## Coronal density structure and its role in wave damping in loops

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# Abstract

It has long been established that gradients in the Alfvén speed, and in particular the plasma density, are an essential part of the damping of waves in the magnetically closed solar corona by mechanisms such as resonant absorption or phase mixing. While models of wave damping often assume a fixed density gradient, in this paper the self-consistency of such calculations is assessed by examining the temporal evolution of the coronal density. It is shown conceptually that for some coronal structures, density gradients can evolve in a way that the wave damping processes are inhibited. For the case of phase mixing we argue that: (a) wave heating cannot sustain the assumed density structure and (b) inclusion of feedback of the heating on the density gradient can lead to a highly structured density, although on long timescales. In addition, transport coefficients well in excess of classical are required to maintain the observed coronal density. Hence, the heating of closed coronal structures by global oscillations may face problems arising from the assumption of a fixed density gradient and the rapid damping of oscillations may have to be accompanied by a separate (non-wave based) heating mechanism to sustain the required density structuring.

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## **1. Introduction**

Coronal heating due to the dissipation of magnetohydrodynamic (MHD) waves has a long history (e.g. Nakariakov and Verwichte, 2005; De Moortel and Nakariakov, 2012; Arregui, 2015). However, in a uniform medium the timescale for dissipating the wave energy for classical models of viscous or resistive transport is very slow, being proportional to the viscous and magnetic Reynolds number ( $R_e$  and  $R_m$ ), both of which are >> 1. The problem is particularly acute for linear shear Alfvén waves when the compressive components of the viscous stress tensor vanish (Braginskii, 1965).

Through a substantial body of literature, this well-known difficulty has been addressed in two ways. The first, originating in the fusion literature (e.g. Tataronis and Grossmann, 1973), is that for a structured corona in MHD equilibrium, a resonance exists between a "global" mode whose frequency reflects the large-scale plasma and magnetic structure, and a local (shear) Alfvén wave that satisfies  $\omega = \mathbf{k}.\mathbf{V}_A$  at some location (a resonant layer), where  $\mathbf{k}$  is the wave-vector,  $\mathbf{V}_A = \mathbf{B}_0 / \sqrt{4\pi\rho_0}$  the Alfvén speed, and subscript "0" denotes equilibrium quantities. Energy is fed into this layer at a rate independent of the value of the diffusion coefficients, so giving an effective damping of the global mode (e.g. Ionson, 1978; Rae and Roberts, 1981, Lee and Roberts, 1986; Ruderman and Roberts, 2002; Goossens et al, 2011). However, to heat the atmosphere, dissipation of the wave energy must still occur within the layer and is commonly *assumed* to happen on a similar timescale to the damping of the global mode. In reality, energy will build up in the layer (e.g. Ofman et al, 1994, 1995), leading to large amplitude oscillations and "something happens" to dissipate the (shear) Alfvén wave. In numerical simulations, "something" is strong diffusion, artificially enhanced over its real value by several orders of magnitude.

The second process, phase mixing of shear Alfvén waves (Heyvaerts & Priest, 1983: hereafter HP83), can result in effective dissipation, though again the diffusion coefficients need to be enhanced over classical values. For the closed coronal structures (loops) that we will be concerned with, the presence of a gradient in Alfvén speed transverse to both the velocity and magnetic field of the (standing) wave, and its wave-vector, means that as time increases, neighbouring waves become out of phase, developing sharp spatial gradients. HP83 showed that the dissipation time scales as the cube root of  $R_m$  and  $R_e$  and for typical values this is a significant enhancement over the damping of waves in a uniform medium.

A gradient in the Alfvén speed is essential for both resonant absorption and phase mixing to operate. With phase mixing, the field must be locally straight, otherwise coupling to other modes arises (Parker, 1991). For a low-beta corona, this implies that the magnetic field strength is approximately constant, so that the Alfvén speed gradient is due to a changing density. Most studies of resonant absorption in the solar literature assume that the resonance is obtained by a having a density gradient in a uniform field, so that the condition in Cartesian geometry is  $\omega = k_z B_{0z} / \sqrt{4\pi\rho_0 (x = x_{res})}$ , with the magnetic field in the *z* direction and  $x_{res}$  the location of the resonant layer. However, resonant absorption can also occur for constant density when there is a shear in the magnetic field, and then the resonant condition is  $\omega = [k_y B_{0y}(x = x_{res}) + k_z B_{0z} (x = x_{res})]/\sqrt{4\pi\rho_0}$  (e.g. Poedts et al, 1990). Note that while it is often assumed that the coronal field varies smoothly over the observed loop dimension, the density gradient can be more local. These conditions translate readily to the commonly used cylindrical geometry.

Within the framework of MHD, and assuming a degree of symmetry, the freedom exists to choose the density and magnetic field profiles as long as equilibrium is maintained. However, it is well known that in the magnetically closed corona, the density is directly related to the magnitude of the heating (e.g. Klimchuk, 2006; Reale, 2014) and this opens up important questions for wave heating. Numerical models of wave heating typically pose an initial value problem in which waves are injected from the chromosphere into a fixed (transverse) density profile involving a transition from low to high density. Here, the high-density region must be sustained by some form of coronal heating. One option is that this occurs via a form of nonwave heating (e.g. small-scale magnetic reconnection). The wave calculations will then proceed as advertised, but with their role in coronal heating being small. Alternatively, if the waves are responsible for heating, the assumed density profile must be consistent with the density structure implied by wave heating. If that is not the case, the well-known phenomenon of coronal draining will occur (e.g. Bradshaw & Cargill, 2010), leading to a gradual decline in the enhanced density, in turn changing the plasma conditions in the layer where heating is anticipated to occur. A related issue is how any evolution of the density changes the conditions in which heating must operate, and whether such feedback can change wave dissipation properties: Klimchuk (2006) offered a preliminary discussion.

This paper addresses these aspects by use of simple examples that conceptually demonstrate the form of behaviour that can be expected. Although large scale (future) MHD numerical models may be able to (further) quantify these effects, the proof of concept set out in the current paper indicates inherent, fundamental difficulties for wave based heating mechanisms. Section 2 summarises briefly how density gradients enter the calculations for wave damping due to resonant absorption and phase mixing. Section 3 assesses the sustainability of the density profiles and Section 4 examines feedback between heating and density. The Appendix, which will be referred to throughout the paper, contains a discussion of classical and anomalous dissipation (diffusion) coefficients.

### 2. Damping Rates

In the rest of the paper, we discuss wave heating for a series of loop parameters summarised in Table 1. The lengths, plasma parameters and magnetic field strengths (rows 3 - 6) correspond to (1) short loops, perhaps in the quiet Sun, (2) typical active region values (e.g. Warren et al., 2012), (3) the long (flare-induced) oscillating loops originally identified by Nakariakov et al (1999), and (4) small structures such as X-ray bright points. [Bracketed quantities for case 3 are discussed in Section 3.2].

The damping of standing shear Alfvén waves by phase mixing was discussed by HP83 and Browning and Priest (1984) for Cartesian geometry. The wave is confined to oscillate between two photospheric boundaries of a magnetically closed structure such as a coronal loop of total length 2L. The loop axis is assumed to lie in the *z*-direction along the background magnetic field, the wave magnetic and velocity components are in the *y*-direction and the density gradient is in the *x*-direction. Under these conditions the Alfvén wave is damped (and dissipated) according to (e.g. HP83, Browning and Priest, 1984):

$$v_{y}(x,t) = v_{y}(x,0) \exp\left[-\left(t/t_{P}(x)\right)^{3}\right] \sin(k_{\parallel}z) \sin\Omega(x)t$$
(2.1)

with  $k_{||} = \pi/2L$  to represent a standing wave, the magnetic field follows from Faraday's law and the characteristic damping time for phase mixing is:

$$t_P = \sqrt[3]{6/\left(\nu \left[d\Omega/dx\right]^2\right)}$$
(2.2)

with  $v = v_m + v_v$ , the sum of the resistive and viscous damping coefficients (see the Appendix for a discussion of these),  $\Omega = \pi q V_A(x)/2L$ , q is the mode number, L the loop <u>half-length</u> (we will use this definition throughout the paper), and for a uniform magnetic field  $d\Omega/dx = -$   $\Omega(d\rho/dx)/2\rho$ . Note that q = 1 corresponds to a mode with wavelength 4*L* (referred to as the global mode). For "classical" transport,  $t_P$  is large (Table 1, row 9 and Appendix), typically tens of thousands of seconds or more. [In Table 1, plasma quantities are defined as being in the high-density region.]

For resonant absorption we consider the straight field case for the well-studied cylindrical loop geometry. If there is a smooth density profile linking high and low density regions ( $\rho_i$ and  $\rho_e$  respectively) through a layer of width *l*, the global mode feeds energy into the layer at an approximate rate (e.g. Goossens et al, 1992; Ruderman and Roberts, 2002):

$$t_{R} = \frac{2}{\pi} \frac{a}{l} \frac{(\rho_{i} / \rho_{e} + 1)}{(\rho_{i} / \rho_{e} - 1)} t_{W}$$
(2.3)

where *a* is the transverse scale of the global oscillation (typically assumed to be a loop radius so that *a/L* is roughly the aspect ratio of the loop), and  $t_W \sim 2^{3/2}L(1 + \rho_e/\rho_i)^{1/2}/V_A$  is the period of the global mode (Nakariakov et al., 1999; Ruderman and Roberts, 2002) with the Alfvén speed determined by  $\rho_i$ . The wavelength of this **global (fundamental)** mode is twice the loop length<sup>1</sup>. Typical values of  $t_W$  and  $t_R$  for  $\rho_i \gg \rho_e$  and a/l = 10 are given in Table 1, rows 7 and 8. The damping times lie between 100 and 2000 sec., with the largest value for the longest loops. Shorter damping times, as suggested by Nakariakov et al (1999) and Nakariakov and Ofman (2001) for long loops, can be obtained by adjusting the parameters.

Note that the expressions for  $t_W$  and  $t_R$  (Eq 2.3) give the period and damping time for the resonantly-damped, fundamental (radial) mode of a thin flux tube. Whilst such a single-frequency driver has one resonant layer, a broad-band spectrum may have multiple resonant layers, all within the width l (e.g. De Groof & Goossens 2002). The results in our paper apply to the scenario of a single, fixed frequency. For a broadband driver, the situation may well be somewhat different; although the supporting plasma structure may be changing, resonant absorption will continue to occur, although likely at varying positions.

<sup>&</sup>lt;sup>1</sup> Expression 2.3 assumes a specific density profile (Ruderman and Roberts, 2002, Eq 71). Other profiles lead to small changes in the numerical factors. Also Soler et al (2013) have shown that the general form of (2.3) holds for values of l/a as large as 0.5.

Although using different geometries, both of the above examples illustrate wave-based heating underpinned by a local density gradient. Finally, we point out that we are equating the wave damping time due to phase mixing or resonant absorption with the timescale for plasma heating. This can be considered to be a best case scenario, in the sense that clearly no (wave) heating can occur before (substantial) wave damping occurs. If there is a lag between the wave damping and the actual plasma heating, the conclusions of Section 3 will be strengthened.

#### 3. The relation between wave damping and density structures

In most models of wave damping due to phase mixing and resonant absorption, a stationary density gradient is imposed, although slowly varying densities are also now being considered in some problems (e.g. Morton et al., 2010; Williamson and Erdelyi, 2014a,b). However, it was noted in the Introduction that any density structuring in the closed corona is associated with variable levels of coronal heating. This Section asks whether these imposed density gradients are consistent with the energy deposited due to wave heating, and how a temporally evolving (transverse) density profile changes the nature of wave damping. We again emphasise that we are addressing this from the point of view of an initial value problem where a density gradient is assumed to exist, and waves are injected into it.

# 3.1 Are assumed density structures compatible with wave heating?

As a simple example, consider steady heating. In this case, well-known scaling laws (e.g. Craig et al. 1978; Hood and Priest, 1979) lead to the following relations between the coronal plasma and heating per unit volume  $(H)^2$ :

$$T^{7/2} \sim HL^2$$
,  $nL \sim T^{(7-2\alpha)/4}$ ,  $n \sim H^{(7-2\alpha)/14} L^{-2\alpha/7}$  (3.1)

where a radiative loss  $\Lambda(T) = \chi T^{\alpha}$  is assumed (see e.g. Klimchuk et al, 2008). Typical values of  $\alpha$  lie between -1/2 and -3/2: for the former arises the familiar result  $T \sim n^{1/2}$  and unless otherwise stated we assume  $\alpha = -1$  and  $\chi = 4 \ 10^{-16}$  in c.g.s. units. So a high-density region requires more heating if it is to be in static equilibrium.

<sup>&</sup>lt;sup>2</sup> Note that these relations arise from an exact solution of the one-dimensional static energy equation (e.g. Martens, 2010), with the details of the integration and boundary conditions determining the constants in the scalings.

For the density profiles used in contemporary calculations of resonant absorption and phase mixing, heating is focussed either at the resonance layer or in the vicinity of the point of maximum density gradient, respectively, rather than in the high density regions. Figure 1 shows some of the consequences of this in a simple example of phase mixing. The basic parameters are as in Case 1 of Table 1, though the results are generic. Throughout the paper we assume an initial density profile of the form (solid line in Fig 1):

$$n(x) = n_0 \left[ 1 + (f/2)(1 + \tanh\{(x - x_1)/x_{sc}\} \right]$$
(3.2)

and set  $n_0 = 10^8 \text{ cm}^{-3}$ , f = 4,  $x_1 = 10^8 \text{ cm}$ ,  $x_{sc} = 10^7 \text{ cm}$  and  $L = 2 \, 10^9 \text{ cm}$ . For the case of phase mixing which we now discuss, the wave propagates in the *z* direction, with magnetic field and velocity perturbations in the *y*-direction. Note also that locally, the density profile in a thin layer is independent of whether this layer forms the boundary in a Cartesian or a cylindrical system and hence we can also use the same density profile (eqn 3.2) for resonant absorption, as in Section 3.2.

We now use (2.1) and (2.2) to calculate the heating associated with phase mixing in the density profile (3.2). Eq (2.1) is averaged over a wave period and the loop length, so that the decrease kinetic of then in wave energy as a function time is  $1/2\rho(x)\langle v_{y0}(x)\rangle^2 \left[1-\exp\left[-2(t/t_p(x))^3\right]\right]$  where  $\langle v_{y0}\rangle$  denotes the averaged initial velocity. For this example, we use an initial averaged wave amplitude of 30 km/s, but the result is generic. Since a shear Alfven wave has equal kinetic and magnetic energy, the wave energy (kinetic + magnetic) lost over time is  $\delta E_w(x,t) = \rho(x) \langle v_{y0}(x) \rangle^2 \left[ 1 - \exp\left[-2(t/t_p(x))^3\right] \right]$  which in turn determines a heating rate. To calculate H for use in (3.1), we sum  $\delta E_w$  over  $2\min(t_P)$  to obtain an average heating rate. [Note that the diffusion is enhanced by 10<sup>6</sup> in order to give damping times of interest (see Section 4.1 and Appendix).] The density associated with this heating then follows from the scaling laws (3.1) (dashed line in Figure 1). [Note that in order to avoid T and n becoming zero, a weak **constant** background heating is imposed, which is added to the wave heating. This background heating is taken to be 10<sup>-</sup>  $^{2}$ H(x=0), as given by (3.1).] Clearly the two density profiles differ considerably (note that the two profiles have been normalised to their respective maxima). The new density profile requires a mass flow to and from the chromosphere along the magnetic field, as discussed by, for example, Antiochos and Sturrock (1978) and Klimchuk et al (2008). The flow is of order 15 - 30 km/s depending on the specific situation. A similar conclusion would hold for resonant absorption (when considering a single-frequency driver): the heating at the resonant layer (for example, half way through the density gradient) will give a density spike there, while the rest of the loop is unheated (e.g. Ofman et al, 1998). Thus the initial imposed density profile is incompatible with the density implied by the wave heating.

# 3.2 Temporal evolution of an imposed density structure

Eq (3.1) is limited in its assumption of an instantaneous adjustment of the density to the wave heating. In reality, a loop would not evolve immediately from one density structure to another and it is important to see how the temporal development of the density structure can impact wave damping. We discuss the evolution of a prescribed density profile using the Enthalpy Based Thermal Evolution of Loops (EBTEL) approach (Klimchuk et al., 2008; Cargill et al, 2012a,b, 2015), an approximate zero-dimensional model that treats the corona and transition region (TR) as separate entities, matched at the boundary between them. Assuming symmetry about the loop apex, the coronal density and pressure evolve according to:

$$\frac{1}{\gamma - 1}\frac{dp}{dt} = -\frac{1}{L}(R_c + R_{tr}) + H \quad , \qquad \frac{dn}{dt} = \frac{(nv)_0}{L} = -\frac{\gamma - 1}{2kT_0L\gamma}(F_{c0} + R_{tr}) \tag{3.3}$$

where p, n and T are coronal averages, taken to be the same as the assumed (uniform) plasma distribution along the loop axis used in wave calculations.  $F_{c0} = -(2/7)\kappa_0 T_a^{7/2}/L$  is the heat flux at the top of the TR,  $R_c \approx n^2 \Lambda(T)L$  the integrated coronal radiation,  $R_{tr}$  the integrated TR radiation, subscript "0" denotes a quantity at the top of the TR, and subscript "a" a quantity at the loop apex. The temperature follows from the equation of state. Solving this set of equations requires the specification of three (semi-)constants that are defined as  $C_1 = R_{tr}/R_c$ ,  $C_2 = T/T_a$  and  $C_3 = T_0/T_a$ , as discussed fully in Klimchuk et al (2008) and Cargill et al (2012a).  $C_2$  and  $C_3$  can be taken as constant, with values of 0.9 and 0.6, respectively.  $C_1$  is, in the absence of gravity, 2 for equilibrium, static loops and 0.6 during radiative cooling.

The study has two parts. First, we examine how the facilitators of damping, a density gradient in the case of phase mixing and the location of the resonant layer for resonance absorption, change as an imposed density structure [n(x)] evolves in the absence of heating. For current wave heating models to be valid, the density structure must remain approximately unchanged over the time required for wave damping to become effective. In Section 4 we discuss how heating might operate in such an evolving density structure. Consider a loop that is in equilibrium according to (3.1) and switch off the heating. This models the situation in the usual initial value problem where a coronal density structure is imposed, and waves are then introduced into the plasma. In the absence of any wave heating, the loop cools and drains through four phases: (i) first conduction and radiation both contribute but, as the temperature falls, (ii) radiation becomes dominant with  $T \sim n^2$ . This phase is characterised by a slow subsonic downflow whose associated enthalpy flux powers the TR radiation (e.g. Reale et al. 1993; Bradshaw and Cargill, 2010). Cargill and Bradshaw (2013) argued that at a critical temperature of order 1 MK for short loops, this phase breaks down and phase (iii) begins, characterised by a rapid temperature fall with a slowly varying density. The onset is determined by the inability of sound waves to sustain a subsonic flow as the temperature falls. During this phase, the corona becomes more over-dense, so cannot be sustained in hydrostatic equilibrium, and in phase (iv) drains rapidly. Although it is the density structure that is important for wave damping and/or dissipation, the temperature evolution is also of importance for the onset of phase (iii). We will be mainly concerned with the duration of phase (ii).

We again (locally) consider the boundary density profile is given by (3.2), so that there is a density change of a factor five going from left to right (see Figure 1). We again stress that the cooling calculation is independent of whether the loop boundary is a slab or a cylinder. Two sets of initial conditions for the temperature are considered: one where the temperature is assumed to be constant with the value given in Table 1 (Figure 2) and a second where it is related to the density through Eq (3.1) (Figure 3). **The EBTEL approach allows us to study spatially well-resolved transverse density structures quickly. Equations (3.3) are solved at 2000 points in the x-direction for 1000 seconds. This takes a few minutes on a laptop.** 

The results for cases 1, 2 and 4 (see Table 1) are quantitatively similar, so only Case 1 is shown. Case 3 is discussed separately. In Figures 2 and 3, the top row shows the evolution of the density and temperature as a function of time. The dashed line on the right panel is the critical temperature for the onset of catastrophic cooling (Eq. 5 of Cargill and Bradshaw, 2013). The curves are plotted every 100 sec, with both *T* and *n* decreasing with time. The two plots in the second row show the period of the global mode (left) and the damping time (right) from Ruderman and Roberts (2002) and Section 2, assuming that these quantities can adjust to the evolution of the density. In practice this is done by calculating  $\rho_e$  and  $\rho_i$  from the hydrodynamic EBTEL model (Eq 3.3) and substituting these into the expressions for  $t_W$  and  $t_R$  from Section 2.2. (Note that the damping times for phase mixing, as given by Eq (2.2), would generally be considerably longer but would qualitatively show similar behaviour.) The last row shows the maximum value of the density gradient (left) and the location of the resonant point with respect to its initial value ( $x_1$ ) which is located at the mid-point of the density gradient (right). The latter is calculated by noting that the resonant condition is given by  $4L = t_w B_z / \sqrt{4\pi\rho(x = x_{res})}$ , using the updated expression for t<sub>w</sub> to evaluate  $\rho(x = x_{res})$ , and finally solving for  $x_{res}$  from the hydrodynamic calculation of  $\rho$ .

The two initial conditions give different results. When the initial temperature is assumed to be constant, the high density region of the loop cools rapidly, the period of the wave (damping time) decreases (increases) due to the smaller density (density jump), the resonance point expressed as the ratio  $(x_{res}-x_I)/x_{sc}$  moves to the left by approximately 0.4  $x_{sc}$  and the maximum density gradient also falls and its location moves to the left. When the temperature and density are everywhere related by (3.1), the period of the wave falls, but the damping time also decreases such that their ratio remains nearly constant. The resonance point stays almost exactly at its original location (note the very different scales on the y-axis of the bottom right hand panel of Figs 2 and 3), while the maximum density gradient falls, although its location also does not move. For this case  $x_{sc} = 180$  km, but the dimensionless quantity  $(x_{res}-x_I)/x_{sc}$  is roughly independent of  $x_{sc}$ .

Clearly the density profile shows considerable evolution on the timescale  $\tau_{drain} = 2kT\gamma/[(\gamma-1)nR_L(T)]$  (Eq 3.3, where the draining time is defined as n/(dn/dt) and the cooling time is roughly half this). For modern loss functions (Reale and Landi, 2012),  $R_L$  in the vicinity of 1 MK is ~ 4 x 10<sup>-22</sup> ergs cm<sup>3</sup> s<sup>-1</sup>, which leads to  $\tau_{drain}$  of order 1000 sec, consistent with these results. To understand the motion of the resonance layer and location of maximum density gradient we use the fact that a loop cooling by radiation satisfies approximately (Cargill et al. 1995):

$$T(t) = T(0) \left[ 1 - A \frac{t}{\tau_{R0}} \right]^{B}$$

where A and B are constants that can be assumed independent of the plasma conditions (see the Appendices of Cargill et al. 1995 and Cargill, 2014 for details) and  $\tau_{R0} = 3kT_0/n_0R_L(T_0)$ , the radiative cooling time at t = 0. For a constant initial temperature,  $\tau_{R0}(x) \sim 1/n_0(x)$  so that the right hand side of the loop (higher density) in Figure 2 will cool faster. For a loop in initial static equilibrium at all values of *x*, the 2<sup>nd</sup> equation of (3.1) can be used to relate  $n_0$  and  $T_0$  as  $n_0(x) \sim T_0(x)^{(7/4 - \alpha/2)}$  so that  $\tau_{R0}(x) \sim T_0(x)^{-(3/4+\alpha/2)}$ , a weak dependence on  $T_0$ . This initial state ensures that the cooling time (and hence the draining time) is weakly dependent on *x*, meaning that the density structure is preserved as the loop cools, with the resonance point remaining at the same location. [For the case  $\alpha = -3/2$  we have confirmed that there is no movement at all in  $x_{res}$ .] The behaviour of the wave period and damping time follow. The period will always fall because the density falls. For the constant temperature initial conditions, the density gradient across the resonance layer decreases (right side drains faster), so that the damping time increases. For the static loop initial state, the ratio  $\rho_i/\rho_e$  remains roughly constant as the loop drains, so that the damping time also decreases<sup>3</sup>.

Thus for initial conditions corresponding to static equilibrium atmospheres, energy may continue to enter a fixed resonant layer of a cooling loop despite the continually evolving loop density. For other initial states, the resonant layer moves, making it less clear whether wave damping can occur. For phase mixing, the density gradient persists throughout the cooling and draining, though with diminishing values. Since the damping time depends on the density gradient to the power 2/3, damping may become weaker as time increases, accentuating the difficulties with the (slow) rate of heating in phase mixing.

To assume a fixed density profile in wave calculations, the damping time (dissipation time) for resonant absorption (phase mixing) must be shorter than the draining time if the resonance layer (location of maximum density gradient) does not move, or less than the characteristic time over which these quantities move. Violation leads to the termination of heating because the coronal **density** structure needed for wave heating is destroyed. Table 1 row 9 shows that for phase mixing a large enhancement of the transport coefficients is required, an assumption

<sup>&</sup>lt;sup>3</sup> Case 3 with a constant temperature is the exception to these results. We first considered values of *T* and *n* (1 MK and 2  $10^9$  cm<sup>-3</sup> respectively) used in the analysis of transverse oscillations (e.g. Nakariakov and Ofman, 2001; White et al., 2012). In this case, the loop enters phase (iii) of the cooling almost immediately, and the density structure ceases to exist after 200 sec. It seems that these commonly used plasma parameters may not be appropriate as such a loop does not appear sustainable on relevant timescales (unless an additional heating mechanism is operating). Adoption of a smaller density as shown in the bracketed terms in Table 1 recovers the generic results of Cases 1, 2 and 4.

prevalent in the literature (Appendix). For resonant absorption, these conditions may be met for short loops and/or strong magnetic fields, but significantly not for the long loops for which resonant damping is frequently invoked (e.g. Goossens et al., 2006, 2011). The viability of a fixed density profile must thus be assessed on a case-by-case basis.

## 4. Consequences of evolving density for wave heating

If wave heating cannot sustain the initially imposed density profile, what happens? A simple calculation for resonant absorption was due to Ofman et al  $(1998)^4$  who studied a linear initial value problem and included the feedback of an evolving density profile using Eq (3.1) which fed an updated density into the wave damping calculation. This evolving density led to a "detuning" of the resonance from its initial location, with the heating moving to elsewhere in the loop. Starting with a smooth density profile with asymptotic values differing by a factor 10, the density evolved into a spiky structure (Figures 3 - 5 of Ofman et al, 1998). The initial density profile could not be sustained, and the time-averaged density fell to roughly 20% of the initial value. Thus, in this case there was a mis-match between the energy injected and the initial density (and associated thermal energy), the former being too small. This could presumably have been mitigated by an increase in the incoming wave power. For the case of a single driver frequency, total detuning took place, with no heating, the loop drained of all material, and resonant conditions could not be recovered.

#### 4.1 General considerations

The assumption of an instantaneous adjustment to static equilibrium by Ofman et al almost certainly over-estimates the rate at which standard resonant absorption breaks down. We have shown in Section 3 that the detuning that may take place can be relatively slow. Although time-dependent simulations of an Ofman-like scenario seem desirable, one can make some simple generic comments. Figure 4 shows in a schematic manner some of the issues for resonant absorption and phase mixing (see also Figure 4 of Klimchuk, 2006).

<sup>&</sup>lt;sup>4</sup> There have been some efforts to address the problem of density feedback on resonant absorption heating through large-scale simulations (e.g. Belien et al. 1999), but computational limitations at the time meant that the simulations could not be run for long enough to see the full impact of feedback.

The initial density profile is the smooth solid line and increases from left to right. For convenience we locate the resonant layer and location of steepest gradient in the middle, and it is there that the heating is strongest (\* on diagram). The heating leads to a density enhancement, as shown. For phase mixing, this density spike can have two points of enhanced dissipation, one on either side of the new density maximum (lower left panel). Heating at these may then lead to two new density spikes etc. and one can see that a runaway process with a very spiky density could arise, potentially leading to fast wave dissipation. For resonant absorption, the simplest scenario is for the location of the resonant layer **to** move **as shown**, so that the resonant frequency matches the new density profile, a less drastic process than that discussed in Ofman et al. However, we note that more structured multi-peaked density profiles may lead to a more complicated situation. Although a full, explicit model of this scenario would, in general, require MHD simulations that couple thermal and MHD evolution, we present a simple example in the next subsection to demonstrate the basic concept.

# 4.2 Heating by phase mixing in an evolving atmosphere and the role of feedback

Next, we consider heating by phase mixing in the evolving atmosphere discussed in Section 3. The case of resonant absorption will be discussed in a subsequent publication. The decrease in wave energy (kinetic + magnetic) from an initial state and averaged along the loop is  $\partial E_w(x,t)$  as defined in Section 3.1, and the heating rate follows. Table 1 shows that with classical coefficients,  $t_p$  is much longer than the cooling time so for phase mixing to be viable, v must be enhanced by several orders of magnitude. As in Section 3.1, for case 1, our main example here, an increase of six orders of magnitude is used. This enhancement is discussed further in the Appendix and the dependency of v on density and temperature is neglected since any anomalous diffusion is unlikely to have the same scalings as classical. Also, to simulate a driven system, the wave amplitude is re-initialised every 25 sec, which is of order the wave period. This models an effective Poynting flux through the photosphere. For Case 1, the wave amplitude after each re-initialisation, averaged over the loop length and a wave period, is approximately 30 km/s. Cases 2 - 4 are discussed later.

Figure 5 shows results for Case 1. The first example has an energy equation where radiative and conductive losses are ignored, implying a background heating that sustains the initial density profile. Since the wave heating only determines the change in pressure, there is freedom to apportion this pressure change between density and temperature. First we adopt a scaling  $T \sim n^b$  where b = 0 corresponds to no temperature change,  $b = \frac{1}{2}$  to a static loop scaling (see Section 3) and b = 2 to a loop where the temperature is determined by radiation (Bradshaw and Cargill, 2010). The top row shows the density and temperature after 1600 sec. The solid, dashed and dash-dotted lines have b = 0,  $\frac{1}{2}$  and 2, respectively, and the thick dashed line in the right panel is the heating in arbitrary units averaged over the 200 sec before the end of the run. A new density peak appears and the heating spreads away from the centre of the density gradient, similar to what was shown in Figure 1. The maximum of the heating is displaced to the high-density side because of the dependence on n of the wave kinetic energy, but the maximum of the heating per unit density (not shown) is roughly located at the location of the maximum density gradient. Note though that the new density peak is also displaced to the higher density side of the density gradient. As is expected, larger values of bhave weaker density enhancements.

The second row shows the density and temperature at 1600 sec. using the EBTEL approach described in Section 3.2 (solid) and the static scaling laws (dashed). For the EBTEL calculation the heating is the same as in the upper row and for the scaling law the heating is averaged over consecutive 200 sec intervals, then the scaling laws are applied. The density in the heated region is similar for both models, but to the left and right it decreases since there is no heating to support the plasma (c.f. Figure 1). When the scaling laws are used, this decline is instantaneous. This confirms the suggestion made at the start of Section 3 that the prescribed density profiles used in such calculations are unsustainable: the density evolves from a step between a low and a high value to a localised peak.

Inclusion of feedback of the new density profile on the wave heating requires an MHD simulation that could be restricted to the linearised wave equations plus an energy equation. However, for demonstration purposes we have carried out a simple model to include density feedback using the following procedure. Every  $t_N$  secs, the density in the wave damping calculation (2.1) is reset to the new value calculated from the energy equation and the model restarted. However, we found that this could lead to discontinuities in the damping time and hence, spuriously enhanced damping, since changing the new density gradient, when folded in with the previous time, implies that the phase mixing is more developed than it should be. Instead, when the density is reset, we force the damping time to be continuous. This is done by re-defining the "time" associated with each field line such that the quantity  $t^*/t_p(x)$  is the same before and after the density reset, where  $t^*$  is a dummy time: when we reset the density, we define  $t_p(\text{old})$  and  $t_p(\text{new})$  as the damping

times associated with the old and new density profiles and then set  $t^* = t^*$ ( $t_p(new)/t_p(old)$ ) such that the factor  $e^{-t/tp(old)}=e^{-t^*/tp(new)}$ . Thus, if the density gradient steepens (lessens),  $t_p$  decreases (increases) and hence,  $t^*$  at that location is decreased (increased). This process is repeated every time the density is reset, and is nothing but a straightforward book-keeping exercise to ensure the damping time remains continuous<sup>5</sup>.

The results are shown in Figure 6, which has a compressed x-axis and enhanced y-axis to show the important features. We have run feedback in both models with  $t_N = 200$  sec: left (right) without (with) full energetics. For the former, we see small density spikes beginning to appear near the centre of the density gradient, which on subsequent iterations develop rapidly. Within a few iterations these are at grid size. With the EBTEL solution, spikes again develop, but are much more muted: the loop thermal evolution is too important for the feedback to have a real effect in this case.

Cases 2 - 4 have also been examined. To permit a comparison with Case 1, we have reset the dissipation coefficients and wave amplitude so that the temporal evolution is similar to Case 1. Explicitly, this means that we require that the peak density take on similar values after 1600 sec to that shown in Figure 5 and that  $t_p$  is also the same. This requires an increase of the diffusion by a factor 10 for cases 2 and 3, and a decrease by a factor 0.4 with Case 4. For cases with no feedback, the averaged wave amplitude was increase by 1.75 for case 2, 1.5 for case 4, and remained the same for case 3. We then ran these cases with feedback. In the absence of a full energy equation, results similar to the left panel of Figure 6 were obtained. With the EBTEL approach, Case 4 was similar to Case 1, but Cases 2 and 3 took longer (2000 and 3000 s respectively) to reach the equivalent density structure.

### 5. Conclusions

<sup>&</sup>lt;sup>5</sup> We also considered a case when the static scaling laws (Eq 3.1) are used in place of the dynamic evolution. Here the density evolved much more rapidly into a spiky structure on grid scales since the response to heating is instantaneous. However, this exaggerates the role of the density change. In an evolving loop, the density will change approximately on the conductive timescale of the heated plasma, here a few hundred seconds, as shown in the 2<sup>nd</sup> row. The scaling law approach thus appears to be inadvisable, at least for phase mixing.

MHD wave heating mechanisms such as phase mixing and resonant absorption crucially depend on a local density gradient. However, few, if any, studies have examined MHD wave heating in the context of an evolving density gradient, and it is not a-priori clear whether the density gradient required for dissipation is compatible and/or sustainable by the wave heating mechanism. This paper has addressed two aspects of this question. We have presented a proof of concept that (i) such density profiles may not be sustained because the density gradients are destroyed by plasma cooling on a timescale compatible with, or faster than the heating which in turn implies (ii) the density profiles assumed in models of wave dissipation may be incompatible with the spatial distribution of the heating. When such cooling and draining takes place, the movement of the dissipation layer depends on the chosen initial conditions. Incorporation of feedback can, in principle, lead to highly structured density profiles for both resonant absorption and phase mixing, but in reality the timescale for this to evolve is comparable to the time taken for a loop to cool and drain and hence it may only play a small role. Thus, the temporal evolution of the plasma in which any wave heating takes place seems to be an essential factor in considering the viability of wave damping mechanisms. One option is that an alternative heating mechanism such as small scale reconnection can maintain the required density profile, and wave damping can then take place as proposed in the literature.

It is worth pointing out that the restrictions we have noted for wave heating do not apply to mechanisms for coronal heating that rely on small-scale reconnection, commonly referred to as "nanoflare heating". Here all that is required is the misalignment of the coronal magnetic field to a degree that permits the onset of reconnection. While the form this takes remains an open question, it is commonly assumed that the local plasma density structure plays no role. Studies of reconnection where a density gradient is present are common in studies of the terrestrial magnetopause (Levy et al., 1964) and summarised by Yin and Lee (1993). There are qualitative changes in quantities such as reconnection rates, but the overall generic properties of reconnection are unchanged.

While this paper has only addressed simple examples of wave heating, the conclusions are general. In particular, extensions to broader density transitions (e.g. Soler et al., 2013), the injection of an Alfven continuum (e.g. Poedts et al, 1989) and examples of complexities in the damping process (e.g. Boynton and Poedts, 1996) will undergo the same form of generic feedback of the density. Similar conclusions apply to wave dissipation in force-free fields

with initially constant density (e.g. Poedts et al, 1989 etc.). In that case, heating at a resonant layer with either a single wave frequency or a continuum will lead to plasma heating, a subsequent change in the density, and a modification of the resonant conditions. Understanding the full consequences will require numerical modelling, but the basic physical process outlined here is expected to occur regardless of additional complexities.

Examination of phase mixing in this environment brings long-standing problems into fresh focus. For classical transport coefficients, the fundamental difficulty is the slow dissipation of the wave energy; we were unable to find cases where the damping time was short enough to be of interest. Further, ways that phase mixing could give faster damping such as the density feedback discussed here and the development of compressive waves (e.g. MacLaughlin et al., 2011, Nakariakov et al 1997, 1998; Tsiklauri et al 2001) cannot happen until the phase mixing itself becomes well developed, a slow process. Other mechanisms such as the Kelvin-Helmholtz instability (Browning and Priest, 1984, Antolin et al., 2014) may be promising, but require more investigation. In order to demonstrate the interaction of loop plasma evolution with wave damping we have argued that the transport coefficients are enhanced artificially by an unspecified process. A more pessimistic view may be that for closed coronal structures, phase mixing is unviable.

Verification of our conclusions will in general require multi-dimensional MHD simulations which will be quite challenging given the competing constraints of the small timestep needed for numerical stability and the long times that need to be run. Only then can a definitive answer be reached as to the viability of coronal wave damping process, although our work suggests that caution is warranted.

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#### Appendix: Transport coefficients and phase mixing

In their paper on phase mixing, HP83 used classical resistivity and viscosity coefficients. The Braginskii (1965) viscosity has five terms, three of which are associated with compressive effects. Defining the ion-ion collision time as  $\tau_i = 0.84T^{3/2} / n$ , the compressive (kinematic) viscosity is  $\eta_0 / \rho = 0.96(kT/m_i)\tau_i = 6.46 \ 10^7 T^{5/2} / n$ , agreeing with HP 83, Eq 22. To calculate the shear viscosity, as modified by the magnetic field,  $\eta_0$  is reduced by a factor  $1/(\tau_i\Omega_i)^2$ , or  $1.4 \ 10^{-8}n^2/B^2T^3$  to give  $v_v = \eta_1 / \rho = 0.9n / B^2T^{1/2}$  (correcting the viscous term temperature dependence in HP83<sup>6</sup>). This is the viscosity used in Eq (2.2). The resistive damping term is  $v_m = 10^{13} / T^{3/2}$ . The ratio of shear viscous damping to resistive damping is then 9  $10^{-14}nT/B^2 = 13\beta$ , where  $\beta = 8\pi p/B^2$ , and is shown in the  $10^{\text{th}}$  row of Table 1. For all our parameters resistive damping is the more important, and in three cases, dominant. The ratio of shear to compressive viscosity is shown the final row of Table 1 so any process that introduces a compressive component may be important.

The damping times due to phase-mixing  $(t_p)$  are given in Table 1. One can write Eq (2.2) as  $t_p = \sqrt[3]{6[4LL_x / \pi qV_A]^2 / v}$ , where *L* is the total loop length,  $L_x$  the length over which the density changes and *q* the mode number. So short loops, high harmonics and sharp gradients are optimal, but on taking  $L_x \sim 200$  km,  $t_p$  is always rather large as is the ratio  $t_p/t_w$ ; we see no reasonable likelihood of finding the values of 20 quoted by HP83 for this ratio. [Note that for

only viscous damping,  $t_p = 6.110^{-8} \sqrt[3]{\left(\frac{L^2 L_x^2}{q^2}\right)} T^{1/2}$ , independent of B and n.]

As we have noted, to obtain damping times of order or less than a cooling time, the transport coefficient needs to be increased by many orders of magnitude. One way to do this would be to invoke non-linear MHD effects such as a coupling to the fast or slow-mode waves that introduce a compressive viscosity. The compressive waves would damp in turn at a rate

<sup>&</sup>lt;sup>6</sup> The HP83 analysis seems to contain a few numerical errors and typos. They find  $1/(\tau_i\Omega_i) = 3.5 \ 10^{-4} \text{n/BT}^{3/2}$ , so that the correction (the square) is 1.23  $10^{-7}$ , an order-of-magnitude too large. Using their Eqns (22) and (23), Eq (24) should be  $8.6\text{n/B}^2\text{T}^{1/2}$  rather than the coefficient 3.6 as stated. Thus the HP83  $\eta_1$  for their typical values is incorrect (too large) by a factor 4 as written. Since we take the cube root, this error is not huge. As a check, the typical numbers from Ofman et al (1994) give roughly correct values of both viscosities.

inversely proportional to the Reynolds number calculated using compressive viscosity, with a damping time  $t_c \sim \rho L_c^2/\eta_0$ , where  $L_c$  is a compressive wave scale. The ratio of the damping times is  $t_p/t_c \sim 3.9 \sqrt[3]{[LL_x/q]^2} T^{8/3}/nL_c^2$  and for  $L_c \sim 10^9$ , these can be comparable. Thus the 1/3 dependence of the phase mixing compensates for the weak transverse viscosity. In addition, non-linear effects are unlikely to develop before phase mixing is well underway, so invoking compressive viscosity actually gains very little since the longevity of  $t_p$  is due to the long time before the phase mixing becomes strong enough to lead to non-linear effects.

There are two common solutions to these long dissipation times. One just invokes an ad hoc enhanced dissipation, as is done in many numerical calculations. For example, McLauchlin et al (2011) define a dimensionless viscosity  $v_{sc} = L_0 V_{A0}$ . For our parameters, this is 1.38 10<sup>14</sup> cm<sup>2</sup> s<sup>-1</sup>. A dimensionless viscosity of 10<sup>-2</sup> such as they use implies a viscosity 10<sup>8</sup> times larger than given by classical models. On the other hand, Browning and Priest (1984) argue for a massive (unspecified) enhancement due to a local Kelvin-Helmholz instability. At the antinode of the standing wave the velocity gradients are strongest while the perturbed field vanishes and the latter effect reduced any (stabilising) magnetic tension forces have on the instability. This analysis requires that the instability grows faster than the period of the wave, so that it can be assumed that the anti-node constitutes an equilibrium. Browning and Priest examine two cases: strong and weak phase mixing. Based on their results, we can write down a simple expression for the kinematic viscosity:  $v \sim 10^{-2} Va$ , where *a* is the transverse scale of the density gradient and V is the wave amplitude. This gives a viscosity of 4  $10^{12}$  cm<sup>2</sup> s<sup>-1</sup> for a wave amplitude of 40 km/s and a shear width of  $10^8$  cm, so 8 - 9 order of magnitude larger than the classical shear value. In turn, this reduces the damping time from 30,000 s to about 100 s. Further investigations are required to assess the viability of this mechanism.

Table 1. A range of loop parameters, damping and dissipation times and associated quantities. For Case 3, we use two densities, as discussed in the text.

Case	1	2	3	4
Comment	Quiet Sun	Active Region	Flare-induced	X-ray Bright
		(Warren et al,	oscillation.	Point
		2012)	(Nakariakov &	
			Ofman, 2001)	
2L (Mm)	40	100	140	40
B (G)	20	100	20	100
$n_i (10^9 \text{ cm}^{-3})$	0.5	4	2 (0.5)	2
T <sub>i</sub> (MK)	1	3	1	2
$t_{w}(s)$	32	45	222 (111)	13
$t_R(s)$	303	429	2122 (1060)	121
$t_P(S)$	20900	84170	161000 (110000)	16450
$V_{\nu}/V_m$	0.11	0.11	0.46	0.037
$\eta_0/\eta_1(10^{12})$	0.11	1.2	0.007	1.43



**Figure 1**. The initial **imposed** density (solid line) and density **calculated** in response to the heating (dashed) for phase mixing, where the density is calculated from static loop scaling laws (Eq 3.1). The heating is the sum of a low-level background and the heating due to phase mixing averaged over  $2t_P$ .



**Figure 2** The evolution of the average density and temperature profiles over 1000 sec. Loop parameters for Case 1 are used and the initial temperature is constant. The top row shows the density (left) and temperature (right) every 100 s. The dashed line is the condition required for the onset of phase (iii) of the loop cooling (see text). The middle row shows the wave period (left) and damping time (right) as a function of time. The lower row shows the maximum density gradient (left) and position of resonance layer (right) as a function of time.



Figure 3 As Figure 2 except the initial temperature is related to the density through (3.1).



**Figure 4**. A schematic representation of how the feedback due to heating can influence wave damping and dissipation. The upper sketch shows the density enhancement that takes place at the site of the heating. The lower left panel shows that with phase mixing, two new regions of large density gradient are created. The lower right panel shows that with resonant absorption the resonance layer can move to a new location to the left of the original.



**Figure 5**. The density and temperature after 1600 s for wave damping by phase mixing. The upper two panels show cases when there are no radiative or conductive losses. The solid, dashed and dash-dotted lines show cases where  $T \sim n^b$  with b = 0,  $\frac{1}{2}$  and 2 respectively. The lower dotted lines are the initial density and temperature, and the temperature for b = 0 is not shown since it is unchanged from the initial state. The thick solid line in the right hand panel is the wave heating in arbitrary units. The lower panels include an energy equation. The solid line shows results using EBTEL and the dashed using a static loop scaling law with the dotted line showing the initial conditions.



**Figure 6** The evolution of the density when feedback is included. Only the central part of the density gradient is shown. The left panel shows the case with no energy losses and b = 0. The right panel includes the energy equation, solved using the EBTEL approach. For clarity, the density at each time has been shifted vertically by 25% of the initial loop density. This should be compared with the lower panels in Figure 5 to deduce the real density.