Mechanistic Evaluation of Panretinal Photocoagulation Versus Aflibercept in Proliferative Diabetic Retinopathy: CLARITY Substudy

Luke Nicholson,1 Roxanne Crosby-Nwaobi,1 Joana C. Vasconcelos,2 A. Toby Prevost,2 Jayashree Ramu,1 Amy Riddell,3 James W. Bainbridge,1 Philip G. Hykin,1 and Sobha Sivaprasad1

1National Institute for Health Research Moorfields Biomedical Research Centre, Moorfields Eye Hospital and University College London Institute of Ophthalmology, London, United Kingdom
2Imperial Clinical Trials Unit, School of Public Health, Imperial College London, London, United Kingdom
3King’s Clinical Trials Unit at King’s Health Partners, King’s College London, London, United Kingdom

Correspondence: Sobha Sivaprasad, National Institute for Health Research Moorfields Biomedical Research Centre and University College London Institute of Ophthalmology, Moorfields Eye Hospital, 162 City Road, London EC1V 2PD, United Kingdom; senswathi@aol.com.
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Purpose. The purpose of this study was to study the effects of panretinal photocoagulation (PRP) and intravitreal aflibercept on retinal vessel oxygen saturations, area of retinal nonperfusion, and area of neovascularization in proliferative diabetic retinopathy.

Methods. This is a prospective randomized single center study. Forty patients with proliferative diabetic retinopathy were randomized to PRP or intravitreal aflibercept treatment for 52 weeks. Retinal oximetry and ultra-widefield angiography were performed at baseline and week 52. Ultra-widefield color fundus imaging was performed at baseline, week 12, and week 52. The outcomes were retinal arterio-venous oximetry differences (AVD), area of retinal nonperfusion, and area of neovascularization in disc areas (DA).

Results. The AVD in the PRP group increased from 36.7% at baseline to 39.7%, whereas it decreased from 33.4% to 32.5% in the aflibercept group. The difference in AVD between groups at week 52 was 4.0% (95% confidence interval, −0.08, 8.8; P = 0.10). The baseline mean area of retinal nonperfusion of 125.1 DA and 131.2 DA in the PRP and aflibercept groups increased to 156.1 DA and 158.4 DA, respectively, at week 52 (P = 0.46). The median baseline area of neovascularization decreased from 0.98 DA to 0.68 DA in the PRP group and from 0.70 DA to 0 DA in the aflibercept group at week 52 (P = 0.019). At week 52, this measured 0.24 DA in the PRP group and 0 DA in the aflibercept group (P = 0.45).

Conclusions. Intravitreal aflibercept achieved an earlier and complete regression of neovascularization in proliferative diabetic retinopathy compared with PRP. There were no significant differences in global change in intravascular oxygen saturation or areas of retinal nonperfusion between the two groups by 52 weeks.

Keywords: aflibercept, diabetic retinopathy, oximetry, panretinal photocoagulation, retinal nonperfusion

Proliferative diabetic retinopathy (PDR) is characterized by the development of new vessels on the retina and/or optic disc. A key predictor of the development of these new vessels is the total area of retinal capillary nonperfusion. Ablation of areas of nonperfusion by panretinal photocoagulation (PRP) causes regression of new vessels identifying a relationship between nonperfusion and neovascularization.1–4 Since the 1970s, PRP has been the standard of care for patients with PDR. However, not all eyes with significant capillary nonperfusion develop new vessels and not all new vessels regress with PRP, indicating that other factors may be responsible for vessel regression.5,6

Both laboratory and clinical studies have proven that VEGF is a key angiogenic agent that stimulate retinal neovascularization.7,8 Injection of VEGF in vitreous of primates led to retinal neovascularization, and intravitreal anti-VEGF antibodies caused regression of these new vessels, demonstrating that intravitreal anti-VEGF agents cause vessel regression.9,10 Patients with PDR have high levels of VEGF in the vitreous that reduce with anti-VEGF injections.8,11

Currently, there are three anti-VEGF agents used in clinical practice. Bevacizumab and ranibizumab are humanized monoclonal antibodies that specifically bind to all isomers of VEGF-A, with ranibizumab being a Fab antibody fragment compared with a whole antibody, bevacizumab. A more recently introduced anti-VEGF agent is aflibercept, which blocks VEGF A, VEGF B, placental growth factor (PIGF), and Galectin-1.12,13 There are several clinical studies that have shown that short-term anti-VEGF therapy cause regression of new vessels in patients with PDR with reactivation of new vessels once anti-VEGF treatment is withdrawn.10 The Diabetic Retinopathy Clinical Research Network (DRCRnet) Protocol S study was the first study to show that monthly ranibizumab injections for at least 3 months followed by aggressive retreatment of recurrent or reactivated retinal neovascularization over 2 years resulted in noninferior visual outcomes compared with PRP with less need for vitrectomy and lower prevalence of diabetic macular edema.14 Eyes with PDR with no macular edema required a mean of seven injections in the first year and a further three injections in the
second year, suggesting an anti-VEGF-related disease modulation over time. The CLARITY study that compared "pro re nata" intravitreal aflibercept treatment following a loading phase versus PRP in PDR patients without macular edema showed superior visual acuity outcomes, a higher proportion of new vessel regression, and lower incident vitreous hemorrhage and macular edema with aflibercept by 52 weeks.5 The patients required a loading phase of three injections followed by a median of one injection over the next 40 weeks.

These observations with anti-VEGF therapy raise a few questions. In particular, the exact mechanisms by which these new vessels regress rapidly with anti-VEGF therapy and the tendency to recur on withdrawal of anti-VEGF therapy in some eyes in the short-term remains unclear. Second, repeated anti-VEGF therapy resulted in fewer recurrences of neovascularization and less need for anti-VEGF therapy over time. This raises the question as to whether capillary nonperfusion stabilizes or improves with anti-VEGF therapy and whether this stabilization or improvement in capillary nonperfusion influences the observed course of new vessel regression. Retinal nonperfusion due to retinal vascular damage results in hypoxia and the mechanism of action of PRP is presumably by improving retinal oxygenation as evidenced by the narrowing of retinal vessel caliber and new vessel regression. Retinal hypoxia is also a major stimulus for VEGF, and the role of anti-VEGF on alleviating tissue hypoxia in proliferative diabetic retinopathy remains unclear. Studies on vitreous oxygen tension in PDR have shown significantly lower vitreous oxygen levels in eyes with PDR.15 However, measurement of vitreous oxygen levels to understand the effect of anti-VEGF therapy in PDR is invasive. Therefore, a measure of retinal intravascular oxygen saturations may indirectly provide information about the perfusion status of the retina. Recently, advances in spectral imaging have enabled noninvasive measurements of retinal intravascular oxygenation and vessel caliber with excellent reliability.16,17

The aim of this study was to test the hypothesis that repeated intravitreal aflibercept retards the progression of PDR by 52 weeks by improving retinal oxygen saturation. As direct measurement of tissue hypoxia is not feasible, we compared the change in retinal intravascular oxygen saturations, area of retinal nonperfusion, and area of retinal neovascularization in a mechanistic substudy of a randomized controlled trial that compared panretinal photocoagulation versus intravitreal aflibercept in proliferative diabetic retinopathy (CLARITY study).

METHODS

Study Design

The CLARITY study is a multicenter, prospective, two-arm, parallel-group, randomized, noninferiority clinical trial that compared the outcomes of PRP versus repeated intravitreal aflibercept for proliferative diabetic retinopathy at 52 weeks. The study was granted approval by the National Research Ethics Committee Service London-South East (14/LO/2023). This mechanistic substudy was performed only at the National Institute for Health Research Biomedical Research Centre at Moorfields Eye Hospital, London, United Kingdom. Trial registration is ISRCTN32207582. The study was conducted according to the tenets of the Declaration of Helsinki, and informed consent was obtained from all patients prior to enrollment into the study.

CLARITY Protocol Synopsis

The CLARITY study consisted of the main study on 232 patients with PDR and a substudy on the mechanistic evaluation in 40 patients. The main study protocol and primary outcome have been reported.5 In brief, adults with treatment naive or post-laser-treated PDR with no macular edema were recruited from 22 United Kingdom ophthalmic centers and randomly assigned (1:1), with stratification including within center, to repeated intravitreal aflibercept or PRP standard care for 52 weeks using a web-based computer-generated system. Only one eye per patient was included in the study. In the PRP arm, patients were treated with initial PRP at 2-week intervals until completion of PRP and then reviewed every 8 weeks and retreated with supplemental PRP based on same retreatment criteria. In the aflibercept arm, patients were treated with a loading phase of intravitreal aflibercept injections at 4-week intervals and then assessed monthly and retreated with intravitreal aflibercept on a pro re nata basis based on prespecified retreatment criteria based on retinal vessel regression patterns for 52 weeks. Retinal color photographs were taken at all visits, and fundus fluorescein angiography was performed at baseline and week 52.

Study Population

The mechanistic substudy was done on 40 eyes from 40 patients with proliferative diabetic retinopathy recruited for the CLARITY study at Moorfields Eye Hospital, London, between August 22, 2014, and November 30, 2015. These patients also underwent retinal oximetry and ultra-widefield color fundus imaging at baseline and 12 and 52 weeks. Ultra-widefield angiography was performed at baseline and week 52. Twenty patients were recruited into the aflibercept arm and 20 into the PRP arm.

Retinal Oximetry

Retinal oximetry was performed using the retinal oximeter (Oxymap T1 device connected to Topcon TRC50-DX fundus camera; Oxymap ehf., Reykjavik, Iceland). It consists of a fundus camera with an attached image splitter, as well as a digital camera. The device captures images at two wavelengths, one sensitive to oxyhemoglobin (600 nm) and one isosbestic (570 nm), where the absorption spectra of oxyhemoglobin and hemoglobin cross. Computer software detects retinal vessels and uses relative light intensities inside and outside a vessel to calculate the optical density (light absorbance) of a vessel at both wavelengths. The hemoglobin oxygen saturation (SO2) of a vessel can, therefore, be calculated because the optical density ratio at these wavelengths has been shown to have an approximately inverse linear relationship with SO2. Optic disc–centered images were captured through dilated pupils, and the images were 1200 × 1600 pixels and covered a 50° field of central retina. The images were captured for both eyes, but only the study eye is used in this analysis. Images were analyzed using the Oxymap Analyzer software (Oxymap ehf.). A minimum vessel width of eight pixels was set, and vessels less than eight pixels were automatically excluded from the analysis. Oximetry measurements were performed using previously reported methods whereby the intrasubject reproductibility had an intraclass correlation coefficient of 0.98.17 In brief, an initial central circle was used to delineate the optic disc. Two additional measurement circles are made, one three times the diameter of the central circle and the following circle 1.5 times the diameter of the central circle. The area between these two additional circles centered on the optic disc was analyzed. Areas where vessel detection would prove inaccurate (branching, overlapping, intersecting vessels, background hemorrhage, or underlying laser scars), and segments of vessels less than 50 pixels in length were excluded. Vessels to be measured
were selected manually. Values for each selected vessel were obtained and subsequently averaged. The retinal oximetry data streams were extracted from the source data file. Data collected from the Oxymap software included the mean arteriolar oxygen saturations (SaO2), mean venular oxygen saturations (SvO2), mean arterial vessel diameter, and mean venular diameter.

Ultra-Widefield Color Fundus Photograph

All 40 patients underwent ultra-widefield color fundus photography using the Optos 200TX (Optos Plc, Dunfermline, Scotland) ultra-widefield system. The macular-centered 200° images were used for analysis. Each central color fundus image was superimposed with the concentric rings template.18 In brief, this validated method incorporates a macular ring with a radius of 2.5 disc diameters (DD) and five additional concentric rings (rings 1–5), each with a 2.5 DD increment in radius. Each of the six rings (ring M and 1–5) were divided into 12 segments. The area of each cell in each concentric ring was modified based on the enlargement factor identified using ImageJ (National Institutes of Health, Bethesda, MD, USA) in pixels and then converted to disc areas based on the pixel count for the corresponding disc area for each image. The areas of new vessels on ultra-widefield color fundus images were measured using ImageJ (National Institutes of Health, Bethesda, MD, USA) in pixels and then converted to disc areas based on the pixel count for the corresponding disc area for each image. The areas of new vessels were corrected based on the location using the enlargement factors for each ring. The presence of disc new vessels and/or new vessels elsewhere was also recorded.

Ultra-Widefield Fundus Fluorescein Angiography

Ultra-widefield fluorescein angiography was performed using the Optos 200TX ultra-widefield system. The fluorescein angiography images were acquired after intravenous bolus infusion of 5 mL 20% fluorescein sodium. The images were acquired at transit phase (up to 45 seconds), arteriovenous phases (3 to 4 minutes), and late frames at 5 to 7 minutes. A single investigator (LN) identified the best macula-centered fluorescein angiography (FA) image in the arteriovenous phase from the FA series of each eligible eye. Images where clear delineation between perfused and nonperfused retina could not be made were excluded from the analysis. A correction factor was applied for the flattening of the three-dimensional image to a two-dimensional image using the Optos V2 Vantage Pro software. Each selected image was superimposed with the concentric rings template. The previously validated concentric rings method was used to measure retinal nonperfusion. In brief, each segment is graded as ungradable, nonperfused, or perfused if 50% or more of the segment is involved. In addition to quantifying nonperfusion, the concentric rings method allows documentation of location of nonperfusion. The area of each cell in each concentric ring was modified based on the enlargement factor identified using three-dimensional printed model eyes.19 The enlargement factor for rings M, 1, 2, 3, 4, and 5 were 1.08, 1.20, 1.34, 1.54, 1.81, and 1.97, respectively. Ultra-widefield fluorescein angiogram and ultra-widefield red free images were used as a reference in identifying the new vessels. The areas of new vessels on ultra-widefield color fundus images were measured using ImageJ (National Institutes of Health, Bethesda, MD, USA) in pixels and then converted to disc areas based on the pixel count for the corresponding disc area for each image. The areas of new vessels were corrected based on the location using the enlargement factors for each ring. The presence of disc new vessels and/or new vessels elsewhere was also recorded.

Study Outcomes

The primary outcome of this study was to compare the change in arterio-venous differences in retinal vessel oxygenation at week 12 and week 52 compared with baseline for the eyes treated with panretinal photocoagulation and intravitreal aflibercept. The secondary outcomes were to compare the change in area of retinal nonperfusion at week 52 compared with baseline and the change in area of neovascularization at week 12 and week 52 with baseline for eyes treated with panretinal photocoagulation and intravitreal aflibercept.

Statistical Analysis

The oximetry measurements and area of retinal nonperfusion were described using means, SD or SE, or medians and interquartile range (IQR). The outcomes were compared between both aflibercept and PRP treatment arms at both 12 and 52 weeks for oximetry and area of retinal nonperfusion at 52 weeks using analysis of covariance (ANCOVA) adjusting for the baseline of the outcome under analysis.20 Comparison between the area of new vessels was performed using a Mann-Whitney test because the distribution was skewed. Statistical significance was set at 0.05, although 95% confidence intervals (CIs) are presented and interpreted because this is a substudy within a trial.
a complete regression for the aflibercept group, whereas the median total area of new vessels for the PRP group was 0.68 DA ($P = 0.019$). The median total area of neovascularization was not statistically significant between groups at week 52: 0.24 DA in the PRP group and 0 DA in the aflibercept group ($P = 0.45$). This is elaborated in Figure 3. All eyes experienced total regression of new vessels in the aflibercept group at week 12, whereas in the PRP group, 78.9% (15 of 19) showed partial regression, with no eyes experiencing total regression; 21.1% (4 of 19) of eyes in the PRP group had no regression at week 12. At the end of the study, 25% (4 of 16) of eyes in the PRP group had total regression of new vessels, 62.5% (10 of 16) had partial regression, and 12.5% (2 of 16) had no regression compared with baseline. As for the aflibercept group, 55.6% (10 of 18) had total regression and 44.4% (8 of 18) had partial regression. This is represented in Table 2.

**DISCUSSION**

The results from this mechanistic substudy tested within the CLARITY study, a prospective randomized clinical trial comparing aflibercept and PRP for proliferative diabetic retinopathy, suggest that, despite significant rapid regression of retinal neovascularization with aflibercept compared with PRP, the changes in total area of retinal capillary nonperfusion and intravascular oxygen saturation at week 52 compared with baseline between the aflibercept and PRP cohorts are not significant.

This study corroborated several other reports that have shown rapid regression of retinal neovascularization or significant improvement in diabetic retinopathy severity with anti-VEGF therapy.\cite{5,10,14,21-24} The new vessels regressed completely after the three loading injections of aflibercept and more than half of eyes (10 of 18) remained completely regressed at 52 weeks despite an average of 1.4 supplemental aflibercept injections over the next 40 weeks, suggesting an immediate but prolonged effect of aflibercept on regression of new vessels. On the contrary, the new vessel regression was much slower and incomplete with PRP by 52 weeks. The reason for rapid regression of new vessels with anti-VEGF therapy is unclear. We evaluated whether improved tissue oxygenation with anti-VEGF therapy contribute to new vessel regression. As measuring tissue oxygen levels is invasive,
TABLE 1. Area of Retinal Nonperfusion in Disc Areas and Change From Baseline Based on Total Area, Posterior Pole, and Periphery for All Eyes and Treatment-Naïve Eyes Treated With Intravitreal Aflibercept and PRP Over 1 Year

<table>
<thead>
<tr>
<th>Area of Retinal Nonperfusion, DA</th>
<th>Panretinal Photocoagulation, Mean (SD); N</th>
<th>Aflibercept, Mean (SD); N</th>
<th>PRP, Change From Baseline, Mean (SE); (95% CI)</th>
<th>Aflibercept, Change From Baseline, Mean (SE); (95% CI)</th>
<th>Adjusted* Difference Between Arms (95% CI)</th>
<th>P Value for Comparison Between Arms</th>
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</thead>
<tbody>
<tr>
<td>Posterior pole†</td>
<td></td>
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<tr>
<td>Baseline</td>
<td>15.4 (12.2); 18</td>
<td>16.9 (15.6); 18</td>
<td>4.0 (3.6); (−3.7, 11.7)</td>
<td>−1.2 (2.6); (−6.6, 4.3)</td>
<td>−5.0 (−13.4, 3.5)</td>
<td>0.24</td>
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<tr>
<td>52 weeks</td>
<td>19.6 (14.7); 18</td>
<td>14.8 (11.7); 17</td>
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<td>Previously untreated eyes</td>
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<tr>
<td>Baseline</td>
<td>16.0 (11.0); 11</td>
<td>12.3 (8.0); 12</td>
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<tr>
<td>52 weeks</td>
<td>18.2 (17.5); 9</td>
<td>16.5 (8.9); 11</td>
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<td>Peripheral retina‡</td>
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<tr>
<td>Baseline</td>
<td>109.6 (48.7); 18</td>
<td>114.3 (65.7); 18</td>
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<td>52 weeks</td>
<td>136.4 (62.1); 18</td>
<td>143.6 (53.7); 17</td>
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<td>Previously untreated eyes</td>
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<tr>
<td>Baseline</td>
<td>116.5 (55.1); 11</td>
<td>117.3 (69.1); 12</td>
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<td>149.1 (62.6); 11</td>
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<td>Total</td>
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<tr>
<td>Baseline</td>
<td>125.1 (55.1); 18</td>
<td>131.2 (75.4); 18</td>
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<td>52 weeks</td>
<td>156.1 (74.7); 18</td>
<td>158.4 (54.5); 17</td>
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<td>Previously untreated eyes</td>
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<tr>
<td>Baseline</td>
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<td>129.7 (74.3); 12</td>
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<td>52 weeks</td>
<td>164.8 (85.4); 9</td>
<td>165.6 (63.6); 11</td>
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\* Adjusted for baseline of the outcome under analysis.
† Posterior pole = rings 1 + M.
‡ Periphery = rings 2 + 3 + 4.
measuring global intravascular oxygen saturation around the optic disc noninvasively by retinal oximetry is the nearest surrogate marker of tissue hypoxia. A larger difference between the arterio-venous oxygen saturation represents better retinal tissue perfusion by the intervening capillaries. In contrast, minimal or small differences between the oxygen saturation in the arteries and veins indicate shunting of blood from arteries to vein bypassing the tissue due to capillary nonperfusion.

In this study, we observed very minimal change in arterio-venous oxygen saturation after aflibercept therapy at 52 weeks compared with an increase in the PRP group, resulting in a 4% (95% CI, 0.08, 8.8) difference between groups. The effect size of this change that relates to a clinically meaningful impact on retinal tissue hypoxia is unknown. However, the results concur with the fact that PRP tends to address the hypoxic insult by ablating the high oxygen consuming photoreceptors in the outer retina and improving oxygenation of the inner retina from the choroid. On the contrary, anti-VEGF therapy did not result in a demonstrable change in intravascular oxygen saturation by 52 weeks, suggesting that the disease modulation induced by anti-VEGF at least in the short term is not caused by direct and immediate improvement in oxygenation. Diabetic retinopathy is a slowly progressing disease. Any change in tissue hypoxia may take time to manifest as a change in intravascular oxygen saturation. This is substantiated by the fact that a difference in intravascular oxygen saturation in the PRP arm was only observed at 52 weeks and not at 12 weeks, despite the dramatic ablation of the retina. Based on our results, we believe that we require a larger sample size with a longer follow-up to better identify any small differences in intravascular oxygenation between treatment groups. This is why we have interpreted the 95% CI to distinguish evidence of absence of effect conclusions from absence of evidence effect conclusions. Currently, we are also not aware of the amount of change in tissue hypoxia that is required to reflect a change in intravascular oxygen saturations. Further studies in this area are required to better understand this relation.

Campachiaro et al. postulated that VEGF driven leucostasis may in fact cause retinal capillary closure and worsen retinal ischemia, and anti-VEGF therapy may act by inhibiting this positive feedback loop. On the contrary, anti-VEGF therapy did not result in a demonstrable change in intravascular oxygen saturation by 52 weeks, suggesting that the disease modulation induced by anti-VEGF at least in the short term is not caused by direct and immediate improvement in oxygenation. Diabetic retinopathy is a slowly progressing disease. Any change in tissue hypoxia may take time to manifest as a change in intravascular oxygen saturation. This is substantiated by the fact that a difference in intravascular oxygen saturation in the PRP arm was only observed at 52 weeks and not at 12 weeks, despite the dramatic ablation of the retina. Based on our results, we believe that we require a larger sample size with a longer follow-up to better identify any small differences in intravascular oxygenation between treatment groups. This is why we have interpreted the 95% CI to distinguish evidence of absence of effect conclusions from absence of evidence effect conclusions. Currently, we are also not aware of the amount of change in tissue hypoxia that is required to reflect a change in intravascular oxygen saturations. Further studies in this area are required to better understand this relation.

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after PRP. The VEGF level is reported to reduce in quiescent PDR, suggesting the secondary effects of PRP on VEGF.

Multiple reasons may explain why we found no difference in retinal nonperfusion between treatment groups. First, we included eyes with active neovascularization following initial PRP in both arms. The amount of positive feedback loop and resultant retinal nonperfusion after initial PRP may indeed behave differently with further treatment compared with treatment-naive eyes.

Second, due to the various phenotypes of retinal nonperfusion in diabetes, an ischemic index or a concentric ring method of calculating global retinal nonperfusion may not reliably correlate with new vessel formation. This is further substantiated by the wide CI noted in our study that is wide enough to support a 20-point effect in the other direction (95% CI, −20.7, 44.7). This variable progression of retinal nonperfusion in our cohort may also explain the varied response to PRP in clinical practice and the need for repeated laser treatments in some cases. Finally, isolating the change in area of nonperfusion to rings M and 1 that represents the posterior pole, we have found that, in the PRP group, there is an increase in the mean area of nonperfusion, but in the aflibercept group, this has decreased; the difference was found to be −5.0 DA (95% CI, −13.4, 3.5; P = 0.24). Acknowledging the importance of posterior pole nonperfusion having a larger effect in neovascularization, this could potentially provide an explanation to the last effects of aflibercept in suppressing neovascularization beyond its pharmacodynamics.

In conclusion, we showed that the change in intravascular oxygen saturation observed at 52 weeks in the PRP arm corroborate with our understanding of the mechanism of PRP. In contrast, the anti-VEGF effect on retinal hypoxia may indeed be slow and minimal. On the contrary, eyes receiving intravitreal aflibercept achieve an earlier and more complete regression of new vessels compared with eyes receiving conventional PRP by 52 weeks and precede any changes in total area of capillary nonperfusion. Our study results highlight that these treatment options may have synergistic effects in the management of PDR. Further studies with a larger sample size of PDR with longer follow-up focusing on central retinal nonperfusion are required to ratify these observations.

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