas yield**

728 **Figure 2** Mean biogas yield (BY) , temperature, hydraulic retention time (HRT) and dry  
729 **solids (DS) feed for individual conventional MAD sites, the period of data collection for**  
730 **each Company is also shown**

731 **Figure 3** Conventional MAD model validation showing the observed and predicted  
732 **monthly average biogas yield (Model 4a) for: (a) Site 37, 38 and 42 for the data involved**  
733 **in model generation and (b) Site 31, 38 and 1 for independent datasets**

734 **Figure 4** Observed and predicted (Model 4b) average biogas yield (BY) for conventional  
735 **Site 1, 31, 38 and THP site 4, based on default (local biogas data), electricity generation**  
736 **and sludge composition calibration methods and data collected between May 2018 to**  
737 **February 2019 (error bar: standard deviation); model input data are given in Table S1**

738 **Figure 5** Relative changes in biogas yield in relation to: (a) hydraulic retention time  
739 **(HRT) and dry solids (DS) feed at the overall mean conventional digestion temperature**  
740 **of 35.9 °C, and (b) HRT and temperature at the overall mean DS feed concentration for**



741 **conventional digestion of 4.5 %. (Temperature, HRT and DS data ranges limited to the 5**  
742 **and 95 percentiles of monthly average operational values)**  
743

744 **Supplementary Material to “A Multi-level Biogas Model to Optimise the**  
745 **Energy Balance of Full-Scale Sewage Sludge Conventional and THP**  
746 **Anaerobic Digestion”**

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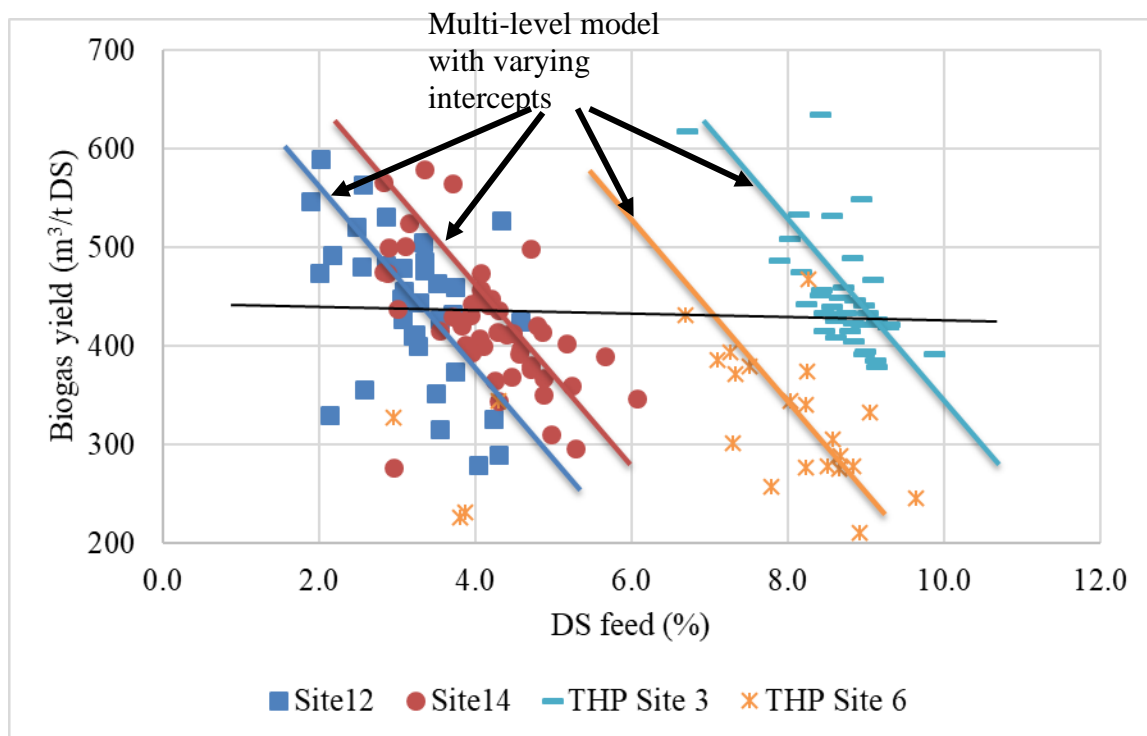
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770 **Figure S1 Clustered data structure of biogas yield relative to dry solids (DS) feed content**  
 771 **in feed sludge for full-scale AD sites (Site 12 and 14 are conventional MAD and THP Site**  
 772 **3 and 6 include thermal hydrolysis pretreatment), showing examples of data modelling**  
 773 **by standard linear regression with fixed intercept ( ) and multi-level regression with**  
 774 **varying intercepts**

775

776 **Description of the general model structures:**

777 Random intercept, multi-level models were constructed by examining the operational  
 778 parameters dry solids (DS) feed, temperature and hydraulic retention time (HRT)) in the  
 779 following sequence: (1) linear regression, (2) curvilinear and non-linear regression  
 780 (quadratic/cubic and log transformed), and (3) testing the interactive effects of the significant  
 781 predictors. The predictors were centred before fitting into the model, by subtracting the mean  
 782 value from each variable. The equations had the following general forms:

783 Linear:

784  $BY_{ij} = \alpha_j + \beta_1 \text{HRT}_{\text{centred } ij} + \beta_2 \text{Temperature}_{\text{centred } ij} + \beta_3 \text{DS input}_{\text{centred } ij} + e_{ij}$

785 Quadratic and cubic:

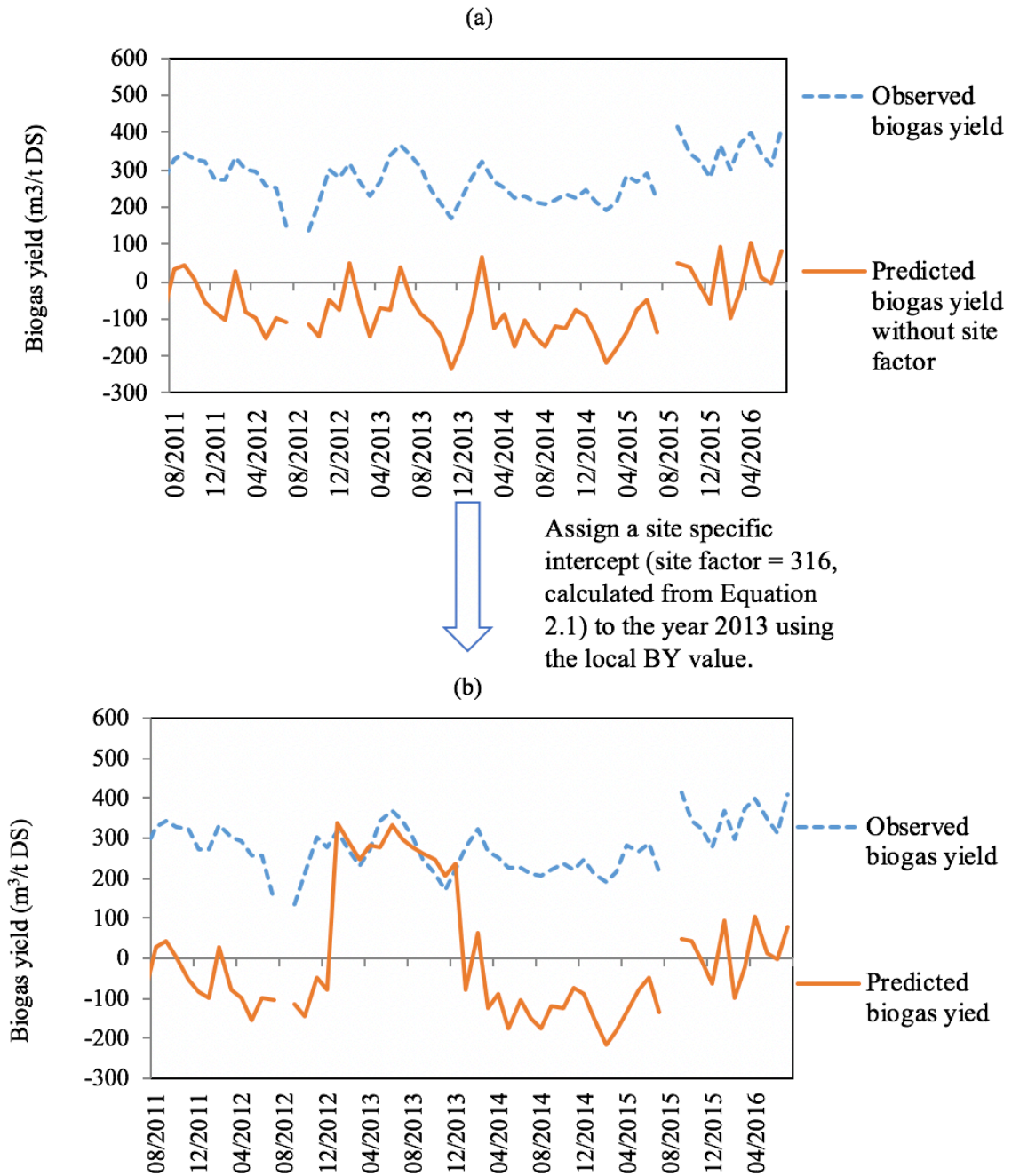
786  $BY_{ij} = \alpha_j + (\gamma_1 \text{HRT}_{\text{centred } ij} + \gamma_2 \text{HRT}_{\text{centred } ij}^2 [+ \gamma_3 \text{HRT}_{\text{centred } ij}^3 ])$   
 787  $+ (\gamma_4 \text{Temperature}_{\text{centred } ij}$   
 788  $+ \gamma_5 \text{Temperature}_{\text{centred } ij}^2 [+ \gamma_6 \text{Temperature}_{\text{centred } ij}^3 ])$   
 789  $+ (\gamma_7 \text{DS input}_{\text{centred } ij} + \gamma_8 \text{DS input}_{\text{centred } ij}^2 [+ \gamma_9 \text{DS input}_{\text{centred } ij}^3 ])$   $+ e_{ij}$

790 Log transformed:

791  $BY_{ij} = \alpha_j + \delta_1 \text{Ln}(\text{HRT})_{\text{centred } ij}$   
 792  $+ \delta_2 \text{Ln}(\text{Temperature})_{\text{centred } ij} + \delta_3 \text{Ln}(\text{DS input})_{\text{centred } ij} + e_{ij}$

793 Where  $BY_{ij}$  is the biogas yield (BY) for observation  $i$  in Site  $j$ ,  $\alpha_j$  is the Level-2 random effect  
 794 (site specific factor),  $\beta_n$  are the coefficients of operational parameters for the linear equation,  
 795  $\gamma_n$  are the coefficients for the quadratic/cubic operational parameters (cubic terms are  
 796 represented in square brackets),  $\delta_n$  are the coefficients for log transformed operational  
 797 parameters and  $e_{ij}$  is the Level-1 random effect. For the quadratic and cubic models, the cubic  
 798 terms are shown in square brackets. Backward elimination and forward selection methods were  
 799 applied to identify statistically significant predictors from the total number of independent  
 800 predictors available. Following this stage, the log-transformed model (Model 3, see Table 2)  
 801 gave marginally the largest overall  $R^2$  value and was of a simpler form than the quadratic  
 802 relation (Model 2, Table 2) and was selected for testing interaction terms (using Ln transformed  
 803 data): DS input\*temperature, DS input\*HRT, temperature\*HRT and DS  
 804 input\*temperature\*HRT; the interaction term: HRT\*DS input was statistically significant  
 805 ( $P < 0.05$ ) and included in the final Model 4a.

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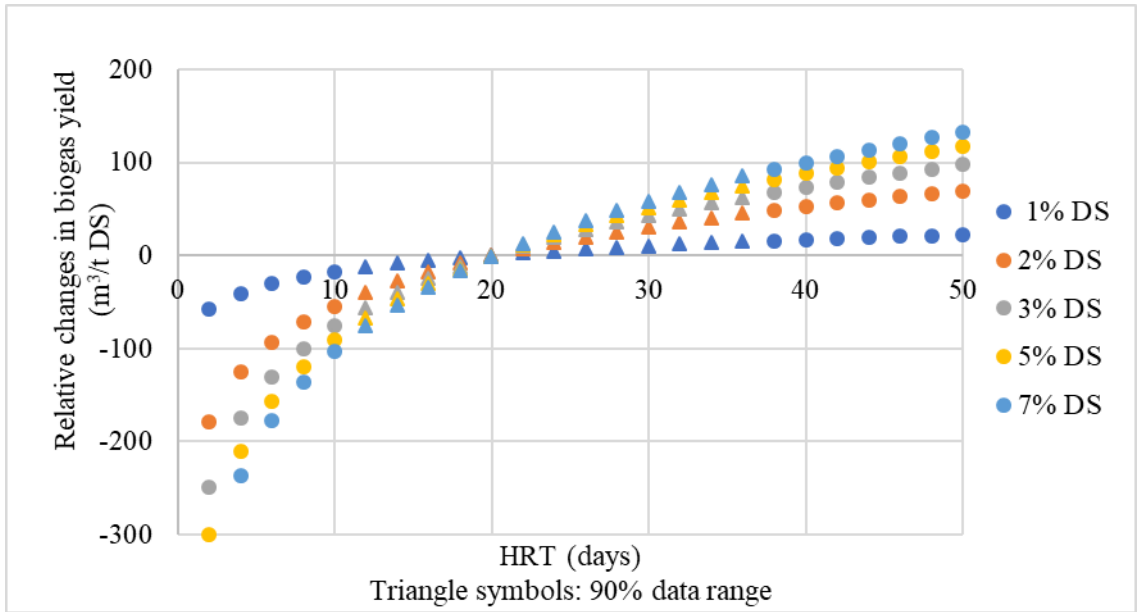
808 **Figure S2 Example of the model calibration showing the observed and predicted (Model**

809 **4a) biogas yield for Site 42: (a) with predictor variables centred by subtracting the mean**

810 **value from each variable and (b) with the specific intercept (site factor) determined from**

811 **the local biogas data for year 2013**

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814 **Figure S3 Effect of hydraulic retention time (HRT) on the relative change in biogas**

815 **yield at different dry solids (DS) feed concentrations for conventional MAD model**

816 **(Model 4a)**

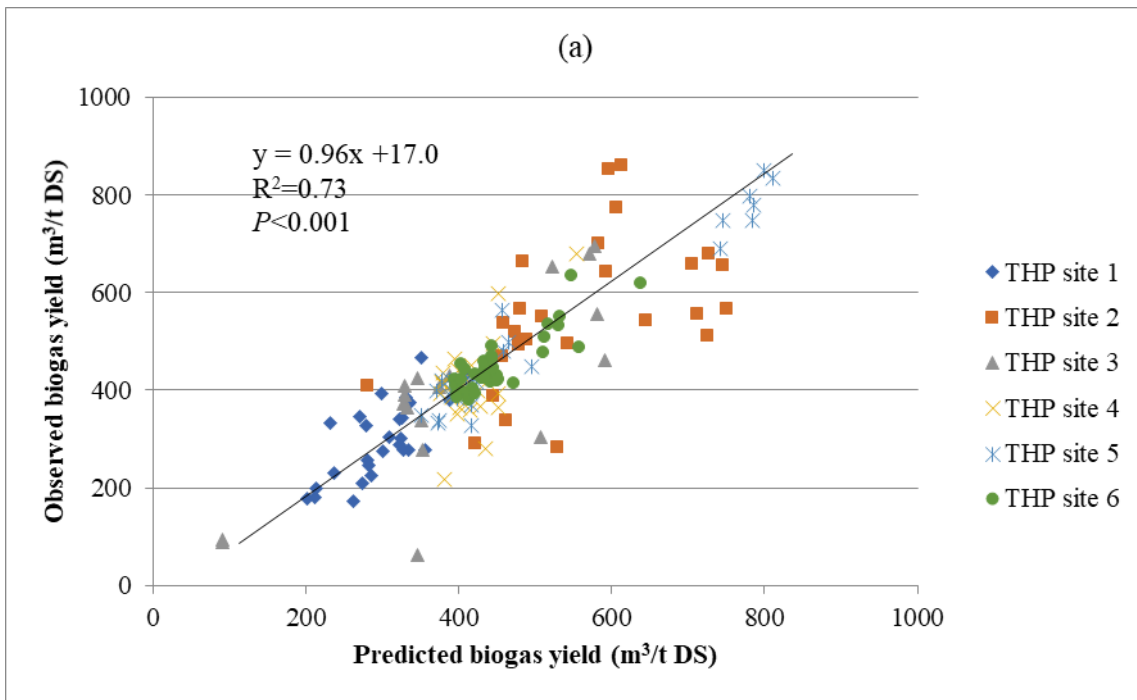
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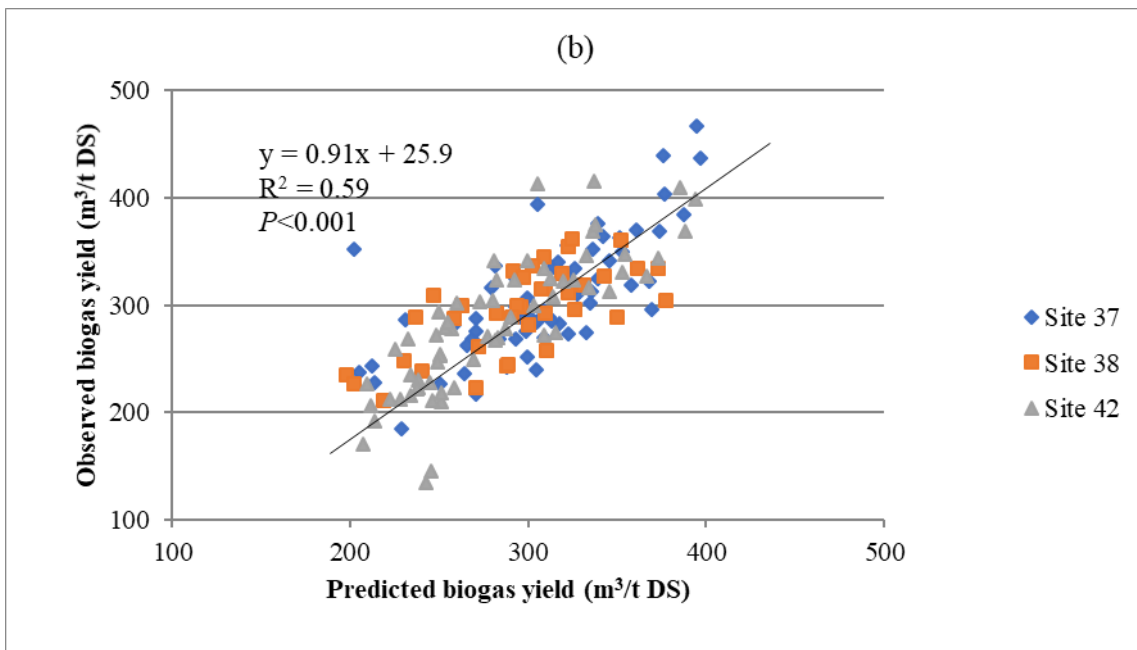
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824 **Figure S4 Observed biogas yield relative to values predicted by Model 4b: (a) all THP**  
 825 **MAD sites and (b) selected conventional MAD sites**

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829 **Table S1 Average sludge dry solids (DS) feed, hydraulic retention time (HRT),**  
830 **temperature, observed, electricity and sludge composition derived biogas yield (BY, BY<sub>e</sub>**  
831 **and BY<sub>c</sub>, respectively), and the estimated site factors used to predict biogas yield using**  
832 **Model 4b and shown in Figure 4 based on default biogas, electricity generation and sludge**  
833 **composition calibration methods (Equation 2.1)**

Site	DS feed (%)	HRT (days)	Temperature (°C)	Observed BY (m <sup>3</sup> /t DS)	BY <sub>e</sub> (m <sup>3</sup> /t DS)	BY <sub>c</sub> (m <sup>3</sup> /t DS)	Default site factor	Electricity modified site factor	Sludge composition site factor
Site 1	3.7	27.9	36.9	1361.7	1461.2	381.3	1273.4	1378.5	293.0
Site 31	4.1	24.5	37.0	448.4	474.6	412.3	395.3	421.5	359.2
Site 38	6.2	29.0	41.1	379.0	356.4	299.0	355.6	333.0	275.6
THP site 4	9.7	19.4	42.6	491.1	512.5	372.0	619.1	640.5	500.0

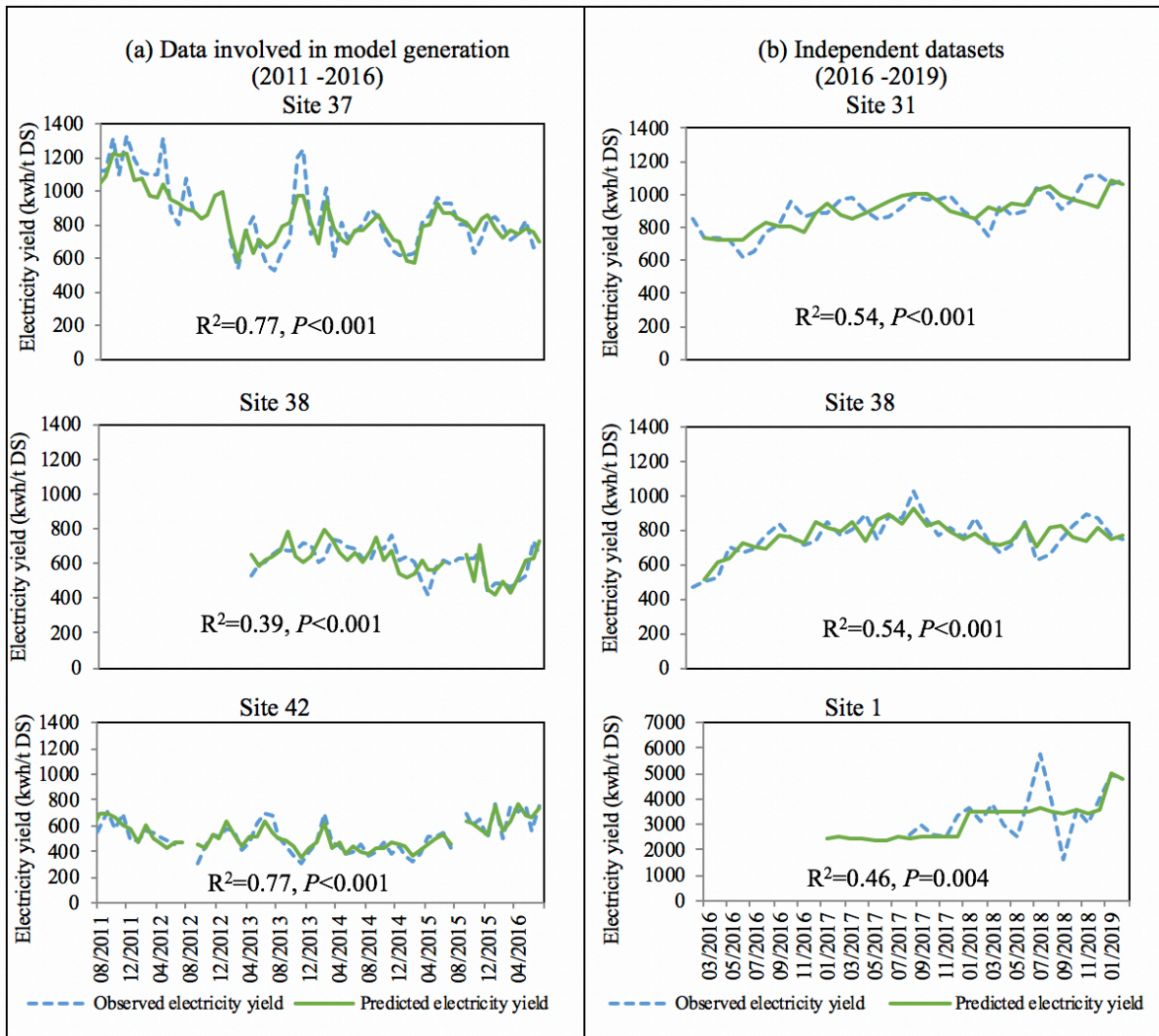
834 Site factors are calculated by substituting the default observed average, electricity or sludge composition derived BY into  
835 Equation 2.1 as follows:

836 Default site factor = Average observed BY – [  $\sum_{i=1}^{10} (\text{BY predicted by fixed coefficients})_i ] / 10$

837 Electricity modified site factor = Average electricity derived BY<sub>e</sub> – [  $\sum_{i=1}^{10} (\text{BY predicted by fixed coefficients})_i ] / 10$

838 Sludge composition site factor = Average composition BY<sub>c</sub> – [  $\sum_{i=1}^{10} (\text{BY predicted by fixed coefficients})_i ] / 10$





839

840 **Figure S5 Conventional MAD model validation showing the observed and predicted**  
 841 **monthly average electricity yield (Model 4c) for: (a) Site 37, 38 and 42 for the data**  
 842 **involved in model generation and (b) Site 31, 38 and 1 for independent datasets**

843 (Model 4c: Electricity yield (kwh/t DS) =  $2.14 \cdot [230.9 \cdot (\ln(\text{Temperature}) - 3.6) +$   
 844  $136.2 \cdot (\ln(\text{HRT}) - 3.0) - 224.8 \cdot (\ln(\text{DS}) - 1.5) + 75.5 \cdot ((\ln(\text{HRT}) - 3.0) \cdot (\ln(\text{DS}) - 1.5))]$  + site  
 845 factor (calibrated using local electricity yield data))

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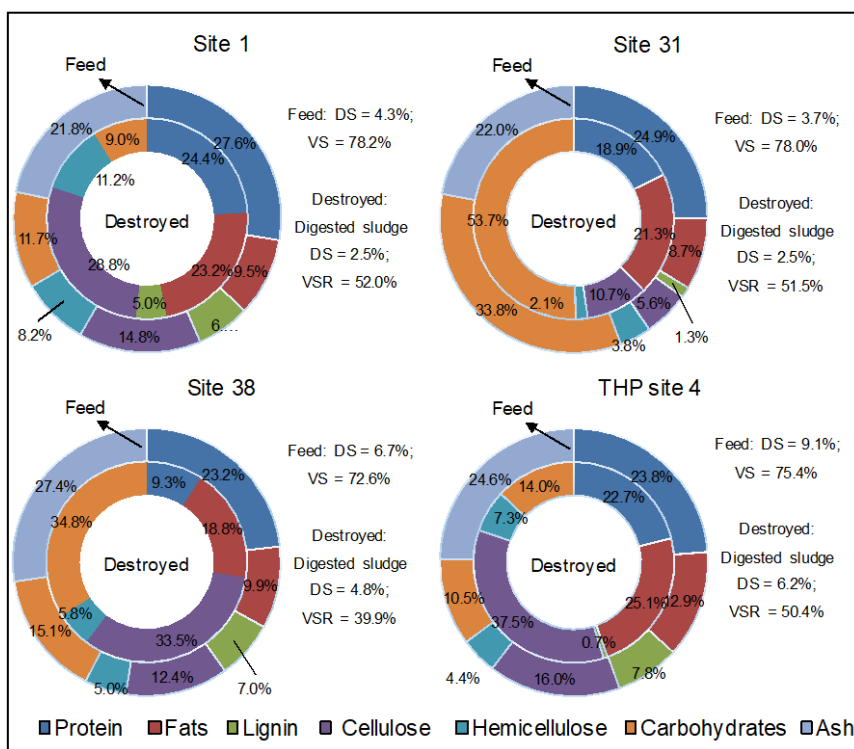
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850 **Sludge composition:**

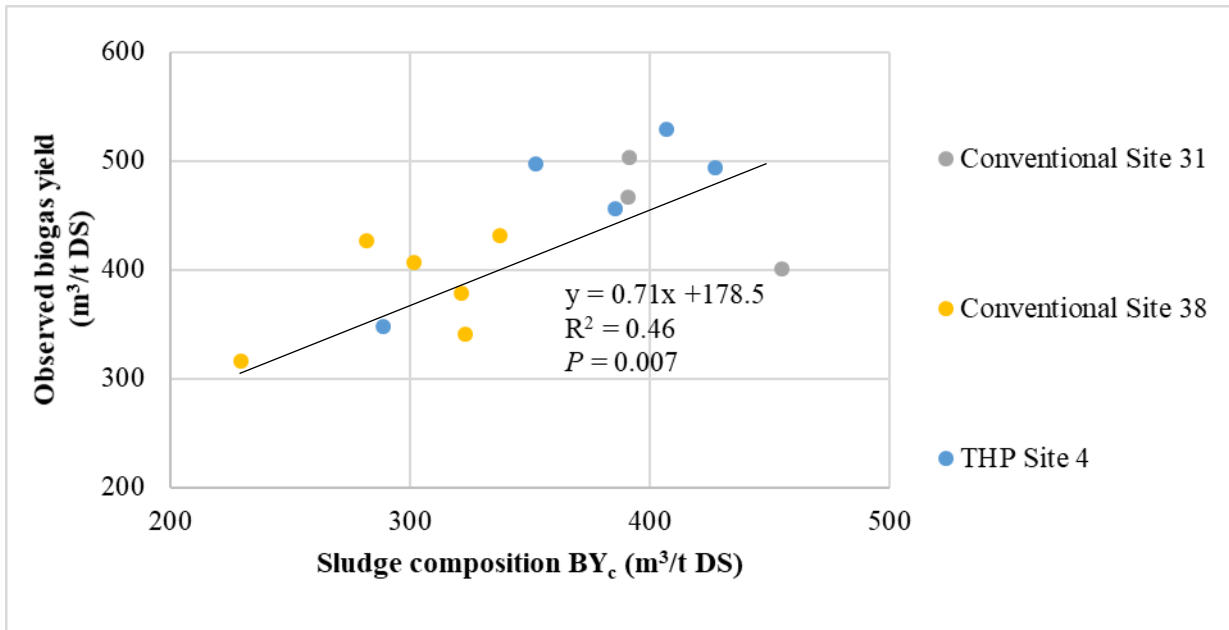
851 The proportions of each substrate in the feed sludge and the destroyed fractions during AD on  
 852 a volatile solids (VS) basis were calculated and are summarised in Figure S6. A sludge  
 853 composition  $BY_c$  was derived for each sampling site based on the substrates destroyed during  
 854 AD, and the theoretical  $CH_4$  yield and content in the biogas (Figure 1). A positive and highly  
 855 statistically significant correlation ( $P = 0.005$ ) was found between  $BY_c$  and the observed  $BY$   
 856 for the sites with reasonable biogas recording (Figure S7). Therefore, observed  $BY$  could be  
 857 described by  $BY_c$  with a reasonable degree of confidence based on the composition of energy  
 858 substrates measured in sludge.



859

860 **Figure S6 Composition of organic and ash fractions in sludge feed (% dry solids basis)**  
 861 **and corresponding destroyed fractions (% volatile solids basis) by anaerobic digestion for**  
 862 **conventional Site 1, 31, 38 and THP site 4 (outer circle: sludge feed; inner circle: fraction**  
 863 **destroyed by digestion); VSR, volatile solids reduction; DS, dry solids; VS, volatile solids**

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866 **Figure S7 Relationship between observed biogas yield (BY) and sludge composition BY<sub>c</sub>**

867 **for selected conventional and THP sites**

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878 **Table S2 Relative changes in biogas yield (BY) for different combinations of hydraulic**

879 **retention time (HRT) and dry solids (DS) feed concentrations, reflecting conventional and**

880 **THP MAD operating ranges (conventional range: bold values; THP range: underlined**  
 881 **values)**

DS feed	2.5%	4.0%	5.0%	6.5%	8.0%	10.0%
HRT changes	Relative changes in BY (m <sup>3</sup> /t DS)					
10 to 15 days	<b>39.6</b>	<u>51.4</u>	<u>57.0</u>	<u>63.5</u>	<u>68.7</u>	<u>74.3</u>
15 to 20 days	<b>28.1</b>	<u>36.4</u>	<u>40.4</u>	<u>45.1</u>	<u>48.8</u>	<u>52.7</u>
20 to 25 days	<b>21.8</b>	<u>28.3</u>	<u>31.3</u>	<u>35.0</u>	<u>37.8</u>	<u>40.9</u>
25 to 30 days	<b>17.8</b>	<u>23.1</u>	<u>25.6</u>	<u>28.6</u>	<u>30.9</u>	<u>33.4</u>
30 to 35 days	NA	<u>19.5</u>	<u>21.7</u>	<u>24.1</u>	<u>26.1</u>	<u>28.2</u>
35 to 40 days	NA	<u>16.9</u>	<u>18.8</u>	<u>20.9</u>	<u>22.6</u>	<u>24.5</u>

882 Note that bold and underlined values reflect conditions that may overlap in operational practice for  
 883 conventional and THP MAD; NA, not applicable

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891 **Net energy calculation**

892 Biogas yield is a widely used performance indicator to evaluate the anaerobic digestion (AD)  
 893 process and research on AD optimisation has tended to focus on manipulating individual  
 894 operational parameters to maximise BY or CH<sub>4</sub> yield (Alepu *et al.* 2016; Dokulilová *et al.*  
 895 2018). However, a major quantitative benefit of the full-scale AD model is to understand the  
 896 simultaneous effects of all the main process control factors on the overall net energy output.  
 897 For example, a key strategic focus of AD operation is optimising the balance between the rate  
 898 of sludge treatment and the energy output, primarily by manipulating the feed DS and/or HRT.  
 899 Thus, extending HRT may increase the BY, but reduces the total sludge throughput at  
 900 equivalent DS feed. The effect of HRT on the net biogas output can be calculated using  
 901 Equation S1:

$$\begin{aligned}
 902 \quad & \text{Net daily biogas gas (m}^3/\text{day)} = \text{Biogas volume}_1 - \text{Biogas volume}_2 \\
 903 \quad & = \frac{\text{DS}}{100} \times \left[ \frac{\text{Digester volume}}{\text{HRT}_1} \times \text{BY} - \frac{\text{Digester volume}}{\text{HRT}_2} \times (\text{BY} + x) \right] \\
 904 \quad & = \frac{\text{DS}}{100} \times \text{Digester volume} \times \left[ \frac{\text{BY} \times \text{HRT}_2 - \text{HRT}_1 \times (\text{BY} + x)}{\text{HRT}_1 \times \text{HRT}_2} \right] \quad (\text{Equation} \\
 905 \quad & \text{S1})
 \end{aligned}$$

906 Where:

907 Biogas volume<sub>1</sub> (m<sup>3</sup>/day) = the volume of biogas produced when HRT is equal to HRT<sub>1</sub>

908 Biogas volume<sub>2</sub> (m<sup>3</sup>/day) = the volume of biogas produced when HRT is equal to HRT<sub>2</sub>

909 BY (m<sup>3</sup>/t DS) = the biogas yield when HRT is equal to HRT<sub>1</sub>

910 *x* (m<sup>3</sup>/t DS) = the relative change of biogas yield when HRT is changed from HRT<sub>1</sub> to HRT<sub>2</sub>

911 Increasing the digestion temperature may be considered as an option to raise the BY  
 912 (Dokulilová *et al.*, 2018), but requires additional energy input; thus, increasing the temperature

913 of 1 t of wet sludge by 1°C requires 4.18 kJ/kg = 1.16 kWh energy (assuming sludge has the  
914 same specific heat capacity as water), and the energy in 1 m<sup>3</sup> biogas (60% CH<sub>4</sub> content) is  
915 equivalent to 22 MJ = 6.1 kWh (Banks, 2009). Therefore, the net energy required to increase  
916 the digestion temperature of 1 t of wet sludge may be calculated using Equation S2:

$$\begin{aligned} 917 \text{ Net energy (kWh)} &= \text{Additional energy generated} - \text{Additional energy required for heating} = \\ 918 6.1 * DS * z - 1.16 * (\text{Temperature}_1 - \text{Temperature}_2) & \qquad \qquad \qquad \text{(Equation} \\ 919 \text{ S2)} \end{aligned}$$

920 Where:

921  $z$  (m<sup>3</sup>/t DS) = the relative change of BY when the temperature is adjusted from Temperature<sub>1</sub>  
922 to Temperature<sub>2</sub>

### 923 **Supplementary material references**

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934