

# Multiscale model calibration by inverse analysis for nonlinear simulation of masonry structures under earthquake loading

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## Abstract

The prediction of the structural response of masonry structures under extreme loading conditions, including earthquakes, requires the use of advanced material descriptions to represent the nonlinear behaviour of masonry. In general, micro- and mesoscale approaches are very computationally demanding, thus at present they are used mainly for detailed analysis of small masonry components. Conversely macroscale models, where masonry is assumed as a homogeneous material, are more efficient and suitable for nonlinear analysis of realistic masonry structures. However, the calibration of the material parameters for such models, which is generally based on physical testing of entire masonry components, remains an open issue. In this paper, a multiscale approach is proposed, in which an accurate mesoscale model accounting for the specific masonry bond is utilised in virtual tests for the calibration of a more efficient macroscale representation assuming energy equivalence between the two scales. Since the calibration is performed offline at the beginning of the analysis, the method is computationally attractive compared to alternative homogenisation techniques. The proposed methodology is applied to a case study considering the results obtained in previous experimental tests on masonry components subjected to cyclic loading, and on a masonry building under pseudo-dynamic conditions representing earthquake loading. The results confirm the potential of the proposed approach and highlight some critical issues, such as the importance of selecting

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21 appropriate virtual tests for model calibration, which can significantly influence accuracy and  
22 robustness.  
23 **Keywords:** Multi-objective optimisation, macroscale modelling, mesoscale modelling, virtual test, dynamic analysis,  
24 plastic-damage model.

## 25 **List of symbols**

### 26 *General*

27  $\boldsymbol{\sigma}^M, \boldsymbol{\sigma}^m$  : stress ( $M$ : macroscale;  $m$ : mesoscale)

28  $\boldsymbol{\varepsilon}^M, \boldsymbol{\varepsilon}^m$  : strain ( $M$ : macroscale;  $m$ : mesoscale)

29  $\boldsymbol{\kappa}^M, \boldsymbol{\kappa}^m$  : historical variables ( $M$ : macroscale;  $m$ : mesoscale)

30  $\epsilon(t)$  : cumulative error between macroscale representation and mesoscale representation at time  $t$

31  $\boldsymbol{p}$  : material parameters

32  $\omega_1 = \epsilon(T)$  : discrepancy function 1

33  $\omega_2$  : discrepancy function 2

34  $\boldsymbol{\Phi}^M, \boldsymbol{\Phi}^m$  : feature vector ( $M$ : macroscale;  $m$ : mesoscale)

35  $\bar{\boldsymbol{\sigma}}$  : effective stress

36  $\boldsymbol{\varepsilon}_e$  : elastic strain

37  $\boldsymbol{\varepsilon}^P$  : plastic strain

38  $\hat{\boldsymbol{\varepsilon}}^P$  : plastic strain direction

### 39 *Mesoscale model*

40  $c$  : cohesion

41  $\varphi$  : friction angle

42  $f_t$  : tensile strength

### 43 *Macroscale model*

44  $\kappa_t, \kappa_c$  : historical variables in tension and compression

45  $f_t(\kappa_t), f_c(\kappa_c)$  : strength in tension and compression (nominal stress space)

- 46  $\bar{f}_t(\kappa_t), \bar{f}_c(\kappa_c)$  : strength in tension and compression (effective stress space)
- 47  $d_t(\kappa_t), d_c(\kappa_c)$  : damage in tension and compression
- 48  $F$  : yielding function
- 49  $g$  : plastic flow potential
- 50  $\mathbf{h}$  : hardening rule
- 51  $\epsilon$  : flow potential eccentricity
- 52  $I_1$ : first invariant of stress tensor
- 53  $J_2$  : second invariant of deviatoric stress tensor
- 54  $\psi$  : dilatancy angle
- 55  $f_{b0r}$ : ratio between biaxial and uniaxial compressive strength
- 56  $K_c$ : ratio of the second stress invariant on the tensile meridian to that on the compressive meridian at
- 57 initial yield
- 58  $w_t, w_c$  parameters governing stiffness recovery respectively from compression to tension and
- 59 viceversa
- 60  $p_{1\chi}, p_{2\chi}, p_{3\chi}, p_{4\chi}$  parameters for strength functions ( $\chi = t, c$ )
- 61  $f_{\chi 0}$  : initial strength ( $\chi = t, c$ )
- 62  $f_{\chi, max}$  : maximum strength ( $\chi = t, c$ )
- 63  $G_t$  : fracture energy (in tension)
- 64  $\mu$  : ratio between residual plastic strain and total strain when plastic work reaches  $s$  times fracture
- 65 energy (in tension)
- 66  $\rho$  : ratio of plastic strain at maximum strength when damage starts (in compression)

## 67 **1 Introduction**

68 Numerical modelling of masonry structures is currently an active and challenging research field in  
69 structural engineering. The mechanical behaviour of unreinforced masonry (URM) buildings and  
70 monuments, especially under extreme loading conditions is very complex and can be accurately  
71 predicted only when allowing for masonry material nonlinearity. This is due not only to the  
72 independent behaviour of the masonry constituents, e.g. mortar joints and units, but it is also related  
73 to the specific masonry bond. Recently, several numerical strategies for nonlinear analysis of URM  
74 structures have been developed. These include micro- or mesoscale models, where the individual  
75 masonry constituents are modelled separately (Lotfi & Shing, 1994; Lourenço & Rots, 1997;  
76 Gambarotta & Lagomarsino, 1997; Macorini & Izzuddin, 2011), and macroscale models which  
77 represent masonry as a homogeneous material. Whereas microscale modelling represents separately  
78 units, mortar and adhesion between them, mesoscale modelling lumps the latter two constituents into  
79 nonlinear interfaces. Applications of the Discrete Element Method can also be included in this  
80 category (Lemos, 2007; Baraldi, et al., 2018). Macroscale descriptions encompass i) generic finite  
81 element representations utilising several nonlinear continuum 2D plane stress/3D solid elements  
82 (Lourenço, et al., 1997; Berto, et al., 2002; Pelà, et al., 2011; Fu, et al., 2018; Gatta, et al., 2018) for  
83 modelling each masonry structural part, and ii) simplified models with macro-elements  
84 (Lagomarsino, et al., 2013; Pantò, et al., 2016), where different URM structural components (e.g.  
85 pier, spandrel etc.) are represented by specific multi-degree-of-freedom nonlinear elements. In the  
86 analysis of entire buildings and monuments, the use of mesoscale approaches with standard  
87 computational resources is prohibitive because of the high computational cost. Thus, in practice,  
88 numerical simulations for structural assessment are mainly performed employing macroscale models.  
89 In general, whichever approach is utilised, realistic predictions can be achieved only when the  
90 material model parameters are correctly calibrated. The calibration of mesoscale material parameters  
91 is relatively simple, as it is based on non-invasive material tests on small specimens (CUR, 1994).  
92 Limitations concerning such type of tests pointed out by many authors (Brencich & de Felice, 2009;

93 Da Porto, et al., 2010) have been tackled recently by more advanced approaches based on inverse  
94 analysis (Sarhosis & Sheng, 2014; Chisari, et al., 2015; Chisari, et al., 2018a). On the other hand, the  
95 calibration of macro-model material parameters is problematic, as it should be based upon invasive  
96 in-situ tests carried out on large masonry portions (Borri, et al., 2011). Evidently, these tests are  
97 expensive and impractical in the case of constructions with historical value, as they may cause  
98 substantial damage on large parts of the analysed structure. Thus, macro-models are usually calibrated  
99 employing approximate empirical expressions provided by current building codes and based upon  
100 previous experience.

101 A compromise between macro- and micro-modelling is represented by multiscale approaches, which  
102 connect material descriptions with different characteristic length scales. They include concurrent  
103 methods where the multiple scale models are solved simultaneously and a continuous exchange of  
104 information develops between them (Eckardt & Könke, 2008; Reccia, et al., 2018), and  
105 homogenisation procedures which assume a clear separation between the scales of representation.  
106 Nguyen, et al., (2011) identified three types of homogenisation strategies: analytical homogenisation,  
107 computational homogenisation and numerical homogenisation. Methods in the first category (Nemat-  
108 Nasser & Hori, 1999) determine analytically an equivalent homogeneous constitutive relationship  
109 from the microstructure, and thus they are usually restricted to simple geometries and/or to represent  
110 the elastic behaviour. Macro-scale models are usually based on Cauchy continuum, but extensions to  
111 micropolar continuum (higher-order homogenisation) have been also proposed to allow for the effects  
112 of block rotation (Trovalusci & Masiani, 1996; Trovalusci & Pau, 2014).

113 Computational homogenisation, as the  $FE^2$  method (Feyel & Chaboche, 2000), is based on online  
114 exchange of information between a microscale Representative Volume Element (RVE) and the  
115 macroscale domain (Luciano & Sacco, 1997; Massart, et al., 2007; Addessi & Sacco, 2016; Di Re, et  
116 al., 2018; Leonetti, et al., 2018). In general, a macroscale model is used for the analysis and its  
117 constitutive behaviour is obtained by the solution of a Boundary Value Problem (BVP) for the  
118 corresponding RVE. Finally, in numerical homogenisation, the macroscale constitutive model is

119 calibrated offline by fitting the response of a more detailed microscale description (Milani, 2011).  
120 Bertolesi et al. (2016) proposed offline homogenisation for masonry components subjected to in-  
121 plane loading, in which the mechanical behaviour of a rigid block-nonlinear spring system at the  
122 macroscale is defined once and for all at the beginning of the analysis by using a micromodel  
123 representing the masonry bond of the cell.

124 In this paper, a multiscale approach for the calibration of a homogeneous isotropic macroscale model  
125 to be used for nonlinear dynamic analysis of masonry structures is proposed. The main objective of  
126 the work is to establish a practical and efficient strategy to calibrate macro-models based on the  
127 mechanical properties of the constituents. The approach considers energy equivalence between two  
128 different scales of representation on the same domain subjected to appropriate boundary conditions.  
129 Such equivalence is enforced in a weak sense, where the solution provides the macroscale material  
130 properties best fitting the mesoscale response, which acts thus as a virtual test. The approach is then  
131 applied to a case study based on previous experimental results on a masonry system subjected to  
132 earthquake loading.

## 133 **2 Multiscale model calibration**

### 134 **2.1 Calibration methodology**

135 The procedure developed in this paper considers a macroscopic representation, here indicated by  
136 subscript  $M$ , and a mesoscopic description, identified by subscript  $m$  and it assumes a mapping  
137  $M: \Omega^m \rightarrow \Omega^M$  between the mesoscale and the macroscale domains. Macroscale and mesoscale models  
138 define separately the nonlinear material constitutive laws:

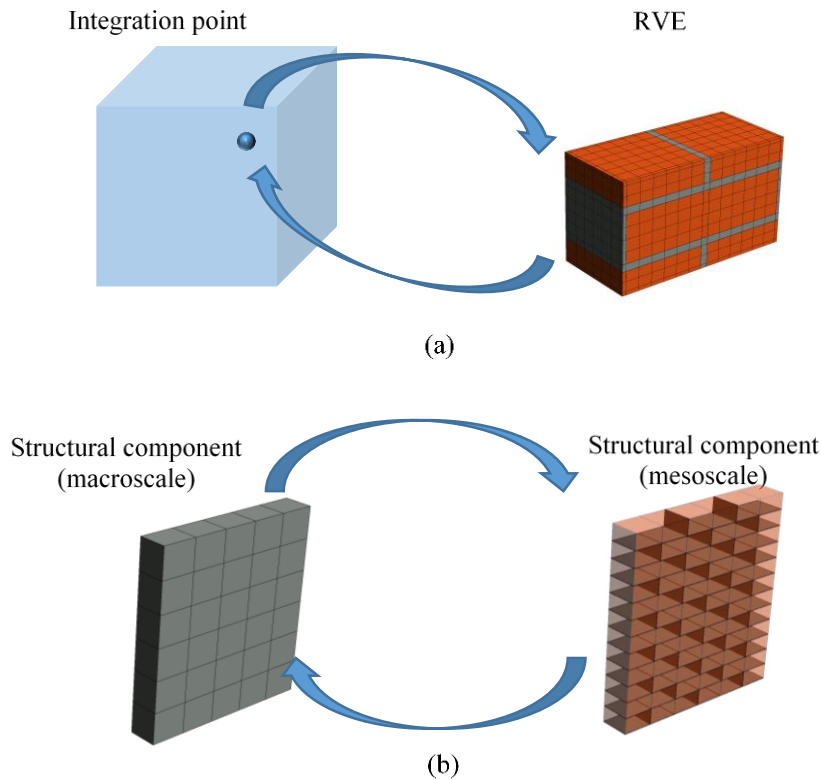
$$\boldsymbol{\sigma}^M = f^M \left( \mathcal{H}^M(\boldsymbol{x}, \boldsymbol{\kappa}^M(\boldsymbol{x})), \boldsymbol{\varepsilon}^M(\boldsymbol{x}) \right) \text{ in } \Omega^M \quad (1a)$$

$$\boldsymbol{\sigma}^m = f^m \left( \mathcal{H}^m(\boldsymbol{x}, \boldsymbol{\kappa}^m(\boldsymbol{x})), \boldsymbol{\varepsilon}^m(\boldsymbol{x}) \right) \text{ in } \Omega^m \quad (1b)$$

139 where in Eqs (1a,b) the relationship between stress  $\boldsymbol{\sigma}$  and strain  $\boldsymbol{\varepsilon}$  depends on the position  $\boldsymbol{x}$  in the  
 140 domain  $\Omega$  through the material model  $\mathcal{H}$  at the point and sets of historical variables  $\boldsymbol{\kappa}$ .  
 141 The Hill-Mandel principle of macro-homogeneity (Hill, 1965; Mandel, 1971) is generally used in  
 142 first-order homogenisation to define the equivalence between the scales in terms of stress power of  
 143 the RVE:

$$\boldsymbol{\sigma}^M : \dot{\boldsymbol{\varepsilon}}^M = \frac{1}{V_{RVE}} \int_{V_{RVE}} \boldsymbol{\sigma}^m : \dot{\boldsymbol{\varepsilon}}^m dV \quad (2)$$

144 where  $V_{RVE}$  is the representative volume. This approach enforces a correspondence between the  
 145 mechanical response of a single integration point and the average response of the RVE (Figure 1a).



146  
 147 Figure 1. Different approaches for scale transition: (a) first-order homogenisation; (b) proposed multilevel calibration.

148 In the strain-driven FE<sup>2</sup> approach  $\dot{\boldsymbol{\varepsilon}}^M$  is evaluated at the integration point from the trial solution of the  
 149 global problem and applied to the RVE in average as boundary conditions. The Boundary Value  
 150 Problem is solved for the RVE and the corresponding  $\boldsymbol{\sigma}^m$  evaluated considering the specific  
 151 constitutive relationship (1b). Eq. (2) provides  $\boldsymbol{\sigma}^M$  to be used for the global equilibrium check at the

152 current iteration. Thus, following this approach, the constitutive relationship at macroscale (Eq. (1a))  
 153 is defined implicitly. This is the main advantage of this strategy, as it virtually allows for no  
 154 approximation in the scale transition. However, the approximation of the RVE with a single  
 155 integration point at the macroscale is acceptable when the stress state at the microscale is uniform,  
 156 i.e., when the microscale typical length is small compared with the macroscale (principle of separation  
 157 of scales, Geers et al. 2010). This may not always be the case for masonry structures, and thus some  
 158 approximations are inevitably introduced. A second very important issue arises when strain  
 159 localisation occurs at RVE level and the macroscale constitutive relationship presents strain softening,  
 160 meaning that the macroscopic BVP becomes ill-posed. Regularisation is thus needed (Trovalusci &  
 161 Masiani, 2003; Massart, et al., 2007; De Bellis & Addessi, 2011; Petracca, et al., 2016; Addessi &  
 162 Sacco, 2016).

163 In the procedure proposed here, stress power equivalence between the scales is approximately  
 164 enforced on the entire domain of the structure, representing a masonry structural component (Figure  
 165 1b). The stress power equivalence reads:

$$\int_{\Omega^M} \boldsymbol{\sigma}^M : \dot{\boldsymbol{\varepsilon}}^M d\Omega^M = \int_{\Omega^m} \boldsymbol{\sigma}^m : \dot{\boldsymbol{\varepsilon}}^m d\Omega^m + \dot{\epsilon} \quad (3)$$

166 where now  $\dot{\epsilon}$  represents the error rate due to the approximations induced by the specific macromodel  
 167 utilised.

168 Considering pseudo-static stress states, the equality between internal and external work implies:

$$\begin{aligned} \int_{\Gamma^M} \mathbf{t}^M \cdot \dot{\mathbf{u}}^M d\Gamma^M + \int_{\Omega^M} \mathbf{b}^M \cdot \dot{\mathbf{u}}^M d\Omega^M \\ = \int_{\Gamma^m} \mathbf{t}^m \cdot \dot{\mathbf{u}}^m d\Gamma^m + \int_{\Omega^m} \mathbf{b}^m \cdot \dot{\mathbf{u}}^m d\Omega^m + \dot{\epsilon} \end{aligned} \quad (4)$$

169 where  $\mathbf{t}$  are the surface forces on the boundary  $\Gamma$ , while  $\mathbf{b}$  are volume forces. Neglecting the  
 170 contribution of these latter for the sake of conciseness and considering the chain rule of  
 171 differentiation, Eq. (4) finally reads:



$$\int_{\Gamma^M} \left( \mathbf{t}^M \cdot \dot{\mathbf{u}}^M - \mathbf{t}^m \cdot \dot{\mathbf{u}}^m \frac{\partial \Gamma_i^m}{\partial \Gamma_i^M} \right) d\Gamma_i^M = \dot{\epsilon} \quad (5)$$

172 Eq. (5) represents the error rate at time  $t$  due to the scale transition. We can hence define a non-  
173 negative monotonically increasing error function:

$$\begin{aligned} \epsilon(t) &= \int_0^t [\dot{\epsilon}(\tau)]^2 d\tau \\ &= \int_0^t \left[ \int_{\Gamma^M} \left( \mathbf{t}^M(\tau) \cdot \dot{\mathbf{u}}^M(\tau) - \mathbf{t}^m(\tau) \cdot \dot{\mathbf{u}}^m(\tau) \frac{\partial \Gamma_i^m}{\partial \Gamma_i^M} \right) d\Gamma_i^M \right]^2 d\tau \end{aligned} \quad (6)$$

174 The solution of the calibration procedure is given by the solution of the following minimisation  
175 problem:

$$\tilde{\mathbf{p}} = \arg \min_{\mathbf{p}} \omega_1 \quad (7)$$

176 where  $\omega_1 = \epsilon(T)$  minimises the scale transition error along the whole time  $T$  of the analysis.

177 In the case of a single applied displacement  $u$  at a node, with corresponding force  $F$ , Eq. (6) becomes:

$$\epsilon(t) = \int_0^t [\dot{\epsilon}(\tau)]^2 d\tau = \int_0^t \left[ (F^M(\tau) - F^m(\tau)) \frac{\partial u(\tau)}{\partial \tau} \right]^2 d\tau \quad (8)$$

178 and thus:

$$\omega_1 = \int_0^T (F^M(t) - F^m(t))^2 \dot{u}^2 dt \quad (9)$$

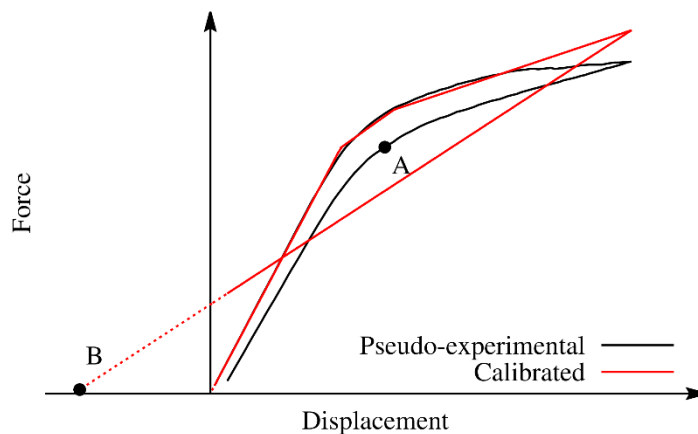
179 Eq. (9) implies that, in case of monotonic loading at constant velocity, the minimisation of  $\epsilon(T)$   
180 reduces to minimisation of the force squared error.

## 181 2.2 Features of optimisation problem

182 In the process described above, it is pointed out that the calibration is performed offline before the  
183 actual analysis takes place. It is very important thus to select appropriately the applied loads and their  
184 evolution with time, which in the following will be named “pseudo-experimental tests” or “virtual  
185 tests”. As general principle, the virtual tests should provide a *robust* identification, meaning that if

186 the calibrated macroscale parameters are applied to a model of the same masonry type under different  
 187 loading conditions, the results should be sufficiently close to the prediction obtained by means of the  
 188 mesoscale representation. In the case study in Section 3, it will be seen how the selection of virtual  
 189 test affects the robustness of the calibration.

190 Minimising the energy error function (7) can sometimes lead to unrealistic solutions due to intrinsic  
 191 imperfection of the model to calibrate, and thus some posterior engineering judgement may be  
 192 required. An example is displayed in Figure 2, where a pseudo-experimental force-displacement  
 193 curve characterised by very low dissipation is fitted by a trilinear hysteretic elastic-plastic model  
 194 accounting for stiffness degradation but with linear elastic unloading stiffness, here acting as the  
 195 macroscale model. Since the model is by nature unable to simulate the stiffness recovering observed  
 196 at unloading point A, in the search for the best fit of the unloading branch the resulting calibrated  
 197 model shows a significant stiffness degradation which in turn predicts an unrealistic intersection  
 198 between the unloading and the elastic loading branches. This is thermodynamically inconsistent in  
 199 the sense that at the end of a full cycle (from zero force to zero force, point B) the dissipated energy  
 200 can potentially become negative.



201  
 202 Figure 2. Calibration of an imperfect model: possibility of inconsistent results.

203 In the case of a single force applied to the system, it is possible to embed this sort of engineering  
 204 judgement in the optimisation formulation by means of a second error function. From both meso- and  
 205 macroscale force-displacement plots, similar to that displayed in Figure 2, some suitable engineering

206 features  $\Phi_i$ , e.g. initial stiffness, yielding force, maximum force, residual displacement at unloading,  
 207 etc., can be extracted. It is possible to define a second error function related to the features:

$$\omega_2(\mathbf{p}) = (\Phi^M(\mathbf{p}) - \Phi^m)^T \mathbf{W} (\Phi^M(\mathbf{p}) - \Phi^m) \quad (10)$$

208 where the features are collected in two vectors  $\Phi^M$  and  $\Phi^m$ , and the quadratic error between meso-  
 209 and macroscale representation is scaled by a weight matrix  $\mathbf{W}$  accounting for different physical units.  
 210 This second error function can act as a regularisation term in the optimisation problem, which now  
 211 reads:

$$\text{Find } \tilde{\mathbf{p}} \text{ s.t. } \tilde{\mathbf{p}} = \arg \min_{\mathbf{p}} [\omega_1(\mathbf{p}) + \lambda \omega_2(\mathbf{p})] \quad (11)$$

212 In the theoretical case of perfect macromodel, i.e. where there exists a combination of macroscale  
 213 model parameters which gives an identical response to the mesoscale model, the two objectives  $\omega_1$   
 214 and  $\omega_2$  have a common (zero) minimum, which thus is also the minimum of the regularised function.  
 215 In general, however, this is not the case and depending on the regularisation parameter  $\lambda$  it is possible  
 216 to obtain different solutions, and selecting it appropriately may not be easy in most applications.  
 217 A more general formulation can be given by transforming the mono-objective optimisation problem  
 218 (11) into a multi-objective one:

$$\text{Find } \tilde{\mathbf{p}} \text{ s.t. } \tilde{\mathbf{p}} = \arg \min_{\mathbf{p}} [\omega_1(\mathbf{p}), \omega_2(\mathbf{p})] \quad (12)$$

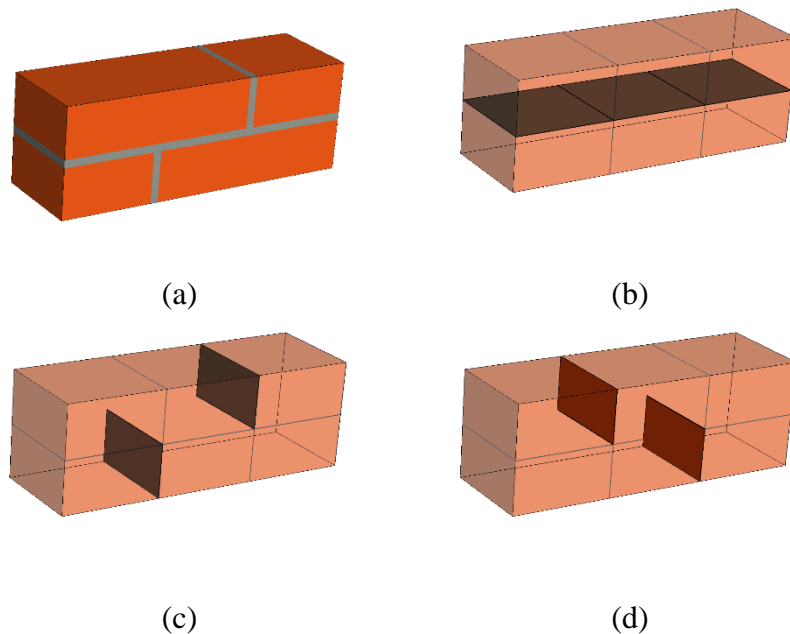
219 the solution of which is the Pareto Front, i.e. the set of non-dominated solutions (Miettinen, 1999).  
 220 Several methods exist in general to solve such problem, depending on the type of information  
 221 available (Marler & Arora, 2004). The dependence of the error functions  $\omega_1, \omega_2$  on the material  
 222 parameters  $\mathbf{p}$  is implicitly defined by the material model  $\mathcal{H}^M$  in Eq. (1). The FE analysis acts as a  
 223 black box function which, after receiving a trial set of material parameters  $\mathbf{p}$ , provides the value of  
 224  $\omega$ . As no information is available about the convexity or even differentiability of this function, a zero-  
 225 order global optimisation method is advised for solving the problem. In this work, a Genetic  
 226 Algorithm implemented in the software TOSCA-TS (Chisari & Amadio, 2018) has been utilised. The

227 use of population-based optimisation methods also leads to the solution of problem (12) by tracking  
 228 the entire Pareto Front, and thus avoiding the need of defining a suitable regularisation parameter  $\lambda$ .

### 229 **2.3 Mesoscale model**

230 In the mesoscale approach employed in this work (Macorini & Izzuddin, 2011), a masonry element  
 231 is modelled by explicitly representing units and mortar joints. Mortar and unit–mortar interfaces are  
 232 lumped into 2D 16-noded zero-thickness nonlinear interface elements. Masonry units are represented  
 233 by elastic 20-noded solid elements, and possible unit failure in tension and shear is accounted for by  
 234 means of zero-thickness interface elements placed at the vertical mid-plane of each brick/block  
 235 (Figure 3). The discretisation for the structure, as proposed in (Macorini & Izzuddin, 2011), consists  
 236 of two solid elements per unit connected by a unit-unit interface.

237



238 Figure 3. Mesoscale modelling of masonry by means of solid elements for units (in transparency) and zero thickness  
 239 interfaces: (a) real bond, (b) bed joints, (c) head joints, and (d) brick-brick interfaces.

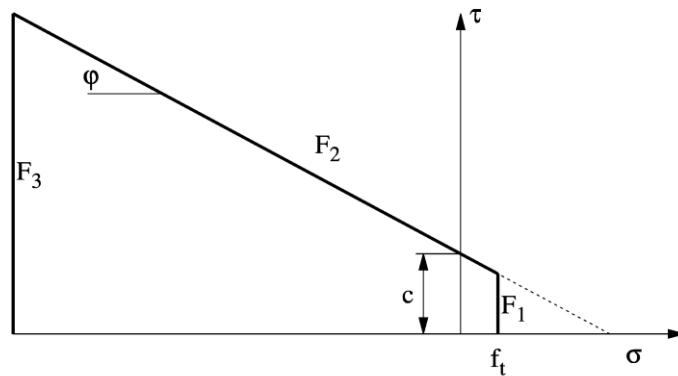
240 The interface local material model is formulated in terms of one normal and two tangential tractions

241  $\boldsymbol{\sigma} = \{\tau_x, \tau_y, \sigma\}^T$  and relative displacements  $\mathbf{u} = \{u_x, u_y, u_z\}^T$  evaluated at each integration point over

242 the reference mid-plane. In the linear range, they are linked one another by uncoupled elastic  
 243 stiffnesses, which simulate the linear response of the mortar joints,  $\sigma = k u$ .

244 The material model used for the 16-noded zero-thickness interfaces to simulate the response of both  
 245 cracks in bricks and mortar joints is based on the coupling of plasticity and damage (Minga, et al.,  
 246 2018). This approach can simulate all the principal mechanical features of a mortar joint or a dry  
 247 frictional interface - when mortar is absent - by an efficient formulation that ensures numerical  
 248 robustness. It can describe i) the softening behaviour in tension and shear, ii) the stiffness degradation  
 249 depending on the level of damage, iii) the recovering of normal stiffness in compression following  
 250 crack closure and iv) the permanent (plastic) strains at zero stresses when the interface is damaged.

251 The yield criterion is represented in the stress space by a conical surface which simulates the  
 252 behaviour in shear according to the Coulomb law, corresponding to mode II fracture. This surface,  
 253 defined by cohesion  $c$  and friction angle  $\varphi$ , is capped by two planar surfaces representing failure in  
 254 tension and compression respectively (Figure 4).



255

256

Figure 4. Multi-surface yield criterion (Minga, et al., 2018).

257 The evolution of the effective stresses is elastic perfectly-plastic, except for the case where the plastic  
 258 surface  $F_1$ , representing failure in tension, is traversed. The damage of the interfaces is defined by a  
 259 diagonal damage tensor  $D$  which controls stiffness degradation and is governed by the plastic work  
 260 corresponding to each fracture mode. By applying damage to the effective stresses  $\bar{\sigma}$ , corresponding

261 to the physical stresses developed in the undamaged part of the interface, it is possible to obtain the  
 262 nominal stresses  $\boldsymbol{\sigma}$ , defined as:

$$\boldsymbol{\sigma} = (\mathbf{I} - \mathbf{D})\bar{\boldsymbol{\sigma}} = (\mathbf{I} - \mathbf{D})\mathbf{K}(\boldsymbol{\varepsilon} - \boldsymbol{\varepsilon}^p) \quad (13)$$

263 In this way the implicit solution of the plastic problem and the damage evolution are decoupled, thus  
 264 allowing for increased efficiency and robustness at the material level. Further details about the  
 265 material model may be found in (Minga, et al., 2018).

## 266 2.4 Macroscale model

267 The isotropic macroscale model used in this paper is a modified version of the plastic-damage model  
 268 proposed by Lee & Fenves (1998). In this model a standard decomposition of strains  $\boldsymbol{\varepsilon}$  in elastic  $\boldsymbol{\varepsilon}_e$   
 269 and plastic  $\boldsymbol{\varepsilon}_p$  components is considered:

$$\boldsymbol{\varepsilon} = \boldsymbol{\varepsilon}_e + \boldsymbol{\varepsilon}_p \quad (14a)$$

$$\boldsymbol{\varepsilon}_e = \mathbf{K}_e^{-1}\boldsymbol{\sigma} \quad (14b)$$

270 where  $\mathbf{K}_e$  is the fourth-order isotropic elastic stiffness tensor and  $\boldsymbol{\sigma}$  is the nominal Cauchy stress  
 271 tensor. According to continuum damage mechanics, the nominal stress tensor  $\boldsymbol{\sigma}$  is mapped into an  
 272 effective stress tensor  $\bar{\boldsymbol{\sigma}}$ :

$$\boldsymbol{\sigma} = (1 - d)\bar{\boldsymbol{\sigma}} \quad (15)$$

273 where  $d = d(\bar{\boldsymbol{\sigma}}, \boldsymbol{\kappa})$  is a scalar global damage variable depending on the stress state and two historical  
 274 variables  $\boldsymbol{\kappa} = (\kappa_t, \kappa_c)^T$  representing the evolution of damage in tension and in compression. The  
 275 effective stress  $\bar{\boldsymbol{\sigma}}$  is defined as the theoretical stress if the stiffness is equal to the initial one  $\mathbf{K}_0$ , and  
 276 thus:

$$\bar{\boldsymbol{\sigma}} = \mathbf{K}_0(\boldsymbol{\varepsilon} - \boldsymbol{\varepsilon}_p) \quad (16)$$

277 From (14)-(16) the standard plastic-damage constitutive relationship is obtained:

$$\boldsymbol{\sigma} = \mathbf{K}_e(\boldsymbol{\varepsilon} - \boldsymbol{\varepsilon}_p) = (1 - d)\mathbf{K}_0(\boldsymbol{\varepsilon} - \boldsymbol{\varepsilon}_p) \quad (17)$$

278 where, following the approach proposed by Lee & Fenves (1998), the evaluation of  $\boldsymbol{\varepsilon}_p$  is performed  
 279 working in the effective stress space. The plastic strain rate is evaluated from the plastic flow  
 280 potential:

$$\dot{\boldsymbol{\varepsilon}}_p = \lambda \frac{\partial g}{\partial \boldsymbol{\sigma}} \quad (18)$$

281 with:

$$g(\bar{\boldsymbol{\sigma}}) = \sqrt{(\epsilon f_{t0} \tan \psi)^2 + 3J_2} + \frac{\tan \psi}{3} I_1 \quad (19)$$

282 where  $\lambda$  plastic multiplier,  $\epsilon$  flow potential eccentricity,  $\psi$  dilation angle,  $f_{t0}$  the initial uniaxial tensile  
 283 stress and  $I_1, J_2$  are the first invariant of stress and the second invariant of deviatoric stress. The  
 284 incremental plastic problem in the effective stress space reads:

$$\begin{cases} d\bar{\boldsymbol{\sigma}} - \mathbf{K}_0(d\boldsymbol{\varepsilon} - d\lambda \dot{\boldsymbol{\varepsilon}}^p) = \mathbf{0} \\ F(\bar{\boldsymbol{\sigma}}, \boldsymbol{\kappa}) = 0 \\ d\boldsymbol{\kappa} - d\lambda \mathbf{h}(\bar{\boldsymbol{\sigma}}, \boldsymbol{\kappa}) = \mathbf{0} \end{cases} \quad (20)$$

285 where the yielding function  $F$  and the hardening function  $\mathbf{h}$  are:

$$F(\bar{\boldsymbol{\sigma}}, \boldsymbol{\kappa}) = \frac{1}{1-\alpha} \cdot (\alpha I_1 + \sqrt{3J_2} + \beta(\boldsymbol{\kappa}) \langle \bar{\sigma}_{max} \rangle - \gamma \langle -\bar{\sigma}_{max} \rangle) + \bar{f}_c(\kappa_c) \quad (21a)$$

$$\mathbf{h}(\boldsymbol{\sigma}, \boldsymbol{\kappa}) = \begin{pmatrix} r(\boldsymbol{\sigma}) \langle \dot{\boldsymbol{\varepsilon}}_{max}^p \rangle \\ (1-r(\boldsymbol{\sigma})) \langle -\dot{\boldsymbol{\varepsilon}}_{min}^p \rangle \end{pmatrix} \quad (21b)$$

286 with:

$$287 \quad - \beta(\boldsymbol{\kappa}) = -\frac{\bar{f}_c(\kappa_c)}{f_t(\kappa_t)} (1-\alpha) - (1+\alpha);$$

$$288 \quad - \alpha = \frac{f_{bor}-1}{2f_{b0r}-1};$$

$$289 \quad - \gamma = \frac{3(1-K_c)}{2K_c-1};$$

290  $- K_c =$  Ratio of the second stress invariant on the tensile meridian to that on the compressive  
 291 meridian at initial yield;

292  $- f_{bor} =$  Ratio between biaxial and uniaxial compressive strength;

- 293 -  $\bar{\sigma}_{max} = \max(\bar{\sigma}_1, \bar{\sigma}_2, \bar{\sigma}_3)$  with  $\bar{\sigma}_i$  principal effective stress;
- 294 -  $\hat{\varepsilon}_{max}^p, \hat{\varepsilon}_{min}^p =$  Maximum (resp. minimum) principal components of tensor  $\hat{\boldsymbol{\varepsilon}}^p = \frac{\partial g}{\partial \bar{\boldsymbol{\sigma}}}$ ;
- 295 -  $r(\bar{\boldsymbol{\sigma}}) = \begin{cases} 0 & \text{if } \bar{\sigma}_1 = \bar{\sigma}_2 = \bar{\sigma}_3 = 0 \\ \frac{\sum_{i=1}^3 \langle \bar{\sigma}_i \rangle}{\sum_{i=1}^3 |\bar{\sigma}_i|} & \text{otherwise} \end{cases}$  ;
- 296 -  $\langle x \rangle = \frac{x+|x|}{2}$ .

297 The scalar damage variable  $d$  depends on two other scalar variables  $d_t(\kappa_t)$  and  $d_c(\kappa_c)$ , while stiffness  
 298 recovery is obtained including the dependence on the stress state:

$$d(\bar{\boldsymbol{\sigma}}, \boldsymbol{\kappa}) = 1 - [1 - s_t(\bar{\boldsymbol{\sigma}}) d_c(\kappa_c)][1 - s_c(\bar{\boldsymbol{\sigma}}) d_t(\kappa_t)] \quad (22)$$

299 with:

- 300 -  $s_t(\bar{\boldsymbol{\sigma}}) = 1 - w_t r(\bar{\boldsymbol{\sigma}})$ ;
- 301 -  $s_c(\bar{\boldsymbol{\sigma}}) = 1 - w_c (1 - r(\bar{\boldsymbol{\sigma}}))$ ,

302 where  $w_t$  and  $w_c$  govern stiffness recovery respectively from compression to tension and viceversa.  
 303 For the complete definition of the model the four functions  $\bar{f}_t(\kappa_t)$ ,  $\bar{f}_c(\kappa_c)$ ,  $d_t(\kappa_t)$  and  $d_c(\kappa_c)$  must  
 304 be defined. In this work, uniaxial strengths in the nominal and in the effective stress space, i.e.,  
 305 functions  $f_\chi(\kappa_\chi)$ ,  $\bar{f}_\chi(\kappa_\chi)$ ,  $\chi = t, c$  have been defined and consequently damage variable is obtained  
 306 as:

$$d_\chi(\kappa_\chi) = 1 - \frac{f_\chi(\kappa_\chi)}{\bar{f}_\chi(\kappa_\chi)} \quad (23)$$

307 Following Lubliner et al. (1989), both tensile and compressive nominal strengths are expressed as  
 308 double-exponential function of the relevant historical variable  $\kappa_\chi$ , which represents the plastic strain  
 309 in uniaxial stress states:

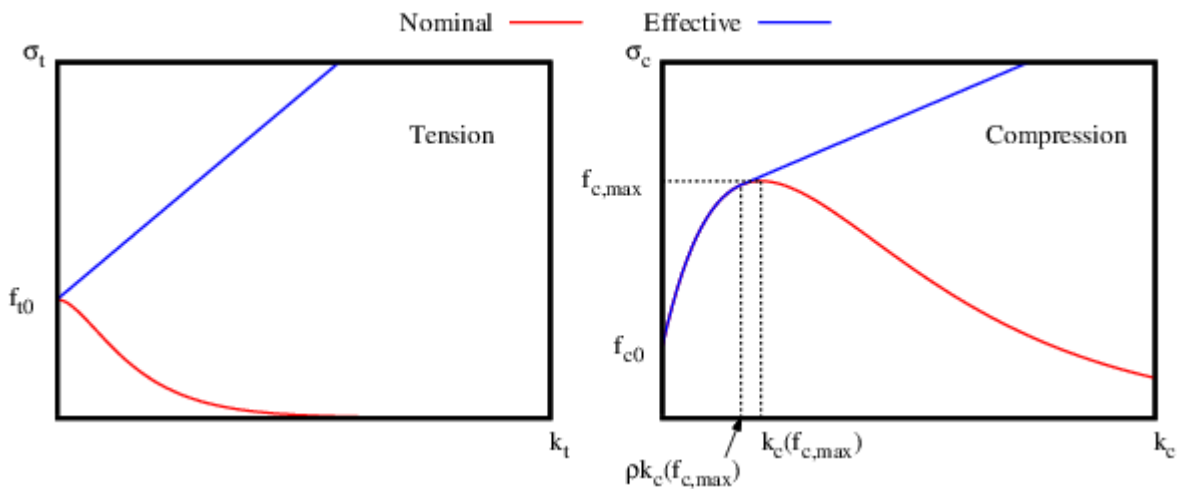
$$f_\chi(\kappa_\chi) = f_{\chi 0} [(1 + p_{1\chi}) e^{-p_{2\chi} \kappa_\chi} - p_{1\chi} e^{-2 p_{2\chi} \kappa_\chi}] \quad (24)$$



310 where  $f_{\chi 0}$  is the initial strength and  $p_{1\chi}$ ,  $p_{2\chi}$  parameters to be calibrated (see Appendix). As far as  
 311 the effective strength is considered, increased robustness is obtained if a hardening behaviour is  
 312 considered (Minga, et al., 2018) while the introduction of damage allows for modelling both stiffness  
 313 and strength degradation. The hardening behaviour is expressed as:

$$\bar{f}_{\chi}(\kappa_{\chi}) = \begin{cases} f_{\chi}(\kappa_{\chi}) & \kappa_{\chi} < \bar{\kappa}_{\chi} \\ f_{\chi 0}(p_{4\chi} + p_{3\chi}\kappa_{\chi}) & \kappa_{\chi} \geq \bar{\kappa}_{\chi} \end{cases} \quad (25)$$

314 where  $\bar{\kappa}_{\chi} = \rho \kappa_{\chi}(f_{\chi,max})$  is the plastic strain at the onset of damage,  $\kappa_{\chi}(f_{\chi,max})$  is the plastic strain  
 315 at maximum strength and  $0 \leq \rho \leq 1$  is a scalar. Eq. (25) implies that the effective plastic strain-stress  
 316 coincides with  $f_{\chi}(\kappa_{\chi})$  while it is linear afterwards (Figure 5). The calibration of parameters  $p_{1\chi}$ ,  $p_{2\chi}$ ,  
 317  $p_{3\chi}$ ,  $p_{4\chi}$  based on mechanical parameters with physical meaning is described in the Appendix. The  
 318 material model has been implemented in the FE software ADAPTIC (Izzuddin, 1991).



319  
 320 Figure 5. Nominal and effective strength in tension and compression

### 321 **3 Case study**

#### 322 **3.1 Overview**

323 A case study is considered here to illustrate the calibration procedure described in the previous  
 324 Section. It considers the extensive experimental programme performed within the FP6 European  
 325 project “ESECMaSE - Enhanced Safety and Efficient Construction of Masonry Structures in Europe”.

326 A two-storey full-scale prototype of a terraced house with rigid base and RC floor slabs was built and  
327 tested under pseudo-dynamic loading. The running bond masonry was made of  
328 250mm×175mm×250mm calcium silicate units of type 6DF optimised for the project. The units were  
329 assembled with thin mortar bed joints, while the head joints remained unfilled. All these  
330 characteristics should lead to a marked orthotropic response, both in the elastic and post-elastic  
331 ranges, when the masonry panels are subjected to in-plane loading. Thus, it is of interest to investigate  
332 the degree of approximation which is possible to obtain when using an isotropic model, as that  
333 described in Section 2.4 which is typically employed for advanced nonlinear analysis of masonry  
334 structures, whose material properties are calibrated according to the procedure detailed above.

### 335 **3.2 Calibration of macroscale material model**

336 Material tests were performed on small wallets to determine basic masonry material properties.  
337 Starting from those, the material properties for the mesoscale representation were estimated as  
338 reported in

<b>Material</b>	<b>Parameter</b>	<b>Value</b>	
Brick	Young's modulus	13620 MPa	
	Poisson's ratio	0.253	
Concrete	Young modulus	30000 MPa	
	Poisson's ratio	0.15	
Bed joints	Axial stiffness	34.0 N/mm <sup>3</sup>	
	Shear stiffness	16.5 N/mm <sup>3</sup>	
	Tensile strength	0.35 MPa	
	Cohesion	0.28 MPa	
	Friction angle	atan(0.55)	
	Fracture energy (mode I)	0.01 N/mm	
	Fracture energy (mode II)	0.2 N/mm	
	Fracture energy (compression)	0.5 N/mm	
	Damage parameter	0.1	
	Compressive strength	23.6 MPa	
	Brick-brick interface	Axial stiffness	10 <sup>4</sup> N/mm <sup>3</sup>
		Shear stiffness	10 <sup>4</sup> N/mm <sup>3</sup>
		Tensile strength	1.49 MPa
Cohesion		2.235 MPa	
Friction angle		atan(1.0)	
Fracture energy (mode I)		0.1 N/mm	
Fracture energy (mode II)		0.5 N/mm	
Fracture energy (compression)		5 N/mm	
Damage parameter		0.1	
Compressive strength		23.6 MPa	

339  
340 Table 1. More specifically, brick elastic properties and compressive strength were obtained from  
341 compressive tests on single units, while brick tensile strength was given by the producer (in general  
342 cases it can be estimated by means of indirect tension test). Bed joint stiffness was estimated based  
343 on unit and masonry stiffness, considered as spring in series, while bed joint compressive strength  
344 represents overall masonry compressive strength in a phenomenological way and was obtained from  
345 compressive tests on small wallets. Interface friction coefficient was provided in the ESECMaSE  
346 project experimental report and can be estimated from triplet tests along with cohesion. All the other  
347 properties were assigned typical values for masonry (CUR, 1994).

<b>Material</b>	<b>Parameter</b>	<b>Value</b>	
Brick	Young's modulus	13620 MPa	
	Poisson's ratio	0.253	
Concrete	Young modulus	30000 MPa	
	Poisson's ratio	0.15	
Bed joints	Axial stiffness	34.0 N/mm <sup>3</sup>	
	Shear stiffness	16.5 N/mm <sup>3</sup>	
	Tensile strength	0.35 MPa	
	Cohesion	0.28 MPa	
	Friction angle	atan(0.55)	
	Fracture energy (mode I)	0.01 N/mm	
	Fracture energy (mode II)	0.2 N/mm	
	Fracture energy (compression)	0.5 N/mm	
	Damage parameter	0.1	
	Compressive strength	23.6 MPa	
	Brick-brick interface	Axial stiffness	10 <sup>4</sup> N/mm <sup>3</sup>
		Shear stiffness	10 <sup>4</sup> N/mm <sup>3</sup>
		Tensile strength	1.49 MPa
Cohesion		2.235 MPa	
Friction angle		atan(1.0)	
Fracture energy (mode I)		0.1 N/mm	
Fracture energy (mode II)		0.5 N/mm	
Fracture energy (compression)		5 N/mm	
Damage parameter		0.1	
Compressive strength	23.6 MPa		

Table 1. Material properties of the mesoscale model in the virtual tests.

348  
349

350 To calibrate the macroscale model, three virtual tests, involving different failure modes in the  
351 masonry, have been considered (Figure 6). In tests (a) and (b) a stiff elastic element is applied on the  
352 top of the specimen to transfer the vertical load  $p=0.5\text{MPa}$  uniformly. Then a horizontal displacement  
353 history is imposed at the top. Constraints are applied to the stiff element to couple the vertical  
354 displacements and keep the top element horizontal. In the out-of-plane test (c), a uniform stress is  
355 applied on one face of the wall, while all edges except the top one are restrained. A load spreader  
356 element is utilised to apply a load history on the specimen by controlling the mean displacement of  
357 the load application nodes. Load protocol for all tests is characterised by a parabolic curve with  
358 maximum displacement equal to 2.5mm for tests (a) and (b) and 1.25mm for tests (c). This protocol  
359 has been designed to evaluate the main characteristics of the cyclic response of the specimens,  
360 including initial stiffness, peak load, post-peak response and stiffness degradation upon unloading.

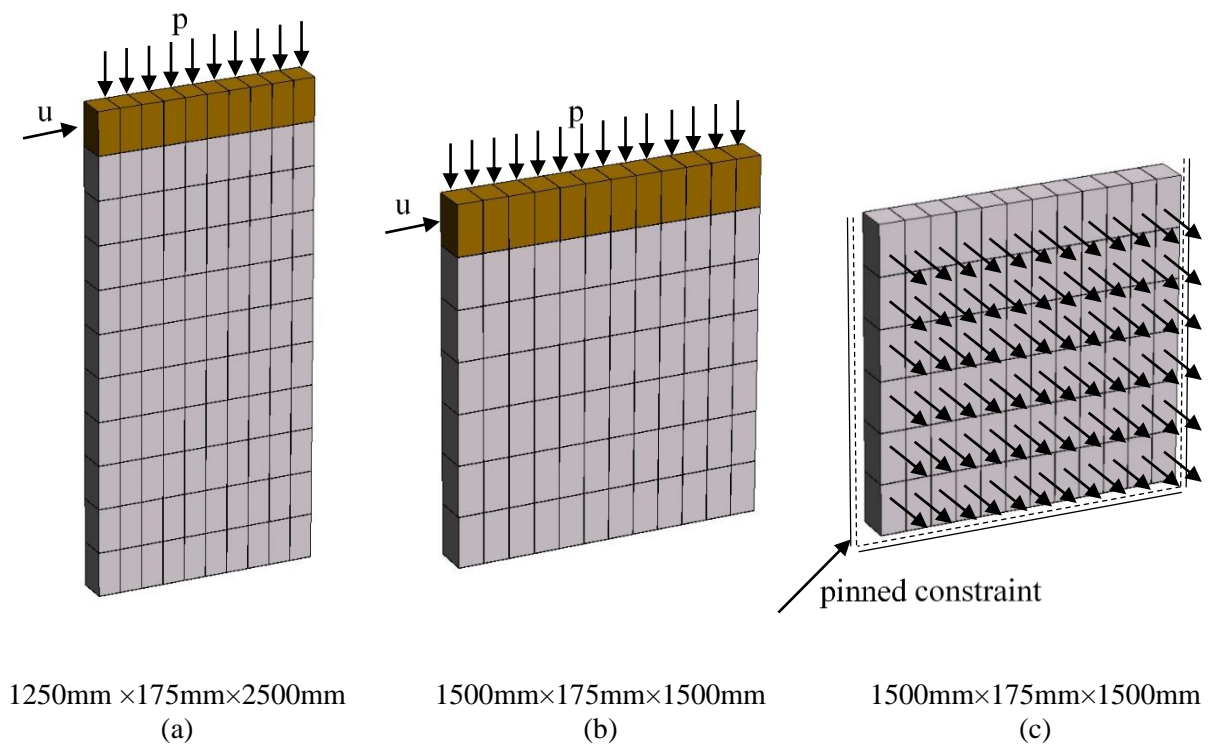


Figure 6. Virtual tests for the calibration of the macromodel.

361

362 In Figure 7, the deformed shapes and the force-displacement curves of the three specimens are shown.

363 It is possible to observe the different failure modes predicted for the tests under in-plane loading: (a)

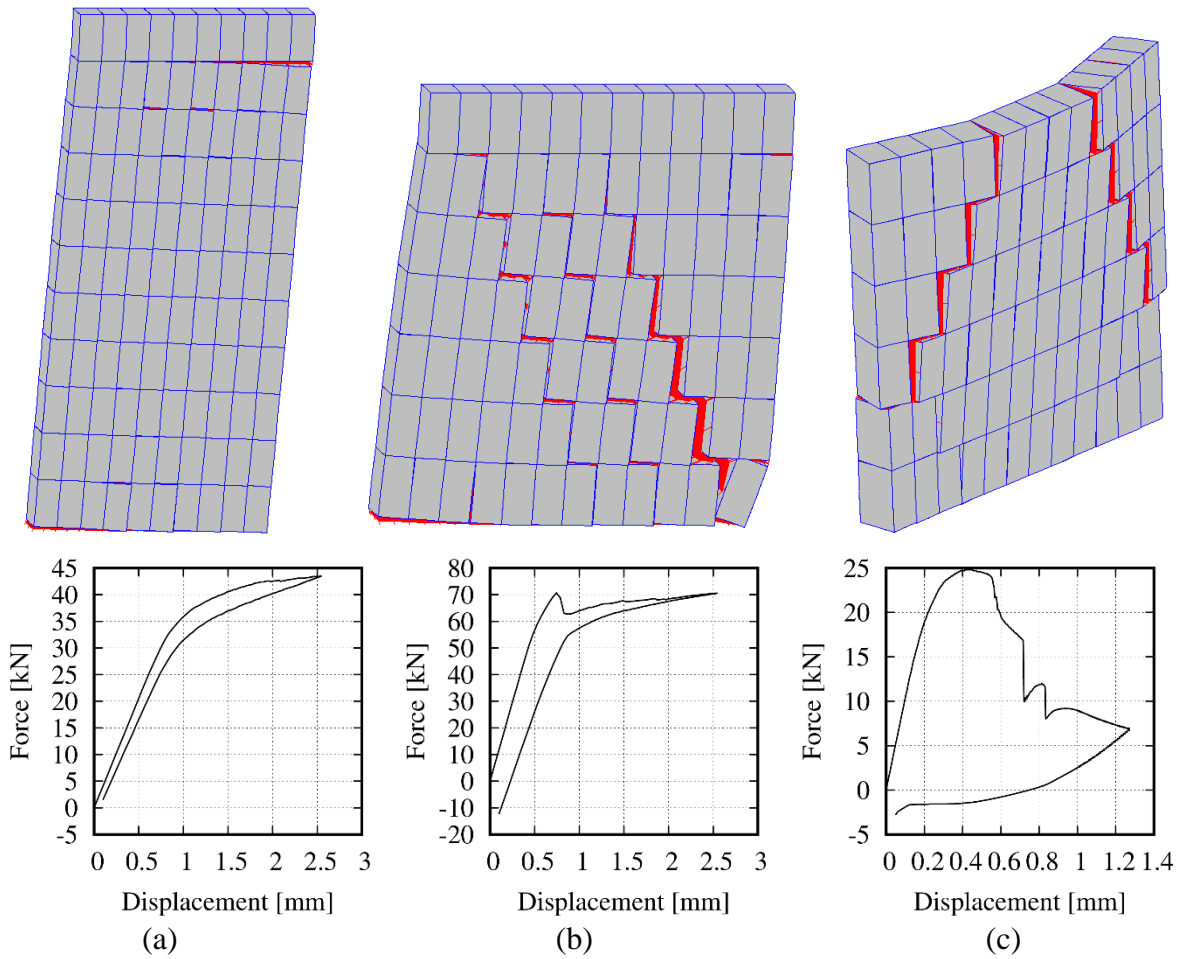
364 flexural failure and (b) shear failure with crack opening at the toes. Diagonal cracks are observed in

365 test (c), with additional flexural crack opening at the base. In terms of force-displacement, the first

366 two tests show quite stable plastic behaviour and reduced dissipation, characteristic of rocking

367 behaviour. On the contrary, the out-of-plane behaviour is characterized by large loss of strength at

368 maximum displacement and significant stiffness degradation at unloading.



369 Figure 7. Deformed shapes (magnification factor 50) at maximum displacement and force-displacement plots for the  
 370 virtual tests.

371 The virtual tests were then modelled by the macroscale approach. Twenty-noded solid elements with  
 372 average dimensions equal to 250mm×250mm ×175mm were used, and the material model described  
 373 in Section 2.4 was considered to represent the nonlinear behaviour of masonry at the macroscale. The  
 374 model material parameters and their ranges of variation are displayed in

Parameter	Definition	Minimum	Maximum
-----------	------------	---------	---------

E	Young's modulus	100 MPa	5000 MPa
$\nu$	Poisson's ratio	0.001	0.499
$\tilde{f}_{bo}$	Ratio between biaxial and uniaxial compressive strength	0.9	1.5
$\psi$	Dilation angle	0°	90°
$\epsilon$	Flow potential eccentricity	0.05	0.15
$w_t$	Tension stiffness recovery factor	0.0	1.0
$w_c$	Compression stiffness recovery factor	0.0	1.0
$f_{t0}$	Initial uniaxial tensile strength	0.01 MPa	1.0 MPa
$G_t$	Fracture energy in uniaxial tension	1e-5 N/mm	0.1 N/mm
$\mu$	Parameter controlling stiffness degradation in tension	0.0	1.0
$f_{c,max}$	Maximum uniaxial compressive strength	5.0 MPa	30.0 MPa
$\tilde{f}_y$	Ratio between uniaxial yielding stress and maximum strength in compression	0.01	1.0
$k_{c,fmax}$	Plastic strain in compression at $f_{c,max}$	1e-5	1e-2
$\rho_c$	Ratio of $k_{c,fmax}$ where damage in compression starts	0.0	1.0

375  
376 Table 2.

Parameter	Definition	Minimum	Maximum
E	Young's modulus	100 MPa	5000 MPa
$\nu$	Poisson's ratio	0.001	0.499
$\tilde{f}_{bo}$	Ratio between biaxial and uniaxial compressive strength	0.9	1.5
$\psi$	Dilation angle	0°	90°
$\epsilon$	Flow potential eccentricity	0.05	0.15
$w_t$	Tension stiffness recovery factor	0.0	1.0
$w_c$	Compression stiffness recovery factor	0.0	1.0
$f_{t0}$	Initial uniaxial tensile strength	0.01 MPa	1.0 MPa
$G_t$	Fracture energy in uniaxial tension	1e-5 N/mm	0.1 N/mm
$\mu$	Parameter controlling stiffness degradation in tension	0.0	1.0
$f_{c,max}$	Maximum uniaxial compressive strength	5.0 MPa	30.0 MPa
$\tilde{f}_y$	Ratio between uniaxial yielding stress and maximum strength in compression	0.01	1.0
$k_{c,fmax}$	Plastic strain in compression at $f_{c,max}$	1e-5	1e-2
$\rho_c$	Ratio of $k_{c,fmax}$ where damage in compression starts	0.0	1.0

377  
378 Table 2. Material parameters for the macromodel.

379 The optimization problem (12) for each virtual test was solved by means of a Non-dominated Sorting Genetic Algorithm  
380 (NSGA-II, Deb et al. 2002) implemented in TOSCA-TS (Chisari & Amadio, 2018) using the parameters specified in  
381 Table 3.

Parameter	Value
Population	50 individuals
Initial population generation	Sobol algorithm (Sobol, 1967)
Number of generations	50
Selection	Stochastic Universal Sampling, with linear ranking based on domination and scaling pressure equal to 2.0 (Baker, 1987)
Crossover	Blend- $\alpha$ , with $\alpha=2.0$ (Eshelman & Schaffer, 1992)
Crossover probability	1.0
Mutation probability	0.007

Table 3: GA parameters adopted for the calibration.

382  
383

384 In the application of definition (5) in tests (a,b) the contribution of the vertical load was neglected for  
385 the sake of simplicity. Following the discussion about regularisation of the calibration problem in  
386 Section 2.2, a second objective related to the horizontal force-displacement curve was added to the  
387 optimisation problem. It reads:

$$\omega_2(\mathbf{p}) = (\Phi^M(\mathbf{p}) - \Phi^m)^T \mathbf{W} (\Phi^M(\mathbf{p}) - \Phi^m) \quad (26)$$

388 where:

- 389 -  $\Phi = [K_{in} \ K_{fin} \ F_y \ F_{max} \ F(u_{max}) \ F_{fin}]^T$ ;
- 390 -  $K_{in}, K_{fin}$  respectively initial and final stiffness;
- 391 -  $F_y, F_{max}, F(u_{max}), F_{fin}$  respectively yielding force, maximum force, force at maximum  
392 displacement, force at final displacement;
- 393 -  $\mathbf{W} = \text{diag} \left( \left[ \left( \frac{F_{max}^m}{K_{in}^m} \right)^2 \ \left( \frac{F_{max}^m}{K_{in}^m} \right)^2 \ 1 \ 1 \ 1 \ 1 \ 1 \right] \right)$ .

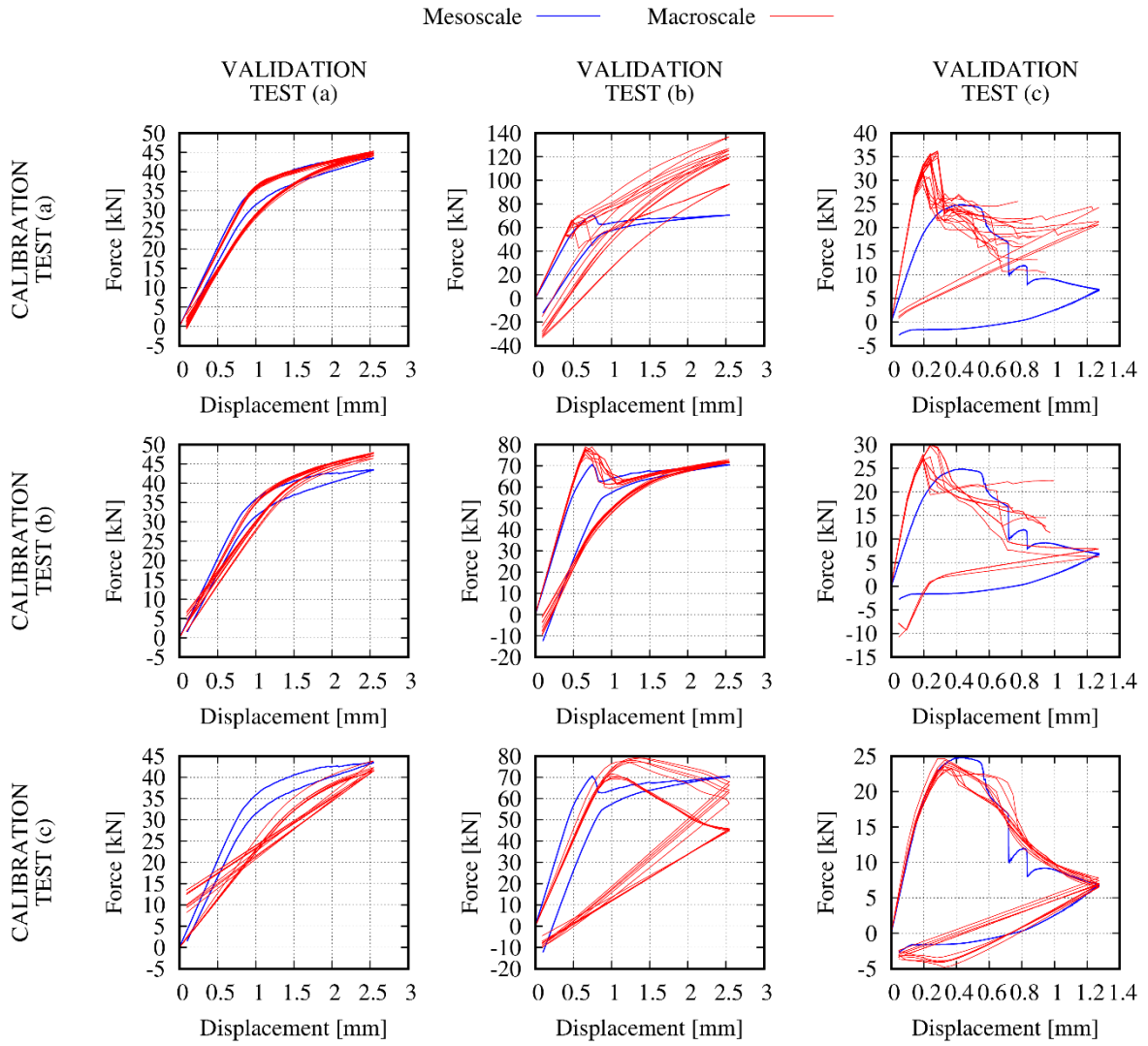
394 Considering the three tests individually as calibration tests, three Pareto Fronts were obtained and  
395 then considered as solutions of the multi-objective optimisation problem.

396 The results shown in Figure 8 allow to draw some conclusions on the calibration procedure and on  
397 the macromodel employed. On the main diagonal of the matrix, the force-displacement plots of the  
398 solutions are compared to the pseudo-experimental results obtained in the relevant virtual test used



399 in the calibration. It is possible to see that in all cases a good agreement is obtained, meaning that: (i)  
400 there exists at least one set of material parameters fitting with satisfactory accuracy any of the three  
401 tests used in the procedure, and (ii) the optimisation procedure is able to find such solution.  
402 Conclusion (i) however does not guarantee that such three sets are coincident, that is, there exists a  
403 unique set of parameters fitting with satisfactory accuracy all three virtual tests at the same time. It is  
404 important thus to study how the solutions predict the response in tests not used in the calibration  
405 (Chisari, et al., 2018b). This is shown in the off-diagonal plots in Figure 8.

406 In case of a calibration performed by means of test (a) or (c), the calibrated parameters applied to the  
407 other tests show both large variability and low accuracy (first and third rows in Figure 8). In particular,  
408 maximum load and global stiffness are largely overestimated in both tests (b) and (c) with the  
409 solutions from test (a), while with the solutions from test (c) stiffness degradation is overestimated in  
410 tests (a) and (b), and initial stiffness is underestimated. The curves in the second row in Figure 8,  
411 however, show that a calibration performed with test (b) can predict reasonably well the behaviour  
412 of the specimen under test (a), while, again, relatively large variability of the prediction is observed  
413 for test (c). In any case, it is possible to find some solutions fitting with sufficient accuracy the  
414 response of the out-of-plane virtual test.



415

416

Figure 8. Results of the calibration and validation.

417

418

Among all the solutions obtained by calibration with test (b), the one with minimum discrepancy from the mesoscale test (c) results was then assumed as final solution. This is characterised by the values reported in

Parameter	Value
E	2850 MPa
$\nu$	0.31
$\tilde{f}_{bo}$	1.23
$\psi$	16°
$\epsilon$	0.13
$w_t$	0.87
$w_c$	0.16
$f_{i0}$	0.215 MPa
$G_t$	9.11e-3 N/mm
$\mu$	0.216
$f_{c,max}$	18.4
$\tilde{f}_y$	1.0
$k_{c,fmax}$	7e-4
$\rho_c$	0.299

419  
420 Table 4.

421

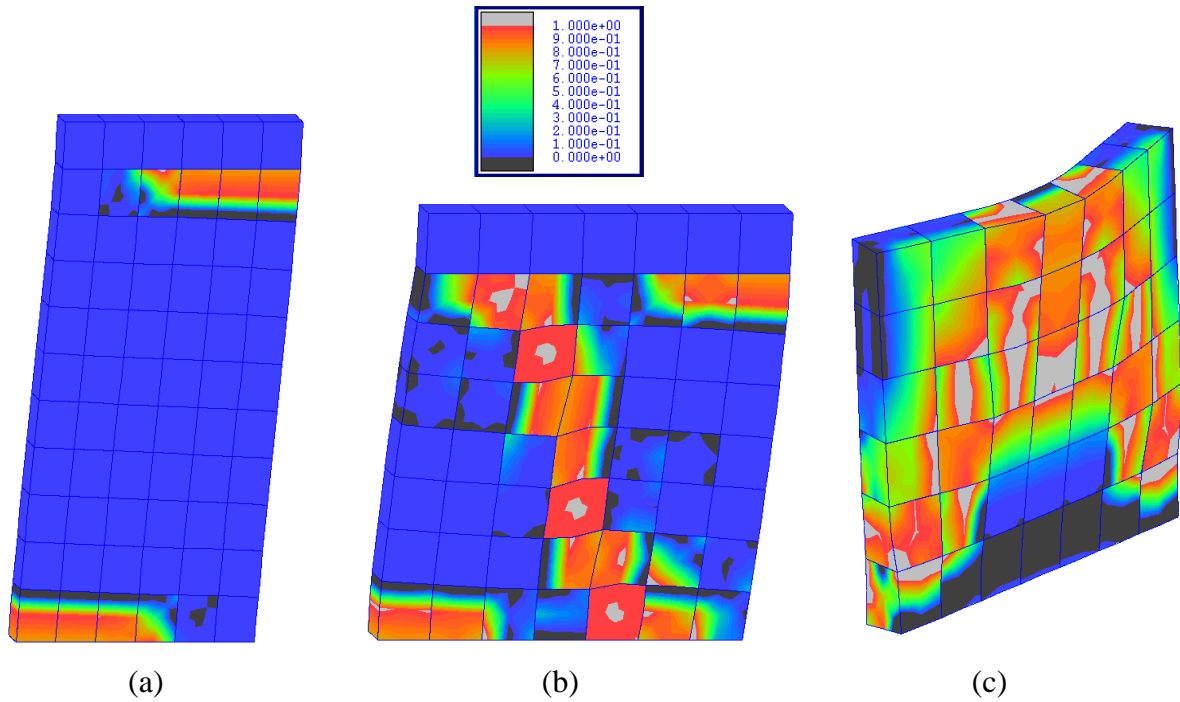
Parameter	Value
E	2850 MPa
$\nu$	0.31
$\tilde{f}_{bo}$	1.23
$\psi$	16°
$\epsilon$	0.13
$w_t$	0.87
$w_c$	0.16
$f_{i0}$	0.215 MPa
$G_t$	9.11e-3 N/mm
$\mu$	0.216
$f_{c,max}$	18.4
$\tilde{f}_y$	1.0
$k_{c,fmax}$	7e-4
$\rho_c$	0.299

422  
423

Table 4. Final solution of the macroscale calibration.

424 The deformed shape and tensile damage pattern, which is a crack indicator in the continuum, are  
425 displayed in Figure 9. Comparing these results with Figure 7, it is possible to appreciate that a  
426 correctly calibrated model seems to be able to capture the main damage patterns as observed in the  
427 mesoscale representation in all cases.

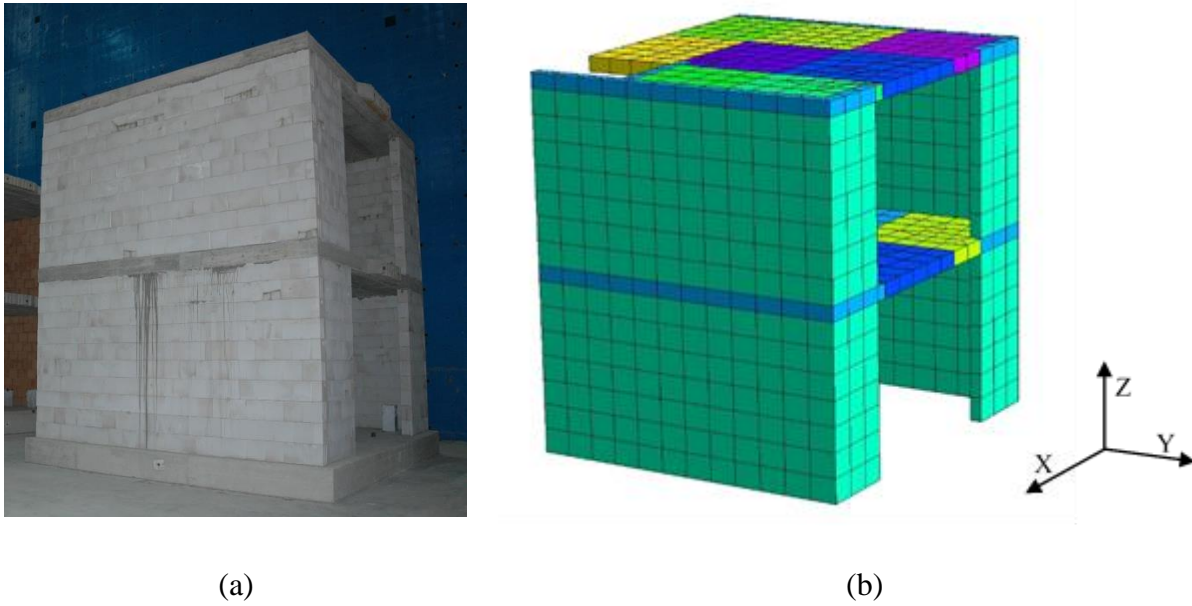
428



429 Figure 9. Deformed shapes (same amplification as in Figure 7) and tensile damage patterns for the calibrated  
 430 macromodels.

### 431 3.3 Validation against pseudo-dynamic test

432 The calibrated macromodel was then used to predict the response of the two-storey structure subjected  
 433 to pseudo-dynamic loading. The specimen (Figure 10a) representing half of a symmetric two-storey  
 434 terraced house with rigid base was tested at the ELSA Reaction-wall Laboratory of the JRC (Anthoine  
 435 & Capéran, 2008). It was characterised by floor plan dimensions of 5.30m×4.75m and a 5.40m height.  
 436 The pseudo-dynamic test simulated the application of an earthquake along the short wall direction (x  
 437 direction in Figure 10b).



438 Figure 10. Pseudo-dynamic test: (a) view of the structure (courtesy of Dr Armelle Anthoine), and (b) numerical model.  
 439 The different colours in (b) represents different applied loads.

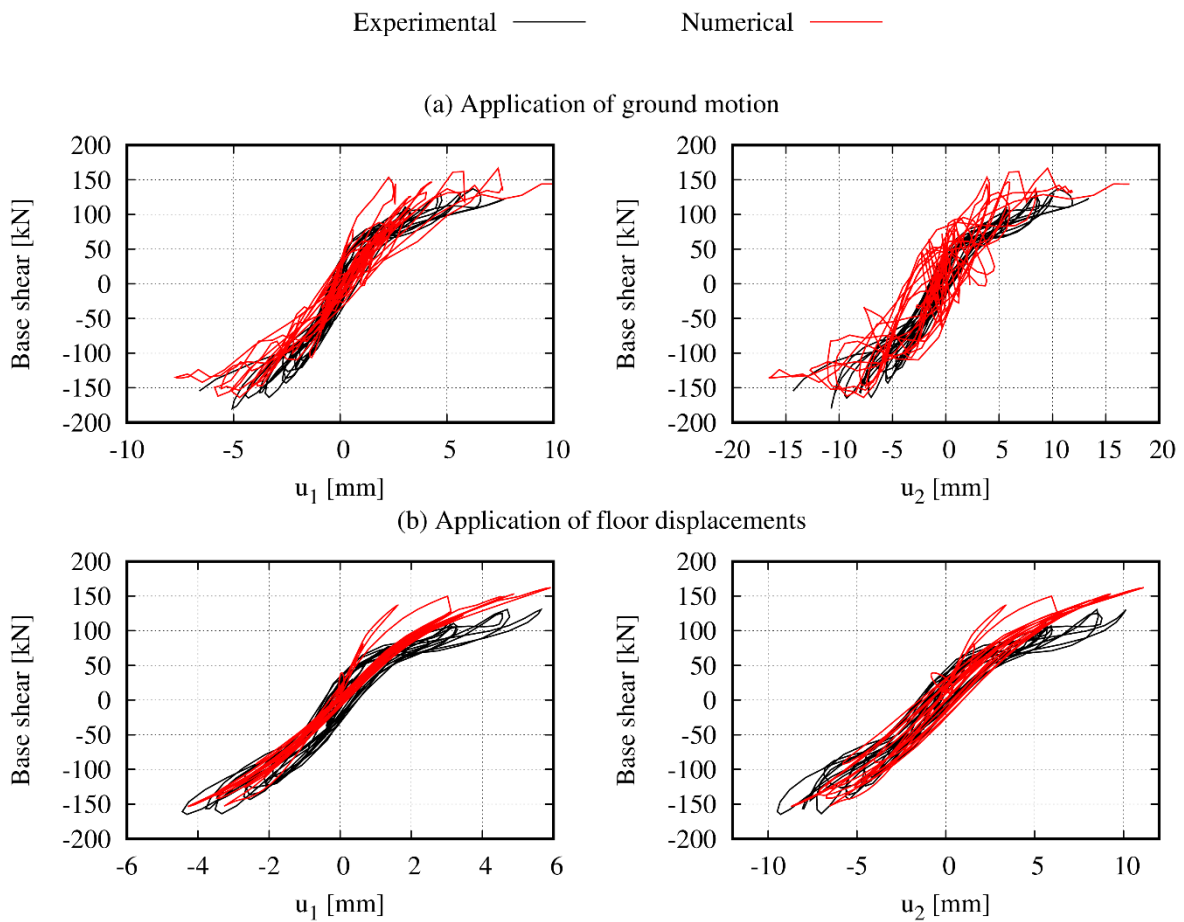
440 A 3D solid numerical model of the building was developed (Figure 10b), where the walls were  
 441 modelled with the nonlinear model described in Section 2.4 and calibrated in Section 3.2. The  
 442 concrete floor was represented by means of elastic elements with Young's modulus equal to 30GPa,  
 443 typical of the concrete type utilised. The additional masses on the first and second floor were equal  
 444 to the experimental added masses reproducing design dead and live loads (4.52t on the first floor and  
 445 7.39t on the second floor). Such masses were distributed on the floor plane in the real test such as to  
 446 minimise the eccentricity between centre of mass and centre of stiffness in y direction (orthogonal to  
 447 the ground motion) and thus to have a mainly translational motion of the structure along x.

448 In the pseudo-dynamic test, the equations of motion for a simplified 2-dof mechanical system were  
 449 solved iteratively online using experimentally observed stiffness to obtain the corresponding relative  
 450 displacements to apply to the structure by means of actuators at floor level. The ground motion  
 451 considered was a 10.23s long artificially generated time history matching the EUROCODE 8 (EN  
 452 1998-1-1, 2005) design spectrum with elastic response spectrum type I, peak ground acceleration  
 453  $PGA=0.04g$  and soil type B. It was then scaled to  $PGA=0.12g$  corresponding to the acceleration for

454 which the first significant damage was experimentally observed. Two numerical modelling  
 455 approaches concerning the loading history are considered here:

- 456 a) Dynamic analysis with application of the ground motion at the base;
- 457 b) Dynamic (pseudo-static) analysis with experimental displacements applied at the floors.

458 In case (b), the experimental floor displacements have been previously fitted with a spline. By  
 459 differentiating the spline twice, it was possible to obtain an approximate acceleration history to apply  
 460 at floor level. This was deemed convenient to run a dynamic analysis which is generally more stable  
 461 than a displacement-controlled static analysis since inertial terms make the problem better  
 462 conditioned even in case of loss of stiffness. The results of the numerical analyses in terms of force-  
 463 displacement plots are displayed in Figure 11.

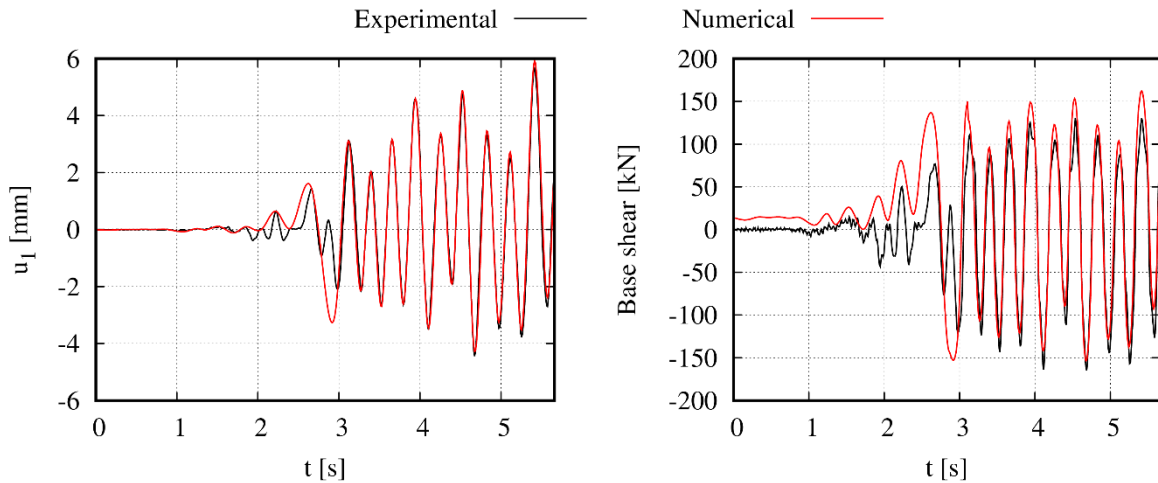


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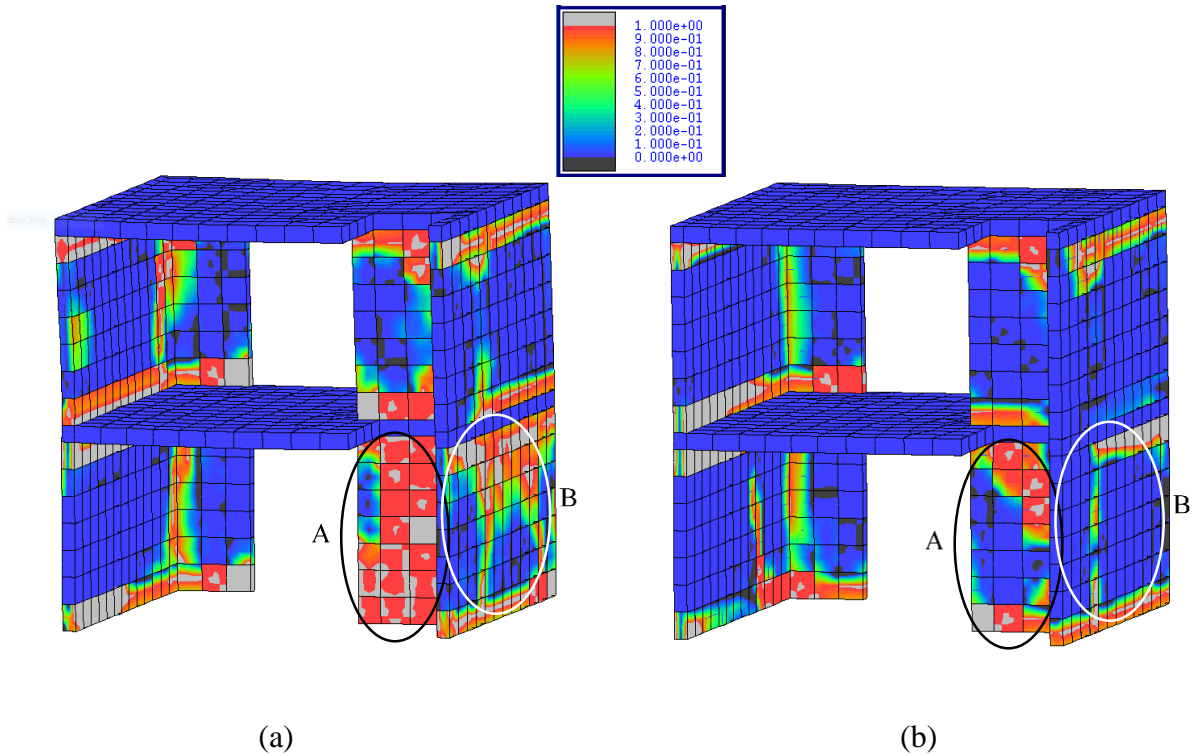
Figure 11. Base shear-floor displacement plots for experimental tests and numerical models.

466 They are displayed until the numerical model reached convergence, which is equal to 6.3s for model  
 467 (a) (applied base acceleration history) and 5.66s for model (b) (applied displacements at the floors).  
 468 After that the structure completely lost its stiffness and no meaningful results could be obtained.  
 469 Looking at Figure 11, it is possible to notice a very good agreement between the experimental data  
 470 and the numerical output in terms of force-displacement curves as far as stiffness, maximum force  
 471 and maximum displacement is concerned. In model (b) larger strength is predicted by the numerical  
 472 model in the first steps of the load history, but this is reasonable as the numerical model is initially  
 473 undamaged while the experimental specimen had undergone previous tests with lower accelerations  
 474 which could have provoked damage and strength degradation. Furthermore, in Figure 12 it is evident  
 475 that the spline approximation induces larger displacements at the beginning of the analysis, and  
 476 consequently larger forces. The comparison between base shear force after  $t=3s$  shows a very good  
 477 agreement between experimental results and numerical predictions.



478  
 479 Figure 12. Comparison between applied displacements at first floor and resulting forces for model (b).

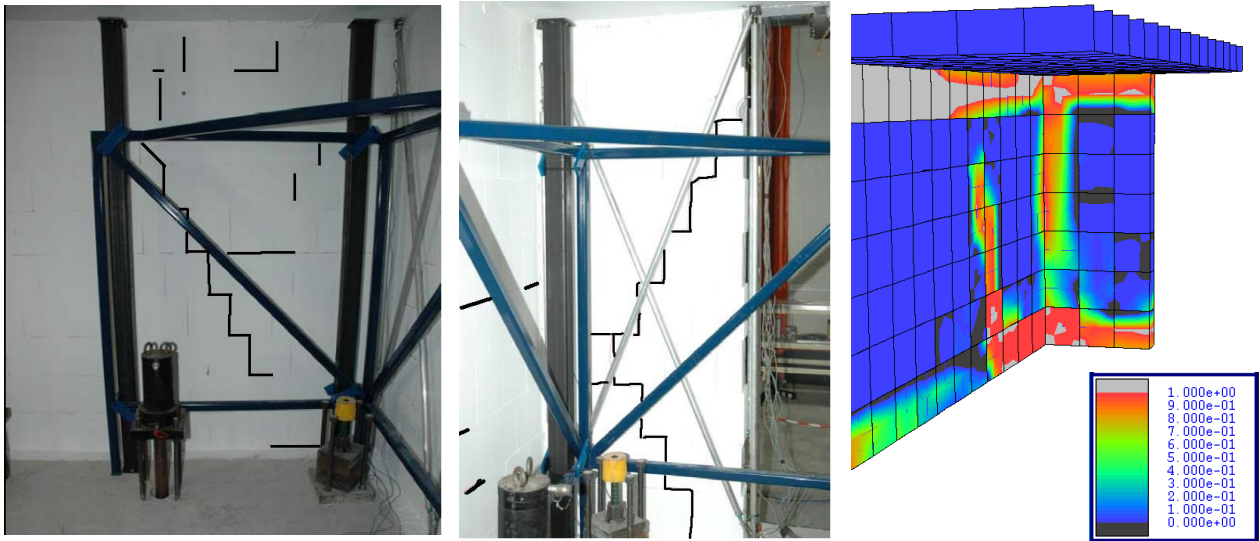
480 The contour of damage variable  $d_t$  can be assumed as an approximation of cracking occurrence in a  
 481 homogenous model. It is shown in Figure 13 for models (a) and (b) at time  $t=5.5s$ , when damage has  
 482 generally occurred.



483 Figure 13. Damage contour at t=5.5s: (a) model with applied ground motion, and (b) model with applied floor  
 484 displacements.

485 The comparison between the damage contours in models (a,b) shows that they are generally different  
 486 and rather more spread in model (a), where, unlike model (b) a shear wall results almost completely  
 487 damaged (A marker in Figure 13). Even in the long wall orthogonal to this damage is more  
 488 accentuated (B marker). It is believed that in case of model (a) the additional mass distribution was  
 489 not perfectly able to cancel floor rotations leading thus to a more severe stress state on the structure.  
 490 In Figure 14 model (b), which more closely reproduces the test loading protocol as effectively  
 491 performed, is compared to the experimental cracking pattern.

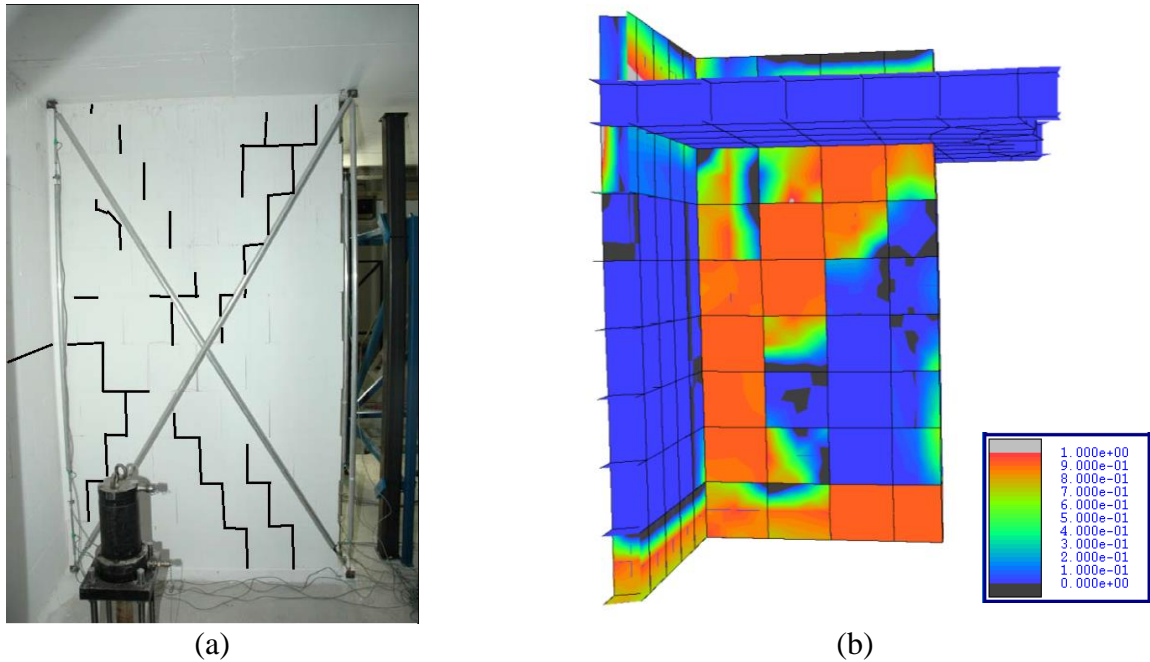




(a) (b) (c)

492 Figure 14. Comparison between experimental cracking pattern (courtesy of Dr Armelle Anthoine) and numerical  
 493 damage contour for model (b): cracking patterns (a) in the long wall, (b) in the short wall, and (c) damage contour at the  
 494 intersection between the two walls.

495 It is possible to see that the damage can generally represent the cracking in the wall, even though,  
 496 especially in the short wall, a shift toward the intersection between floors and wall and between  
 497 orthogonal walls is visible in the numerical model. It is believed that the limitations of using an  
 498 isotropic model for such an anisotropic material, as the masonry utilised for the test, greatly justify  
 499 such discrepancy. A similar shift was observed in the comparison of the other wider wall on the  
 500 opposite side of the building (Figure 15).



501 Figure 15. Comparison between (a) experimental cracking pattern (courtesy of Dr Armelle Anthoine) and (b) numerical  
 502 damage pattern for model (b): wider wall in the direction of the motion.

#### 503 4 Conclusion

504 In this paper, a multiscale model calibration procedure is proposed to provide an efficient and accurate  
 505 framework for the use of approximate macroscale models in the prediction of the response of masonry  
 506 structures under extreme loading, including earthquakes. The methodology utilises two different  
 507 scales of representation for the same structural component. The mesoscale representation, which can  
 508 be calibrated by means of material tests on constituents, is taken as virtual test for the calibration of  
 509 the more approximate and computationally efficient macroscale model. The latter calibration is  
 510 performed by means of minimisation of energy discrepancy. A case study is presented involving  
 511 experimental tests performed on a running bond masonry with large blocks and unfilled head joints.  
 512 The results highlight the importance of careful selection of the virtual test, which needs to be able to  
 513 represent different failure modes of masonry material. In the cases studied, calibration performed by  
 514 means of a shear test on a square panel leading to diagonal and flexural failure allows for a robust  
 515 prediction of the response under different in-plane loading conditions. However, as far as the out-of-  
 516 plane response is concerned the accuracy of the prediction can deteriorate despite achieving a good  
 517 fit with the calibration response. This implies that information regarding out-of-plane behaviour needs

518 to be included in the calibration process, suggesting that a mixed in-plane/out-of-plane virtual test  
519 could lead to a calibrated model with superior predictive capability. The selection of the optimal  
520 virtual tests, which clearly depends on the model to calibrate, will be considered in further  
521 developments.

522 The validation of the calibrated models has been performed considering an entire building subjected  
523 to earthquake loading. The numerical prediction has shown remarkable agreement with the  
524 experimental response in terms of force-displacement curves. An improved approximation of the  
525 crack pattern by means of damage contours in the macroscale model could be potentially achieved  
526 by employing an enhanced orthotropic material model, which is currently under study.

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## 533 **Appendix**

534 Parameter  $p_{1\chi}$  can be evaluated based on the ratio between initial and maximum strength. In fact, the  
535 maximum of function (24) is:

$$f_{\chi,max} = \frac{f_{\chi 0}(1 + p_{1\chi})^2}{4p_{1\chi}} \quad (\text{A.1})$$

536 from which:

$$p_{1\chi} = 2 \frac{f_{\chi,max}}{f_{\chi 0}} - 1 + 2 \sqrt{\left(\frac{f_{\chi,max}}{f_{\chi 0}}\right)^2 - \frac{f_{\chi,max}}{f_{\chi 0}}} \quad (\text{A.2})$$

537 In tension there is no hardening, so  $f_{t0} = f_{t,max}$  and  $p_{1t} = 1$ .

538 The value of  $p_{2\chi}$  can be evaluated starting from either (1) the value of plastic strain at maximum  
 539 strength  $\kappa_{\chi}(f_{\chi,max})$ , or (2) fracture energy. In case (1), used for the curve in compression, the  
 540 condition of maximum strength is obtained imposing:

$$\frac{df_{\chi}}{d\kappa_{\chi}} = 0 = f_{\chi 0}[-p_{2\chi}(1 + p_{1\chi})e^{-p_{2\chi}\kappa_{\chi}} + 2p_{1\chi}p_{2\chi}e^{-2p_{2\chi}\kappa_{\chi}}] \quad (\text{A.3})$$

541 from which:

$$p_{2\chi} = \frac{1}{\kappa_{\chi}(f_{\chi,max})} \log \frac{2p_{1\chi}}{1 + p_{1\chi}} \quad (\text{A.4})$$

542 In case (2), utilised for the curve in tension, fracture energy density  $g_{\chi}$  is defined as the area under  
 543 the  $f_{\chi}(\varepsilon_{\chi})$  curve from the onset of cracking:

$$g_{\chi} = \frac{G_{\chi}}{l} = \int_{f_{\chi 0}/E}^{+\infty} f_{\chi} d\varepsilon_{\chi} \quad (\text{A.5})$$

544 Since

$$\varepsilon_{\chi} = \varepsilon_{\chi}^{el} + \kappa_{\chi} = \frac{\bar{f}_{\chi}}{E} + \kappa_{\chi} \quad (\text{A.6})$$

545 it follows that:

$$d\varepsilon_{\chi} = \frac{\partial \varepsilon_{\chi}}{\partial \kappa_{\chi}} d\kappa_{\chi} = \left( \frac{1}{E} \frac{\partial \bar{f}_{\chi}}{\partial \kappa_{\chi}} + 1 \right) d\kappa_{\chi} = \left( \frac{p_{3\chi} f_{\chi 0}}{E} + 1 \right) d\kappa_{\chi} \quad (\text{A.7})$$

546 and so Eq. (A.5) becomes:

$$\begin{aligned} g_{\chi} &= \int_{f_{\chi 0}/E}^{+\infty} f_{\chi} d\varepsilon_{\chi} = \left( \frac{p_{3\chi} f_{\chi 0}}{E} + 1 \right) \int_0^{+\infty} f_{\chi} d\kappa_{\chi} \\ &= \left( \frac{p_{3\chi} f_{\chi 0}}{E} + 1 \right) \frac{f_{\chi 0}}{p_{2\chi}} \left( 1 + \frac{p_{1\chi}}{2} \right) \end{aligned} \quad (\text{A.8})$$

547  $p_{3\chi}$  can be evaluated differently in tension or in compression. In the first case, a fairly intuitive  
 548 definition (see also Minga et al. 2018) can be given providing the ratio  $\mu = \kappa_{s\chi}/\varepsilon_s$  between residual

549 plastic strain  $\kappa_{s\chi}$  and total strain  $\varepsilon_s$  at unloading when the plastic work in monotonic loading has  
 550 reached  $s \cdot g'_{\chi}$  where  $g'_{\chi} = \int_0^{+\infty} f_{\chi} d\kappa_{\chi}$ . The plastic work reads:

$$\begin{aligned}
 W_p &= \int_0^{\kappa_{\chi}} f_{\chi}(\tau) d\tau \\
 &= \frac{f_{\chi 0}}{2p_{2\chi}} e^{-2p_{2\chi}\kappa_{\chi}} (e^{2p_{2\chi}\kappa_{\chi}} - 1) [p_{1\chi}(e^{2p_{2\chi}\kappa_{\chi}} - 1) + 2e^{2p_{2\chi}\kappa_{\chi}}] \\
 &= \frac{g'_{\chi}}{(2 + p_{1\chi})} (1 - e^{-p_{2\chi}\kappa_{\chi}}) [p_{1\chi}(1 - e^{-p_{2\chi}\kappa_{\chi}}) + 2] = s g'_{\chi}
 \end{aligned} \tag{A.9}$$

551 Defining  $\tilde{\kappa}_{\chi} = 1 - e^{-p_{2\chi}\kappa_{\chi}}$ , Eq. (A.7) becomes:

$$p_{1\chi}\tilde{\kappa}_{\chi}^2 + 2\tilde{\kappa}_{\chi} - s(2 + p_{1\chi}) = 0 \tag{A.10}$$

552 whose meaningful solution is:

$$\tilde{\kappa}_{\chi} = 1 - e^{-p_{2\chi}\kappa_{\chi}} = \frac{-1 + \sqrt{1 + s p_{1\chi}(2 + p_{1\chi})}}{p_{1\chi}} \tag{A.11}$$

553 and thus the corresponding  $\kappa_{\chi}$  is:

$$\kappa_{s\chi} = -\frac{\log(1 - \tilde{\kappa}_{\chi})}{p_{2\chi}} \tag{A.12}$$

554 Imposing  $\kappa_{s\chi} = \mu \varepsilon_s$  it follows that:

$$p_{3\chi} = \frac{1 - \mu E}{\mu f_{\chi 0}} - \frac{1}{\kappa_{s\chi}} \tag{A.13}$$

555 Substituting (A.13) in (A.8), the final expression for  $p_{2\chi}$  can be obtained:

$$p_{2\chi} = \frac{1}{\mu} \left( \frac{g'_{\chi}}{f_{\chi 0} \left(1 + \frac{p_{1\chi}}{2}\right)} - \frac{f_{\chi 0}}{E \log(1 - \tilde{\kappa}_{\chi})} \right)^{-1} \tag{A.14}$$

556 In compression  $p_{3\chi}$  is evaluated imposing the continuity of tangent at  $\bar{\kappa}_{\chi} = \rho \kappa_{\chi}(f_{\chi, max})$ :

$$p_{3\chi} = \frac{1}{f_{\chi 0}} \left. \frac{\partial f_{\chi}}{\partial \kappa_{\chi}} \right|_{\bar{\kappa}_{\chi}} = -p_{2\chi}(1 + p_{1\chi})e^{-p_{2\chi}\bar{\kappa}_{\chi}} + 2p_{1\chi}p_{2\chi}e^{-2p_{2\chi}\bar{\kappa}_{\chi}} \quad (\text{A.15})$$

557 Finally,  $p_{4\chi}$  is evaluated imposing the value of strength at  $\bar{\kappa}_{\chi}$ :

$$p_{4\chi} = f_{\chi}(\bar{\kappa}_{\chi})/f_{\chi 0} - p_{3\chi}\bar{\kappa}_{\chi} \quad (\text{A.16})$$

558

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