## CHARACTER RATIOS FOR EXCEPTIONAL GROUPS OF LIE TYPE

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ABSTRACT. We prove character ratio bounds for finite exceptional groups G(q) of Lie type. These take the form  $\frac{|\chi(g)|}{\chi(1)} \leq \frac{c}{q^k}$  for all nontrivial irreducible characters  $\chi$  and non-identity elements g, where c is an absolute constant, and k is a positive integer. Applications are given to bounding mixing times for random walks on these groups, and also diameters of their McKay graphs.

## 1. INTRODUCTION

For a finite group G, a *character ratio* is a complex number of the form  $\frac{\chi(g)}{\chi(1)}$ , where  $g \in G$  and  $\chi$  is an irreducible character of G. Upper bounds for absolute values of character values and character ratios have long been of interest, for various reasons; these include applications to random generation, covering numbers, mixing times of random walks, the study of word maps, representation varieties and other areas. For a survey of such applications focussing particularly on simple groups, see [24].

The first significant bound on character ratios for groups of Lie type was obtained in 1993 by Gluck [13], who showed that  $\frac{|\chi(g)|}{\chi(1)} \leq Cq^{-1/2}$  for any non-central element  $g \in G(q)$ , a group of Lie type over  $\mathbb{F}_q$ , and any non-linear irreducible character  $\chi$  of G(q), where C is an absolute constant. This has been improved in a number of subsequent papers, culminating in [2, 36] in which the following result is proved. Let  $\mathcal{G}$  be a simple algebraic group of simply connected type over an algebraically closed field of good characteristic p > 0, and let  $G = \mathcal{G}^F$  where F is a Frobenius endomorphism of  $\mathcal{G}$ . Let  $\mathcal{L}$  be an F-stable (proper) Levi subgroup of  $\mathcal{G}$ . If  $\mathcal{L}$  is not a torus, write  $\mathcal{L}_{unip}$  for the set of non-identity unipotent elements of  $\mathcal{L}$ , and define

$$\alpha(\mathcal{L}) = \max_{u \in \mathcal{L}_{\text{unip}}} \frac{\dim u^{\mathcal{L}}}{\dim u^{\mathcal{G}}}.$$

If  $\mathcal{L}$  is a torus, define  $\alpha(\mathcal{L}) = 0$ . Now [2, Thm. 1.1] together with [36, Cor. 1.11] show that if  $x \in G$  is an element, semisimple if  $\mathbf{Z}(\mathcal{G})$  is disconnected, such that  $\mathbf{C}_{\mathcal{G}}(x) \leq \mathcal{L}$ , then for any irreducible character  $\chi$  of G,

$$|\chi(x)| \le f(r) \cdot \chi(1)^{\alpha(\mathcal{L})},\tag{1.1}$$

where f(r) depends only on the rank r of  $\mathcal{G}$ .

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For example when  $G = E_8(q)$ , Theorem 1.7 of [2] shows that  $\alpha(\mathcal{L}) \leq \frac{17}{29}$  for all proper Levi subgroups  $\mathcal{L}$ , while  $\chi(1) \geq cq^{29}$  (where c is a positive constant – see Lemma 2.1); hence (1.1) gives

$$\frac{|\chi(x)|}{\chi(1)} < Cq^{-12}$$

for all nontrivial irreducible characters  $\chi$  of G, and  $x \in G$  such that  $\mathbf{C}_{\mathcal{G}}(x) \leq \mathcal{L}$  for some F-stable proper Levi subgroup  $\mathcal{L}$ .

It is highly desirable to obtain such bounds on character ratios  $\frac{|\chi(x)|}{\chi(1)}$  for arbitrary elements x (i.e. without the hypothesis on  $\mathbf{C}_G(x)$ ). For classical groups G(q), such bounds will be obtained in forthcoming work [30]. In this paper we obtain such bounds for exceptional groups of Lie type. Here is our main result.

**Theorem 1.** Let G = G(q) be a quasisimple group of exceptional Lie type  $E_8, E_7, E_6^{\epsilon}$ or  $F_4$  over  $\mathbb{F}_q$ , of simply connected type in good characteristic. Then for any nontrivial irreducible character  $\chi$  of G, and any  $g \in G \setminus \mathbf{Z}(G)$ , we have

$$\frac{|\chi(g)|}{\chi(1)} \leq \begin{cases} \frac{c}{q^{a_1}}, & \text{if } g \text{ is a long root element,} \\ \frac{c}{q^{a_2}}, & \text{otherwise} \end{cases}$$

where  $a_1, a_2$  are as in Table 1.1, and c is an absolute constant.

#### Remarks

- (i) For the smaller exceptional groups of Lie type, the generic character tables are known and available in CHEVIE [12]. From this we see that the corresponding values of  $a_i$ such that the conclusion of Theorem 1 holds in all characteristics for these groups are as in Table 1.2, with the only exception marked by <sup>( $\sharp$ )</sup> in the case  $G = G_2(q)$ , where  $q \equiv \epsilon \pmod{3}$ ,  $\chi$  a unique character of degree  $q^3 + \epsilon$ , g a unique (up to conjugacy) element of order 3 with  $\mathbf{C}_G(g) = \mathrm{SL}_3^{\epsilon}(q)$ , and  $\chi(g)/\chi(1) = \epsilon q/(q^2 - \epsilon q + 1)$ . Also note that we use the convention  $q^2 = p^{2a+1}$  with  $a \in \mathbb{Z}_{\geq 1}$  for types  ${}^2B_2(q^2)$ ,  ${}^2G_2(q^2)$ , and  ${}^2F_4(q^2)$  (with p = 2, 3, 2, respectively).
- (ii) The hypothesis in Theorem 1 that the characteristic p is good is necessary for the proof, since we use [2] which, as mentioned above, requires this assumption.

| TABLE | 1  | 1 |
|-------|----|---|
| LADDD | т, |   |

| G     | $E_8(q)$ | $E_7(q)$ | $E_6^{\epsilon}(q)$ | $F_4(q)$ |
|-------|----------|----------|---------------------|----------|
| $a_1$ | 6        | 4        | 3                   | 2        |
| $a_2$ | 10       | 5        | 3                   | 2        |

## TABLE 1.2

| G     | $G_2(q)$         | ${}^{2}F_{4}(q^{2})$ | $^{2}G_{2}(q^{2})$ | $^{2}B_{2}(q^{2})$ | ${}^{3}D_{4}(q)$ |
|-------|------------------|----------------------|--------------------|--------------------|------------------|
| $a_1$ | 2                | 4                    | 2                  | 2                  | 2                |
| $a_2$ | $2^{(\ddagger)}$ | 6                    | 3                  | 2                  | 3                |

We conclude the Introduction with two corollaries of our main result. The first concerns the theory of *mixing times* for random walks on finite quasisimple groups of Lie type

corresponding to conjugacy classes. Let G = G(q) be such a group, let  $y \in G$  be a noncentral element, and let  $C = y^G$ , the conjugacy class of y. Consider the random walk on the corresponding Cayley graph starting at the identity, and at each step moving from a vertex g to a neighbour gs, where  $s \in y^G$  is chosen uniformly at random. Let  $P^t(g)$  be the probability of reaching the vertex g after t steps. The mixing time of this random walk is defined to be the smallest integer t = T(G, y) such that  $||P^t - U|| < \frac{1}{e}$ , where Uis the uniform distribution and  $||f|| = \sum_{g \in G} |f(g)|$  is the  $l_1$ -norm. There is a substantial literature on mixing times of such random walks (see for example [24] for a brief survey).

The character ratio bound of Gluck [13] can be used to show that for any  $y \in G \setminus \mathbf{Z}(G)$ , the mixing time T(G, y) is bounded above by a quadratic function of the Lie rank of G = G(q). Using Theorem 1, we can strengthen this bound for exceptional groups of Lie type, as follows.

**Corollary 2.** Let G = G(q) be a quasisimple group of exceptional Lie type over  $\mathbb{F}_q$ , in good characteristic, and let  $\mathcal{G}$  be the corresponding simple algebraic group over  $\overline{\mathbb{F}}_q$ . Then for any  $y \in G \setminus \mathbf{Z}(G)$ , and for sufficiently large q, the mixing time T(G, y) satisfies

$$T(G, y) \le \left\lceil \frac{\dim \mathcal{G} + 1}{2a_i} \right\rceil,$$

where  $a_i$  is as in Tables 1.1 and 1.2, and i = 1 if y is a long root element, i = 2 otherwise.

The values of  $M_i := \lceil \frac{\dim \mathcal{G}+1}{2a_i} \rceil$  are listed in Table 1.3.

The next corollary concerns the diameters of  $McKay\ graphs$  for exceptional groups of Lie type. For a finite group G, and a (complex) character  $\alpha$  of G, the McKay graph  $\mathcal{M}(G,\alpha)$  is defined to be the directed graph with vertex set  $\mathrm{Irr}(G)$ , there being an edge from  $\chi_1$  to  $\chi_2$  if and only if  $\chi_2$  is a constituent of  $\alpha\chi_1$ . By a classical result of Burnside (see [4]),  $\mathcal{M}(G,\alpha)$  is connected if and only if  $\alpha$  is faithful. A study of McKay graphs for finite simple groups was initiated in [29], and [29, Thm. 2] shows that the diameter of any McKay graph  $\mathcal{M}(G,\alpha)$ , where G = G(q) is a simple group of Lie type and  $\alpha$  a nontrivial irreducible character, is bounded above by a quadratic function of the Lie rank of G. The next result strengthens this bound for exceptional groups of Lie type.

**Corollary 3.** Let G = G(q) be a simple group of exceptional Lie type over  $\mathbb{F}_q$ , in good characteristic, and let  $\mathcal{G}$  be the corresponding simple algebraic group over  $\overline{\mathbb{F}}_q$ . Let  $d = \dim \mathcal{G}$ , and  $N = |\Phi^+(\mathcal{G})|$ , the number of positive roots in the root system of  $\mathcal{G}$ . Then for any nontrivial irreducible character  $\alpha$  of G, and for sufficiently large q,

diam 
$$\mathcal{M}(G, \alpha) \le 2 \left\lceil \frac{d - N + 1}{a_2} \right\rceil$$
,

where  $a_2$  is as in Tables 1.1 and 1.2.

The values of  $D := 2 \lceil \frac{d-N+1}{a_2} \rceil$  are listed in Table 1.3.

#### TABLE 1.3

| G     | $E_8(q)$ | $E_7(q)$ | $E_6^{\epsilon}(q)$ | $F_4(q)$ | $G_2(q)$ | $^{2}F_{4}(q^{2})$ | $^{2}G_{2}(q^{2})$ | $^{2}B_{2}(q^{2})$ | $^{3}D_{4}(q)$ |
|-------|----------|----------|---------------------|----------|----------|--------------------|--------------------|--------------------|----------------|
| $M_1$ | 21       | 17       | 14                  | 14       | 4        | 7                  | 4                  | 3                  | 8              |
| $M_2$ | 13       | 14       | 14                  | 14       | 4        | 5                  | 3                  | 3                  | 5              |
| D     | 26       | 30       | 30                  | 30       | 10       | 10                 | 6                  | 8                  | 12             |

The layout of the paper is as follows. Section 2 contains preliminary results, and in Section 3 we study the action of a long root parabolic subgroup of G(q) on its unipotent

radical, which is an essential ingredient of the proof of Theorem 1. This proof is completed in Section 4. The final section 5 contains the proofs of Corollaries 2 and 3.

## 2. Preliminary results

We begin with some well-known information about the irreducible characters of minimal degree for exceptional groups. For a finite group G, denote the set of irreducible characters of G by Irr(G).

**Lemma 2.1.** Let G = G(q) be a quasisimple, simply connected group of exceptional Lie type  $E_8, E_7, E_6^{\epsilon}$  or  $F_4$ . Define  $l_1 = l_1(G), l_2 = l_2(G)$  as in the table below. Let  $1 \neq \chi \in Irr(G)$ . Then either  $\chi(1)$  is a polynomial in q of degree  $l_1$ , or  $\chi(1) > cq^{l_2}$  for c a positive absolute constant.

| G     | $E_8(q)$ | $E_7(q)$ | $E_6^{\epsilon}(q)$ | $F_4(q)$ |
|-------|----------|----------|---------------------|----------|
| $l_1$ | 29       | 17       | 11                  | 8        |
| $l_2$ | 46       | 26       | 16                  | 11       |

*Proof.* This follows by inspection of the lists of character degrees for these groups to be found in [31].  $\Box$ 

We shall also need to to identify the structure of some parabolic subgroups of groups G = G(q) as in Lemma 2.1. Our notation for parabolics will be standard:  $P_i$  (resp.  $P_{ij}$ ) is the standard parabolic that corresponds to deleting node *i* (resp. nodes *i*, *j*) from the Dynkin diagram of *G*, labelled as in [3]. Also for a parabolic subgroup *P*, we write P = QL where *Q* is the unipotent radical and *L* a Levi factor.

**Lemma 2.2.** Let G = G(q) be as in Lemma 2.1, and let  $P_0 = Q_0 L_0$  be the maximal parabolic subgroup of G indicated in Table 2.1. Then  $\mathbf{Z}(Q_0)$  has the structure of an irreducible  $\mathbb{F}_q L_0$ -module of the dimension indicated in the table; and  $Q_0/\mathbf{Z}(Q_0)$  is an irreducible  $\mathbb{F}_q L_0$ -module for the entry in the first row, and is the sum of two irreducible 8-dimensional modules in the last row.

*Proof.* This well-known information can be read off using [1], for example.

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#### TABLE 2.1

| G              | $P_0$    | $L'_0$         | $\dim \mathbf{Z}(Q_0)$ | $\dim Q_0/\mathbf{Z}(Q_0)$ |
|----------------|----------|----------------|------------------------|----------------------------|
| $E_8(q)$       | $P_1$    | $D_7(q)$       | 14                     | 64                         |
| $E_7(q)$       | $P_7$    | $E_6(q)$       | 27                     | 0                          |
| $E_6(q)$       | $P_1$    | $D_5(q)$       | 16                     | 0                          |
| $^{2}E_{6}(q)$ | $P_{15}$ | $^{2}D_{4}(q)$ | 8                      | 16                         |

Finally, we need an elementary lemma. For a finite group X and a subgroup Y, denote by X/Y the set of right cosets of Y in X. And writing  $\Omega = X/Y$ , for  $x \in X$  define the fixed point ratio of x acting on  $\Omega$  by

$$\operatorname{fpr}(x,\Omega) = \frac{\operatorname{fix}(x,\Omega)}{|\Omega|}.$$

**Lemma 2.3.** Let G be a finite group, and let H < K < G. Write  $C = \operatorname{core}_K(H)$ , the core of K in H. Let  $y \in H$  and define

$$M = \max\{\operatorname{fpr}(x, K/H) : x \in (y^G \cap K) \setminus C\}.$$

Then

$$\operatorname{fpr}(y, G/H) \le \frac{|y^G \cap C|}{|y^G|} + M \operatorname{fpr}(y, G/K).$$

*Proof.* Let  $K = \bigcup_i Hk_i$  and  $G = \bigcup_j Kg_j = \bigcup_{i,j} Hk_ig_j$ , all disjoint unions. Then writing  $y_j = g_j yg_j^{-1}$ , we have

$$fpr(y, G/H) = \frac{1}{|G/H|} |\{(i, j) : k_i g_j y g_j^{-1} k_i^{-1} \in H\}|$$
  
$$= \frac{|K/H|}{|G/H|} |\{j : y_j \in C\}| + \frac{1}{|G/H|} |\{(i, j) : y_j \notin C, k_i y_j k_i^{-1} \in H\}|$$
  
$$= T_1 + T_2,$$
  
(2.1)

where  $T_1, T_2$  are the two terms on the second line. Observe that

$$T_1 = \frac{1}{|G/K|} |\{j : y_j \in C\}| = \frac{|y^G \cap C|}{|y^G|}.$$
(2.2)

As for  $T_2$ , the number of values of j such that  $y_j \notin C$  and  $k_i y_j k_i^{-1} \in H$  for some i is at most  $|\{j : y_j \in K\}| = \text{fix}(y, G/K)$ . And given such a j-value,

$$|\{i: k_i y_j k_i^{-1} \in H\}| = \operatorname{fix}(y_j, K/H) \le M |K/H|,$$

where M is as defined in the lemma. It follows that

$$T_2 \le \frac{\text{fix}(y, G/K) \cdot M |K/H|}{|G/H|} = M \text{fpr}(y, G/K).$$
 (2.3)

Now the conclusion follows using (2.1) together with (2.2) and (2.3).

## 3. Long root parabolics

Let  $\mathcal{G}$  be a simple algebraic group of type  $E_8, E_7, E_6$  or  $F_4$  over an algebraically closed field of odd characteristic p, and let  $G(q) = \mathcal{G}^F$  be a corresponding group of Lie type over  $\mathbb{F}_q$ , where F is a Frobenius endomorphism of  $\mathcal{G}$ . Let  $\Phi$  be the root system of  $\mathcal{G}$  relative to a fixed maximal torus, and for  $\alpha \in \Phi$  denote by  $U_{\alpha}$  the corresponding root subgroup of  $\mathcal{G}$ . Let  $\alpha_0$  be the highest root in  $\Phi$ . Then  $\mathcal{P} = \mathbf{N}_{\mathcal{G}}(U_{\alpha_0})$  is a parabolic subgroup of  $\mathcal{G}$ , which we shall call a *long root parabolic*; likewise, taking  $\mathcal{P}$  to be F-stable,  $P = \mathcal{P}^F$  is a long root parabolic of G(q).

The proof of Theorem 1 is based on the following results concerning long root parabolics of exceptional groups. These will be proved in the ensuing subsections.

There is some standard notation used in the statement: for a vector space W, and an element  $g \in GL(W)$ , we denote by  $P_1(W)$  the set of 1-dimensional subspaces of W, and by [W,g] the commutator space  $\{w - wg : w \in W\}$ .

**Theorem 3.1.** Let G = G(q) be a quasisimple, simply connected group of exceptional Lie type  $E_8, E_7, E_6^{\epsilon}$  or  $F_4$  in odd characteristic, and let  $P = QL = \mathbf{N}_G(U_{\alpha_0})$  be a long root parabolic of G.

- (i) We have  $\mathbf{Z}(Q) = U_{\alpha_0}$ , and  $Q/\mathbf{Z}(Q)$  has the structure of an irreducible  $\mathbb{F}_qL$ -module of dimension as indicated in Table 3.1.
- (ii) Let  $W = \text{Irr}(Q/\mathbb{Z}(Q))$ . The orbits and stabilizers for the action of L' on  $P_1(W)$  are as in Table 3.1 (one row for each orbit).
- (iii) Let  $g \in L \setminus \mathbf{Z}(L)$ . Then for any L-orbit  $\Delta$  on  $P_1(W)$ , we have

$$\operatorname{fpr}(g, \Delta) \leq \begin{cases} \frac{c_1}{q^{a_1}}, & \text{if } g \text{ is a long root element} \\ \frac{c_2}{q^{a_2}}, & \text{otherwise} \end{cases}$$

where  $a_1, a_2, c_1, c_2$  are as in Table 3.2. (iv) Write  $\overline{W} = W \otimes \overline{\mathbb{F}}_q$ . For any  $g \in L \setminus \mathbf{Z}(L)$  and any scalar  $\lambda \in \overline{\mathbb{F}}_q^*$ , we have

$$\dim[\bar{W}, \lambda g] \ge \begin{cases} 2a_1, & \text{if } g \text{ is a long root element,} \\ 2a_2, & \text{otherwise.} \end{cases}$$

| G                   | L'                  | $\dim W$ | stabilizers                                  | containments  |
|---------------------|---------------------|----------|--|---------------|
| $E_8(q)$            | $E_7(q)$            | 56       | $P_7$  |               |
|                     |                     |          | $E_6(q).2$                                   |               |
|                     |                     |          | $^{2}E_{6}(q).2$                             |               |
|                     |                     |          | $q^{1+32} B_5(q).(q-1)$                      | $\leq P_1$    |
|                     |                     |          | $q^{26}.F_4(q).(q-1)$                        | $\leq P_7$    |
| $E_7(q)$            | $D_6(q)$            | 32       | $P_6$  |               |
|                     |                     |          | $A_5^{\epsilon}(q).2(\epsilon=\pm)$          |               |
|                     |                     |          | $q^{1+16}.(A_1(q)B_3(q)).(q-1)$              | $\leq P_2$    |
|                     |                     |          | $q^{14}.C_3(q).(q-1)$                        | $\leq P_6$    |
| $E_6^{\epsilon}(q)$ | $A_5^{\epsilon}(q)$ | 20       | $P_3$  |               |
|                     |                     |          | $(\operatorname{SL}_3^\epsilon(q)\wrS_2)$    |               |
|                     |                     |          | $(SL_3(q^2).2)$                              |               |
|                     |                     |          | $q^{1+8}.\mathrm{Sp}_4(q).(q-1)(q-\epsilon)$ | $\leq P_{15}$ |
|                     |                     |          | $q^8.\mathrm{SL}^\epsilon_3(q).(q-1)$        | $\leq P_3$    |
| $F_4(q)$            | $C_3(q)$            | 14       | $P_3$  |               |
| (q  odd)            |                     |          | $q^5.SO_3(q).(q-1)$                          | $\leq P_3$    |
|                     |                     |          | $q^{1+4}.(\mathrm{SL}_2(q)^2.2).(q-1)$       | $\leq P_1$    |
|                     |                     |          | $q^{1+4}.(\mathrm{SL}_2(q^2).2).(q-1)$       | $\leq P_1$    |
|                     |                     |          | $SL_3(q).2$                                  |               |
|                     |                     |          | $SU_3(q).2$                                  |               |

TABLE 3.1

TABLE 3.2

| G              | $a_1$ | $a_2$ | $c_1$ | $c_2$ |
|----------------|-------|-------|-------|-------|
| $E_8(q)$       | 6     | 10    | 1.04  | 1.5   |
| $E_7(q)$       | 4     | 5     | 1.32  | 2     |
| $E_6(q)$       | 3     | 3     | 2     | 2     |
| $^{2}E_{6}(q)$ | 3     | 3     | 1.4   | 1.4   |
| $F_4(q)$       | 2     | 2     | 1.34  | 1.34  |

**Proposition 3.2.** Let G and P = QL be as in the statement of Theorem 3.1.

- (i) Suppose  $G \neq {}^{2}E_{6}(q)$ , and let  $g \in G$  be a non-identity unipotent element that is not a long root element. Then there is a G-conjugate u of G such that
  - (a)  $u \in P$ , and
  - (b)  $u \in Ql$ , where  $l \in L$  is a non-identity unipotent element that is not a long root element.
- (ii) Suppose  $G = {}^{2}E_{6}(q)$ , and let  $g \in G$  be a non-identity unipotent element. Then there is a G-conjugate u of G such that  $u \in P \setminus Q$ .

**Proposition 3.3.** Let P = QL be a long root parabolic of G as in Theorem 3.1, and let  $\chi \in Irr(G)$  be a nontrivial irreducible character, afforded by a  $\mathbb{C}G$ -module V. Let  $g \in QL$  be an element with projection to L not lying in  $\mathbf{Z}(L)$ .

(i) Then

$$V \downarrow QL = V^Q \oplus V_1 \oplus V_2$$

where  $V^Q$  denotes the fixed point space of Q,  $V_2 = [V, \mathbf{Z}(Q)]$  and  $V^Q \oplus V_1 = V^{\mathbf{Z}(Q)}$ . Let  $\chi_{V_i}$  denote the character of  $V_i$  for i = 1, 2.

- (ii) We have  $V^Q = {}^*R^G_L(\chi)$ , the Harish-Chandra restriction of  $\chi$ .
- (iii) Let  $W = \operatorname{Irr}(Q/\mathbb{Z}(Q))$ , and let  $\Delta_i$   $(1 \le i \le t)$  be the orbits of L on  $P_1(W)$ . Then

$$\frac{\chi_{V_1}(g)|}{\dim V_1} \le \max\{\operatorname{fpr}(g, \Delta_i) : 1 \le i \le t\}.$$

(iv) We have

$$\frac{|\chi_{V_2}(g)|}{\dim V_2} \le q^{-\frac{1}{2}\dim[W,g]}$$

We shall give the proofs of these results in next subsections.

3.1. **Proof of Proposition 3.3.** Part (i) follows from the fact that  $V = V^{\mathbf{Z}(Q)} \oplus [V, \mathbf{Z}(Q)]$ , and (ii) is just the definition of Harish-Chandra restriction.

Now consider part (iii). Write  $V_1 = \bigoplus_{\mu} V_{\mu}$ , a sum of weight spaces for nontrivial  $\mu \in \operatorname{Irr}(Q/\mathbb{Z}(Q))$ . These are permuted by g, and (iii) follows. For (iv), write  $V_2 = \bigoplus_{\lambda} V_{\lambda}$ , a sum of weight spaces for nontrivial  $\lambda \in \operatorname{Irr}(\mathbb{Z}(Q))$ . Then  $|\chi_{V_{\lambda}}(g)| = |\mathbb{C}_W(g)|^{1/2}$ , by [17, 2.4]. Part (iv) follows.

## 3.2. Proof of Theorem 3.1.

3.2.1. *Proof of Theorem* 3.1(i), (ii). Part (i) is well-known and can be found for example in [8, Sec. 4].

Part (ii) follows from various references: [25, 4.3] for  $L' = E_7(q)$ ; [19, Prop. 3] for  $D_6(q)$  and [19, Prop. 7] for  $C_3(q)$ ; [7, Thm. 2.1] for  $A_5(q)$  (the twisted version follows from this using Lang's theorem).

3.2.2. Proof of Theorem 3.1(iii). We consider each possibility for G separately. Recall that q is odd, by hypothesis. Let  $W = \operatorname{Irr}(Q/\mathbb{Z}(Q))$  be as in the statement of the theorem, and note that the group induced by L on  $P_1(W)$  is  $L_1 := L/\mathbb{Z}(L)$ , an adjoint group.

**Case**  $G = E_8(q)$ . Here  $L' = E_7(q)$ , and [21, Theorem 2] gives upper bounds for the fixed point ratios of elements of  $L_1$  in all actions. These imply that for any faithful transitive action of  $L_1$  on a set  $\Delta$ , and any non-identity  $g \in L_1$ , we have

$$\operatorname{fpr}(g,\Delta) \leq \begin{cases} \frac{1}{q^6 - q^3 + 1}, \text{ if } g \text{ is a long root element,} \\ \frac{1}{q^9(q-1)}, \text{ otherwise.} \end{cases}$$

Part (iii) follows immediately in this case.

**Case**  $G = E_7(q)$ . Here  $L' = D_6(q)$ . Let  $\Delta$  be one of the orbits listed in Table 3.1, so that a point-stabilizer is contained in  $P_6$ ,  $P_2$  or  $A_5^{\epsilon}(q)$ .2. In the last case we use [5, Theorem 1] (since in this case the point-stabilizer is not a subspace subgroup): this implies that for any  $x \in L_1 \setminus \{1\}$ , we have

$$\operatorname{fpr}(x,\Delta) < |x^{L'}|^{-\frac{1}{2} + \frac{1}{12} + \frac{1}{10}}.$$

The smallest class in  $L_1 \setminus \{1\}$  consists of long root elements and has size less than  $2q^{18}$ . Hence it follows that  $\operatorname{fpr}(x, \Delta) < \frac{1}{q^5}$  in this case, as required for Theorem 3.1(iii). Now consider an orbit  $\Delta$  for which the point-stabilizer lies in the parabolic  $P_6$ . Here we use information taken from [31], which gives the values of  $1_{P_6}^{L_1}(x)$  for all  $x \in L_1$ . From these we read off that  $\operatorname{fpr}(u_{\alpha}, \Delta) < \frac{1.32}{q^4}$ , and that  $\operatorname{fpr}(x, \Delta) < \frac{2}{q^5}$  if  $x \in L_1 \setminus \{1\}$  is not a root element, as required.

Finally consider the orbit  $\Delta$  in Table 3.1 for which the point-stabilizer is  $H = q^{1+16} \cdot (A_1(q)B_3(q)) \cdot (q-1) < P_2$ . Again we can refer to [31] for the values of  $1_{P_2}^{L_1}(x)$  for all  $x \in L_1$ . From this we see that there are several classes of elements x for which fpr $(x, L_1/P_2)$  is of the order of  $q^{-4}$ . These classes are:

- (1) root elements  $u_{\alpha}$ ;
- (2) unipotent elements in the class labelled  $(A_1^2)^{(1)}$  on the natural  $D_6$ -module these have Jordan form  $(J_3, J_1^9)$ ;
- (3) semisimple elements with centralizer in  $L_1$  of type  $D_5^{\epsilon}(q).(q-\epsilon)$   $(\epsilon = \pm)$ .

The remaining classes satisfy fpr $(x, L_1/P_2) < \frac{5}{a^8}$ , as required for Theorem 3.1(iii).

Let x be in one of the classes in (1), (2) or (3). By [31], we have  $\operatorname{fpr}(x, L_1/P_2) < \frac{1.1}{q^4}$ . Write  $H = QA_1B_3T_1$ , so that  $H < P_2 = QA_1D_4T_1$ . We shall apply Lemma 2.3. Since the core of  $P_2$  in H is  $QA_1T_1$ , this gives

$$\operatorname{fpr}(x, L_1/H) \le \frac{|x^{L_1} \cap QA_1T_1|}{|x^{L_1}|} + M \operatorname{fpr}(x, L_1/P_2),$$
(3.1)

where

$$M = \max\{ \operatorname{fpr}(y, D_4(q)/B_3(q)) : y \in D_4(q) \setminus \mathbf{Z}(D_4(q)) \}.$$

By [26, Thm. 1] we have  $M \leq \frac{4}{3q}$ . Hence the second term on the right hand side of (3.1) is less than  $\frac{1.1}{q^4} \cdot \frac{4}{3q}$ . Now consider the first term. Here the Levi factor  $A_1D_4T_1$  acts on  $Q/\mathbb{Z}(Q) = q^{16}$  as a tensor product  $V_2 \otimes V_8$ , and  $x \in A_1T_1$  centralizes an 8-dimensional subspace. Hence any Q-class in  $x^L \cap QA_1T_1$  has size at most  $q^9$ , and it follows that  $|x^L \cap QA_1T_1| < q^{13}$ . Therefore the first term on the right hand side of (3.1) is less than  $\frac{2}{q^7}$ . Part (iii) of Theorem 3.1 follows for the classes in (1), (2), (3) above. This completes the proof of Theorem 3.1(iii) for  $G = E_7(q)$ .

**Case**  $G = E_6^{\epsilon}(q)$ . Here  $L' = A_5^{\epsilon}(q)$ . Let  $\Delta$  be one of the orbits listed in Table 3.1, so that a point-stabilizer is contained in  $P_3$ ,  $P_{15}$ ,  $(\mathrm{SL}_3^{\epsilon}(q) \wr \mathsf{S}_2).(q-\epsilon)$  or  $(\mathrm{SL}_3(q^2).2).(q-\epsilon)$ . In the last two cases we use [5, Theorem 1] as above to get the result.

Consider an orbit  $\Delta$  for which the point-stabilizer is contained in  $P_3$ . Here, for any element  $x \in L_1 \setminus \{1\}$ , [31] gives  $\operatorname{fpr}(x, \Delta) < \frac{2}{q^3}$  if  $\epsilon = +$ , and  $\operatorname{fpr}(x, \Delta) < \frac{2.35}{q^4}$  if  $\epsilon = -$ . Hence the conclusion holds for such orbits.

Finally consider the orbit  $\Delta$  in Table 3.1 for which the point-stabilizer is  $H = q^{1+8}.\text{Sp}_4(q).(q-1)(q-\epsilon) < P_{15}$ . Here [31] shows that  $\text{fpr}(x, L_1/P_{15})$  satisfies the bounds of Table 3.2 for all  $x \in L_1 \setminus \{1\}$  except for the following classes:

- (1) root elements  $u_{\alpha}$ ,
- (2) semisimple elements with centralizer in  $L_1$  of type  $A_4^{\epsilon}(q).(q-\epsilon)$ .

For both these classes, [31] gives  $\operatorname{fpr}(x, L_1/P_{15}) < \frac{1.04}{q^2}$ . We have  $H = Q.\operatorname{Sp}_4(q).T_2 < P_{15} = Q.\operatorname{SL}_4^{\epsilon}(q).T_2$ , where the unipotent radical  $Q = q^{1+8}$ . Now we can argue as above using Lemma 2.3 together with [26], that for the classes in both (1) and (2), we have

$$\operatorname{fpr}(x, L_1/H) \le \frac{|x^L \cap QT_2|}{|x^L|} + \frac{1.04}{q^2} \cdot \frac{4}{3q}.$$

The number of root elements in Q is less than  $2q^5$ ; and an element of type (2) in  $QT_2$  has Q-centralizer of order at least  $q^4$ . Hence the first term in the above sum is less than  $\frac{1}{q^4}$ . The conclusion follows.

**Case**  $G = F_4(q)$ . Here  $L' = C_3(q)$  and the orbits listed in Table 3.1 have point-stabilizers contained in  $P_3$ ,  $P_1$  or  $\mathbf{N}(\mathrm{SL}_3^{\epsilon}(q))$ . In the last case we use [5, Theorem 1] as above to get the result.

For an orbit  $\Delta$  with point-stabilizer contained in  $P_3$ , [31] gives  $\operatorname{fpr}(x, \Delta) < \frac{1.3}{q^2}$  for all  $x \in L_1 \setminus \{1\}$ , giving the conclusion.

Finally, let  $\Delta$  be an orbit with point-stabilizer  $H < P_1$ . We have  $\operatorname{fpr}(x, L_1/P_1) < \frac{1.1}{q^2}$ for all  $x \in L_1 \setminus \{1\}$  except long root elements  $u_{\alpha}$ ; and  $\operatorname{fpr}(u_{\alpha}, L_1/P_1) < \frac{1}{q}$ . We have  $H = Q.DT_{1.2} < P_1 = Q.C_2T_1$ , where  $Q = q^{1+4}$  and  $D = \operatorname{SL}_2(q)^2$  or  $\operatorname{SL}_2(q^2)$ . Now argue as in the previous case that  $\operatorname{fpr}(x, L_1/H) \leq \frac{1.34}{q^2}$ .

This completes the proof of Theorem 3.1(iii).

3.2.3. Proof of Theorem 3.1(iv). Consider first  $G = E_8(q)$ , where  $L' = E_7(q)$  and V is the 56-dimensional L'-module  $V_{L'}(\lambda_7)$ . Write  $\mathcal{G} = E_8$ ,  $\mathcal{L} = E_7T_1$  for the corresponding algebraic groups over  $\overline{\mathbb{F}}_q$ , and  $\overline{V} = V \otimes \overline{\mathbb{F}}_q$ . We aim to bound from below the dimension of  $[V, \lambda g]$  for any  $g \in \mathcal{L}' \setminus \mathbf{Z}(\mathcal{L}')$  and  $\lambda \in \overline{\mathbb{F}}_q^*$ . In doing this, we may assume that g is either semisimple or unipotent.

For semisimple elements g, we follow the method of [15, Section 8] (originally in [20]). Let  $\Psi$  be a subsystem of the root system  $\Phi$  of  $\mathcal{L}'$ , and define an equivalence relation on the set of weights of  $\overline{V} = V(\lambda_7)$  by saying that two weights are related if their difference is a sum of roots in  $\Psi$ . Call the equivalence classes  $\Psi$ -nets.

Now define  $\Phi_g = \{ \alpha \in \Phi \mid \alpha(g) = 1 \}$ , the root system of  $\mathbf{C}_{\mathcal{L}'}(g)$ . If  $\Phi_g \cap \Psi = \emptyset$ , then any two weights in a given  $\Psi$ -net that differ by a root in  $\Psi$  correspond to different eigenspaces for g.

The subsystem  $\Phi_g$  is contained in a proper subsystem spanned by a subset of the nodes of the extended Dynkin diagram of  $\mathcal{L}'$ . Suppose  $\Phi_g \neq A_7$ . Then it is straightforward to check that there is a subsystem  $\Psi = (A_1)^2$  such that  $\Phi_g \cap \Psi = \emptyset$ . For this  $\Psi$  the  $\Psi$ -nets are of size  $4^2, 2^{16}, 1^{16}$ , and so it follows from the observation in the previous paragraph that dim $[\bar{V}, \lambda g] \geq 20$  for any  $\lambda \in \bar{\mathbb{F}}_q^*$ , as required for Theorem 3.1(iv). And if  $\Phi_g = A_7$ , then  $g \in \mathbb{Z}(A_7)$  and  $\bar{V} \downarrow A_7 = V_{A_7}(\lambda_2) \oplus V_{A_7}(\lambda_6)$  (see [28, 11.8]), and it follows that dim $[\bar{V}, \lambda g] \geq 28$  for any  $\lambda$ .

Now suppose  $g \in \mathcal{L}'$  is a unipotent element. If g is a root element, then  $g \in A_1$ , a fundamental  $\mathrm{SL}_2$  in  $\mathcal{L}'$  and  $V \downarrow A_1 = 1^{12} + 0^{32}$  (see [28, 11.8]). Hence  $\dim[\bar{V}, g] = 12$ . Now assume g is not a root element. Then the closure of the class  $g^{\mathcal{L}'}$  contains the class  $A_1^2$ , by [34, p.452]. Hence for an element u in the latter class we have  $\dim[\bar{V}, g] \ge \dim[\bar{V}, u]$  (see [16, 3.4]). Using [28, 11.8] it is routine to check that

$$V \downarrow A_1^2 = (1 \otimes 1)^2 + (1 \otimes 0)^8 + (0 \otimes 1)^8 + (0 \otimes 0)^{16}.$$

Hence dim $[\bar{V}, u] = 20$ . This completes the proof of Theorem 3.1(iv) for the case  $G = E_8(q)$ .

The other cases are as follows:

| $\mathcal{G}$ | $\mathcal{L}'$ | $\bar{V}$      |
|---------------|----------------|----------------|
| $E_7$         | $D_6$          | $V(\lambda_6)$ |
| $E_6$         | $A_5$          | $V(\lambda_3)$ |
| $F_4$         | $C_3$          | $V(\lambda_3)$ |

For these, the argument is similar (and more straightforward), and can be found in the proofs of Lemmas 2.4 and 4.6 of [22].

#### TABLE 3.3

| class           | $A_6$         | $D_4(a_1)$     | $D_4(a_1)A_1$ | $E_6$        | $E_{6}(a_{1})$ | $E_{6}(a_{3})$ |
|-----------------|---------------|----------------|---------------|--------------|----------------|----------------|
| reps. in $[34]$ | $y_{36-37}$   | $y_{92-94}$    | $y_{87-90}$   | $y_{21-24}$  | $y_{25-27}$    | $y_{54-57}$    |
| clas            | ss $  E_7$    | $E_{7}(a_{1})$ | $E_7(a_2)$    | $E_7(a_3)$ . | $E_7(a_4)$     | $E_{7}(a_{5})$ |
| reps. in $[34]$ | $[1] y_{1-9}$ | $y_{10-12}$    | $y_{13-15}$   | $y_{16-20}$  | $y_{31-35}$    | $y_{44-51}$    |

The proof of Theorem 3.1 is now complete.

3.3. Proof of Proposition 3.2. (i) Let G and P = QL be as in the statement of the proposition, and assume  $G \neq {}^{2}E_{6}(q)$ .

We give the proof for  $G = E_7(q)$ ; the method is the same for all the other cases. Recall that q is odd, by hypothesis.

So let  $G = E_7(q) = \mathcal{G}^F$ , where  $\mathcal{G} = E_7(\bar{\mathbb{F}}_p)$  and F is a Frobenius endomorphism. Here the long root parabolic is  $P = P_1 = QL$ , and the Levi subgroup  $L' = D_6(q)$ . Let  $g \in G$  be a non-identity unipotent element that is not a long root element. The unipotent classes of  $\mathcal{G}$  are listed in Table 22.1.2 of [28]. The label of each class is the semisimple part of a Levi subgroup in which a class representative is a regular element, and the corresponding classes in the finite group G are given in [28, Table 22.2.2] – of course there can be several G-classes arising from a single  $\mathcal{G}$ -class. If  $\mathbf{C}_G(g)$  contains a subgroup  $A = A_1(q)$  generated by root elements, then g lies in  $\mathbf{C}_G(A) = D_6(q)$ , a conjugate of L', and so the conclusion of Theorem 3.1(i) holds. So assume that  $\mathbf{C}_G(g)$  contains no such subgroup. Then inspection of the tables shows that g is in one of the following  $\mathcal{G}$ -classes

$$\begin{array}{l} A_2A_1^3, A_2^2A_1, A_3A_2A_1, A_4A_2, A_5A_1, D_5A_1, D_5(a_1)A_1, \\ A_6, D_4(a_1), D_4(a_1)A_1, E_6, E_6(a_1), E_6(a_3), \\ E_7, E_7(a_1), E_7(a_2), E_7(a_3), E_7(a_4), E_7(a_5). \end{array}$$

Now  $Q = \langle U_{\alpha} : \alpha = \sum c_i \alpha_i, c_1 \neq 0 \rangle$ , and L' is generated by the root groups  $U_{\pm \alpha_i}$  for  $i \neq 1$ . In the first row of the above list, each  $\mathcal{G}$ -class corresponds to just one G-class, which can therefore be written as a product of root elements  $U_{\alpha}(1)$  for roots  $\alpha$  in a fundamental system of the listed Levi subgroup in G. Visibly, all such expressions belong to Ql, where  $l \in L$  is a non-identity unipotent element that is not a root element.

The remaining entries in the above list require a different treatment, as each of these corresponds to more than one G-class of unipotent elements. The most convenient way to handle these is to refer to [34], where explicit representatives  $y_i$  for each unipotent class are given. In Table 3.3 we indicate which representatives  $y_i$  correspond to which class in  $\mathcal{G}$ . Inspection of these representatives in [34] shows that each is in Ql, where  $l \in L$  is a non-identity unipotent element that is not a root element. This completes the proof of Proposition 3.2(i) for  $G = E_7(q)$ . The method is the same for the other exceptional groups (using [32, 35] for the unipotent conjugacy class representatives of  $E_6(q)$  and  $F_4(q)$ ).

(ii) Now assume that  $G = {}^{2}E_{6}(q)$ . (For this group there is no explicit list of unipotent class representatives (that we are aware of), which is why we are proving this weaker conclusion than in (i).) Let  $g \in G$  be a non-identity unipotent element. There is certainly a conjugate u of G lying in the long root parabolic P. If  $u \notin Q$  then we are done, so assume  $u \in Q$ . Then  $\mathbf{C}_{G}(u)$  contains one of the stabilizers listed in Table 3.1. Moreover we can assume that  $\mathbf{C}_{G}(u)$  does not contain a subgroup  $A_{1}(q)$  generated by long root subgroups, since the centralizer of such a subgroup is  $L' = {}^{2}A_{5}(q)$ , and if  $u \in L'$  the conclusion obviously holds. Thus  $\mathbf{C}_G(u)$  contains one of the stabilizers in Table 3.1, but does not contain a long root  $A_1(q)$ . Consulting the list of unipotent classes and centralizers in G given in [28, Table 22.2.3], we see that there is just one class  $u^G$  for which  $\mathbf{C}_G(u)$  has these properties – namely the class labelled  $A_2$  for which  $|\mathbf{C}_G(u)| = 2q^{20}|A_2(q^2)|$ . For u in this class,  $\mathbf{C}_G(u)$  contains a subgroup  $A_2(q^2)$  arising from a subsystem  $A_2^2$ , and  $\mathbf{C}_G(A_2(q^2))$  is a subsystem subgroup  $A = A_2(q)$  (see for example [27, Table 5.1]). Hence  $u \in A$ . If we take this  $A_2$  subsystem to be spanned by the roots  $\alpha_2, \alpha_4$ , we see that u can be taken to be  $u_{\alpha_2}(1)u_{\alpha_4}(1)$ , which is an element of  $P \setminus Q$ , as required.

# 4. Proof of Theorem 1

We begin with a lemma.

**Lemma 4.1.** Let G = G(q) be as in Theorem 1, and let  $g \in G \setminus \mathbf{Z}(G)$ . Then one of the following holds:

- (i) g is a long root element;
- (ii)  $g \in P = QL$ , a long root parabolic, and  $g \in Ql$ , where  $l \in L \setminus \mathbf{Z}(L)$ ; moreover, if  $G \neq {}^{2}E_{6}(q)$ , then the projection of l to  $L/\mathbf{Z}(L)$  is not a long root element;
- (iii)  $|\mathbf{C}_G(g)| < q^m$ , where m = m(G) is defined as follows:

(iv) g is semisimple and  $\mathbf{C}_G(g)$  is as in Table 4.1; moreover, g lies in the parabolic  $Q_0L_0$  specified in the table.

*Proof.* If g is unipotent, then (i) or (ii) holds, by Proposition 3.2(i). So we may assume that  $g = g_s g_u$ , where the semisimple part  $g_s \in G \setminus \mathbf{Z}(G)$ .

Suppose that  $\mathbf{C}_G(g_s)$  contains a long root subgroup. Then if U is a Sylow *p*-subgroup of  $\mathbf{C}_G(g_s)$ ,  $\mathbf{Z}(U)$  contains a long root subgroup, by [14, Thm. 3.3.1]. Hence  $g_u$  centralizes a long root subgroup of  $\mathbf{C}_G(g_s)$ . It follows that g lies in a long root parabolic P = QLwith  $g_s \in L$ . If  $g_s \notin \mathbf{Z}(L)$  then (ii) holds, so suppose  $g_s \in \mathbf{Z}(L)$ . Then  $g_u \in \mathbf{C}_G(g_s)$ , which is equal to L (or to  $L'A_1$  if  $g_s$  is an involution). If the projection of  $g_u$  in L' is either 1 or a long root element, we can apply an element of the Weyl group of G to obtain a conjugate of g satifying (ii); and otherwise, g already satisfies (ii).

Now assume that  $\mathbf{C}_G(g_s)$  does not contain a long root subgroup, and assume also that  $|\mathbf{C}_G(g)| \geq q^m$ , where *m* is defined as in (iii). Inspection of the lists of centralizers of semisimple elements in [9, 10, 32] then shows that  $\mathbf{C}_G(g_s)$  is as in Table 4.1. Moreover, the lower bound on  $|\mathbf{C}_G(g)|$  implies that  $g_u = 1$ , so  $g = g_s$ .

For exceptional groups, Lübeck [31] lists all the maximal tori lying in the centralizer of g and containing g. From this we can check that  $\mathbf{C}_G(g)$  shares a maximal torus (containing g) with the Levi subgroup  $L_0$  listed in Table 4.1. This completes the proof.

# TABLE 4.1

| G                  | $\mathbf{C}_G(g)$  | $L_0$                    |
|--------------------|--------------------|--------------------------|
| $E_8(q)$           | $^{2}A_{4}(q^{2})$ | $D_7(q).(q-1)$           |
| $E_7(q)$           | $A_3(q^2).T_1$     | $E_6(q).(q-1)$           |
| $E_6(q)$           | $A_2(q^2).T_2$     | $D_5(q).(q-1)$           |
| ${}^{2}\!E_{6}(q)$ | $A_2(q^2).T_2$     | $^{2}D_{4}(q).(q^{2}-1)$ |

# Proof of Theorem 1

Let G = G(q) be as in Theorem 1, so that G is in one of the families  $E_8(q)$ ,  $E_7(q)$ ,  $E_6^{\epsilon}(q)$ ,  $F_4(q)$ , with  $q = p^a$  for a good prime p. Let  $g \in G \setminus \mathbf{Z}(G)$ , and let  $1 \neq \chi \in \operatorname{Irr}(G)$ . We consider the various possibilities for g given by Lemma 4.1.

**Case 1** First suppose that  $|\mathbf{C}_G(g)| < q^m$ , as in Lemma 4.1(iii). Then  $|\chi(g)| < q^{m/2}$ , so

$$\frac{|\chi(g)|}{\chi(1)} < q^{\frac{m}{2} - l_1}$$

where  $l_1 = l_1(G)$  is as in Lemma 2.1. Since  $l_1 - \frac{m}{2} = a_2$  (as defined in Table 1.1), the conclusion of Theorem 1 follows in this case.

**Case 2** Now suppose that g is as in (i) or (ii) of Lemma 4.1. Here  $g \in Ql \subseteq QL = P$ , where P is a long root parabolic and  $l \in L \setminus \mathbf{Z}(L)$ . Let V be a  $\mathbb{C}G$ -module affording the character  $\chi$ , and write  $V \downarrow QL = V^Q \oplus V_1 \oplus V_2$  as in Proposition 3.3. Let  $\chi_0, \chi_1, \chi_2$  be the characters of  $V^Q, V_1, V_2$ , respectively. Then  $\chi_0 = {}^*R_L^G(\chi)$  by Proposition 3.3(i). An upper bound for the degree of  $\chi_0 = {}^*R_L^G(\chi)$  is achieved in the proof of [2, Theorem 1.1], where it is shown that

$$\chi_0(1) \le c\chi(1)^{\alpha(L)},$$

where  $\alpha(L)$  is the maximum ratio  $\frac{\dim u^{\mathcal{L}}}{\dim u^{\mathcal{G}}}$ , taken over all non-identity unipotent elements of the Levi subgroup  $\mathcal{L}$  of the same type as L in the algebraic group  $\mathcal{G}$ . The values of  $\alpha(L)$ are computed in [2, Theorem 1.7], and are as follows:

Using Proposition 2.1, it follows that

$$|\chi_0(g)| \le \chi_0(1) \le c \frac{\chi(1)}{q^{a_2}},$$
(4.1)

where  $a_2$  is as defined in Table 1.1. Next, Proposition 3.3(iii), together with Theorem 3.1(iii) gives

$$|\chi_1(g)| \le \frac{c_i}{q^{a_i}}\chi_1(1),$$
(4.2)

where i = 1 if g is a long root element, and i = 2 otherwise. Finally, Proposition 3.3(iv), together with Theorem 3.1(iv) gives

$$|\chi_2(g)| \le \frac{1}{q^{a_i}} \chi_2(1), \tag{4.3}$$

where i = 1 if g is a long root element, and i = 2 otherwise.

Putting together (4.1), (4.2) and (4.3), we have

$$|\chi(g)| \le |\chi_0(g)| + |\chi_1(g)| + |\chi_2(g)| \le \frac{c}{q^{a_i}}\chi(1),$$

where i = 1 if g is a long root element, and i = 2 otherwise.

This completes the proof of Theorem 1, apart from elements g in the classes in Lemma 4.1(iv).

**Case 3** It remains to prove Theorem 1 for g in one of the classes in Lemma 4.1(iv). Lemma 4.1(iv) shows that there is a Levi subgroup  $L_0$  of a parabolic  $P_0 = Q_0L_0$  as in Table 4.1 such that  $g \in L_0$ .

In each case we shall consider the restriction of  $\chi$  to the parabolic  $P_0$ . The structure of  $Q_0$  is given in Lemma 2.2. As in Proposition 3.3 we write

$$V \downarrow Q_0 L_0 = V^{Q_0} \oplus V_1 \oplus V_2,$$

where  $V_2 = [V, \mathbf{Z}(Q_0)]$  and  $V^{Q_0} + V_1 = V^{\mathbf{Z}(Q_0)}$  (so that  $V_1 = 0$  if  $Q_0$  is abelian). Moreover,  $V^{Q_0} \cong {}^*R^G_{L_0}(\chi),$ 

and

$$\frac{|\chi_{V_2}(g)|}{\dim V_2} \le \max\{\operatorname{fpr}(g, \Psi_j) : 1 \le j \le t\},\$$

where  $\Psi_j (1 \leq j \leq t)$  are the orbits of  $L_0$  on  $P_1(\operatorname{Irr} \mathbf{Z}(Q_0))$ , and if  $V_1 \neq 0$ , the bound in Proposition 3.3(iii) holds for  $|\chi_{V_1}(g)|$ .

First suppose  $G = E_7(q)$ . Here  $Q_0$  is abelian, and has the structure of an irreducible 27-dimensional  $\mathbb{F}_q L_0$ -module, where  $L'_0 = E_6(q)$ . Upper bounds for the fixed point ratios of elements of  $E_6(q)$  in all primitive actions are given in [21, Theorem 2], and it follows that  $\operatorname{fpr}(g) < \frac{1}{q^6 - q^3 + 1}$  for all such actions. Now the conclusion of Theorem 1 follows for the element g as in Case 2.

Next consider  $G = E_6(q)$ . Again  $Q_0$  is abelian, and is a 16-dimensional half-spin module for  $L'_0 = D_5(q)$ . Here  $L'_0$  has two orbits on  $P_1(\operatorname{Irr}(Q_0))$ , with point-stabilizers an  $A_4$ -parabolic and a subgroup  $q^8 \cdot B_3(q)T_1 < P_1$  (see [23, Lemma 2.9]). The element g has centralizer  $A_2(q^2) \cdot T_2$  (see Table 4.1), hence is a regular semisimple element in  $\mathbf{C}_G(A_2(q^2)) = {}^2A_2(q)$ . From the embedding of  ${}^2A_2(q)$  in  $D_5(q)$ , we see that in its action on the natural 10-dimensional  $\overline{\mathbb{F}}_q D_5$ -module, g has at least 4 distinct nontrivial eigenvalues. It follows that  $\operatorname{fpr}(g, L'_0/P_1) < \frac{c}{q^4}$ . Also  $\operatorname{fpr}(g, L'_0/P_5) < \frac{c}{q^4}$  by inspection of the values of  $1_{P_5}^{D_5(q)}$  given in [31]. The conclusion of Theorem 1 for the element g again follows.

Now suppose  $G = {}^{2}E_{6}(q)$ . This is similar to the previous case. The element g is a regular semisimple element in  $\mathbf{C}_{G}(A_{2}(q^{2})) = A_{2}(q)$ . From the embedding of  $A_{2}(q)$  in  $L'_{0} = {}^{2}D_{4}(q)$ , we see that in its action on the natural 8-dimensional module, g has at least 4 distinct nontrivial eigenvalues. Hence  $\operatorname{fpr}(g, L'_{0}/P_{1}) < \frac{c}{q^{4}}$ . Since each of the composition factors of  $\mathbf{Z}(Q_{0})$  and  $Q_{0}/\mathbf{Z}(Q_{0})$  is an 8-dimensional  $L'_{0}$ -module, the conclusion of Theorem 1 for the element g again follows.

The final case is  $G = E_8(q)$ , where the element g has centralizer  ${}^2A_4(q^2)$  and lies in the Levi subgroup  $L_0 = D_7(q)T_1$ . Here g has order 5 dividing  $q^2 + 1$ , so in fact  $g \in L'_0$ . Now work in the algebraic group  $\mathcal{G} = E_8$  over  $\overline{\mathbb{F}}_q$ , and the corresponding Levi subgroup  $\mathcal{L}_0 = D_7$ . We claim that on the natural 14-dimensional  $D_7$ -module, the element g has eigenvalues  $\omega, \omega^2, \omega^3, \omega^4$  all with multiplicity 3, and eigenvalue 1 with multiplicity 2, where  $\omega \in \overline{\mathbb{F}}_q^*$  is a fifth root of unity. Indeed, there is such an element  $h \in L'_0 = D_7(q)$  in the centre of a subgroup  $\mathrm{GU}_3(q^2)$ . To compute the centralizer of h, observe using [28, 11.3] that

$$L(E_8) \downarrow D_6 = L(D_6) + V(\lambda_1)^4 + V(\lambda_5)^2 + V(\lambda_6)^2 + V(0)^6.$$

A calculation with the weights of  $V(\lambda_5) + V(\lambda_6)$  shows that the fixed point space of h on this sum has dimension 12. And the fixed point space of h on  $L(D_6)$  has dimension 18. Hence dim  $\mathbf{C}_{L(E_8)}(h) = 48 = \dim A_4 A_4$ . Since  $\mathbf{C}_G(h)$  contains  $\mathrm{GU}_3(q^2)$ , it follows by inspection of the list of semisimple element centralizers in [9] that  $\mathbf{C}_G(h) = {}^2A_4(q^2)$ . Hence h is conjugate to g, proving the claim.

Next, observe that  $|\chi(g)| \leq |\mathbf{C}_G(g)|^{1/2} < 2q^{24}$ , so if the degree  $\chi(1) > q^{35}$ , then  $\frac{|\chi(g)|}{\chi(1)} < \frac{1}{q^{10}}$ , as required for Theorem 1. Hence we may assume that  $\chi(1) \leq q^{35}$ , and therefore  $\chi(1) < cq^{29}$  by Lemma 2.1. It follows from the proof of Proposition 3.3(iii) that

$$\frac{|\chi_{V_1}(g)|}{\dim V_1} \le \max\{\operatorname{fpr}(g, \Delta_i) : 1 \le i \le s\}.$$

where  $\Delta_1, \ldots, \Delta_s$  are the orbits if  $L_0$  on  $P_1(Q_0/\mathbf{Z}(Q_0))$  of size at most  $cq^{29}$ . The orbits of the algebraic group  $D_7$  on the half-spin module  $V(\lambda_7)$  are determined in [33, Main Theorem, p.230], and only two of these have dimension less than 30. The point-stabilizers for these two orbits are connected groups lying in parabolic subgroups  $P_7$  and  $P_3$  of  $D_7$ . Hence these orbits correspond to unique orbits  $\Delta_1, \Delta_2$  of  $L'_0 = D_7(q)$  on  $P_1(Q_0/\mathbf{Z}(Q_0))$ , with stabilizers contained in  $P_7$  or  $P_3$ . Since our element  $g \in L'_0$  fixes no totally singular 7-space or 3-space in the natural 14-dimensional module, it follows that  $\operatorname{fpr}(g, \Delta_i) = 0$  for i = 1, 2. Finally,  $\operatorname{fpr}(g, P_1(\mathbf{Z}(Q_0))) < \frac{1}{q^{10}}$ . The conclusion of Theorem 1 follows for this element g.

This completes the proof of Theorem 1.

## 5. Proofs of Corollaries 2 and 3

**Proof of Corollary 2.** Let G = G(q) be a quasisimple group of exceptional Lie type over  $\mathbb{F}_q$  in good characteristic, and let  $\mathcal{G}$  be the corresponding simple algebraic group over  $\overline{\mathbb{F}}_q$ . Let  $y \in G \setminus \mathbf{Z}(G)$ , and let  $P^t(g)$  be the probability of reaching g after t steps of the random walk described in the preamble to Corollary 2. By the Diaconis-Shashahani upper bound lemma (see for example [24, Prop. 1.7]),

$$||P^t - U||^2 \le \sum_{1_G \neq \chi \in \operatorname{Irr}(G)} \left(\frac{|\chi(y)|}{\chi(1)}\right)^{2t} \chi(1)^2.$$

Hence by Theorem 1, we have

$$||P^{t} - U||^{2} \le (cq^{-a_{i}})^{2t} \sum_{1_{G} \ne \chi \in \operatorname{Irr}(G)} \chi(1)^{2} \le (cq^{-a_{i}})^{2t} \cdot q^{\dim \mathcal{G}},$$

where i = 1 if y is a long root element, and i = 2 otherwise (note that in view of Remark (i) after Theorem 1, for  $G = G_2(q)$  there is an extra term of the order of  $q^{-2t} \cdot q^6$  on the right hand side, but this is negligible for  $t \ge 4$ ). Corollary 2 follows.

**Proof of Corollary 3.** We use the method of [29]. Let G = G(q) be a simple group of exceptional Lie type over  $\mathbb{F}_q$  in good characteristic p, and let  $\alpha$  be a nontrivial irreducible character of G. If St denotes the Steinberg character of G, then by [18], St<sup>2</sup> contains every irreducible character of G as a constituent. As in [29, Lemma 2.3],

$$[\alpha^{l}, \mathsf{St}]_{G} = \frac{\alpha^{l}(1)}{|G|} \left( |G|_{p} + \sum_{1 \neq g \in G_{\mathrm{ss}}} \epsilon_{g} \left( \frac{\alpha(g)}{\alpha(1)} \right)^{l} |\mathbf{C}_{G}(g)|_{p} \right),$$

where  $G_{ss}$  is the set of semisimple elements of G and  $\epsilon_g = \pm 1$ . Hence  $[\alpha^l, \mathsf{St}]_G \neq 0$  provided  $\Sigma_l < |G|_p$ , where

$$\Sigma_l := \sum_{1 \neq g \in G_{ss}} \left| \frac{\alpha(g)}{\alpha(1)} \right|^l |\mathbf{C}_G(g)|_p$$

By Theorem 1, we have

$$\Sigma_l \le (cq^{-a_2})^l \sum_{1 \ne g \in G_{\rm ss}} |\mathbf{C}_G(g)|_p.$$
(5.1)

(Again by Remark (i) after Theorem 1, for  $G = G_2(q)$  there is an extra term  $q^{-l} \cdot q^3$  on the right hand side, but this is negligible assuming that  $l \ge 4$ .) For  $1 \ne g \in G_{ss}$ , we have  $\mathbf{C}_{\mathcal{G}}(g)^0 = T_k D$ , where  $T_k$  is a rank k torus and D a semisimple group of rank r - k(where  $r = \operatorname{rank}(\mathcal{G})$ ). The number of such conjugacy classes is of the order of  $q^k$ , and their contribution to the sum in (5.1) is of the order of  $|G:D(q)| \cdot |D(q)|_p$ . It follows that

$$\Sigma_l \le (cq^{-a_2})^l \cdot Cq^d,$$

where C is an absolute constant and  $d = \dim \mathcal{G}$ . Since  $|G|_p = q^N$  where  $N = |\Phi^+(\mathcal{G})|$ , it follows that for q sufficiently large,  $[\alpha^l, \mathsf{St}]_G \neq 0$  provided  $a_2l > d - N$ . As remarked before,  $\mathsf{St}^2$  contains every irreducible character of G, and so Corollary 3 follows.

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