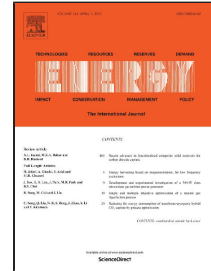


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A comparison of an energy/economic-based against an exergoeconomic-based multi-objective optimisation for low carbon building energy design

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Highlights

- The study compares an energy-based and an exergy-based building design optimisation
- Occupant thermal comfort is considered as a common objective function
- A comparison of thermodynamic outputs is made against the actual retrofit design
- Under similar constraints, second law optimisation presents better overall results
- Exergoeconomic optimisation solutions improves building exergy efficiency to double

1 A comparison of an energy/economic-based against an 2 exergoeconomic-based multi-objective optimisation for low 3 carbon building energy design

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13

14

15 Abstract

16 This study presents a comparison of the optimisation of building energy retrofit strategies from
17 two different perspectives: an energy/economic-based analysis and an
18 exergy/exergoeconomic-based analysis. A recently retrofitted community centre is used as a
19 case study. ExRET-Opt, a novel building energy/exergy simulation tool with multi-objective
20 optimisation capabilities based on NSGA-II is used to run both analysis. The first analysis,
21 based on the 1st Law only, simultaneously optimises building energy use and design's Net
22 Present Value (NPV). The second analysis, based on the 1st and the 2nd Laws, simultaneously
23 optimises exergy destructions and the exergoeconomic cost-benefit index. Occupant thermal
24 comfort is considered as a common objective function for both approaches. The aim is to
25 assess the difference between the methods and calculate the performance among main
26 indicators, considering the same decision variables and constraints. Outputs show that the
27 inclusion of exergy/exergoeconomics as objective functions into the optimisation procedure
28 has resulted in similar 1st Law and thermal comfort outputs, while providing solutions with less
29 environmental impact under similar capital investments. This outputs demonstrate how the 1st
30 Law is only a necessary calculation while the utilisation of the 1st and 2nd Laws becomes a
31 sufficient condition for the analysis and design of low carbon buildings.

32 Keywords:

33 **optimisation; building simulation; energy; exergy; low carbon buildings;**
34 **exergoeconomics.**

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37 1. Introduction

38 In industrialised countries, buildings are responsible for approximately 20-40% of the national
39 primary energy utilisation [1] and 25-30% of the global CO₂ emissions [2, 3]. Therefore, the
40 sector holds a great opportunity for energy reduction and carbon abatement by delivering cost-
41 effective building energy retrofit (BER) strategies. As the energy issue is becoming more
42 evident in the building sector, developing techniques for designing efficient and cost-effective
43 energy systems is still a challenge that practitioners and researchers face in today's building
44 industry. Optimisation is a technique that is commonly used in research and engineering
45 applications. Buildings' energy design optimisation is an inherently complex technique
46 involving disciplines such as engineering, mathematics, enviro-economic science, and
47 computer science [4]. Three basic types of algorithms are used in optimisation problems
48 applied to buildings: enumerative, deterministic, and stochastic [5]. Stochastic methods based
49 on genetic algorithms (GA) can be regarded as the most popular method for building
50 optimisation. Other popular algorithm methods are 'Direct Search', 'Simulated Annealing', and
51 'Particle Swarm optimisation' [6].

52 Evins [6] conducted a comprehensive review of 74 optimisation research studies, providing a
53 list of the most typical objectives used in sustainable building design. He found that the most
54 common objective was energy use (found in 60% of the studies), followed by costs and
55 occupants' thermal comfort. While multi-objective optimisation (MOO) methods are usually
56 used during early designs [5] they have also been applied for retrofit projects. As MOO studies
57 have been increasing in number in recent years, several tools have been developed, using
58 typical building energy simulation tools, such as TRNSYS and EnergyPlus (as the core
59 calculation engines) combined with optimisation toolboxes from MatLab, R, C++ and Python
60 [4]. Taking the advantages from these tools, BER optimisation studies have become more
61 common, considering different decision variables, objective functions, and constraints. Table
62 1 presents a comprehensive review of the most notable contributions in the field in the last
63 decade.

64 *[Table 1 around here]*

65 1.1 Exergy and exergoeconomic optimisation

66 As shown, the basis of typical optimisation process has been the 1st Law of thermodynamics
67 or the 'conservation of mass and energy' principles. Energy analysis typically shows limitations
68 when it comes to assessing the characteristics of energy conversion systems. With the current
69 high dependency on high-quality energy sources, such as natural gas, oil, and fossil-fuel
70 based generated electricity, combined with the low thermodynamic efficiency of current
71 building system technologies (e.g. at $T_0 = 5\text{ }^\circ\text{C}$ and $T_i = 20\text{ }^\circ\text{C}$, electric heater $\psi: 0.05$; air
72 source heat pump $\psi: 0.15$), new approaches to improve the selection of optimal BER
73 measures are required. In this sense, there is an opportunity to redesign typical approaches,
74 where the consideration of the fundamental 2nd Law of thermodynamics under the exergy
75 concept appears to hold some promise. Combining 1st and 2nd Law analysis has significant
76 advantages, as it provides with technical limits that the 1st Law misses and an appropriate link
77 between demand and supply analyses, which is often performed separately. This
78 disengagement has led the decision makers to assume that systems, such as electric-based
79 heating, are the most efficient way to deliver heat as it has an 'energy efficiency' of 100%. The
80 problem is that the delivery of electricity to cover a low-quality demand, such as space
81 heating/cooling or DHW, can be considered as irrational because the qualities of the demand
82 and supply do not match. Exergy-based analysis could be the ideal methodological
83 complement for the assessment and comparison of energy designs as it focuses on improving
84 efficiency.

85 After decades of exergy research in other sectors, the 2nd Law and exergy concepts can be
86 considered well established. However, in the building sector, it still needs to achieve certain
87 degree of maturity that could make the analysis useful. In the last years, exergy analysis
88 research in buildings has significantly increased. Main contributions came from three research
89 groups: IEA EBC Annex 37 [31], IEA EBC Annex49 [32] and the 'LowEx - COSTeXergy' [33].
90 The common aim was to provide a standard methodology that could lead to a deeper
91 understanding of using both thermodynamic laws in the built environment and its potential
92 application.

93 However, decision making in building energy design is still mainly based on typical economic
94 indicators, such as Net Present Value (NPV), Life Cycle Cost (LCC), and Discounted Payback
95 (DPB) [34,35]. In this sense, exergoeconomics, which considers not only the thermodynamic
96 inefficiencies of a system but also the costs associated with these inefficiencies, and the
97 investment expenditure required to reduce them could be considered for a comprehensive
98 analysis. Widely used in process and power generation optimisation [36], exergoeconomic
99 optimisation aims to find a trade-off between the energy streams/product cost and capital

100 investment cost of energy systems within the technically possible limits. Exergoeconomics has
101 been effectively combined with the cost-benefit analysis to improve operation and design. By
102 minimising the Life Cycle Cost (LCC), the best system considering the prevailing economic
103 conditions could be found; and by minimising the exergy loss, environmental impact could also
104 be minimised [37]. The major strengths of combining exergoeconomics is the ability to
105 pinpoint exact sources of inefficiencies, highlight real improvement potential, and provide a
106 robust comparison among designs. Specifically, in building research, exergoeconomic has
107 been applied for the analysis and optimisation of different building energy systems such as
108 district heating networks [38-40], micro cogeneration systems (mCHP) [41,42], heat pumps
109 [43], energy storage [44,45], envelope's insulation [46] and conventional heating systems [47-
110 49]. However, neither study performs an exergoeconomic-based multi-objective optimisation
111 under different objective functions.

112 After highlighting the research gaps in both building energy design optimisation and
113 exergy/exergoeconomic analysis, with the intention of challenging the established
114 methodology for building energy design optimisation based on the 1st law only, the novelty of
115 this paper comes from performing a comparative study between an energy/economic-based
116 and exergy/exergoeconomic-based multi-objective optimisation. To achieve this, ExRET-Opt
117 [50], an automated simulation tool developed for building energy/exergy design optimisation
118 is used. The aim is to illustrate through a detailed analysis the differences between the
119 methodologies and results. Although it is expected that both approaches would provide a more
120 informed assessment of BER designs than the actual retrofit design of the selected case study,
121 it is also expected that each approach would deliver different BER designs and outputs due to
122 the differences in calculation methods.

123 **2. Case Study**

124 The case study building is based on an 1890s-community centre located in Islington, London
125 (UK) that was retrofitted in 2011 to Passivhaus standards. The actual BER design resulted in
126 the installation of an 8.4 kW ground source heat pump (GSHP) and a 90% efficient Mechanical
127 Ventilation Heat Recovery (MVHR) system. Additionally, 18 kWp PV solar panels were
128 installed together with a 3 kW solar thermal system connected to a 300 litres water storage
129 tank. Triple glazed clear windows to maximise winter solar gains and high levels of envelope
130 insulation were installed, compiling with Passivhaus standards. Building's main characteristics
131 and a diagram of the energy system can be found in Table 2 and Fig. 1.

132

[Table 2 around here]

133

[Fig. 1 around here]

134 For simplification, the building energy model has been divided into six thermal zones,
135 according to the orientation, activity type and the spaces' internal loads: 1) basement floor
136 offices, 2) above ground offices, 3) music studio, 4) main hall, 5) reception, and 6) kitchen
137 area. Heathrow, London weather file (epw file) is used as reference temperature for dynamic
138 energy/exergy analysis. Previously, Garcia Kerdan et al. [51] presented the exergy and
139 exergoeconomic evaluation for the retrofitted building. The model calculated a retrofit
140 investment of approximately £417,028 exclusively for energy related measures. The ratio of
141 passive and active technology investment was calculated at 0.41, where PV/T panels
142 represented almost 37% of the total investment, followed by glazing (17.5%) and roof
143 insulation (10.4%). For a 50-year period, the buildings life cycle cost (eq. A.17) has been
144 calculated at £471,403 considering project's capital investment, annual energy bills,
145 government incentives through the feed-in-tariff (FiT) and renewable heat incentives (RHI),
146 and the salvage cost or residual value. This resulted in a discounted payback of 137 years.
147 Table 3 presents the main energy, exergy and other non-thermodynamic values for the case
148 study building.

149

[Table 3 around here]

150 These will be used to design the optimisation studies and as benchmark for comparative
151 purposes. A secondary aim of this paper is to showcase the tool's capabilities of providing
152 more cost-effective designs regardless of the approach.

153

154 **3. Methods and Materials**

155

156 **3.1 ExRET-Opt**

157 ExRET-Opt [50] is a simulation tool that enhances typical building retrofit-oriented tools with
158 the addition of exergy and exergoeconomic analysis and multi-objective optimisation. The
159 systematic methodology and simulation tool covers an existing gap that limits the introduction

160 of exergy into energy design practice. The tool allows the practitioner to quantify indices of
161 performance of the building retrofit based on the 1st and 2nd laws analyses, among other non-
162 energy indicators. It has been developed by embedding a comprehensive dynamic exergy
163 analysis [52] and a tailored exergoeconomic method [53] into a typical open-source building
164 simulation tool – EnergyPlus [54]. The main exergy and exergoeconomic formulas embedded
165 in the tool can be found in Appendix A.

166 3.2 Optimisation study design

167 As mentioned, the MOO studies are designed from two different perspectives: a) an
168 energy/economic-based focus and b) an exergy/exergoeconomic-based focus. Yet, buildings
169 are designed to the primary objective of providing a comfortable environment for its occupants.
170 Therefore, the optimal selection of BER should be a trade-off between the thermodynamic
171 efficiency, capital costs, and most importantly, occupant thermal comfort. Thus, occupants'
172 thermal comfort is the only common objective for both approaches. The first MOO method,
173 based on the 1st Law only (typically used in the building industry and research), optimises
174 building energy use and project's Net Present Value (NPV). From this point in the paper, this
175 approach is referred to as the *energy/economic optimisation*. The second method, based on
176 the 1st and 2nd Laws simultaneously, optimises building exergy destructions and an
177 exergoeconomic index. This approach is referred to as the *exergy/exergoeconomic*
178 *optimisation*. Fig. 2 shows the methodological approach applied to this study.

179 [Fig. 2 around here]

180 Following the finalisation of the optimisation processes, Pareto fronts are obtained for both
181 approaches. In a first level of analysis and to make a comparison of both approaches' main
182 outputs, both the number of constrained solutions and the size of non-dominated solutions
183 (Pareto fronts) are statistically analysed using an independent two sample t-test was. An
184 independent t-test compares the mean values from the two-sample gathered and test the
185 likelihood of the samples originating from populations with different mean values. The t-test
186 calculates the null hypothesis that the means of two normally distributed groups are equal.
187 Similar to Yoo and Harman [55], the null hypothesis in this study (setting an α level of 0.95) is
188 that with two different optimisation approaches, the mean values of the number of non-
189 dominated solutions are equivalent. If a p-values is significant, this would suggest that the null
190 hypothesis should be rejected, meaning that one of the optimisation approaches produces a
191 larger number of Pareto solutions.

192 3.2.1 Decision variables

193 Due to the inclusion of the extensive ExRET-Opt technology database, the tool can be applied
194 to analyse a wide range of different BER measures. Table 4 presents the characteristic of the
195 main HVAC systems embedded in the database. The techno-economic values for all other
196 possible retrofit measures can be found in [50,52] and in Appendix B.

197 *[Table 4 around here]*

198 Apart from typical technologies found in the tool, some additional considerations are made.
199 Following the actual retrofit design (up to Passivhaus standards) and due to the building's
200 nature, the envelope is differentiated into six parts: 1) above ground wall insulation, 2)
201 basement wall insulation, 3) basement floor insulation, 4) ground floor insulation, 5) pitched
202 roof insulation, and 6) normal roof insulation. Additionally, thicker insulation technologies have
203 been included to achieve U_{values} per Passivhaus standards ($U_{\text{val}} < 0.15 \text{ W/m}^2\text{K}$). After
204 discretisation of all variables, the total number of decision variables for the optimisation
205 process are defined in Table 5.

206 *[Table 5 around here]*

207 Therefore, as all possible combinations are more than seven thousand quadrillion
208 (7,099,580,375,363,174,400), presenting an impossible task for almost any computer due to
209 limited number of cores and processing time. However, the optimisation jobs have been
210 subject to the following NSGA-II parameters.

211 3.2.2 Objective functions

212 As mentioned, the two approaches, consider three conflicting objectives that must be satisfied
213 simultaneously.

214 3.2.2.1 Energy/economic-based optimisation

215 For the energy/economic approach the objectives are the minimisation of building energy use,
216 reduction of occupant thermal discomfort, and maximisation of project's NPV:

217 I. Building's annual site energy use (kWh/m²-year):

$$218 \quad Z_1(x)_{min} = EUI_{bui}$$

219 (1)

220 where EUI_{bui} is the total annual energy used by the building.

221 **II. Occupant discomfort hours (Fanger's model [56]):**

$$222 \quad Z_2(x)_{min} = (|PMV| > 0.5) = (|(0.303e^{-0.036M} + 0.028)(H - L)| > 0.5) \quad (2)$$

223 where e is the Euler's number (2.718), M is the metabolic rate (W/m^2), H is internal heat
224 production rate of an occupant per unit area (W/m^2), and L is energy loss (W/m^2). This value
225 is given by ExRET-Opt through EnergyPlus calculations.

226 **III. Net Present Value_{50 years} (£):**

$$227 \quad Z_3(x)_{max} = NPV_{50years} = -TCI + \left(\sum_{n=1}^N \frac{R}{(1+i)^n} \right) + \frac{SV_N}{(1+i)^N}$$

228 (3)

229 where TCI is the initial total capital investment, R is the annual revenue cost (composed of the
230 annual energy cost savings minus the operation and maintenance cost), and SV is the salvage
231 cost or residual value. Detailed calculation information can be found in Appendix A.2 (eq.
232 A.20). However, for simplification and to encode a purely minimisation problem, the NPV is
233 set as negative $-NPV_{50years}$ (however, results throughout the appear are presented as normal
234 positive outputs).

235 **3.2.2.2 Exergy/exergoeconomics-based optimisation**

236 For the exergy/exergoeconomic approach, the objectives are the minimisation of overall
237 building exergy destructions, reduction of occupant thermal discomfort, and minimisation of
238 the exergoeconomic cost-benefit index:

239 **I. Building annual exergy destructions (kWh/m^2 -year):**

$$240 \quad Z_1(x)_{min} = Ex_{dest,bui} = \sum Ex_{prim}(t_k) - \sum Ex_{dem,bui}(t_k)$$

241 (4)

242 where Ex_{prim} and $Ex_{dem,bui}$ are the total primary exergy supplied and total building exergy
 243 demand respectively.

244 **II. Occupant discomfort hours (Fanger's model):**

$$245 \quad Z_2(x)_{min} = (|PMV| > 0.5) = (|(0.303e^{-0.036M} + 0.028)(H - L)| > 0.5) \quad (5)$$

246 **III. Exergoeconomic cost-benefit _{50 years} (£/h):**

$$247 \quad Z_3(x)_{min} = Exec_{CB} = \dot{C}_{D,sys} + \dot{Z}_{sys} - \dot{R}$$

248 (6)

249 where $\dot{C}_{D,sys}$ is the building total exergy destruction cost (eq. A.25), \dot{Z}_{sys} is the annual capital
 250 cost rate for the retrofit measure (eq. A.26), and \dot{R} is the annual revenue rate. All three
 251 parameters are levelised considering the project's lifetime (50 years) and the present value of
 252 money. The outputs are given in £/h. The *exergoeconomic cost-benefit indicator* $Exec_{CB}$ [53]
 253 is a novel index for energy system design comparison developed from the SPECO
 254 exergoeconomic method [61].

255 **3.2.3 Constraints**

256 The optimisation problem is subjected to three constraints. First, the capital investment of the
 257 actual retrofit project of £417,028 [51], requiring the model to deliver cheaper designs.
 258 Secondly, a positive NPV or a DBP of less than 50 years is also considered a constraint.
 259 Finally, the amount of discomfort hours obtained by the actual retrofit model (853 hours) is
 260 considered as the third constraint. Hence, the optimisation problems for both approaches can
 261 be generally formulated as follows:

262 Given a thirteen-dimensional decision variable vector

$$263 \quad x = \{X^{HVAC}, X^{wall}, X^{roof}, X^{ground}, X^{wall_BS}, X^{roof_Pi}, X^{ground_BS}, X^{seal}, X^{glaz}, X^{light}, X^{PV}, X^{wind}, X^{heat}\}, \quad \text{in}$$

264 the solution space X , find the vector(s) x^* that:

$$265 \quad \text{Minimise: } Z(x^*) = \{Z_1(x^*), Z_2(x^*), Z_3(x^*)\}$$

266 (7)

267 Subject to follow inequality constraints:
$$\begin{cases} TCI \leq \text{£}417,028 \\ DPB \leq 50 \text{ years} \\ Discomfort \leq 853 \end{cases}$$

268 (8)

269 Based on compromise programming and equal weight solution, all three objective functions
270 are considered to have the same weight ($w_1=0.33$, $w_2=0.33$, and $w_3=0.33$).

271 3.2.4 NSGA-II parameters

272 Table 6 presents the NSGA-II settings defined for both studies hoping to obtain more variability
273 among simulation results:

274 [Table 6 around here]

275 Each procedure should perform approximately 10,000 simulations, or terminate either if the
276 objective functions converge or a time limit is reached. The detailed optimisation algorithm
277 process as well as the modelling environments is shown in more detail in Fig. 3.

278 [Fig. 3 around here]

279

280

281 It is important to point out that GA presents some limitations. Apart of only operating under a
282 discrete search space, meaning that continuous variables must be discretised, algorithm
283 parameters such as population size, crossover and mutation, can affect the location of the
284 optimal value and convergence rate [57, 58].

285 4. Results

286 In an 8-core laptop, following 150 hours of simulation, the energy/economic-based MOO
287 collected 9,815 simulations, while the exergy/exergoeconomic-based MOO simulated 9,747
288 models. However, the number of constrained solutions are found at 475 and 344 for the
289 energy-based and exergy-based MOO respectively. This demonstrates that around 3-5% of
290 the simulated solutions have a better thermal comfort and economic performance than the
291 actual retrofitted building.

292 4.1 Single-objective analysis

293 Each objective from the non-dominated solutions are individually optimised for both
294 approaches. The single objective optimal BER designs are shown in Table 7 for the
295 energy/economic based approach and Table 8 for the exergy/exergoeconomic-based
296 approach.

297 *[Table 7 around here]*

298 *[Table 8 around here]*

299 4.1.1 Energy-based single objective results

300 For the energy-based optimisation, when single-optimising building's EUI, the tool produces a
301 BER design similar to the actual retrofit building. The model is also based on a GSHP, differing
302 in that instead of considering a MVHR, the model suggests the installation of underfloor
303 heating. In addition, the wall insulation is similar to that found in the actual BER, having 0.25m
304 of Polyurethane for the above ground walls and 0.30m of cellular glass for the basement walls.
305 In terms of infiltration rate, again, the model suggests a similar value to the one in the real
306 design (model: 0.50 ach, real: 0.42 ach). However, to lower the capital cost, the model reduces
307 the glazing system to double-glazed air-filled windows instead of the triple-glazed air-filled.
308 The lighting system is based on T8 LFC, similarly to the actual building. The biggest change
309 comes in the PV panels, where the model does not consider their installation, and instead, a
310 20 kW turbine is proposed. The design is able to lower energy use from 47,293 kWh/year
311 (61.6 kWh/m²-year) to 44,845 kWh/year (58.4 kWh/m²-year). It also improves thermal comfort
312 by 1.4% (from 853 to 841 discomfort hours), while delivering a positive NPV_{50 years} of £8,488.
313 The project's total capital investment is calculated of £271,738, reducing the original budget
314 by 34.8%.

315 When single-optimising for thermal comfort, the model suggests the installation of H21: GSHP
316 with underfloor heating with similar envelope insulation levels compared to the previous case,
317 but considering double-glazed Krypton-filled windows instead of air-filled. The model also
318 considers an airtight envelope, with a value of 0.6 ach. T5 LFC lighting is considered along
319 the implementation of 3.9 kWp PV panels and a 20 kW turbine. This results in a high-energy

320 use of 50,571 kWh/year (65.9 kWh/m²-year); however discomfort hours are reduced to 550.
321 This BER has a capital investment of £316,444 and a DPB of 33.6 years.

322 Finally, by single-optimising NPV, the model considers H31: microCHP and gas boiler
323 connected to a CAV system. The solution considers low insulation levels (with some parts not
324 even meeting minimum Part L2B requirements) and an improvement on the airtightness of the
325 building of just 20% (0.8 ach). In the model, the windows are retrofitted to double-glazed air-
326 filled, while considering a more efficient lighting system of T5 LFCs. It also suggests the
327 installation of 3.9 kWp of PV panels and a 20 kW turbine. With this design, the building
328 demands 209,006 kWh/year (272.4 kWh/m²-year) while keeping thermal comfort at the same
329 level as the original design (853 discomfort hours). However, it has the best economic
330 performance with a payback of 23.7 years requiring a capital investment of £262,992.

331 *4.1.2 Exergy/exergoeconomics-based single objective results*

332 In the exergy/exergoeconomics-based approach, by single-optimising building exergy
333 destructions, the optimisation procedure delivers a design composed of H15: district heating
334 connected to a wall heating system. From a 2nd Law perspective, district systems (especially
335 waste heat-based) are considered as the most ideal low-exergy supplying systems due to their
336 high efficiency in using low grade heat. The design is combined with medium levels of
337 insulation, where just the basement walls and ground insulation meet Part L2 requirements.
338 The design also proposes a reduction of 20% in the air leakage (0.8%) with no retrofit in the
339 glazing system. The lighting system is changed to T8 LED, with no PV panels and a 20 kW
340 wind turbine. The model is able to reduce thermodynamic irreversibilities from the actual
341 retrofit of 104,918 kWh/year (136.8 kWh/m²-year) to 78,938 kWh/year (102.9 kWh/m²-year)
342 and improves exergy efficiency (ψ) from an already high value of 18.0% to 22.2%. Discomfort
343 levels and the exergoeconomic cost-benefit indicator are also reduced to 791 hours and
344 £0.23/h respectively. This BER design has a capital investment of £179,250 and a DPB of 50
345 years.

346 By single-optimising discomfort under an exergy oriented approach, the BER design is based
347 on a H28: biomass boiler with wall panel heating with high envelope insulation values,
348 suggesting the installation of 0.25m of EPS for the above ground walls, 0.14m of cork board
349 for the ground floor and 0.12m of cork board for the pitched roof. It also suggests a 0.07m of
350 EPS for the basement walls. This is combined with a slight improvement in the airtightness of
351 10% (0.9 ach) and the installation of double-glazed air filled windows. For active systems, it

352 recommends the installation of T5 LFC and 7.8 kWp PV panels. This design reduces exergy
353 destructions to 90,364 kWh/year (117.8 kWh/m²-year) and improves exergy efficiency to
354 19.5%. In addition, it reduces discomfort hours to 584 hours and minimises exergoeconomic
355 cost-benefit value to £0.28/h. The design requires an investment of £256,761 delivering a DPB
356 of 43.7 years.

357 Finally, of great interest are the results obtained from the single optimisation of the novel
358 exergoeconomic cost-benefit indicator. This design suggests an HVAC system based on H29:
359 biomass boiler connected to underfloor heating. The algorithm chooses a low-exergy efficient
360 system but with a high renewability factor and high income from government incentives. The
361 envelope is characterised by high levels of insulation in the roof and ground floors and low
362 levels in the walls and pitched roof. A building airtightness of 0.9 *ach* and the utilisation of the
363 pre-retrofit single glazing is also considered by the model. For active systems, the models
364 suggest the installation of highly efficient T5 LFC lighting and the implementation of 7.8 kWp
365 of PV panels. This design results in exergy destructions of 87,405 kWh/year (114.0 kWh/m²-
366 year) and an exergy efficiency of 19.9%. Discomfort values are reduced to 666 hours per year.
367 Moreover, the exergoeconomic cost-benefit indicator reaches a value of -£0.11/h, meaning
368 that the project was exergoeconomically efficient. This is supported by a low cost BER design
369 (£180,017) with a payback of 26.7 years; similar to the one obtained by optimising NPV in the
370 energy-based approach.

371 Table 9 provides a comparative study of other main indicators. As seen in the results, the
372 solution that reduced the most carbon emissions is the single optimisation of the
373 exergoeconomic cost-benefit indicator. This design provides the best overall performance,
374 obtaining the best outcomes in three main indicators without delivering indicators showing
375 unsatisfactory performance. This large reduction is achieved thanks to the installation of the
376 biomass-based boiler (0.039 kgCO₂e/kWh) working with low temperature floor systems
377 combined with the 7.8 kWp of PV panels (0.075 kgCO₂e/kWh). On the other hand, as expected
378 the NPV single optimisation provided the best economic outcomes; however, it presents the
379 worst performance in seven other indicators related to carbon emissions and exergy use.

380

[Table 9 around here]

381 4.2 Triple-objective analysis

382 As mentioned, the 475 constrained models obtained in the energy/economic-based MOO
383 procedure, represent less than 4.8% of all the simulated models. In this case the Pareto front
384 is composed of just nine solutions. The sample is dominated by H21: GSHP and underfloor
385 heating, appearing in 66.6% of the solutions. H31: microCHP with condensing boiler and H28:
386 Biomass boiler and wall heating also appear in the Pareto front. For envelope's insulation, not
387 a single technology appears to dominate the solutions, with XPS and polyurethane being the
388 most common solutions. The rest of the envelope is mainly dominated from high levels of
389 infiltration (>0.7 ach) and single-glazing. For renewable energy, 20 kW turbine and 13.8 kWp
390 of PV panels appear most frequently.

391 On the other hand, the exergy/exergoeconomics-based optimisation delivers an even smaller
392 constrained search space with 344 models, representing 3.5% of the simulated space;
393 however, it is able to deliver more Pareto optimal solutions with fourteen non-dominated
394 models. This suggests that an exergy/exergoeconomics-based optimisation presents better
395 performance and more variability among models, locating solutions in a wider spectrum. The
396 most frequent HVAC system is H29: biomass boiler and underfloor heating with a frequency
397 of 64.2%. This is followed by H15: district heating with wall heating with a frequency of 21.4%.
398 For the insulation measures, high variability existed among technologies and thicknesses, with
399 XPS and EPS being the most common measures. The air tightness of the building is
400 characterised for solutions with 0.8 ach. In terms of glazing systems, double glazing
401 technologies are the most frequent. For renewable technologies, 20 kW wind turbines and
402 11.7 kWp are the most common measures.

403 Fig. 4 and Fig. 5 shows a comparison of all the constrained solutions and the non-dominated
404 Pareto fronts for the energy/economics and exergy/exergoeconomics based approaches
405 respectively. For both graphs, the current retrofitted building can be located. In this case,
406 every single Pareto point presents a better overall performance compared to the baseline
407 model.

408 *[Fig. 4 around here]*

409 *[Fig. 5 around here]*

410 4.3 Algorithm behaviour – Convergence study

411 To check convergence in objectives, a comparison in the algorithm behaviour for both
412 approaches is presented. Fig. 6 illustrates the convergence rates for the three studied
413 objectives for the energy/economic optimisation. The results demonstrate that energy use
414 converged rather early reaching the minimum value at the 28th generation. However, the
415 discomfort hours and NPV converged at a much later stage (around the 60th generation). As
416 it can be seen, the minimum value for in-site building energy use, found in the third generation
417 (~70 kWh/m²-year) is similar to the optimised value. This means that the algorithm selected a
418 ‘strong’ and ‘healthy individual’ at an early stage in the simulation. On the other hand, due to
419 the study strict constraints on capital investment and thermal comfort, larger number of
420 generations were required for these objectives to converge within an acceptable value.

421 *[Fig. 6 around here]*

422

423 Fig. 7 illustrates the convergence rates for the exergy/exergoeconomic optimisation. Although
424 it might seem that exergy destruction rate converged late in the optimisation process
425 (generation 77th), the values at the initial generation already presented similar values to the
426 final optimised value. The same behaviour is found for the discomfort hours, reaching
427 convergence after the 8th generation. In the case of the exergoeconomic cost-benefit indicator
428 the initial value of £0.20/h already represented a major improvement from the actual
429 Passivhaus retrofit (£1.33/h); however, it was after generation 74th when it reached the best
430 outcome (-£0.11/h) due to economic constraints set in the study.

431 *[Fig. 7 around here]*

432

433 4.4 A statistical comparison of optimisation outputs

434 Although there is no minimum sample size for a t-test to be valid, it is considered that the
435 Pareto fronts are too small (sample sizes: 9 and 14); therefore, it is decided to perform the
436 analysis in the constrained solutions (474 and 343 samples). For the test, the analysed
437 indicators are the same as presented in Table 9. Fig. 8 presents boxplots for each of these

438 outputs. The boxplots would also help to determine each output's variability, median values
439 (skewness), and outliers. Although not conclusive, the test should provide an initial evidence
440 to exhibit that, on average, either approach delivers better outcomes than the real retrofit.
441 Although the t-test requires normally distributed samples, the test is not sensitive to deviation
442 if the distribution of both samples' outputs is similar and the sample size is large enough (>50).
443 Nevertheless, data transformation is required to make the output samples more normally
444 distributed, meaning to remove some extreme outliers.

445 *[Fig. 8 around here]*

446 The independent t-test results are displayed in Table 10. Beforehand, it was expected that
447 each approach dominates its related outputs, meaning that the energy/economic optimisation
448 would deliver better indicators such as energy, NPV, LCC; while the exergy/exergoeconomic
449 optimisation would perform better in indexes such as exergy destruction cost, exergy
450 efficiency, etc. However, there are outputs such as discomfort and carbon emissions which
451 were of great interest for this study.

452 *[Table 10 around here]*

453 According to the results, discomfort hours and annual revenue p-values demonstrated that the
454 difference between the approaches' means, at a significance level of 5%, do not have statically
455 significant difference from zero; therefore, there is insufficient evidence to suggest that either
456 approach has a better performance. The discomfort hours' indicator p-value was expected, as
457 this objective was optimised for both approaches; however, the fact that the annual revenue's
458 energy/economic optimisation do not seem to outperform its exergy/exergoeconomic
459 counterpart, suggests that exergoeconomic optimisation can also deliver cost-effective
460 solutions without the need to invest larger amounts, as shown in the NPV t-test outputs.
461 However, the indicator that seemed to provide the most meaningful outcome is the annual
462 carbon emissions, where there is an average difference in annual emissions of 7.67 tCO₂ in
463 favour of the exergy/exergoeconomic solutions. The t-test provided a 95% confidence interval
464 of the mean difference between 5.8 and 9.78 tCO₂ and a small p-value of 7.16E-15; therefore
465 the null-hypothesis can be rejected and conclude that the exergy/exergoeconomic
466 optimisation approach, at least for this specific case study, provides larger carbon emission
467 reductions.

468

469 5. Conclusions

470

471 This paper presented two different approaches (1st Law and combined 1st & 2nd Laws) for the
472 optimisation of building energy retrofit designs under tight economic constraints. A recently
473 retrofitted Passivhaus community centre has been used as case study. The results, although
474 presented for a single case, clearly demonstrate the strengths of exergoeconomic optimisation
475 compared to 1st Law-only optimisation (energy and typical economics). Considering the
476 practical limitations that ExRET-Opt might present, the inclusion of exergy/exergoeconomics
477 as objective functions into the MOO procedure has resulted in models with better overall
478 performance, including non-thermodynamic values such as thermal comfort and carbon
479 emissions.

480 However, due to the high capital investment constraints and high technological prices for low-
481 exergy systems, some Pareto solutions under the exergy/exergoeconomic optimisation are
482 based on high exergy systems (e.g. biomass boilers). This has deprived the optimisation
483 model from suggesting more thermodynamic efficient designs. In an ideal thermodynamic
484 situation, the BER system design would be based on either a high efficient low-temperature
485 lift GSHP or on a waste-heat or low-carbon-based district system network, combined with low
486 temperature hydronic systems and medium levels of envelope's thermal insulation.
487 Nevertheless, the exergy-oriented approach is able to double the thermodynamic efficiency
488 by focusing on improving exergy efficiency on generation systems and electrical appliances.
489 The optimisation drove BER designs towards low-carbon HVAC systems, allocating limited
490 budget to efficient active systems and suggesting U_{values} (envelope and glazing), and infiltration
491 rates not as strict as government minimum requirements. These results suggest that both 1st
492 and 2nd Law analysis, as they have the capability to locate exact sources of inefficiency, should
493 be used together as objective functions and constraints in optimisation procedures.

494 Exergy and exergoeconomic optimisation could have an important future role in the building
495 industry if some practical barriers can be overcome. The analysis has demonstrated to provide
496 designs with an appropriate balance between active and passive measures, while consistently
497 accounting of irreversibilities and its exergetic and economic costs along every subsystem in
498 the building energy system. Meanwhile, the application of the exergoeconomic cost-benefit
499 index as an objective function could provide more consistent outputs among a large variety of
500 indicators. This index could be a practical solution as it supports building designers in making
501 informed and robust economic decisions.

502 The outputs from this study should critically expose the limitations of using energy analysis
 503 only, demonstrating how the 1st Law is only a necessary calculation while the utilisation of the
 504 1st and 2nd Laws simultaneously becomes a sufficient condition for an in-depth analysis. It is
 505 sought that the lessons learned and conclusions from this study may be useful for future retrofit
 506 standards and appropriate taxation across the UK and other countries. Minimising exergy
 507 destructions at a larger scale could provide countries with greater energy security as high-
 508 quality energy sources can be used more efficiently in sectors such as the chemical industry
 509 and transport. Nevertheless, more case studies and optimisation runs are necessary to
 510 generalise these conclusions.

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515 Nomenclature

516	ach	air change rates (1/h)
517	BER	building energy retrofit
518	\dot{c}_D	exergy destruction cost rate (£/h)
519	\dot{c}_p	exergy cost balance (£/kWh)
520	c_f	average cost of fuel (£/kWh)
521	c_p	average cost of product (£/kWh)
522	CAV	constant air volume
523	CRF	capital recovery factor (£)
524	DHW	domestic hot water
525	DPB	discounted payback (years)
526	e	Euler's number
527	EPS	Expanded Polystyrene
528	EUI	energy use index (kWh/m ² -year)
529	Ex	exergy (kWh)
530	\dot{Ex}_D	exergy destructions (kWh)
531	Ex_{dem}	exergy demand
532	Ex_{prim}	primary exergy
533	$Exec_{CB}$	exergoeconomic cost benefit factor (£/h)

534	f_k	exergoeconomic factor (-)
535	F_p	primary energy factor (-)
536	F_q	quality factor (-)
537	FiT	feed-in-tariff
538	$GSHP$	ground source heat pump
539	H	internal heat production rate (W/m ²)
540	$HVAC$	heating, ventilation, and air conditioning
541	i	interest rate (%)
542	kW	Kilowatt(s)
543	kWh	Kilowatt-Hour(s)
544	L	energy loss (W/m ²)
545	LCC	life cycle cost (£)
546	LFC	Lampe Fluorescente Compacte
547	M	metabolic rate (W/m ²)
548	$MVHR$	mechanical ventilation heat recovery
549	NPV	net present value (£)
550	N	project lifetime (years)
551	$NSGA$	Non-Dominated Sorting Genetic Algorithm
552	PMV	predicted mean vote
553	PW	present factor (£)
554	R	annual revenue (£)
555	\dot{R}	annual revenue rate (£/h)
556	r_k	relative cost difference (-)
557	RHI	renewable heat incentive (£)
558	SV	salvage cost (£)
559	T_0	reference temperature (K)
560	T_i	room temperature (K)
561	TCI	total capital investment (£)
562	U_{value}	thermal transmittance (W/m ² -K)
563	VAV	variable air volume
564	VRF	variable refrigerant flow
565	$Z_j(x^*)$	objective function
566	\dot{Z}_{sys}	capital investment rate (£/h)
567	Greek symbols	
568	ψ_{tot}	exergy efficiency (-)

569

570 **Appendices**571 **Appendix A. Exergy/exergoeconomic calculation framework [52, 53]**

572

573 **A.1 Exergy analysis for building energy systems**

574

575 *A.1.1 HVAC exergy stream*

576

577 *a) Detailed thermal exergy demand (heat and matter):*

$$578 \quad Ex_{dem,therm,zone\ i}(t_k) = \sum_{i=1}^n \left(En_{dem,therm\ ith}(t_k) * \left(1 - \frac{T_0(t_k)}{T_i(t_k)} \right) \right) \quad (A.1)$$

$$579 \quad Ex_{dem,vent,zone\ i}(t_k) = \sum_{i=1}^n \left(En_{dem,vent\ ith}(t_k) * \left(1 - \frac{T_0(t_k)}{T_i(t_k) - T_0(t_k)} \ln \frac{T_i(t_k)}{T_0(t_k)} \right) \right) \quad (A.2)$$

580 *b) Room air subsystem:*

$$581 \quad F_{q,room}(t_k) = 1 - \frac{T_0(t_k)}{T_{emission}(t_k)} \quad (A.3)$$

582 Therefore, the exergy load of the room is:

$$583 \quad Ex_{room}(t_k) = F_{q,emission}(t_k) * Q_{emission}(t_k) \quad (A.4)$$

584 *c) Emission subsystem:*

585 Referencing to the inlet and return temperature of the system, the exergy losses of the
586 emission system are calculated as follows:

$$587 \quad \Delta Ex_{emission}(t_k) = \frac{Q_{tot}(t_k) + Q_{loss,HS}(t_k)}{T_{in}(t_k) - T_{ret}(t_k)} * \left\{ (T_{in}(t_k) - T_{ret}(t_k)) - T_0(t_k) * \ln \left(\frac{T_{in}(t_k)}{T_{ret}(t_k)} \right) \right\} \quad (A.5)$$

588 Therefore, exergy load rate of the heating system is:

$$589 \quad Ex_{emission}(t_k) = Ex_{room}(t_k) + \Delta Ex_{emission}(t_k) \quad (A.6)$$

590 *d) Distribution subsystem:*

591 As a result of the heat losses in the supply pipe, a temperature drop occurs (ΔT_{dist}). The exergy
592 demand of the distribution system is:

$$593 \quad \Delta Ex_{dist}(t_k) = \frac{Q_{loss,dist}(t_k)}{\Delta T_{dist}(t_k)} * \left\{ (\Delta T_{dist}(t_k) - T_0(t_k)) * \ln \left(\frac{T_{dist}(t_k)}{T_{dist}(t_k) - \Delta T_{dist}(t_k)} \right) \right\} \quad (A.7)$$

594 Hence, the exergy load of the distribution system is:

$$595 \quad Ex_{dist}(t_k) = Ex_{emission}(t_k) + \Delta Ex_{dist}(t_k) \quad (A.8)$$

596 *e) Storage subsystem:*

597 The exergy demand of the storage can be calculated as follows:

$$598 \quad \Delta Ex_{strg} = \frac{Q_{loss, strg}(t_k)}{\Delta T_{strg}(t_k)} * \left\{ (\Delta T_{strg}(t_k) - T_0(t_k)) * \ln \left(\frac{T_{dist}(t_k) + \Delta T_{strg}(t_k)}{T_{dis}(t_k)} \right) \right\} \quad (A.9)$$

599 And the exergy load is calculated as follows:

$$600 \quad Ex_{strg}(t_k) = Ex_{dist}(t_k) + \Delta Ex_{strg}(t_k) \quad (A.10)$$

601

602 A.1.2 DHW exergy stream

603 Exergy demand for domestic hot water is calculated as follows::

$$604 \quad Ex_{dem, DHW}(t_k) = Q_{DHW}(t_k) * \frac{\eta_{WH}(t_k)}{q_{fuel}} * \left(1 - \left(\frac{T_0(t_k)}{T_{pWH}(t_k) - T_0(t_k)} \right) * \ln \left(\frac{T_{pWH}(t_k)}{T_0(t_k)} \right) \right) \quad (A.11)$$

605 Distribution and storage subsystem in the DHW stream is calculated similar to the HVAC
606 stream.

607 A.1.3 Electric-based exergy stream

608 Electric-based equipment such as fans, pumps, lighting, computers, and motors are
609 considered to have the same exergy efficiency as their energy counterpart ($\psi_{elec} \approx \eta_{elec}$) and
610 therefore the same exergy consumption.

$$611 \quad Ex_{dem, elec, i}(t_k) = En_{dem, elec, i}(t_k) * F_{q, elec} \quad (A.12)$$

612

613 A.1.4 Other end-use streams

614 Exergy demand for cooking equipment (gas based):

$$615 \quad Ex_{dem, cooking} = Q_{cook}(t_k) * \frac{\eta_{cook}(t_k)}{q_{fuel}} * \left(1 - \frac{T_0(t_k)}{T_{pcook}(t_k)} \right) \quad (A.13)$$

616 Exergy demand for refrigeration:

$$617 \quad Ex_{dem, ref}(t_k) = Q_{ref}(t_k) * COP_{ref}(t_k) \left(\frac{T_0(t_k)}{T_{prefr}(t_k)} - 1 \right) \quad (A.14)$$

618 A.1.5 Primary Exergy Input

619 For primary exergy input, the following formula is used:

$$620 \quad Ex_{prim}(t_k) = \sum_i \left(\frac{En_{gen, i}(t_k)}{\eta_{gen, i}(t_k)} * F_{p, source, i} * F_{q, source, i} \right) + (Ex_{dem, elec, ith}(t_k) * F_{p, elec}) \quad (A.15)$$

621 Fuel primary energy factors and quality factors used in this study are shown in Table A.1

622

623

[Table A.1 around here]

624

625 *A.1.6 Exergy destructions and exergy efficiency*

626 Exergy destructions is obtained by subsystems or whole building is obtained as follows:

627
$$Ex_{dest,i} = Ex_{IN,i} - Ex_{OUT,i} \quad (A.16)$$

628 Therefore, a building's exergy efficiency Ψ_i is obtained as follows:

629
$$\Psi_{sys,i}(t_k) = \frac{Ex_{out,i}(t_k)}{Ex_{in,i}(t_k)} \quad (A.17)$$

630

631

632 **A.2 Economic/Exergoeconomic analysis**

633

634 *A.2.1 Economic analysis*635 The proposed framework recommends and considers typical economic calculations as a first
636 assessment.637 *a) Life cycle cost analysis (LCCA):*

638
$$LCCA = \sum_{n=1}^N \frac{CF_n}{(1+r_d)^n} \quad (A.18)$$

639 where CF_n is the annual cash flow of year n , N is the total years of evaluation, and r_d is the
640 discount rate. The annual cash flow is calculated as follows:

641
$$CF_n = [C_n^B + O\&M_n^B] + [C_n + O\&M_n] + [C_{en} - C_{inc}] - SV_N \quad (A.19)$$

642 where C_n^B is the baseline capital cost, $O\&M_n^B$ is the baseline operation and maintenance cost,
643 C_n is the incremental capital cost in year n , $O\&M_n$ is the incremental operation and
644 maintenance cost in year n , C_{en} is the annual energy cost, C_{inc} is annual income from

645 incentives, and SV_N is the salvage cost or residual value with measures with longer lifespan
 646 (considering a common rate of 15%).

647 b) *Net Present value (NPV) and Discounted Payback (DPB)*

$$648 \quad NPV_{Nyears} = -TCI + \left(\sum_{n=1}^N \frac{R}{(1+i)^n} \right) + \frac{SV_N}{(1+i)^N} \quad (A.20)$$

649 where TCI is the initial total capital investment, R is the annual revenue cost (composed of the
 650 annual energy cost savings minus the operation and maintenance cost). A lifespan (N) of 50
 651 years and a discount rate (i) of 3% [59] are considered. DPB can be calculated by contracting
 652 the Taylor Series of the NPV formula and by accounting for the retrofit project annual revenue:

$$653 \quad DPB = - \frac{\ln \left[\left((1 - (1+i)) * \left(\frac{TCI}{R} \right) + 1 \right) \right]}{\ln(1+i)} \quad (A.21)$$

654 ExRET-Opt accounts for programs such as FiT and RHI. Other economic parameters that are
 655 considered are energy price escalation, inflation rate, labor and maintenance cost, taxes, etc.
 656 Table A.2 shows energy tariffs including CCL for ‘small’ non-domestic consumers.

657 *[Table A.2 around here]*

658

659 An annual energy price escalation until 2035 for gas and electricity is considered. [60]. Prices
 660 from 2035 onwards maintain the same value. Additionally, energy price forecasts for other
 661 energy sources are not considered.

662 Table A.3 shoes government incentives considered in the analysis. Price changes are not
 663 considered for these schemes.

664 *[Table A.3 around here]*

665

666 *A.2.2 Exergoeconomic analysis (SPECO) [61]*

667

668 This section shows the main exergoeconomic equations used in this study. Rates are
669 presented in £/h.

670 An exergy cost stream rate associated with the corresponding stream i is calculated as follows:

$$671 \quad \dot{C}_i = c_i \dot{E}x_i \quad (\text{A.22})$$

672 where c_i and $\dot{E}x_i$ are the streams' specific cost and exergy, respectively. A general cost
673 balance expression rate is expressed as follows:

$$674 \quad \dot{C}_{p,k} = \dot{C}_{D,k} + \dot{Z}_{sys} \quad (\text{A.23})$$

675 In addition, the exergy destruction cost rate of a component is defined as:

$$676 \quad \dot{C}_{D,k} = c_{f,k} \dot{E}x_{D,k} \quad (\text{A.24})$$

677 To obtain building exergy destruction cost rate, a sum of all subsystems' components is
678 needed:

$$679 \quad \dot{C}_{D,sys} = \sum_{k=0}^n (c_{f,k} \dot{E}x_{D,k}) \quad (\text{A.25})$$

680 To account for the component capital investment, we should convert it into an hourly rate
681 dependant also on the project's lifetime:

$$682 \quad \dot{Z}_{sys} = \frac{PW \cdot CRF}{\tau} \quad (\text{A.26})$$

683 PW and CRF are obtained as follows:

$$684 \quad PW = TCI - \frac{SV_N}{(1+i)^N} \quad (\text{A.27})$$

$$685 \quad CRF = \frac{i(1+i)^n}{(1+i)^n - 1} \quad (\text{A.28})$$

686 Apart from the basic exergoeconomic evaluation, within the SPECO method, two additional
687 performance indicators can be calculated:

688 *Relative cost difference*

$$689 \quad r_k = \frac{c_{p,k} - c_{f,k}}{c_{f,k}} \quad (\text{A.29})$$

690 *Exergoeconomic factor*

$$691 \quad f_k = \frac{\dot{Z}_k}{\dot{Z}_k + c_{f,k}(\dot{E}x_{D,k})} \quad (\text{A.30})$$

692 **Appendix B - ExRET-Opt BER strategies techno-economic characteristics [11]**

693 *[Table B.1 around here]*

694 *[Table B.2 around here]*

695 *[Table B.3 around here]*

696 *[Table B.4 around here]*

697 *[Table B.5 around here]*

698 *[Table B.6 around here]*

699

700

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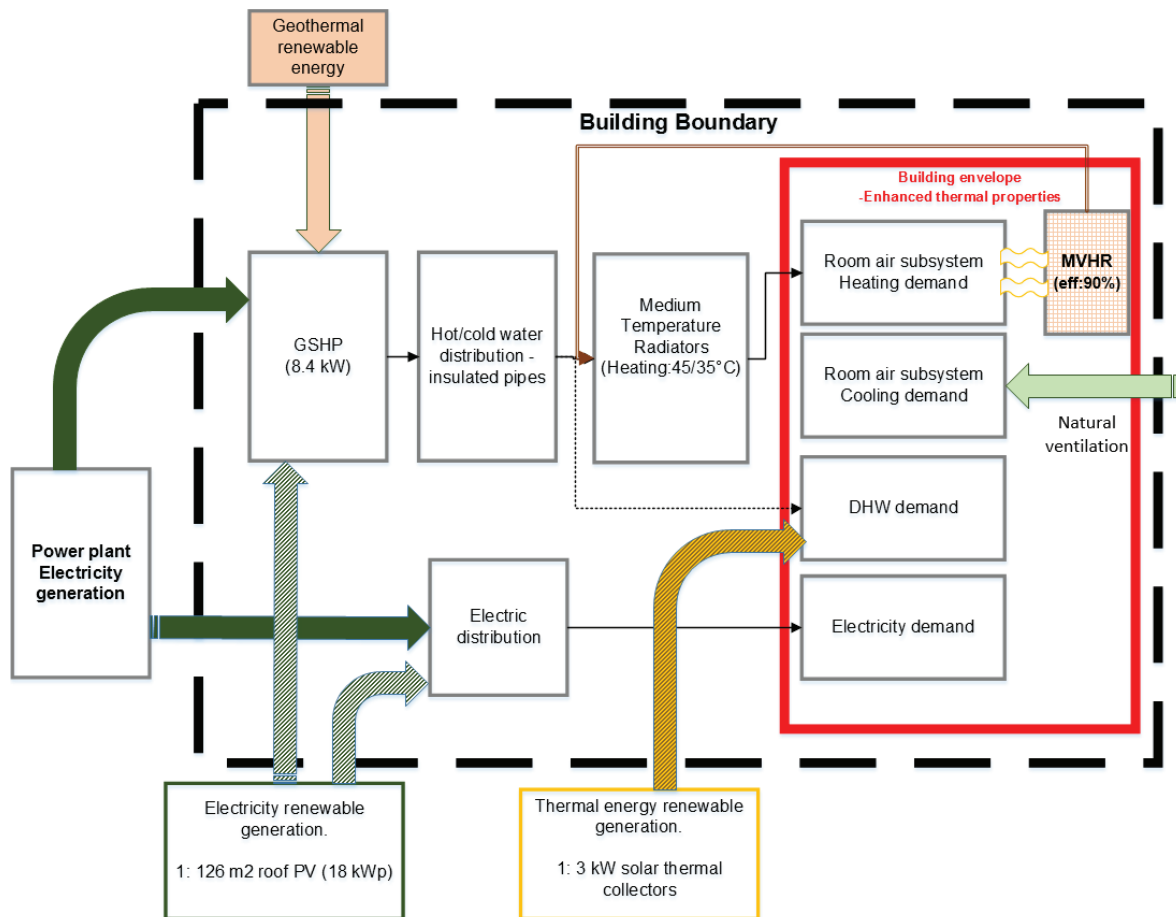
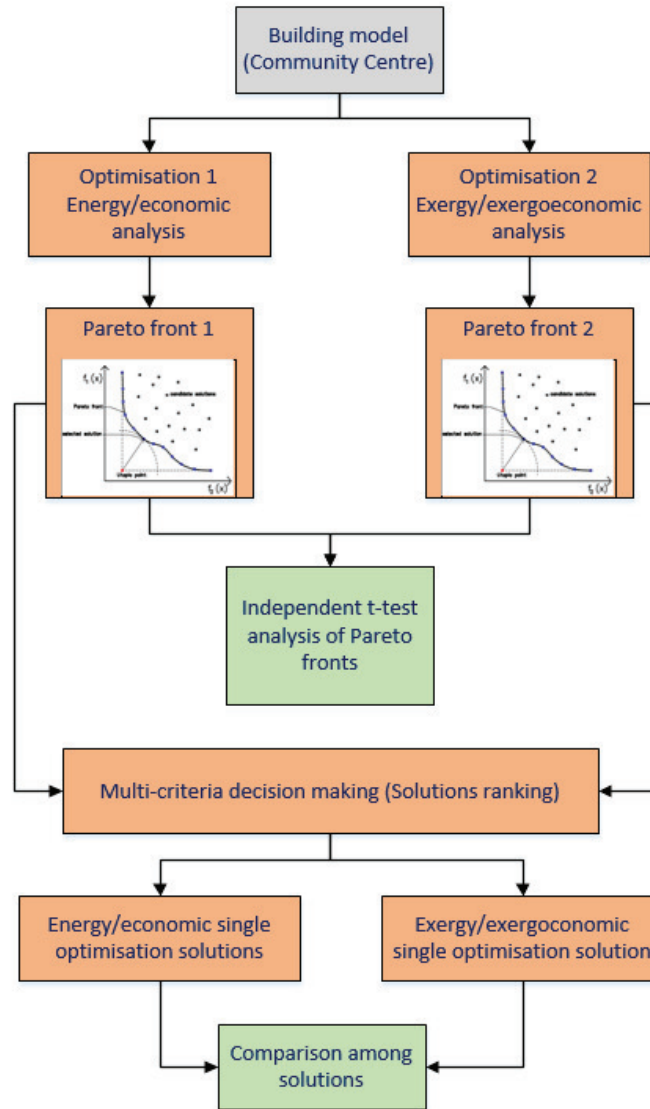


Fig. 1 Schematic layout of the energy system for the post-retrofit Community Centre

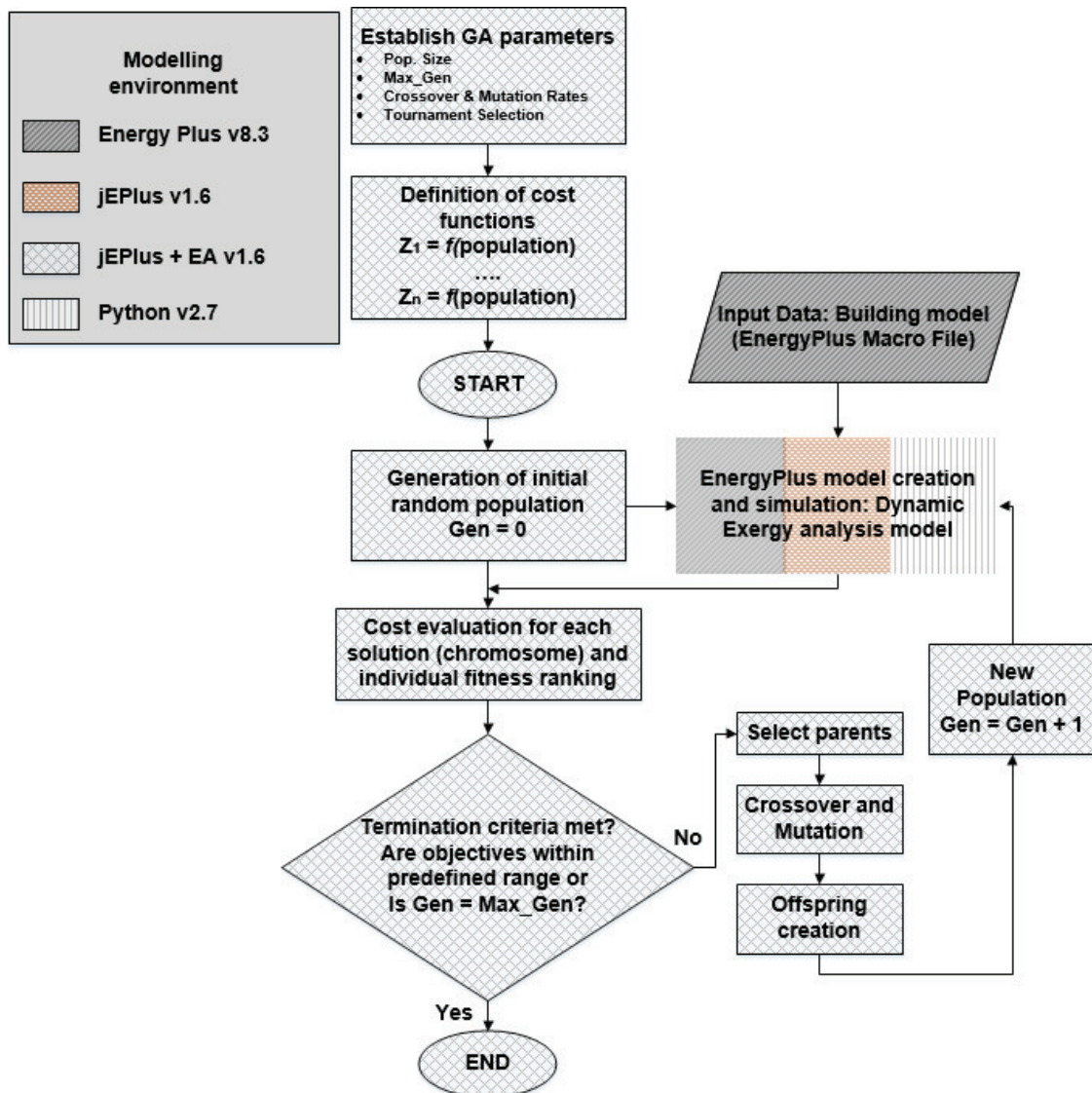
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Fig. 2 Methodological approach to assess the differences between results of both optimisation approaches



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Fig. 3 Genetic algorithm optimisation process applied to the ExRET-Opt tool [52]

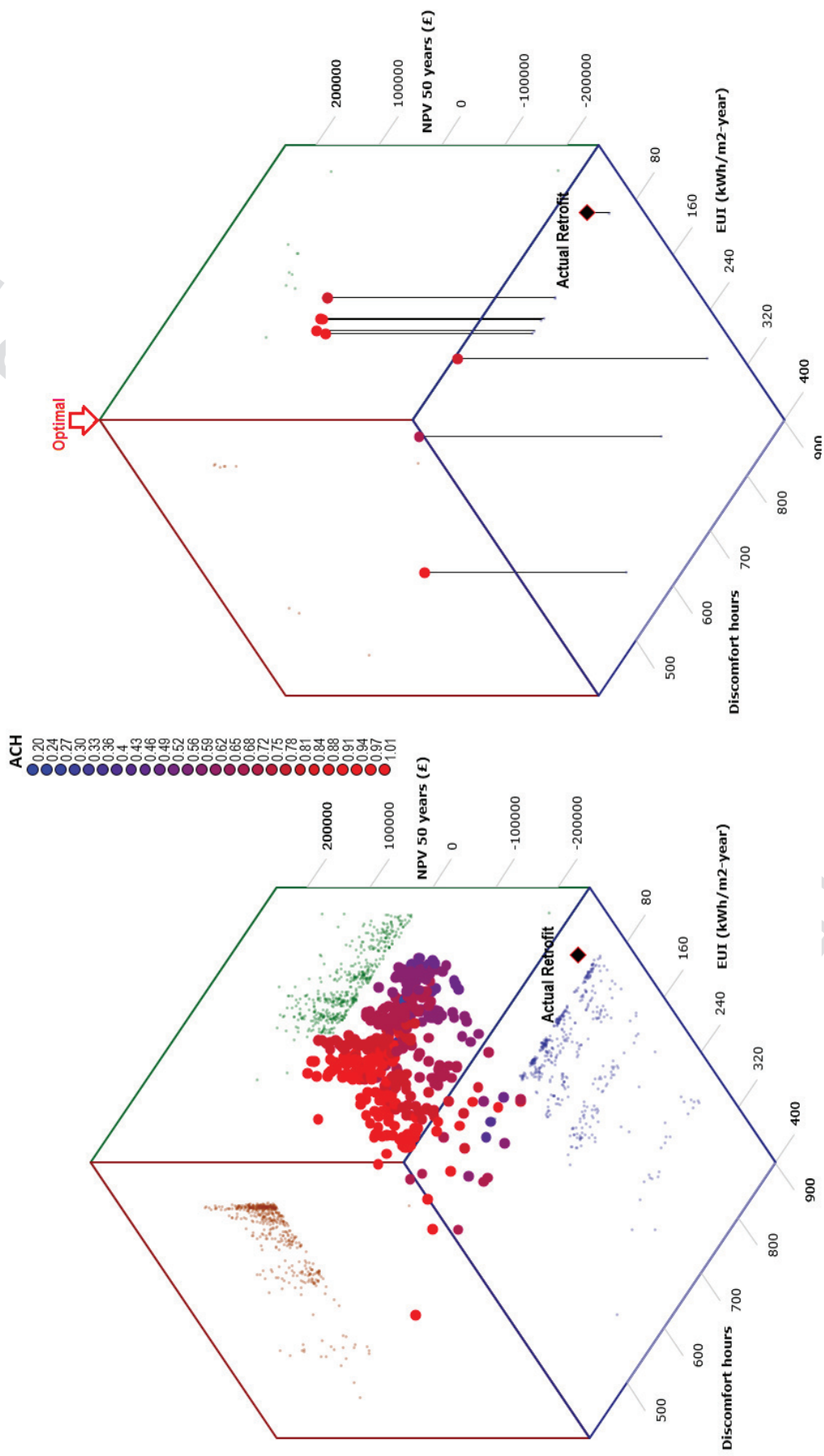
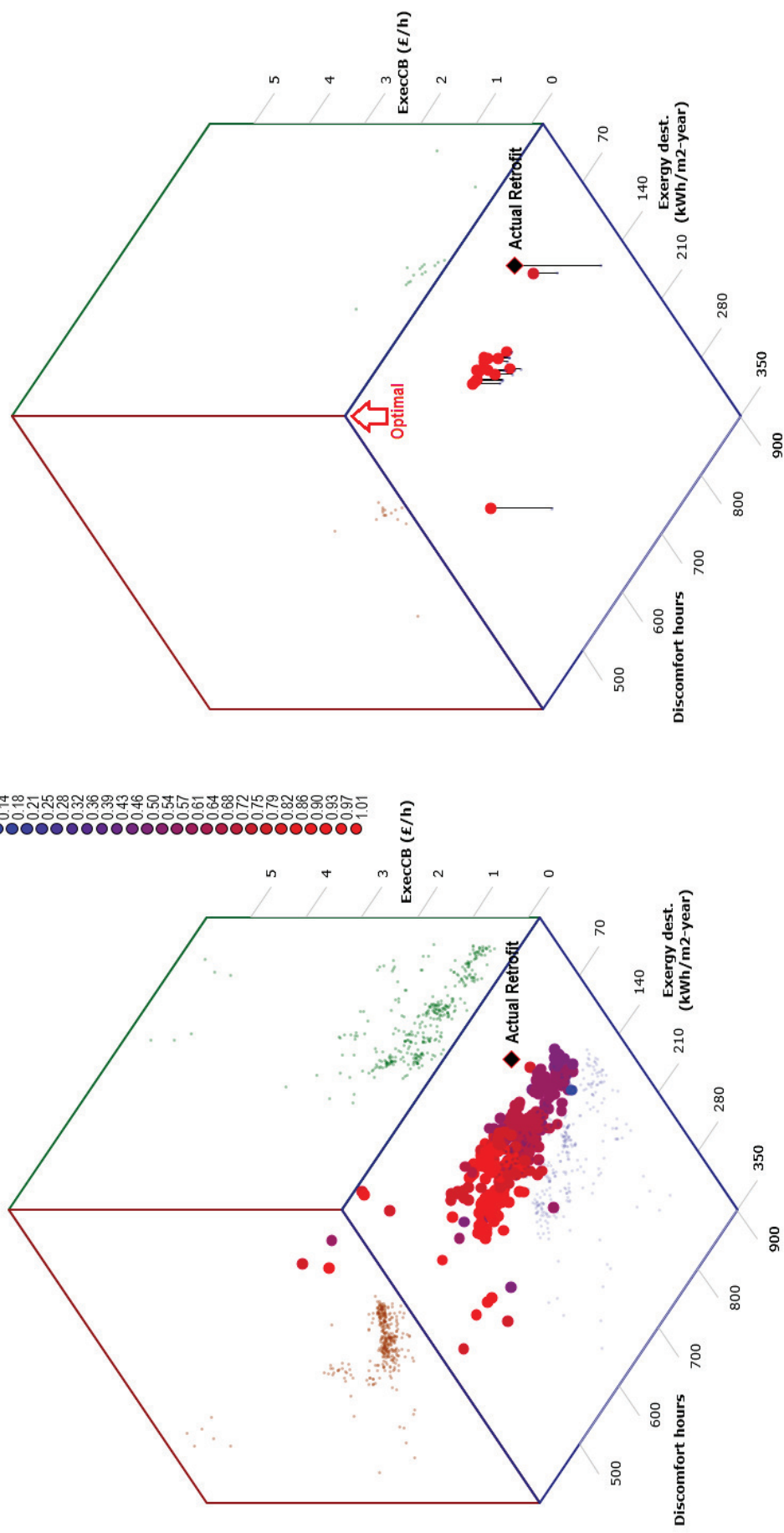


Fig. 4 Constrained results from the multi-objective optimisation (left) and the Pareto optimal solutions (right). Energy/economics- based optimisation

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- 0.93
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Fig. 5 Constrained results from the multi-objective optimisation (left) and the Pareto optimal solutions (right). Exergy/exergoeconomics-based optimisation

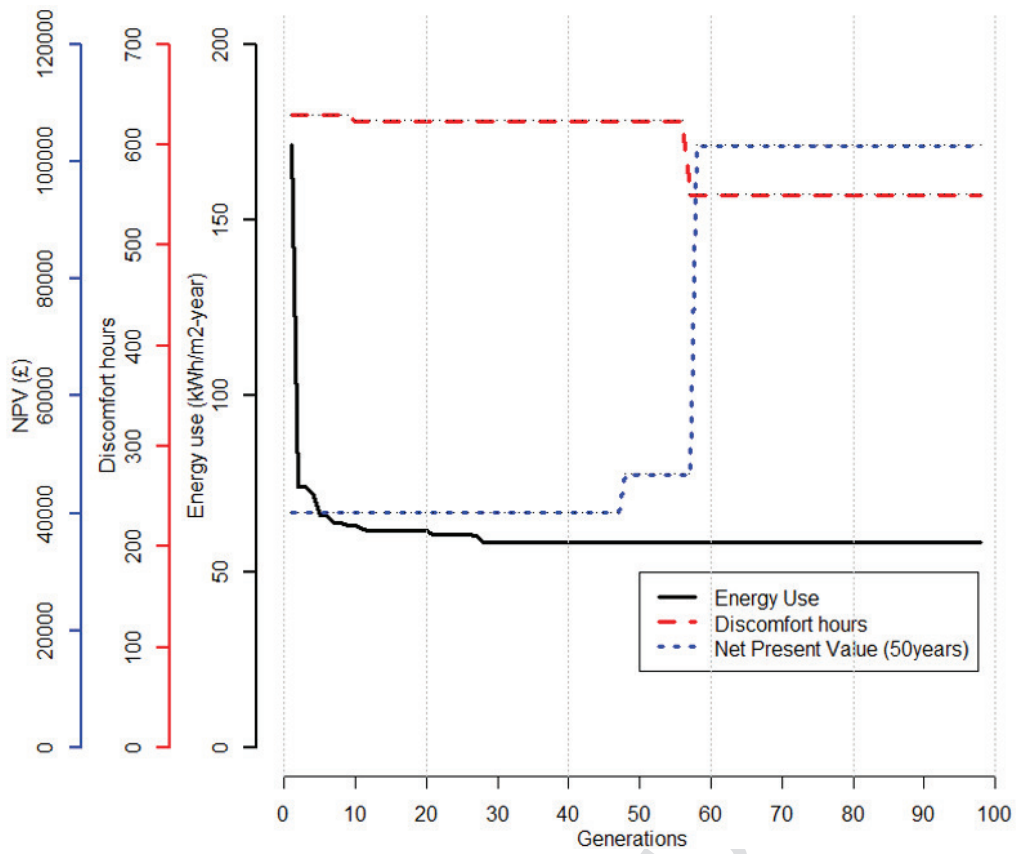


Fig. 6 Convergence of energy/economic optimisation procedure for the three objective functions

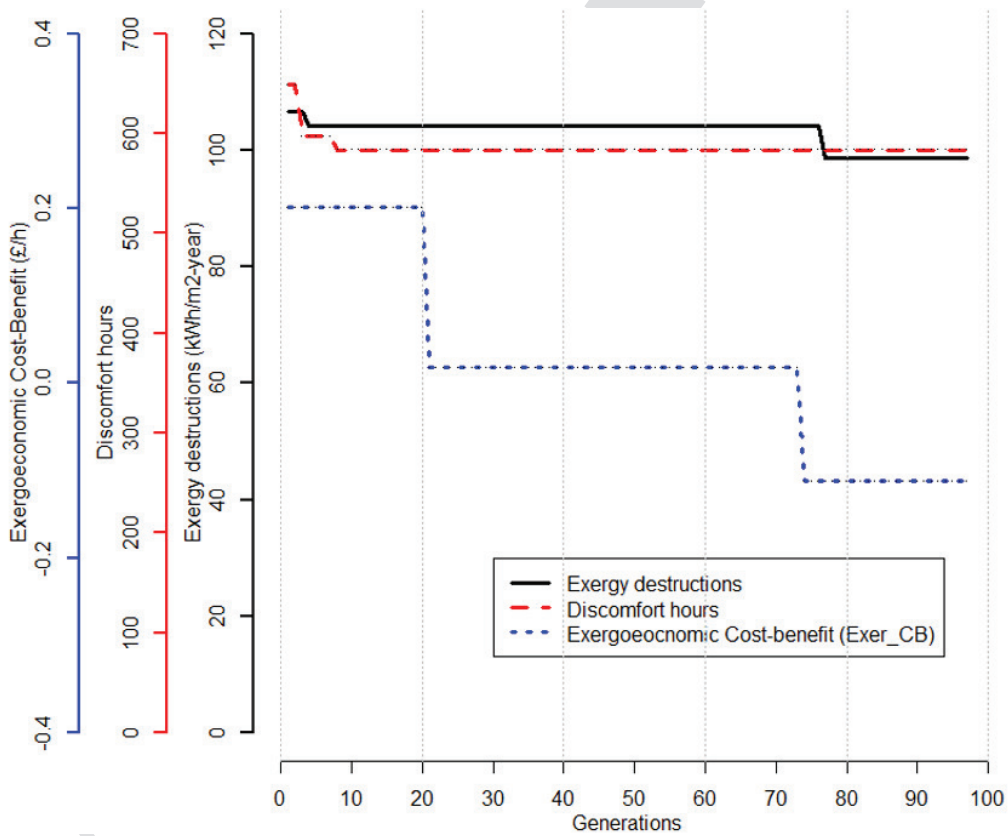


Fig. 7 Convergence of exergy/exergoeconomic optimisation procedure for the three-objective functions

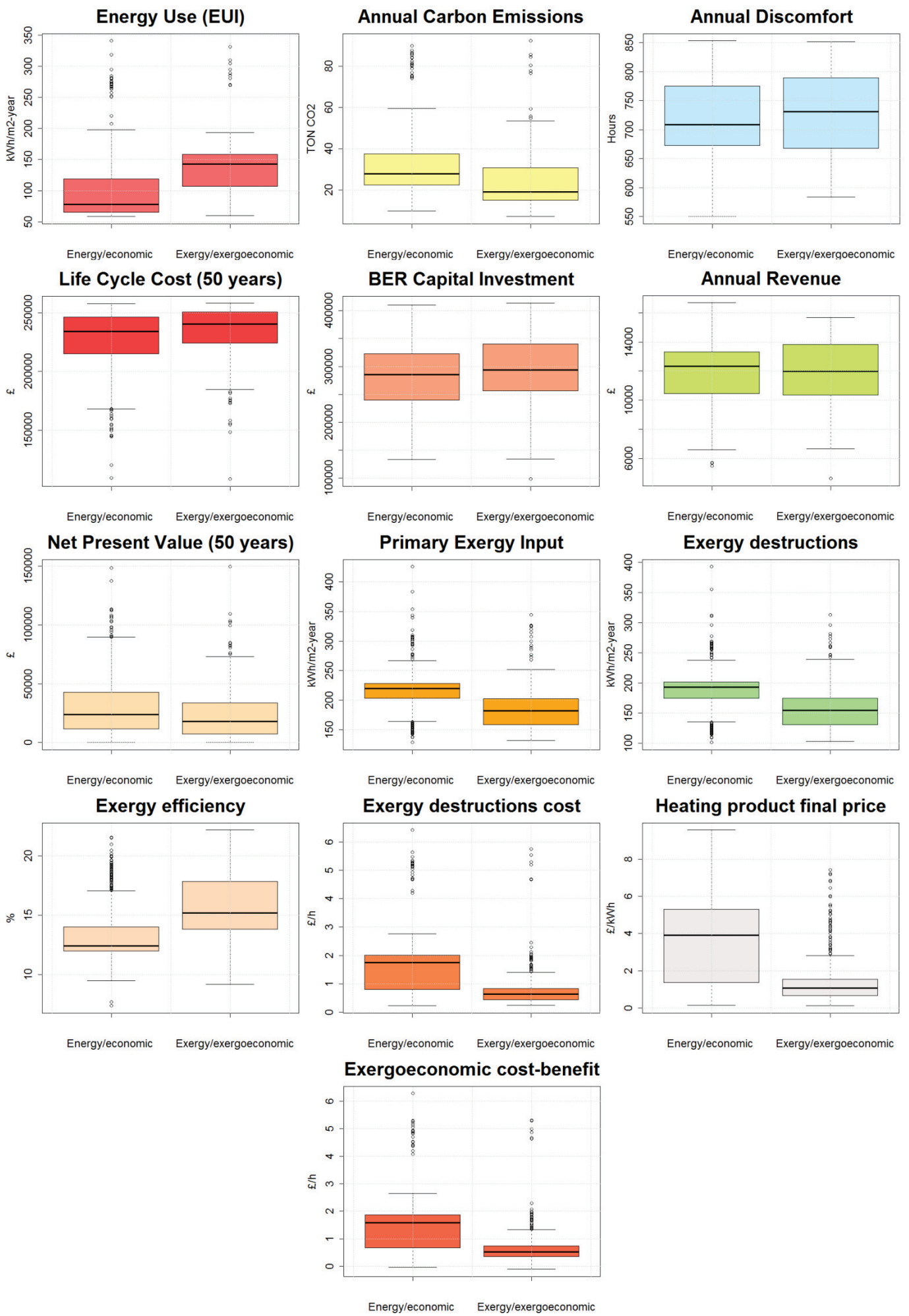


Fig. 8 Boxplots representing each output gathered for both optimisation approach

Table 1 Comparison of several multi-objective optimisation studies applied to building energy design studies

Author	Case study	Location(s)	Simulation engine(s)	Decision variables	Objective functions	Constraints	Optimisation algorithm	Ranking method
Diakaki et al. [7]	Single-zone dwelling (100 m ²)	Athens, Greece	LINGO	Windows, insulation type, wall insulation thickness	<ul style="list-style-type: none"> Initial investment cost Building load coefficient 	Insulation thickness	Mixed-integer combinatorial optimisation problem	Compromise programming and goal programming
Diakaki et al. [8]	Single-zone dwelling (100 m ²)	Athens, Greece	LINGO	HVAC and DHW systems, Solar collectors, and building envelope characteristics	<ul style="list-style-type: none"> Primary energy use Carbon emissions Initial investment cost 	Capital investment	Mixed-integer combinatorial optimisation problem	Chebyshev programming
Siddharth et al. [9]	Office building (3721 m ²)	Chennai, India. Maryland, USA. Arkansas, USA	DOE-2.2	HVAC systems, envelope characteristics	<ul style="list-style-type: none"> Energy use Initial investment cost 	Non-defined	NSGA-II	N/A
Asadi et al. [10]	Semi-detached dwelling (97 m ²)	Coimbra, Portugal	TRNSYS, GenOpt, and MatLab	Envelope characteristics (windows, walls, and roof) and solar collectors	<ul style="list-style-type: none"> Initial investment cost Energy savings Thermal comfort 	Non-defined	Mixed-integer combinatorial optimisation problem	Chebyshev programming
Diakaki et al. [11]	Single-zone dwelling 50m ²	Iraklion, Greece	TRNSYS and LINGO	Envelope characteristics and HVAC systems	<ul style="list-style-type: none"> Primary energy use Carbon emissions Initial investment cost 	Technological and budget constraints	Mixed-integer multi-objective combinatorial optimisation problem	Chebyshev programming
Gossard et al. [12]	Single-zone dwelling (112 m ²)	Nancy, France Nice, France	TRNSYS, GenOpt, and ANN	Envelope thermo-physical values	<ul style="list-style-type: none"> Energy use Thermal comfort 	Comfort conditions	NSGA-II and Particle swarm optimisation (PSO)	Weighted-sum method
Malatji et al. [13]	Facility building (--- m ²)	Pretoria, South Africa	N/A	Insulation, lighting, controls, and HVAC systems	<ul style="list-style-type: none"> Energy use Payback period 	NPV, initial investment, energy target, and payback period	Integer programming GA	Weighted-sum method



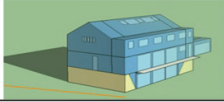
Asadi et al. [14]	School building 9850 m ²	Coimbra, Portugal	TRNSYS, GenOpt, and ANN	Envelope characteristics (windows, walls, and roof), solar collectors, and HVAC systems	<ul style="list-style-type: none"> • Energy use • Retrofit cost • Thermal comfort 	Non-defined	NSGA-II	N/A
Murray et al. [15]	University building (--- m ²)	Cork, Ireland	Degree-days and BeOpt	Envelope characteristics (windows, walls, and roof)	<ul style="list-style-type: none"> • Simple payback • Carbon emissions • Energy Cost 	Capital investment	NSGA-II	N/A
Shao et al. [16]	Office building (400 m ²)	Aachen, Germany	Visual Basic energy model	Envelope characteristics (windows, walls, and roof), and HVAC systems	<ul style="list-style-type: none"> • Initial capital investment • Energy use, • Carbon emissions 	Envelope physical values, annual energy use and envelope air leakage	NSGA-II	Multiple-attribute value theory (MAVT)
Wang et al. [17]	Facility building (--- m ²)	Pretoria, South Africa	N/A	Lighting and HVAC systems	<ul style="list-style-type: none"> • Energy savings • NPV • Evaluation period 	% energy use, expected payback period, initial investment	Differential evolution (DE) algorithms	Weighted sum method
Ascione et al. [18]	Apartment flats (110 m ² per flat)	Naples, Italy	EnergyPlus and MatLab	Setpoints, envelope insulation, and HVAC systems	<ul style="list-style-type: none"> • Initial investment cost • HVAC energy requirement • Thermal comfort 	Investment costs	NSGA-II	N/A
Echenagucia et al. [19]	Open space office (first floor) (280 m ²)	Palermo, Torino, Frankfurt and Oslo	EnergyPlus	Wall thickness, Number, shape and placement of windows Glazing characteristics	<ul style="list-style-type: none"> • Heating • Cooling • Lighting 	Building physical characteristics	NSGA-II	N/A
Dahlhausen et al. [20]	Office building (6968 m ²)	Philadelphia, USA	Open Studio, EnergyPlus, and R	Building enclosure, solar control, plug load/lighting control, and HVAC equipment	<ul style="list-style-type: none"> • Energy use • NPV 	Investment costs	mixed-integer multi-objective combinatorial optimisation problem	N/A

Author	Building	Location	Software	Envelope characteristics, control strategies, and window openings	Indoor air quality	NSGA-II	Weighted sum method
Carlucci et al. [21]	detached single-family house (149.2 m ²)	Mascalucia, Italy	EnergyPlus, GenOpt and Java	<ul style="list-style-type: none"> Thermal comfort Visual comfort 	Indoor air quality	NSGA-II	N/A
Lu et al. [22]	Office building (1520 m ²)	Hong Kong, China.	TRNSYS and MatLab	Envelope and HVAC systems	Zero energy use	NSGA-II	N/A
Ascione et al. [23]	Apartment flats (110 m ² per flat)	Napes, Italy, Istanbul, Turkey	EnergyPlus and MatLab	<ul style="list-style-type: none"> Investment costs Carbon emissions Grid interaction index. 	Maximum value of admitted discomfort	NSGA-II	Weighted sum method
Ascione et al. [24]	Apartment flats (110 m ² per flat)	Napes, Italy	EnergyPlus and MatLab	<ul style="list-style-type: none"> Primary energy for space conditioning Thermal comfort 	Fulfillment of the minimum levels of RES integration per Italian law (minimum production of DHW, minimum size of PV, etc)	NSGA-II	Weighted sum method

Penna et al. [25]	Single-zone dwelling (100 m ²)	Milan, Italy. Messina, Italy	TRNSYS and MatLab	Envelope and HVAC systems	<ul style="list-style-type: none"> • Energy use • NPV • Thermal comfort 	Investment costs	NSGA-II	N/A
Delgarm et al. [26]	Single-zone dwelling (9 m ²)	Tehran, Iran. Kerman, Iran	EnergyPlus, jEPlus and MatLab	Insulation, glazing, and solar shading	<ul style="list-style-type: none"> • Annual heating • Cooling • Lighting 	N/A	Particle swarm optimisation (PSO)	Weighted sum method
Ascione et al. [27]	Residential building (140 m ²)	Napes, Italy	EnergyPlus and MatLab	hourly values of set point temperatures in the building thermal zones	<ul style="list-style-type: none"> • Energy demand • Thermal comfort 	Maximum duration of HVAC system daily operation	NSGA-II	Weighted sum method
Schwartz et al. [28]	Council house complex (--- m ²)	Sheffield, England	EnergyPlus, jEPlus and jEPlus EA	Envelope characteristics, insulation, windows	<ul style="list-style-type: none"> • Life cycle cost • Life cycle carbon 	N/A	NSGA-II	N/A
Hamdy et al. [29]	Residential house –two floors (143 m ²)	Helsinki, Finland	IDA-ICE 4.6	Energy saving measures (envelope, equipment, systems), renewable energy sources (thermal collectors, PV) and mechanical systems	<ul style="list-style-type: none"> • primary energy consumption • life-cycle cost (LCC) of the design solution 	N/A	pNSGA-II MOPSO PR_GA ENSES evMOGA spMODE-II MODA	Normalized generational distance, normalized inverted generational distance and normalized diversity metric
Fan et al. [30]	Residential building – 66 apartments (70 m ² each)	South Africa	Non-linear integer programming problem.	Windows, external wall insulation materials, roof insulation materials, rooftop solar panel	<ul style="list-style-type: none"> • Energy savings • NPV • Payback period 	Total cost of the building envelope retrofitting considering maintenance during a time, and maximum area for solar panel	Genetic Algorithm	Weighted sum method

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Table 2 Retrofitted Community Centre main characteristics

General Description	Three Storey Community Centre - Offices		  		
Building Type	Commercial				
Configuration	Low Rise-Shallow Plan				
Location	London				
Coordinates	51° 33' 03" N, 0° 04' 57" W Decimal 51.550833°, -0.082489°				
Weather File	London Heathrow, UK				
Geometry					
Number of Floors	3	Total Floor Area	800m ²		
Opaque Materials		Construction (from inside layer)		U-Value Wm²/K	
External Walls (GF/1 ST F)	400mm Solid Wall – 300mm Extruded Polystyrene		0.109		
External Walls (Basement)	400mm Solid Wall – 200mm Expanded Polystyrene		0.160		
Basement Floor	300 mm Concrete Floor Slab – 80mm Phenolic Foam		0.173		
Ground Floor	300 mm Concrete Floor Slab – 300mm Cellular Glass		0.108		
Pitched Roof	Timber framed - 300mm Cellular Glass - Zinc finish		0.134		
Flat Roof	200 mm Concrete Slab – 300mm Cellular Glass		0.131		
Transparent Materials		Property	U-Value W/m²K	SHGC	VT
Glazing Material	6-13-6-13-6 Triple Glazed Air Filled-Low-e		1.598	0.613	0.696
Glazing Area	23% of Total Wall Area				
Skylight Area	5% of Total Roof Area				
Shading	N/A				
Systems					
HVAC System Type	Mechanical Ventilation with Heat Recovery System				
Heating System	Heat Recovery System + 8.4kW Ground Source Heat Pump with radiators				
COP GSHP	4.5				
Fuel Type	Electricity				
Heating System Controls	Main System Thermostat – Thermostatic Valves on Radiators				
Cooling System	N/A (Natural Ventilation and Night Cooling)				
Ventilation	<ul style="list-style-type: none"> • Winter: Mechanical Ventilation Heat Recovery-Radius Heat Exchanger Eff= 0.75 • Summer: Mixed Mode Ventilation Heat Recovery-Radius Heat Exchanger Eff= 0.75 + Natural Ventilation 				
Specific Fan Power	0.7 – 1.5 kPa				
DHW					
Generator Type	Single 3m ² thermal vacuum tube panel + hot water tank GSHP for top-up				
Fuel Type	Solar energy - Electricity				
Lighting					
Type	T8 LFC				
Controls	manual-on-off				
Loads					
Occupancy	1 person/16m ² - at average 140 watts= 8.75 W/m ²				
Equipment	73.4 W/m ²				
Lighting	10.6 W/m ²				
Rates					
Infiltration Rate (@50 Pa)	0.42 ach				
Renewables (PV system)					
Available roof space	398.6 m ²				
PV array	125m ² of PV on pitched surface (inclination 30°)				
Type	77 modules of 18kWp, c-Si-Monocrystalline				

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Table 3 Actual performance for the case study Passivhaus building [51]

Energy and economic indicators	Values
Energy use (EUI) (kWh/m ² -year)	61.6
Energy bill (£/year)	4,379
RHI income (£/year)	988.3
FiT income (£/year)	723.6
Retrofit capital investment (£)	417,028
Annual revenue (£/year)	7,415.4
Life Cycle Cost _{50 years} (£)	471,403
Net Present Value _{50 years} (£)	-213,436
DPB	137.2
Exergy and exergoeconomic indicators	Values
Exergy input (fuel) (kWh/m ² -year)	166.8
Exergy demand (product) (kWh/m ² -year)	30.0
Exergy destructions (kWh/m ² -year)	136.8
Exergy efficiency HVAC	10.4%
Exergy efficiency DHW	2.5%
Exergy efficiency Electric equip.	19.9%
Exergy efficiency Building	18.0%
Exergy cost fuel-prod HEAT (£/kWh) { r_k }	0.12—0.26{1.14}
Exergy cost fuel-prod COLD (£/kWh) { r_k }	---- {---}
Exergy cost fuel-prod DHW (£/kWh) { r_k }	0.12—1.90 {14.82}
Exergy cost fuel-prod Elec (£/kWh) { r_k }	0.12—0.24 {0.97}
D (£/h) Exergy destructions cost {energy bill £; %D from energy bill}	0.38 {2,947.3; 68.2 %}
Z (£/h) Levelised capital cost	1.78
R (£/h) Levelised revenue	0.84
Exergoeconomic factor f_k (%)	0.82
Exergoeconomic cost-benefit (£/h)	1.33
Non-thermodynamic indices	Values
Occupant thermal discomfort (PMV)	853
Carbon emissions tCO ₂	38.6

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Table 4 Characteristics and investment cost of HVAC systems [50, 52]

HVAC ID	System Description	Emission system	Cost
H1	Condensing Gas Boiler + Chiller	CAV	<p>Generation systems</p> <ul style="list-style-type: none"> £160/kW Water-based Chiller (COP=3.2) £99/kW Condensing gas boiler ($\eta=0.95$) £70/kW Oil Boiler ($\eta=0.90$) £150/kW Electric Boiler ($\eta=1.0$) £208/kW Biomass Boiler ($\eta=0.90$) £1300/kW ASHP-VRF System (COP=3.2) £1200/kW GSHP (Water-Water) System (COP=4.2) £452/kW ASHP (Air-Air) (COP=3.2) £2000/kW PV-T system £27080 micro-CHP (5.5 kW) + fuel cell system <p>Emission systems</p> <ul style="list-style-type: none"> £700 per CAV £1200 per VAV £35/m² wall heating £35/m² underfloor heating £6117 per Heat Recovery system <p>Other subsystems:</p> <ul style="list-style-type: none"> £56/kW District heat exchanger + £6122 connection charge £50/m for building's insulated distribution pipes
H2	Condensing Gas Boiler + Chiller	VAV	
H3	Condensing Gas Boiler + ASHP-VRF System	FC	
H4	Oil Boiler + Chiller	CAV	
H5	Oil Boiler + Chiller	VAV	
H6	Oil Boiler + Chiller	FC	
H7	Electric Boiler + Chiller	CAV	
H8	Electric Boiler + Chiller	VAV	
H9	Electric Boiler + ASHP-VRF System	FC	
H10	Biomass Boiler + Chiller	CAV	
H11	Biomass Boiler + Chiller	VAV	
H12	Biomass Boiler + ASHP-VRF System	FC	
H13	District system	CAV	
H14	District system	VAV	
H15	District system	Wall	
H16	District system	Underfloor	
H17	District system	Wall+Underfloor	
H18	Ground Source Heat Pump	CAV	
H19	Ground Source Heat Pump	VAV	
H20	Ground Source Heat Pump	Wall	
H21	Ground Source Heat Pump	Underfloor	
H22	Ground Source Heat Pump	Wall+Underfloor	
H23	Air Source Heat Pump	CAV	
H24	PVT-based system (50% roof) with supplemental Electric boiler and Old Chiller	CAV	
H25	Condensing Boiler + Chiller	Wall	
H26	Condensing Boiler + Chiller	Underfloor	
H27	Condensing Boiler + Chiller	Wall+Underfloor	
H28	Biomass Boiler + Chiller	Wall	
H29	Biomass Boiler + Chiller	Underfloor	
H30	Biomass Boiler + Chiller	Wall+Underfloor	
H31	Micro-CHP with Fuel Cell and Electric boiler and old Chiller	CAV	
H32	Condensing Gas Boiler and old Chiller. Heat Recovery System included.	CAV	
H33*	Ground Source Heat Pump + Heat Recovery System	MT Radiators	

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* H33 represents the actual post-retrofit HVAC system installed

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Table 5 Decision variables and vector ID used for the case study

Decision variables - BER measures	Number of possible solutions	Vector ID
HVAC system	34	X^{HVAC}
Wall insulation (above ground)	116	X^{wall}
Roof Insulation	116	X^{roof}
Ground floor Insulation	111	X^{ground}
Basement Wall insulation	116	$X^{\text{wall_BS}}$
Pitched Roof Insulation	116	$X^{\text{roof_Pi}}$
Basement Ground Insulation	111	$X^{\text{ground_BS}}$
Sealing (infiltration rate)	10	X^{seal}
Glazing	13	X^{glaz}
Lighting	4	X^{light}
Photovoltaic panels	12	X^{PV}
Wind turbines	3	X^{wind}
Heating set-point	5	X^{heat}

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Table 6 Algorithm parameters and stopping criteria for optimisation with GA

Parameters	
<i>Encoding scheme</i>	Integer encoding (discretisation)
<i>Population type</i>	Double-Vector
<i>Population size</i>	100
<i>Crossover Rate</i>	100%
<i>Mutation Rate</i>	40%
<i>Selection process</i>	Stochastic – fitness influenced
<i>Tournament Selection</i>	2
<i>Elitism size</i>	Pareto optimal solutions
Stopping criteria	
<i>Max Generations</i>	100
<i>Time limit (s)</i>	10^6
<i>Fitness limit</i>	10^{-6}

Table 9 A comparison of main indicators among single optimisation models from both MOO approaches (best performance in bold and underlined, worst performance in bold and italic)

Model	EUI (kWh/ m ² - year)	Annual Carbon (tCO ₂)	Discom- fort (hours)	LCC (50 years) (£)	BER Total Capital Invest. (£)	Annual Revenue (with incentives) (£)	NPV (50 years) (£)	Primary exergy input (kWh _{ex} / m ² - year)	Exergy dest. (kWh _{ex} / m ² - year)	Exergy eff. Building (%)	Exergy dest. cost rate (£/h)	Heating fuel- product price (£/kWh)	ExecCB (£/h)
[min] <i>EUI_{bui}</i>	<u>58.4</u>	27.5	841	249,478	271,738	10,530	8,489	222.1	194.7	12.3%	2.06	0.12--4.24	2.03
[min] Discom- fort	65.9	28.3	<u>550</u>	186,670	316,444	14,649	71,297	213.1	185.9	12.7%	1.05	0.12--3.59	1.43
[max] <i>NPV_{50y}</i>	272.4	81.0	853	<u>109,300</u>	262,992	<u>15,650</u>	<u>148,667</u>	294.5	255.9	13.1%	5.05	0.12--4.46	4.39
Energy/economic-based optimisation													
Exergy/exergoeconomics-based optimisation													
[min] <i>E_{dest,bui}</i>	118.3	53.6	791	254,123	<u>179,250</u>	6,878	3,844	<u>132.2</u>	<u>102.9</u>	<u>22.2%</u>	<u>0.25</u>	<u>0.07--0.12</u>	0.23
[min] Discom- fort	121.7	25.0	584	150,796	256,761	11,309	43,005	146.3	117.8	19.5%	0.28	0.04--0.29	0.28
[min] <i>ExecCB</i>	123.3	<u>14.4</u>	666	177,333	180,018	9,891	80,633	142.2	114.0	19.9%	<u>0.25</u>	0.04--0.19	<u>-0.11</u>

917 Table 10 Independent t-test analysis on main indicators from both optimisation approaches
 918 (best performance in bold and underlined)

Indicator	Mean energy/ economic approach	Mean exergy/ exergoeconomic approach	Estimation difference	95% Confidence interval		t-value	p-value
EUI (kWh/m ² year)	<u>102.4</u>	135.0	-32.4	-39.1	-26.0	-9.78	2.2E-16
Carbon emissions (tCO ₂ /year)	31.65	<u>23.98</u>	7.67	5.8	9.6	7.94	7.2E-15
Discomfort (Hours)	726	729	-3	-11.6	6.2	-0.59	0.5507
LCC (£)	<u>226,694</u>	233,946	-7252	-10,576	-3,928	-4.28	2.1E-05
BER Capital Investment (£)	<u>282,047</u>	292,534	-10487	-18,640	-234	-2.53	0.01177
Annual Revenue (£)	11,802	11,914	-112	-421	198	-0.71	0.4787
NPV (£)	<u>31,273</u>	24,021	7252	3,928	10,576	4.28	2.1E-05
Primary exergy input (kWh/m ² year)	215.9	<u>186.4</u>	29.5	24.4	34.6	11.35	2.2E-16
Exergy destructions (kWh/m ² year)	187.6	<u>158.0</u>	29.6	24.6	34.6	11.72	2.2E-16
Exergy efficiency (%)	13.4	<u>15.6</u>	-2.2	-2.5	-1.84	-12.3	2.2E-16
Exergy destructions cost (£/h)	1.59	<u>0.80</u>	0.79	0.67	0.9	13.12	2.2E-16
Heating product final price (£/kWh)	3.64	<u>1.47</u>	2.17	1.92	2.42	17.19	2.2E-16
Exergoeconomic Cost-benefit (£/h)	1.15	<u>0.70</u>	0.45	0.64	0.87	12.86	2.2E-16

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Table A.1 Primary Energy Factors and Quality Factors by energy sources

Energy source	Primary energy factor (F_p) (kWh/kWh)	Quality factor (F_q) (kWhex/kWhen)
Natural gas	1.11	0.94
Electricity (Grid supplied)	2.58	1.00
District energy ¹	1.11	0.94
Oil	1.07	1.00
Biomass (Wood pellets)	(0.20) [†] 1.20	1.05
Coal	1.01	1.04

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The District system was assumed to be run by a single-effect indirect-fired absorption chiller with a coefficient of performance (COP) of 0.7.

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[†] Considering a quality factor for renewable based and fossil based separately.

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Table A.2 Energy tariffs for small non-domestic buildings in the UK in 2015 (considering CCL)

Energy source	Prices (£/kWh)
Natural gas	0.030
Electricity (Grid supplied)	0.121
District Heating and Cooling	0.066 [‡]
Oil	0.054
Biomass (Wood pellets)	0.044

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[‡]Prices taken from Shetland Heat Energy & Power Ltd - Lerwick's District Heating Scheme (Commercial tariffs <http://www.sheap-ltd.co.uk/commercial-tariffs>) Accessed: 15-October-2015

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Table A.3 FiT and RHI tariffs included in ExRET-Opt. Prices are from September, 2015

Incentive Schemes Tariff	Prices (£/kWh)
FiT Electricity Exported	0.048
FiT PV Electricity Generation	0.059
FiT Wind Electricity Generation	0.138
RHI Solar Heat Generation	0.103
RHI GSHP Heat Generation	0.090
RHI ASHP Heat Generation	0.026
RHI Biomass Heating Generation	0.045

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Table B.1 Characteristics and investment cost of lighting systems

Lights ID	Lighting technology	Cost per W/m ²
L1	T8 LFC	£5.55
L2	T5 LFC	£7.55
L3	T8 LED	£11.87

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Table B.2 Characteristics and investment cost of renewable energy generation systems

Renewable ID	Technology	Cost
R1	<i>PV panels 10-100% roof</i>	PV: £1200/m ²
R2	<i>Wind Turbine 20 kW</i>	Turbine: £4000/kW
R3	<i>Wind Turbine 40 kW</i>	

*For the case study PV panels roof area were applied in 10% steps (0-100%)

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Table B.3 Cooling and heating indoor set points variations

Set-point ID	Set-point Type	Value (°C)	Cost
SH18	<i>Heating</i>	18	(-)
SH19		19	
SH20		20	
SH21		21	
SH22		22	

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Table B.4 Characteristics and investment cost of different insulation materials

Ins. ID	Insulation measure	Thickness (cm)	Total of measures	Cost per m ² (lowest to highest)
11	<i>Polyurethane</i>	2 to 15 in 1 cm steps	14	£6.67 to £23.32
12	<i>Extruded polystyrene</i>	1 to 15 in 1 cm steps	15	£4.77 to £31.99
13	<i>Expanded polystyrene</i>	2 to 15 in 1 cm steps	14	£4.35 to £9.95
14	<i>Cellular Glass</i>	4 to 18 in 1 cm steps	15	£16.21 to £72.94
15	<i>Glass Fibre</i>	6.7 7.5 8.5 and 10 cm	4	£5.65 to £7.75
16	<i>Cork board</i>	2 to 6 in 1 cm steps 8 to 20 cm in 2 cm steps 28 and 30 cm	14	£5.57 to £85.80
17	<i>Phenolic foam board</i>	2 to 10 in 1 cm steps	9	£5.58 to £21.89
18	<i>Aerogel</i>	0.5 to 4 in 0.5 cm steps	8	£26.80 to £195.14
19	<i>PCM (w/board)</i>	10 and 20 mm	2	£57.75 to £107.75

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*For the case study, for insulation measures 11, 12, 13, 14, 15, 16, and 17, extra thicknesses (20, 25 and 30 cm) with its respective cost were added. This was done to achieve envelope U-values within the Passivhaus standard

Table B.5 Characteristics and investment cost of glazing systems

Glazing ID	System Description (# panes – gap)	Gas Filling	Cost per m ²
G1	<i>Double pane - 6mm</i>	Air	£261
G2	<i>Double pane - 13mm</i>	Air	£261
G3	<i>Double pane - 6mm</i>	Argon	£350
G4	<i>Double pane - 13mm</i>	Argon	£350
G5	<i>Double pane - 6mm</i>	Krypton	£370
G6	<i>Double pane - 13mm</i>	Krypton	£370
G7	<i>Triple pane - 6mm</i>	Air	£467
G8	<i>Triple pane - 13mm</i>	Air	£467
G9	<i>Triple pane - 6mm</i>	Argon	£613
G10	<i>Triple pane - 13mm</i>	Argon	£613
G11	<i>Triple pane - 6mm</i>	Krypton	£653
G12	<i>Triple pane - 13mm</i>	Krypton	£653

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951**Table B.6 Characteristics and investment cost for air tightness improvement considering baseline of 1 ach @50Pa**

Sealing ID	ACH (1/h) @50Pa Improvement %	Cost per m ² (opaque envelope)
S1	10%	£1.20
S2	20%	£3.31
S3	30%	£6.35
S4	40%	£10.30
S5	50%	£15.20
S6	60%	£20.98
S7	70%	£27.69
S8	80%	£35.33
S9	90%	£43.88

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