



The bitter side of epigenetics: variability and resistance to chemotherapy

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1 **Abstract**

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3 One of the major obstacles to the development of effective new cancer treatments, and the
4 main factor for the increasing number of clinical trial failures, appears to be the paucity of
5 accurate, reproducible, and robust drug resistance testing methods. Most research
6 assessing the resistance of cancers to chemotherapy has concentrated on genetic based
7 molecular mechanisms, while the role of epigenetics in drug resistance has been generally
8 overlooked. This is rather surprising given that an increasing body of evidence pointing to
9 the fact that epigenetic mechanism alterations appear to play a pivotal role in cancer
10 initiation, progression, and development of chemoresistance. This results in a series of
11 clinical trials involving epi-drug as single treatment or combined with cancer conventional
12 drugs.

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14 ***Histone deacetylases (HDAC) expression contributes to cancer development and drug***
15 ***resistance.***

16 Histone lysine modifications directly influence activation and repression of transcription,
17 and are also essential for higher chromatin order structure; depending on which residue is
18 modified and at what position in the gene such a residue is located¹. HDAC and histone
19 acetyltransferases (HAT) control the acetylation state of lysine residues, including those
20 situated in the N-terminal “tails” of the histones². HATs fulfil simultaneously the function of
21 transcription coactivators, while HDACs are co-repressors³. Overall, posttranslational
22 modifications (PTMs) of histones create an epigenetic mechanism for the regulation of a
23 variety of normal and disease-related processes. Histone modification patterns based on
24 acetylated H3 Lysine K18 can predict the risk of tumour recurrence for cancer², and global
25 hypoacetylation of H4K12 is considered to be informative of tumour stage⁴. Interestingly,
26 we and others found that the loss of H4K16 acetylation can be used as a hallmark of multi-
27 drug resistance cancer cells⁵.

28 Aberrant expression patterns of HDACs are implicated in a number of cancers, for example,
29 SIRT1(HDAC class III), and it is consistently up-regulated in malignant cells or tissues from
30 patients with leukemia, glioblastoma, prostate, colorectal or pancreatic cancer and is the
31 only HDAC that is significantly overexpressed in leukemia lymphoblasts as compared with
32 normal lymphoblasts. We recently showed that reduction in HDAC2 expression level plays
33 an essential role *in vitro* and *in vivo* in cancer response to DNA damaging agents alone or
34 combined with HDAC inhibitors⁶. Furthermore, sustained-suppression of HDAC2 in lung
35 cancer results in regression of tumour cell growth and activation of cellular apoptosis via
36 p53 and Bax activation and Bcl2 suppression⁷.

37 Increasing number of studies that have reported that specific inhibition of certain HDACs
38 (e.g. Sirt1) leads to down-regulation of multidrug resistance protein1 (MDR1)⁸ and promotes
39 cell death by acetylating the DNA-damage-protecting protein NBS1⁹. In the light of this, we
40 showed that hMOF (HAT) and SIRT1(HDAC) expression levels are critical parameters in HDAC
41 inhibitor-mediated sensitization of multidrug-resistant cancer cells to topoisomerase II
42 inhibitor and increased chromatin relaxation through H4K16 acetylation⁵.

43 The overexpression of certain HDACs in cancer cells is implicated in genotoxic insult
44 protection, silencing of tumour suppressor genes, alteration of DNA repair pathways, and
45 increased resistance to DNA damaging agents by the activation of non-histone proteins that
46 are required for DNA stability¹⁰.

47 These outcomes are to a large extent cell-type specific and have raised the potential that
48 the HDACis may represent a promising new class of antineoplastic agents which may reverse
49 chemo-resistance and stratify patients according to potential for chemoresponse in cancer.

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51 HDAC inhibitors have been shown to be effective therapeutic anticancer agents via multiple
52 mechanisms, inducing cell-cycle arrest, intrinsic and extrinsic apoptotic mechanisms, mitotic
53 cell death, autophagic cell death, reactive oxygen species, inhibiting angiogenesis and
54 improving NK cell -mediated tumour immunity¹¹. These diverse effects on cancer cells make
55 HDAC inhibitors attractive agents not only for monotherapy but also for combination
56 therapy with other anticancer modalities. HDACis can modulate cellular responses to cancer
57 conventional treatment. Although many combination strategies have been shown to be
58 both effective and synergistic, the exact mechanism(s) for this synergy are poorly
59 understood and likely different according to the combination regimen utilised⁵.

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61 **DNA methylation and drug resistance**

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63 Another epigenetic mechanism that has been shown to be associated with drug
64 resistance is the covalent modification that occurs by the addition of methyl group at the 5-
65 carbon of cytosine in a DNA CpG dinucleotide and catalyzed by DNA methyltransferases
66 (DNMTs) enzyme. Genomic DNA sequencing analysis has shown elevated rates of abnormal
67 CpG promoter methylation (5% to 10%) in several types of cancer¹². There are three
68 fundamental associations between drug resistance and DNA methylation status: i) drug
69 resistance associated with hypo or hypermethylated genes; ii) cellular heterogeneity and iii)
70 induction of tumor cells sensitivity through adjuvant treatments.

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72 *i) Resistance induction:* The main reorganisation of DNA methylation related to drug-
73 resistant is the hypermethylation of the CpG islands on gene promoters of certain genes.
74 This contributes to carcinogenesis through silencing of tumor suppressor genes (e.g. *E-*
75 *cadherin*, *pRB*, *P53*, and *CDKN2A*)¹³.

76 The hypermethylated androgen receptor (AR) gene promoter causes resistance to
77 anti-androgens in prostate cancer (CaP)¹⁴. Hypermethylated promoters in *MLH1*, *WTH3* and
78 *BMP6* genes are also involved in breast adenocarcinoma drug resistance¹⁵. Furthermore, the
79 hypermethylation of *C22orf2* and *BCL2-like11* promoters by *DNMT1* induces resistance to
80 tyrosine-kinase inhibitors in chronic myeloid leukemia¹⁶.

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82 On the other hand, a global DNA hypomethylation in cancer targets diverse genomic
83 sequences, including repetitive elements, transposons, intronic CpG dinucleotides, and gene
84 deserts, increasing genomic instability and activating proto-oncogenes¹³. DNA
85 hypomethylation may also be involved in anticancer drug resistance, which leads to an
86 accumulation of the multi-drug resistance genes as *MDR1* in breast cancer or in oral
87 squamous cell carcinoma (cisplatin resistance inductor)¹³. Glioma tumor cells resistant to
88 conventional drugs showed a significant DNA hypomethylation compared with their
89 counterpart nonresistant tumor cells *in vitro*¹⁷. Furthermore, drug resistance could be driven
90 by the combination of hyper- and hypomethylation alterations depending where the
91 alteration of DNA methylation occurs. For instance, the *sulfatase2* precursor gene
92 hypomethylation and the hypermethylation of *estrogen receptor α* gene induced loss of
93 estrogen responsiveness by estrogen metabolism deregulation in MCF-7 drug-resistant
94 cells¹⁵.

94 *ii) Cellular heterogeneity:* DNA methylation heterogeneity defines a disease spectrum in
95 many tumors. It has been demonstrated that epigenetic abnormalities have an important
96 role in the plasticity of cell states during tumorigenesis, and this could lead to acquired drug
97 resistance. Reversible states of cells that survive to chemotherapeutic drugs exposure may
98 drive multistep epigenetic fixation of gene expression changes during the acquisition of drug
99 resistance¹⁸. Heterogeneity in a tumor cell population, based on dynamic variation in
100 epigenome configurations, is thought to provide a non-genetic variance source for selection
101 of drug-resistant cells¹⁹.

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103 *iii) Induction of tumor sensitivity:* DNA methylation modulators have shown to sensitize the
104 multi-drug-resistant tumor cells to conventional treatment. For instance, increased global
105 methylation level was reduced in recurrent cases of colorectal cancer (CRC) by the use of 5-
106 aza-2'-deoxycytidine that restore CRC sensitivity to 5-FU²⁰. On the other hand,
107 demethylating agents have been used in ovarian cancer to overcome acquired resistance to
108 carboplatin²¹. In addition, demethylation agent also restored the sensitivity to cisplatin,
109 taxol, and oxaliplatin in cervical cancer²². However, the use of DNA methylation regulation
110 agents could activate unwanted genes, including new drug resistance genes and others that
111 induce tumor progression. Still more to know about hypermethylation resistance nodules
112 whether emerged earlier or induced by chemotherapy.

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114 **MicroRNA and Drug resistance in cancer**

115 MicroRNAs (miRNAs) are small non-coding RNAs (19-25 nucleotides in length) implicated in
116 most physiological processes and are tightly related with several diseases, including cancer
117 ²³. The first evidence of a correlation between microRNAs and cancer was reported in 2002.
118 Calin et al., describe deletions and down-regulation of miR-15a and miR-16-1 in
119 approximately 68% of chronic lymphocytic leukemia patients²⁴. After these initial
120 observations, miRNAs have been shown to play an important role during tumorigenesis, act
121 as either tumor suppressors or oncogenes depending on the cellular context and the
122 expression of the miRNA targets in the concrete malignant tissues²⁵. The involvement of
123 miRNAs in resistance to anticancer drugs is emerging field. Several studies indicate that a
124 significant changes in miRNA expression profiles occur in drug-resistant cancer cells in
125 comparison with parental drug-sensitive cancer cells²⁶ and other studies shown that the
126 alteration of specific miRNAs expression, such as miR-21, miR-221/222, miRNA let-7 family,
127 are responsible for drug resistance in tumor cells²⁷. One of the most important links
128 between miRNA function and cancer drug resistance is represented by the effect on the
129 expression of tumor suppressor genes. Those miRNAs are considered as oncogenes and
130 usually promote tumor development by negatively inhibiting tumor suppressor genes
131 and/or genes that control cell differentiation or apoptosis²⁸.

132 Many reported research findings showed that certain miRNA influences cancer
133 chemoresistance and the difference in their expression occurs simultaneously rather than
134 by an individual chemoresistance miRNA mechanism²⁹. In recent years, researchers linked
135 miRNA dysregulated expression with different cellular pathways that are directly influencing
136 tumour chemoresistance. MiRNAs activity affects apoptotic pathway, proliferation response
137 to DNA damage, and regulation of multidrug resistance genes³⁰⁻³². The complexity of

138 understanding miRNA regulation in cancer is due to the high number of target genes that
139 can be regulated by one single miRNA as predicted by bioinformatics analysis. Additionally,
140 by the fact that that each cell type of cancer may be defined by a unique set of miRNAs that
141 controls drug resistance.

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143 ***Epigenetic mechanisms cross-talk in cancer drug resistance***

144 The mainstream of epigenetic and drug resistance in cancer research field stresses the
145 importance of individual epigenetic mechanisms. However, the interaction between
146 different regulators of epigenetic mechanisms in cancer drug resistance is overlooked
147 despite of strong evidence of these interactions. For instance, hypermethylation has been
148 shown to affect the expression of miR-129-5p that modulates the level of the multi-drug
149 resistance ABC transporters (ABCB1, ABCC5 and ABCG1) genes in gastric cancer³³.
150 Interestingly, miRNAs can directly target HATs/HDACs and subsequently influence the level
151 of histone acetylation and transcription factor activation. Furthermore, MiRNAs can affect
152 the level of *DNMT expression*. Recently, Masoumeh et al (2017) found in tissues and cells of
153 pancreatic cancer (PC) that miR-377 expression was inversely correlated with *DNMT1*
154 expression. Downregulating *DNMT1* expression by miR-377 led to reactivation of tumor
155 suppressor genes BNIP3 and *SPARC* via promoter DNA hypomethylation and subsequently
156 reduction of proliferation and apoptosis induction in PC cells³⁴.

157 The emerging strategies to regulate epigenetic regulators in cancers include the activation
158 or inactivation of their expression to increase drug effectiveness. This indicates that these
159 regulators can be considered as sensitive biomarkers for drug resistance and as a potential
160 therapeutic target to break drug resistance. However, a deeper understanding of epigenetic
161 cross-talk could increase the efficiency and the use of selective and combined epigenetic
162 drugs for therapeutic use.

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