

# Comparing approaches for numerical modelling of tsunami generation by deformable submarine slides

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**Abstract**

Tsunami generated by submarine slides are arguably an under-considered risk in comparison to earthquake-generated tsunami. Numerical simulations of submarine slide-generated waves can be used to identify the important factors in determining wave characteristics. Here we use Fluidity, an open source finite element code, to simulate waves generated by deformable submarine slides. Fluidity uses flexible unstructured meshes combined with adaptivity which alters the mesh topology and resolution based on the simulation state, focussing or reducing resolution, when and where it is required. Fluidity also allows a number of different numerical approaches to be taken to simulate submarine slide deformation, free-surface representation, and wave generation within the same numerical framework. In this work we use a multi-material approach, considering either two materials (slide and water with a free surface) or three materials (slide, water and air), as well as a sediment model (sediment, water and free surface) approach. In all cases the slide is treated as a viscous fluid. Our results are shown to be consistent with laboratory experiments using a deformable submarine slide, and demonstrate good agreement when compared with other numerical models. The three different approaches for simulating submarine slide dynamics and tsunami wave generation produce similar waveforms and slide deformation geometries. However, each has its own merits depending on the application. Mesh adaptivity is shown to be able to reduce the computational cost without compromising the accuracy of results.

*Keywords:*

1 **1. Introduction**

2       Recent large seismically generated tsunami events, for example the 2004  
3 Indian Ocean, and the 2011 Tohoku events, have highlighted the devastating  
4 social and economic effects that tsunami can have. Although these tsunami  
5 were seismogenic in origin, submarine mass movements can also generate  
6 highly destructive waves (Assier-Rzadkiewicz et al., 2000; Fine et al., 2005;  
7 Masson et al., 2006; Dan et al., 2007; Tappin et al., 2008; Tappin, 2010; Bon-  
8 devik et al., 2005a). Submarine mass movements are more frequently termed  
9 submarine slides, even when the mode of deformation is unknown. Here we  
10 use submarine slide as a generic term, without reference to the mechanism of  
11 movement. When referring to the submarine slide in the models and experi-  
12 ments described here (sections 2–5), we drop the word submarine for brevity,  
13 and use ‘slide’.

14       In 1998, the Papua New Guinea submarine slide resulted in a tsunami  
15 that devastated coastal villages and killed over 2,100 people (Kawata et al.,  
16 1999; Synolakis et al., 2002). A large submarine slide, the Storegga Slide,  
17 occurred offshore Norway approximately 8.2 ka (Bugge et al., 1988; Dawson  
18 et al., 1988; Smith et al., 2004; Bondevik et al., 2005a; Wagner et al., 2007).  
19 The submarine slide volume is estimated at 2400–3200 km<sup>3</sup> and its deposit  
20 extended 800 km down slope (Bugge et al., 1988; Gauer et al., 2005; Hafli-  
21 dason et al., 2004, 2005). Deposits from the resulting tsunami indicate vertical  
22 run ups (maximum inundation above sea level of a wave incident to a beach)

23 of approximately 3–4 m at the Scottish mainland coast, and over 20 m at the  
24 Shetlands Islands and Norwegian coast (Bondevik et al., 2005a,b; Dawson  
25 et al., 1988; Smith et al., 2004; Wagner et al., 2007).

26 Submarine slide events are difficult to predict, monitor or directly ob-  
27 serve (Harbitz et al., 2014), therefore research has focused on experimental  
28 studies and numerical models. These aim to gain a better understanding of  
29 the processes involved and the factors that are important for wave gener-  
30 ation. Numerical models in principle allow for the replication of events at  
31 realistic scale, but should be validated against field observations where pos-  
32 sible, and at the laboratory scale against experimental data. Experiments,  
33 in both pseudo-two and three dimensions, have used a number of methods  
34 to simulate the submarine slide such as rigid blocks (Heinrich, 1992; Watts,  
35 1998, 2000; Watts et al., 2000; Enet et al., 2003; Grilli and Watts, 2005; Enet  
36 and Grilli, 2005; Liu et al., 2005; Sue et al., 2006; Enet and Grilli, 2007; Sue  
37 et al., 2011; Whittaker et al., 2012) made of different materials (to alter slide  
38 density) and with different slide shapes (e.g. triangular/wedge, elliptical,  
39 Gaussian); granular materials (Assier-Rzadkiewicz et al., 1997; Watts and  
40 Grilli, 2003; Ataie-Ashtiani and Najafi-Jilani, 2008); and confined granular  
41 materials (Ataie-Ashtiani and Najafi-Jilani, 2008). These experiments inves-  
42 tigated the effects of various slide parameters (block shape, density, grain  
43 size, confinement, submergence, slope angle) on the resulting wave charac-  
44 teristics (amplitude, run up, wave form, dispersion, wave period, wave energy  
45 conversion). Some studies using deformable slides have investigated the effect  
46 of different grain sizes (e.g. 50  $\mu\text{m}$  – 9 mm by Watts and Grilli (2003); Assier-  
47 Rzadkiewicz et al. (1997); Ataie-Ashtiani and Najafi-Jilani (2008)). There

48 have been few studies that have directly investigated the effect of deformable  
49 slide rheology on wave generation, although Watts and Grilli (2003); Elverhøi  
50 et al. (2005, 2010) and Breien et al. (2010) considered the effect of rheology  
51 on slide deformation and dynamics.

52 The modelling of submarine slide-tsunami from the initiation of subma-  
53 rine slide motion and wave generation, through to wave propagation and  
54 inundation in three dimensions is computationally challenging. Moreover,  
55 numerical simulations of each stage have tended to rely on simplifications to  
56 make the problem more tractable.

57 One such simplification is to model the slide as a rigid block that can-  
58 not deform. However, in reality submarine slides deform (Grilli and Watts,  
59 2005), with complex rheology and flow (Løvholt et al., 2015). Deformation  
60 may both increase initial acceleration and decrease submarine slide thickness,  
61 which have competing effects on wave generation (Watts, 1997; Watts and  
62 Grilli, 2003; Ataie-Ashtiani and Najafi-Jilani, 2008). Løvholt et al. (2015)  
63 found that deformation was often too slow to influence wave generation, as  
64 most of the generation occurs during the initial acceleration phase, before  
65 the slide has time to deform. However, they suggested it may prove impor-  
66 tant for tsunami wave heights in scenarios that were not considered, and  
67 recommended further research.

68 Another common simplification is to prescribe the motion of the subma-  
69 rine slide, yet several studies have concluded that submarine slide acceler-  
70 ation and velocity are key parameters in determining wave characteristics  
71 (Harbitz, 1992; Harbitz et al., 2014; Løvholt et al., 2015). Simulating the  
72 slide dynamically, including its interaction with the water, internal deforma-

73 tion and drag, ensures a more accurate description of slide acceleration and  
74 velocity, but adds substantial computational expense.

75 Many studies have solved approximations to the full Navier-Stokes equa-  
76 tions (such as the shallow-water equations). While such simplifications are  
77 often valid, use of non-depth-averaged and non-hydrostatic models allows  
78 vertical acceleration to be considered, which can be important for submarine  
79 slide tsunami generation in some scenarios.

80 Accounting more fully for slide deformation and dynamics, and solving  
81 the full Navier-Stokes equations, increases the computational cost of numer-  
82 ical simulations of waves generated by submarine slides. A way to minimise  
83 this additional expense is to make optimum use of computational resources,  
84 for example by exploiting adaptive meshing technology. We describe here the  
85 use of Fluidity, an open source, general purpose, computational fluid dynam-  
86 ics, finite element code (Piggott et al., 2008; AMCG, 2015) to recreate two  
87 hypothetical two-dimensional submarine slide tsunami scenarios, one at the  
88 laboratory scale (after Assier-Rzadkiewicz et al. (1997); Ma et al. (2013)),  
89 and one at full scale, situated in the Gulf of Mexico (after Horrillo et al.  
90 (2013)).

91 We show that Fluidity offers several important benefits for submarine  
92 slide tsunami modelling. Fluidity can employ a number of different numerical  
93 approaches to simulate the submarine slide dynamics and wave generation,  
94 within one numerical framework. Fluidity has already successfully modelled  
95 wave generation and large-scale propagation from a prescribed rigid block  
96 slide (Hill et al., 2014). Here we extend this by modelling wave generation  
97 from a deformable submarine slide that moves dynamically as a Newtonian

98 viscous fluid using three different approaches for modelling slide motion and  
99 wave generation. The approaches compared are: a sediment model with a  
100 free surface (SEDFS); a two-material model: viscous slide and water, with  
101 a free surface (MM2FS); and a three-material model: viscous slide, water  
102 and air (MM3). In MM3 the response of the ocean surface to the submarine  
103 slide movement is represented by the interface between the water and air,  
104 whereas MM2FS and SEDFS use a free surface (FS) boundary condition  
105 method. SEDFS is described further in Section 3.1.1 and MM2FS and MM3  
106 in 3.1.2. In all approaches the submarine slide movement is driven by the  
107 density difference between the submarine slide and water. We show that  
108 the three different approaches produce very similar wave amplitudes and  
109 waveforms that are consistent with experimental data (at the laboratory  
110 scale) and inform comparisons with other numerical models (at laboratory  
111 and full scale) that employ different numerical approaches (e.g., Assier-  
112 Rzadkiewicz et al., 1997; Ma et al., 2013). We also discuss the merits of each  
113 approach for different applications as well as their relative computational  
114 expense.

115 Fluidity also has the benefit that it solves the Navier-Stokes equations  
116 on unstructured meshes, which can be fixed (but still multi-scale: Hill et al.  
117 (2014)) or fully dynamically adaptive. Adaptive meshes can help to reduce  
118 computational cost without losing accuracy (LeVeque and George, 2008; Hill  
119 et al., 2012; Hiester et al., 2014; Parkinson et al., 2014; Behrens, 2014).  
120 Adaptive meshes change their topology and resolution based on the current  
121 simulation state and as such can focus or reduce resolution when and where  
122 it is required. By demonstrating that mesh adaptivity provides substantial

123 computational efficiency in the two-dimensional submarine slide simulations  
124 presented here, we propose that future application of mesh adaptivity in three  
125 dimensions should allow for the simulation of ‘Storegga-sized’ slides, and  
126 generated waves, in three dimensions, as has not previously been possible.

## 127 **2. Motivation**

128 A number of different numerical approaches have been used to simulate  
129 the generation and propagation of submarine slide generated waves. These  
130 have guided and motivated the approaches taken here to simulate slide dy-  
131 namics and wave generation. Several early numerical studies relied on the  
132 shallow water (long-wave) approximation which assumes the horizontal scale  
133 of the wave motion is considerably larger than the local water depth or verti-  
134 cal scale (Harbitz, 1992; Jiang and LeBlond, 1992, 1993, 1994; Thomson et al.,  
135 2001; Fine et al., 1998, 2005; Assier-Rzadkiewicz et al., 2000). Whilst this  
136 approximation is generally appropriate for seismogenic tsunami, it may not  
137 be appropriate for submarine slide generated waves, which often have shorter  
138 wavelengths (Glimsdal et al., 2013; Løvholt et al., 2015). The approximation  
139 also neglects frequency dispersion and vertical velocity/acceleration. Stud-  
140 ies by Lynett et al. (2003); Grilli and Watts (2005); Løvholt et al. (2008)  
141 and Glimsdal et al. (2013) for simulating tsunami propagation, indicate that  
142 waves generated by submarine slides can be strongly affected by dispersive  
143 effects, particularly for relatively small slides. Boussinesq forms of the depth-  
144 averaged equations are also a popular choice that account for wave dispersion.  
145 For a review of their use in the context of submarine slide tsunami see Løvholt  
146 et al. (2015) and the references therein. Waves generated by extremely large



147 slides are likely to be less dispersive. In order to investigate fully the effects  
148 and importance of slide dynamics and deformability on wave generation, the  
149 use of full Navier-Stokes models provides a more complete representation  
150 than shallow water models, particularly for relatively small slides (Watts  
151 and Grilli, 2003; Abadie et al., 2012; Glimsdal et al., 2013; Horrillo et al.,  
152 2013). However, such models also introduce additional complexity, such as  
153 accurate treatment of the free surface, and computational expense.

154 Many previous numerical models of submarine slides approximated the  
155 slides as rigid-blocks, that moved according to prescribed motion (e.g. Hein-  
156 rich (1992); Harbitz (1992); Fuhrman and Madsen (2009); Bondevik et al.  
157 (2005b); Berndt et al. (2009); Yuk et al. (2006) and Liu et al. (2005)). For  
158 example, Harbitz (1992) and Bondevik et al. (2005a) used analytical expres-  
159 sions to define slide position, velocity and acceleration as a function of time.  
160 Harbitz (1992) considered a range of slide velocity profiles to account for  
161 uncertainties in slide density, rheology and drag. He found that the wave  
162 heights in his simulations were strongly dependent on the acceleration of the  
163 slide and the maximum slide velocity.

164 Modelling the slide dynamics removes the need to prescribe motion, but is  
165 computationally more expensive. Prescribing the slide motion results in one-  
166 way coupling between the slide and water; i.e., the slide movement influences  
167 the water, but the water does not affect the slide motion. Two-way cou-  
168 pling is considered in the work Jiang and LeBlond (1992); Fine et al. (1998);  
169 Suleimani et al. (2009); Nicolsky et al. (2010), however these all used shal-  
170 low water models. Jiang and LeBlond (1992) found that effects of two-way  
171 coupling are most significant when the slide density is only slightly greater

172 than the density of the water; and when the slide is located at shallow water  
173 depths (i.e. slide density is 1.2 times the water density, slide thickness is 0.4  
174 times water depth). These conditions are not normally fulfilled for submarine  
175 slides Harbitz et al. (2006). Although section 4.2 considers a submarine slide  
176 located in shallow water where two-way coupling is expected to be important.

177 Some numerical studies have modelled deformable submarine slides. A  
178 number of approaches have been taken, such as modelling the slide as a  
179 Newtonian, viscous fluid (Jiang and LeBlond, 1992; Fine et al., 2005; Assier-  
180 Rzadkiewicz et al., 1997, 2000; Abadie et al., 2010; Horrillo et al., 2013), as  
181 a non-Newtonian fluid (e.g. using a Bingham rheology) (Jiang and LeBlond,  
182 1993; Gauer et al., 2006; Assier-Rzadkiewicz et al., 1997), and as a water-  
183 sediment mixture (Ma et al., 2013). Some studies show that slide defor-  
184 mation reduces wave amplitudes. These include laboratory experiments by  
185 Watts (1997) that indicated wave amplitudes were 50–90% reduced for de-  
186 formable slides, compared to rigid slides. Ataie-Ashtiani and Najafi-Jilani  
187 (2008) found that using a deformable submarine slide reduced wave ampli-  
188 tude by up to 15%, and increased wave period by up to 10%. However, Grilli  
189 and Watts (2005) prescribed time-dependant slide deformation and found  
190 the inclusion of deformation produced higher wave amplitudes and affected  
191 the wavelength of the generated wave. The simulations by Abadie et al.  
192 (2010) also indicated that deformable slides produce higher wave amplitudes  
193 than rigid blocks slides. For subaerial slides, Morichon and Abadie (2010)  
194 report that slide deformability seems to be a “critical parameter” for the  
195 generated waves and run-up. In a recent review, Løvholt et al. (2015) as-  
196 sessed the characteristics of submarine slide tsunami and concluded that the

197 initial acceleration of submarine slides is the most important kinematic slide  
198 parameter in determining the initial sea surface elevation for slides with a  
199 long run-out distance. When slide run-out distance is relatively short com-  
200 pared to the slide length, the velocity of the slide becomes more important.  
201 They further concluded that rapid deformation during the initial accelera-  
202 tion phase would be needed to influence the wave produced and recommend  
203 further research into slide scenarios with strong deformation. Since slides  
204 are always deformable in real cases, Grilli and Watts (2005) recommended  
205 more detailed and realistic simulations of deforming slides are carried out.  
206 The importance of realistic slide dynamics (i.e. acceleration and maximum  
207 velocity) and internal deformation during the wave-generating stage of slide  
208 motion motivates the choice of numerical modelling approach used in this  
209 work, which is described in the next section (3).

### 210 **3. Methods**

#### 211 *3.1. Fluidity: Governing Equations*

212 Fluidity is a flexible finite-element/control-volume modelling framework,  
213 which allows for the numerical solution of several equation sets (Piggott et al.,  
214 2008). It has been used in a number of fluid flow studies, ranging from labo-  
215 ratory to ocean-scale (e.g. Wells et al., 2010; Hill et al., 2012; Hiester et al.,  
216 2011; Parkinson et al., 2014). In an ocean modelling context, Fluidity has  
217 been used to model both modern and ancient earthquake-generated tsunami  
218 (Oishi et al., 2013; Mitchell et al., 2010; Shaw et al., 2008), and tsunami  
219 generated by three-dimensional rigid-block submarine slides with prescribed  
220 motion, in a study of the ancient Storegga Slide (Hill et al., 2014).

Here, Fluidity is used to solve the single phase incompressible Navier-Stokes equations:

$$\rho \left( \frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} \right) = -\nabla p + (\mu \nabla^2 \mathbf{u}) - \rho g \mathbf{k}, \quad (1a)$$

$$\nabla \cdot \mathbf{u} = 0, \quad (1b)$$

221 where  $\mathbf{u}$  is the velocity vector,  $t$  represents time,  $p$  is pressure,  $\mu$  is the  
 222 dynamic viscosity,  $\rho$  is the density, and for this work we assume that we are  
 223 in a coordinate system where  $g$ , the gravitational acceleration, acts in the  $z$   
 224 direction:  $\mathbf{k} = (0, 0, 1)^T$ .

225 For incompressible flows with variable density, an additional equation is  
 226 required to close the system; we refer to this as the equation of state. In the  
 227 approaches used here, this equation relates the bulk density to the volume  
 228 fractions of materials in the problem, or the concentration of sediment, along  
 229 with the associated material properties. The equation of state will depend  
 230 on the approach used with more details given in sections 3.1.1 and 3.1.2.  
 231 Further details of the discretisation methods employed in this work are given  
 232 in section 3.2.

### 233 3.1.1. SEDFS: Sediment, Water and Free Surface

234 The SEDFS approach uses a scalar tracer field describing the sediment  
 235 concentration (particle volume fraction) to represent the dense slide. The  
 236 sediment is of a user-defined density and sinking velocity (Parkinson et al.,  
 237 2014). The user can add as many sediment tracer fields as required. Each  
 238 sediment tracer field, indexed  $i$ , represents the concentration,  $c_i$ , of that  
 239 sediment class, which behaves as any other tracer field, except that it can  
 240 also be subject to a settling velocity,  $u_{si}$ . The scalar equation governing the

241 evolution of the suspended sediment mass is:

$$\frac{\partial c_i}{\partial t} + \nabla \cdot c_i(\mathbf{u} - \mathbf{k}u_{si}) = \nabla \cdot (\bar{\kappa} \nabla c_i). \quad (2)$$

242 The settling velocity,  $u_{si}$  is the *hindered sinking velocity*, which depends  
243 on the sediment concentration. Here, due to the high density of the slide,  
244 the sinking velocity is negligible and thus ignored.  $\bar{\kappa}$  is the diffusivity of the  
245 sediment and here is set to a small value,  $10^{-6} \text{ m}^2\text{s}^{-1}$ .

246 In this work we assume a single sediment class and denote its concentra-  
247 tion of particles in the fluid  $c_s$ . The equation of state in this case takes the  
248 form

$$\rho = (1 - c_s)\rho_w + c_s\rho_s, \quad (3)$$

249 where  $\rho_s$  is the density of the individual sediment particles and  $\rho_w$  is the  
250 density of the water. In the laboratory scale test case presented here,  $\rho_s$  is  
251  $2650 \text{ kgm}^{-3}$ ,  $\rho_w$  is  $1000 \text{ kgm}^{-3}$  and the maximum value for  $c_s$  is 0.58, giving a  
252 slide bulk density of  $1950 \text{ kgm}^{-3}$ . (For the large scale test case the maximum  
253 value for  $c_s$  is the same,  $\rho_s$  is  $2724 \text{ kgm}^{-3}$  and the slide bulk density is  $2000$   
254  $\text{kgm}^{-3}$ .) Further details of this SEDFS approach may be found in (Parkinson  
255 et al., 2014). The approach is similar to that of Ma et al. (2013).

256 To simulate the evolution of the water surface in response to the slide  
257 dynamics in SEDFS, we use Fluidity's free-surface boundary condition option  
258 (Funke et al., 2011; Oishi et al., 2013). This moves the upper boundary of  
259 the computational domain, with a linear stretching of the nodes/elements in  
260 the interior of the domain down to the fixed position of the domain's lower  
261 boundary.

262 3.1.2. *MM2FS: slide, water and free surface and MM3: slide, water and air*

263 Here, two multi-material approaches are considered which differ in whether  
264 air is explicitly modelled or not, and hence whether the free surface method  
265 described above needs to be employed to simulate the evolution of the water  
266 surface. In these models, volume fraction fields,  $\varphi_i$ , are used to describe the  
267 location of different materials. Each of the  $n_\varphi$  volume fraction fields vary in  
268  $[0, 1]$  and should sum to unity everywhere:

$$\sum_{i=1}^{n_\varphi} \varphi_i = 1. \quad (4)$$

269 In this work, either two materials ( $n_\varphi = 2$ , MM2FS: slide and water), or three  
270 materials ( $n_\varphi = 3$ , MM3: slide, water and air), are modelled. MM2FS has  
271 many similarities to SEDFS, including the ‘FS’ free surface method presented  
272 above being used to represent the location of the upper boundary to the  
273 domain. The differences between MM2FS and SEDFS are described in more  
274 detail in section 3.2

275 Since, from (4), one of the volume fraction fields (here always water) can  
276 be recovered from the others using

$$\varphi_{n_\varphi} = 1 - \sum_{i=1}^{n_\varphi-1} \varphi_i, \quad (5)$$

277  $n_\varphi - 1$  advection equations of the form

$$\frac{\partial \varphi_i}{\partial t} + \mathbf{u} \cdot \nabla \varphi_i = 0, \quad (6)$$

278 need to be solved. This implies only the slide volume fraction is solved for in  
279 the case of MM2FS, and the slide and air volume fractions are solved for in  
280 the case of MM3. In both approaches the location of the water is recovered  
281 using Equation (5).

282 In both MM2FS and MM3 the bulk density and viscosity used in Equa-  
 283 tion (1a) is recovered from the volume fraction weighted averages for all the  
 284 materials in each approach using:

$$\rho = \sum_{i=1}^{n_\varphi} \varphi_i \rho_i, \quad \mu = \sum_{i=1}^{n_\varphi} \varphi_i \mu_i, \quad (7)$$

285 where  $\rho_i$  and  $\mu_i$  represent the constituent densities and viscosities of the  
 286 individual materials.

287 For the laboratory scale test case, the densities of slide, water and air  
 288 (if MM3) are  $1950 \text{ kgm}^{-3}$ ,  $1000 \text{ kgm}^{-3}$  and  $1 \text{ kgm}^{-3}$  respectively. In the  
 289 large scale test case the densities are the same except for the slide, which  
 290 has a density of  $2000 \text{ kgm}^{-3}$ . In the MM3 approach the height of the air  
 291 above the water is chosen to be several times the expected maximum wave  
 292 height. Since the air is explicitly modelled in this approach, with the free sur-  
 293 face being represented by the interface between water and air, this approach  
 294 can naturally handle wave overturning/breaking. In the 'FS' approach, the  
 295 inability to simulate wave breaking is a limitation.

### 296 3.2. Discretisation

297 Fluidity uses the finite element method to solve the Navier-Stokes equa-  
 298 tions. Several velocity-pressure representation choices (also known as element  
 299 pairs) are available and vary depending on the approach employed (sections  
 300 3.1.1 and 3.1.2). A mixed discretisation approach can be taken where dif-  
 301 ferent function spaces are used to represent velocity and pressure. Implicit  
 302 time-stepping (the theta method) is used and, following linearisation of the  
 303 nonlinear advection terms, the associated linear solves for the discretised ve-  
 304 locity and pressure systems are conducted in a segregated manner within a

305 pressure-projection framework which enforces a divergence-free velocity field  
306 (Piggott et al., 2008). Following an update to velocity, scalar advection  
307 (-diffusion) equations for sediment concentration or material volume frac-  
308 tions are then solved using flux-limited control volume discretisation methods  
309 which feed into an updated density via the equation of state (3 or 7). Within  
310 a time step, two Picard iterations are then utilised to deal with nonlinearity  
311 and the coupling between all of the unknowns in the complete system. In  
312 addition, in the simulations presented here adaptive time-stepping is used,  
313 where the time-step varies, depending on a user-specified maximum Courant  
314 number.

315 For the SEDFS approach (section 3.1.1), (1a) and (1b) are discretised us-  
316 ing a linear continuous Galerkin approximation (P1) choice for both velocity  
317 and pressure (Piggott et al., 2008). Within a theta time-stepping algorithm,  
318  $\theta = 0.5$  is selected yielding the second-order Crank-Nicolson method for ve-  
319 locity. To aid stability a streamline upwind method is used to treat the  
320 nonlinear advection term. Here the sediment concentration field(s),  $c_s$ , is  
321 discretised using a control volume method on the dual of the triangular fi-  
322 nite element mesh, which is denoted here by P1CV. A flux-limited control  
323 volume method is used to solve this scalar equation (Wilson, 2009; Piggott  
324 et al., 2009). The Sweby flux limiter (Sweby, 1984) is used to ensure a  
325 bounded flux.

326 The MM2FS approach (section 3.1.2) has many similarities to the SEDFS  
327 approach, but with a different underlying finite element pair, and the use of  
328 a more compressive flux limiter (Leonard, 1991). Compared to the Sweby  
329 limiter, the more compressive limiter used in the the MM2FS approach en-



330 forces a much sharper interface between the slide and water, typically within  
331 one element width. For the discretisation of the equations for the volume  
332 fractions, (4) and (5) we again use a control volume method. A fully explicit  
333 first-order time-stepping scheme is used in combination with a ‘sub-cycling’  
334 approach which ensures a maximum Courant number of 0.25 (Wilson, 2009).  
335 For the discretisation of the momentum and continuity equations, (1a) and  
336 (1b), a piecewise constant (P0) approximation is used for velocity. For MM3,  
337 pressure is discretised using the same approximation as the volume fraction  
338 fields, i.e. using the P1CV discretisation. The same pressure space is also  
339 used as the test space for the continuity equation (1b). The consistency with  
340 the volume fraction discretisation leads to a method that is both bounded  
341 and conservative (Wilson, 2009). For the MM2FS approach, a P1CV based  
342 method is not available for the combined pressure and free-surface field. In  
343 this case, we therefore combine the P0 velocity discretisation with a piece-  
344 wise linear (P1) discretisation for pressure and free surface. As a result the  
345 volume fraction discretisation is not conservative. However, for the cases  
346 studied here the amount of conservation loss was negligible.

347 In MM3, the interface between water and slide is dealt with as for MM2FS.  
348 The interface between air and water is also handled using a compressive lim-  
349 iter, with a coupled approach ensuring that the limiter maintains bounded-  
350 ness for all volume fraction fields (Wilson, 2009).

351 Further details of the discretisation methods employed can be found in  
352 Piggott et al. (2008), Wilson (2009) and the Fluidity manual (AMCG, 2015).

353 *3.3. Mesh Adaptivity*

354 With the goal of maximising computational efficiency, here we investigate  
355 the utility of the dynamic mesh adaptivity algorithms available within Fluid-  
356 ity. Specifically, so-called mesh optimisation algorithms are considered that  
357 aim to periodically improve the mesh, through the minimisation of an opti-  
358 misation functional, via a series of heuristic operations that locally update  
359 the shape, size or connectivity of the mesh.

360 The optimisation algorithm aims to achieve elements of given edge lengths,  
361 which can vary throughout the mesh. A measure of the size and shape of  
362 individual elements is provided by the optimisation functional, and these  
363 quantities are evaluated with respect to a metric tensor,  $M$ .

364 For a chosen field (in this work the volume fraction of water,  $\varphi_{water}$ ) the  
365 metric,  $M$  is defined by:

$$M = \frac{1}{\varepsilon_{\varphi_{water}}} |H(\varphi_{water})|, \quad (8)$$

366 where  $\varepsilon_{\varphi_{water}}$  is a constant user-defined weight for  $\varphi_{water}$ . Based on sensi-  
367 tivity studies, in this work  $\varphi_{water}$  alone was used to construct  $M$ , to ensure  
368 the interfaces between materials were well resolved.  $|H_{\varphi_{water}}|$  is the Hessian  
369 matrix (of second-order derivatives) for  $\varphi_{water}$  where the absolute values of  
370 its eigenvalues have been taken (Hiester et al., 2011).  $|H_{\varphi_{water}}|$  describes the  
371 curvature of the volume fraction field in the different coordinate directions,  
372 and is used to identify regions of the domain that warrant fine or coarse res-  
373 olution in the vertical and/or horizontal direction (Pain et al., 2001). The  
374  $M$  chosen thus encodes the desired mesh resolution, which can be highly  
375 anisotropic.

376 Since  $M$  is motivated by linear interpolation theory the result of the mesh  
377 optimisation operation described above is to place finer resolution in regions  
378 with high curvature in solution fields, and coarser resolution where the field  
379 varies linearly. In practice,  $M$  is limited in order to place restrictions on the  
380 maximum and minimum element size, maximum allowable aspect ratio, the  
381 spatial gradation of element edge length, and maximum number of elements  
382 permitted. For more details and examples of this approach see Piggott et al.  
383 (2008); Hiester et al. (2011, 2014); Hill et al. (2012) and Parkinson et al.  
384 (2014) and references therein.

### 385 *3.3.1. Metric advection*

386 The concept of metric advection is considered in some of the simulations  
387 presented here to reduce the frequency of adapting the mesh. Metric advec-  
388 tion involves the advection of each component of the metric with the flow  
389 field and is described further in Hiester et al. (2011). The motivation for  
390 advecting the metric is to pre-empt where higher resolution is likely to be  
391 required in between times when the mesh is adapted. For example, so that  
392 the interface between materials, including the fast moving head of the slide,  
393 does not advect outside the region of enhanced resolution and therefore po-  
394 tentially be subject to excessive numerical diffusion. This results in higher  
395 resolution over a greater area, and therefore an increased number of nodes,  
396 however, in principle it allows the frequency of mesh adapts to be reduced  
397 whilst maintaining a good representation of the dynamics in the simulation.

398 *3.3.2. Vertically aligned adaptivity*

399 For relatively high aspect ratio problems it has been found that maintain-  
400 ing columns of elements in the vertical direction has advantages for stability.  
401 Fully unstructured meshes without any alignment in the vertical direction,  
402 can give rise to artificial horizontal gradients of fields that only vary vertically.  
403 For instance, in the MM3 approach, the initial air-water interface should be  
404 completely flat and remain at rest; however, with no vertical alignment of  
405 the nodes in the mesh, small artificial gradients in the hydrostatic pressure  
406 will initiate spurious waves leading to instability.

407 Despite the restriction to vertical columns of elements, adaptive resolution  
408 in both the horizontal and vertical direction can still be achieved using a two-  
409 stage approach. In the first stage, a horizontal surface mesh is created with  
410 varying resolution according to the horizontal components of the metric,  $M$ .  
411 In the second stage this mesh is extruded vertically by creating columns  
412 of nodes under each node of the horizontal mesh. The distance between  
413 the nodes (vertical resolution) can be chosen for each column independently.  
414 Finally the nodes are connected into cells.

415 Since the test cases considered here are only two-dimensional, both the  
416 horizontal mesh, and the vertical meshes (columns of nodes) below each  
417 surface node, are one-dimensional and mesh adaptivity is straight-forward.  
418 First we obtain the desired new edge lengths  $\Delta x_i$  by projecting the metric  
419 in the appropriate direction given by a unit vector  $\hat{e}$ , and using the following  
420 relation:

$$\Delta x_i^2 \hat{e}^T M_i \hat{e} = 1. \tag{9}$$

421 This expresses the fact that the optimal edge when measured with the metric

422 should have length one.

423 Next, the old mesh co-ordinates are mapped  $x \mapsto \tilde{x}$  from physical space  
424 to a so called metric space using:

$$\tilde{x}_1 = 0; \tilde{x}_i = \tilde{x}_{i-1} + \frac{x_i - x_{i-1}}{\Delta x_i}, \quad (10)$$

425 where  $\Delta x_i$  is the desired edge length between nodes  $x_i$  and  $x_{i-1}$ . Regions of  
426 the old mesh that require adaptation will give node spacings in metric space  
427 that differ from the ideal edge length of one. To define the new mesh, the first  
428 step is calculate the optimum number of nodes. Since the ideal edge length  
429 in metric space is one, this is simply  $\tilde{x}_N$  rounded up to the nearest integer,  
430 where  $N$  is the last node of the old mesh. Then the new mesh is created  
431 using a uniform node separation of  $\tilde{x}_N/\text{ceiling}(\tilde{x}_N)$ , which is not quite equal  
432 to one but ensures an integer number of edges fit exactly into the domain.  
433 The final step is to map the position of the new nodes in metric space back  
434 to physical coordinates by interpolating from the old nodes in metric space.

435 If  $x'_j$  and  $\tilde{x}'_j$  are the coordinates of the new mesh in physical and metric  
436 space, respectively, the interpolation is given by:

$$x'_j = \frac{\tilde{x}'_j - \tilde{x}_{i-1}}{\tilde{x}_i - \tilde{x}_{i-1}} x_i + \frac{\tilde{x}_i - \tilde{x}'_j}{\tilde{x}_i - \tilde{x}_{i-1}} x_{i-1} \quad (11)$$

437 for  $\tilde{x}_{i-1} < \tilde{x}'_j < \tilde{x}_i$ . This approach to one-dimensional mesh optimisation  
438 avoids directional bias and the need to crop the last element on one side of  
439 the domain.

#### 440 4. Test cases

441 Two hypothetical submarine slide tsunami scenarios are considered, one  
442 at laboratory scale, validating against experimental data and benchmarking

443 against prior numerical studies (Assier-Rzadkiewicz et al., 1997; Ma et al.,  
444 2013), and one at large scale, benchmarking against results from two different  
445 models in a scenario proposed by Horrillo et al. (2013) in the Gulf of Mexico.

#### 446 *4.1. Laboratory scale test case: Assier-Rzadkiewicz et al. (1997)*

##### 447 *4.1.1. Problem set-up*

448 This test case is taken from the laboratory experiments and numerical  
449 models of Assier-Rzadkiewicz et al. (1997), which itself is an extension us-  
450 ing deformable slides, of the rigid block experiments and numerical models  
451 of Heinrich (1992). Heinrich (1992) used the two-dimensional incompress-  
452 ible Navier-Stokes equations, modelling water with a free surface, and the  
453 rigid slide with a moving bottom boundary. Assier-Rzadkiewicz et al. (1997)  
454 extended the NASA-VOF2D code to deformable slides, using a sediment-  
455 mixture numerical model. NASA-VOF2D solves the two-dimensional incom-  
456 pressible Navier-Stokes equations on a structured grid using low order finite  
457 differences and with a volume of fluid (VoF) approach to track the location of  
458 the free surface (Torrey et al., 1985), and treats the slide as a viscous fluid.  
459 Assier-Rzadkiewicz et al. (1997) also conducted laboratory experiments of  
460 granular slides in order to validate this model. The laboratory experiments  
461 used both solid (with 45° slope angle) and deformable slides (30° and 45°  
462 slopes angles). The deformable slides were represented using granular mate-  
463 rials with three different grain size ranges. The tank used was 4 m long, 0.3  
464 m wide and 2.0 m high, with a water depth of 1.6 m. The submarine slide  
465 mass was initially triangular in shape and spans the width of the channel,  
466 so this was considered a two-dimensional experiment. The dimensions of the  
467 slide were 0.65 m  $\times$  0.65 m, with a mean density of 1950 kgm<sup>-3</sup>.

468 Ma et al. (2013) presented results of an extension of NHWAVE (Non-  
469 Hydrostatic WAVE model), which were also compared with Assier-Rzadkiewicz  
470 et al. (1997) (along with other scenarios). NHWAVE is a three-dimensional  
471 (non-hydrostatic) Navier-Stokes model using finite volume based discretisa-  
472 tions on a structured grid which utilising free surface/bathymetry following  $\sigma$   
473 coordinates and where the free surface movement is controlled through time-  
474 stepping the depth-integrated continuity equations (Ma et al., 2012). Simi-  
475 larly to NASA-VOF2D, the slide was represented using a sediment-mixture  
476 model. Assuming the same mean density as Assier-Rzadkiewicz et al. (1997),  
477 they use a volumetric sediment concentration of 0.58. They used a simplified  
478 slide model, which did not consider inter-granular stresses. A  $\kappa - \varepsilon$  RANS  
479 turbulence model (Lin and Liu, 1998a,b; Ma et al., 2011, 2013) was used to  
480 calculate turbulent viscosity and diffusivity.

481 Here, Fluidity was used to simulate the same deformable slide scenario,  
482 from Assier-Rzadkiewicz et al. (1997). The initial condition is shown in  
483 Figure 1. Three approaches were compared within Fluidity: SEDFS, MM2FS  
484 and MM3. An adaptive timestep was used, with a requested maximum  
485 Courant number of 0.75. A free-slip, no-normal flow boundary condition  
486 was used on the slope and bottom of the tank. A dynamic water viscosity  
487 of  $1 \text{ kgm}^{-1}\text{s}^{-1}$  was used in all simulations, whilst dynamic viscosities of  $10$   
488  $\text{kgm}^{-1}\text{s}^{-1}$  and  $0.1 \text{ kgm}^{-1}\text{s}^{-1}$  were used for the slide and air respectively in  
489 MM2FS and MM3 simulations. Results are compared to the laboratory  
490 experiments and numerical results in Assier-Rzadkiewicz et al. (1997), as  
491 well as the numerical results from Ma et al. (2013), which used an approach  
492 similar to SEDFS.

493 *4.1.2. Fixed mesh results*

494 Results are presented for the same fixed mesh resolution as Assier-Rzadkiewicz  
495 et al. (1997) (0.1 m by 0.1 m element edge lengths) and at the same time lev-  
496 els. All three of the approaches available with Fluidity give similar results,  
497 and agree closely with the numerical results of Assier-Rzadkiewicz et al.  
498 (1997).

499 The slide geometry in the different models is very similar at both time  
500 intervals illustrated in Figure 2. The slide-water interface is most diffuse in  
501 SEDFS, owing to the less compressive advection scheme employed in this  
502 approach as well as the explicit inclusion of diffusion. Bulk densities at these  
503 time intervals are also shown in Assier-Rzadkiewicz et al. (1997) and Ma  
504 et al. (2013). In all cases the slide head overturns, and a second overturning  
505 billow of material separates off the main slide further up the slope.

506 Figure 3 (a,c) compares the surface wave forms predicted by Fluidity’s  
507 three approaches. There is little difference between the three approaches at  
508 0.4 seconds (a), because the slide has quickly accelerated into deep water,  
509 where any changes in the detailed slide geometry due to differences in the  
510 numerical treatment of the slide, have little influence on the wave produced.

511 Figure 3 (b,d) presents experimental results (Assier-Rzadkiewicz et al.,  
512 1997) along with previous numerical model results from NASA-VOF2D (Assier-  
513 Rzadkiewicz et al., 1997) and NHWAVE (Ma et al., 2013), for compari-  
514 son with the range of results from the three different approaches in Flu-  
515 idity. As observed with NASA-VOF2D (Assier-Rzadkiewicz et al., 1997)  
516 and NHWAVE (Ma et al., 2013), the maximum wave heights predicted by  
517 Fluidity are slightly greater than the experimental results. However, the



518 amplitudes are lower than those obtained in the model used by Ma et al.  
519 (2013), and are also closer to the experimental results than NASA-VOF2D  
520 (Assier-Rzadkiewicz et al., 1997). At 0.8 seconds, for the wave trough lo-  
521 cated at 0.1 m, the Fluidity range matches very closely with the Ma et al.  
522 (2013) model, and for the wave trough located at 0.6 m, the Fluidity range  
523 matches well with the Assier-Rzadkiewicz et al. (1997) model. The peak in  
524 the wave train located at 0.1–0.5 m is higher in Fluidity than both Assier-  
525 Rzadkiewicz et al. (1997) and Ma et al. (2013), and is closer to that observed  
526 in the experiments. Ma et al. (2013) note that NHWAVE over-predicts the  
527 generated surface waves, because of faster movement of the slide in the sim-  
528 ulation compared to the experiments. They attribute this to their simplified  
529 treatment of the slide, where stresses between sediment grains that would  
530 decelerate the slide, are not considered. However, SEDFS does not consider  
531 these stresses either, and the slide in SEDFS moves slower than the slide in  
532 NHWAVE, so it is unclear whether this simplification is the reason for the  
533 discrepancy, as SEDFS makes the same simplification.

534 In the results presented, a free-slip boundary condition was used, for  
535 consistency with the set-up used in Assier-Rzadkiewicz et al. (1997). How-  
536 ever, a no-slip, or drag boundary condition may be more appropriate to  
537 reflect the friction of the slide along the slope at laboratory scale. Ma et al.  
538 (2013) appear to use a boundary condition with some drag, but this is not  
539 documented. The laboratory experiment was compared to two-dimensional  
540 numerical models, however, in reality the tank had some width and there  
541 would have been some friction between the water and the sides of the tank.  
542 This would have resulted in a reduction in wave height as energy was lost to

543 friction. In all the models discussed here, this friction from the tank sides  
544 is not modelled or accounted for; accounting for it may improve the match  
545 between experimental and numerical results. On the other hand, some part  
546 of the discrepancy between models and experiment may be related to exper-  
547 imental limitations. For example, small-scale wave generation experiments  
548 can suffer from unavoidable scale effects not present in numerical models.  
549 For instance, surface tension at the air-water interface is a negligible force  
550 at large scales and hence neglected in numerical models, yet in small scale  
551 experiments this force may be an important component of wave resistance,  
552 providing additional dissipation. Given the possible experimental limitations,  
553 the comparisons with the numerical models NHWAVE and NASA-VOF2D  
554 are important for effective evaluation of Fluidity, and overall a good match  
555 is obtained between the three models.

556 For the models of Assier-Rzadkiewicz et al. (1997); Ma et al. (2013) results  
557 are not presented past 0.8 seconds. At this time the wave that propagates  
558 up-slope, in the opposite direction to the slide direction, steepens and starts  
559 to break. These models, and the models in Fluidity that employ a free surface  
560 boundary condition (MM2FS and SEDFS), are not able to model the wave  
561 breaking. However, the method used in MM3, tracks the interface between  
562 the air and water as a discontinuity in volume fraction, and is therefore able  
563 to continue simulating the wave evolution after breaking and back-fill occurs.  
564 This is shown in Figure 4.

565 A mesh sensitivity study (Figure 5) was undertaken to establish the opti-  
566 mum spatial resolution of the fixed meshes required to achieve a robust result  
567 (in terms of the wave amplitude and the location of the front of the slide).

568 These spatial resolution studies showed that cells with edge lengths of 0.01  
569 m horizontally and vertically (leading to a mesh comprising 58,286 nodes)  
570 provided a good compromise between accuracy and efficiency. Increasing the  
571 resolution further had minimal effect on the maximum wave height, as shown  
572 in Figure 5. This was also the spatial resolution used by Assier-Rzadkiewicz  
573 et al. (1997). For fixed mesh simulations, run in serial, SEDFS took just over  
574 one hour to reach 0.8 seconds, the MM2FS set up took approximately 1.5  
575 hours, MM3 set up took just over 2 hours.

#### 576 *4.1.3. Adaptive mesh results*

577 For MM3 simulations, an adaptive mesh (e.g. Figure 6) was used to  
578 dynamically increase spatial resolution in regions of interest and decrease  
579 spatial resolution away from these regions. In the MM3 adaptive simulations  
580 described in Table 1, the mesh adapted to the volume fraction of water. This  
581 resulted in increased resolution at the boundaries between air-water, and  
582 water-slide. The spatial resolution decreases with increasing distance away  
583 from these boundaries. In a simulation it is possible to vary, amongst other  
584 options, the minimum and maximum edge length in both spatial dimensions;  
585 gradation factor (the factor by which the edge length can change from one  
586 element to the next); the field weight,  $\varepsilon_{\varphi_{water}}$ ; whether metric advection is  
587 used or not; whether the mesh is adapted before the simulation begins; and  
588 how often the mesh is adapted. To determine the best adaptivity parameters,  
589 a suite of simulations were run. A sample of these simulations and their  
590 parameters are described in Table 1.

591 In Figure 5 the maximum wave height observed in each simulation is  
592 plotted for MM3 fixed mesh simulations (blue line), with edge lengths of

Simulation name	Minimum Edge Lengths: horizontal, vertical (m)	Maximum Edge Lengths: horizontal, vertical (m)	Metric Advection	No. of timesteps between mesh adapts
a1	0.01, 0.01	4, 0.5	on	20
a2	0.05, 0.05	4, 0.5	on	20
a3	0.01, 0.01	10, 5	on	20
a4	0.01, 0.01	4, 0.5	off	20
a5	0.01, 0.01	4, 0.5	on	10
a6	0.01, 0.01	1, 0.1	on	20

Table 1: Parameters for lab scale adaptive simulations

593 0.04, 0.02, 0.01, 0.005, and 0.0025 m. This shows the maximum wave heights  
594 at 0.4 seconds and 0.8 seconds, converge to approximately 3.3 cm and 6.3cm  
595 respectively. For the adaptive mesh simulations the maximum wave height is  
596 plotted against the average number of nodes employed during the simulation  
597 (between the first adapt of the mesh and when the simulation reached 0.8  
598 seconds). The error bars displayed show the maximum and minimum number  
599 of nodes during the simulation.

600 A reduction in the maximum edge length permitted during the simula-  
601 tion (a6 from a1), results in a maximum wave height closer to the converged  
602 value and therefore increased accuracy. However, there is also an increase  
603 in computational cost, because the number of nodes increases. Compared to  
604 the fixed mesh simulation, simulation a6 used almost an order of magnitude  
605 fewer nodes to obtain the converged value for the wave height. An increase  
606 in the minimum edge length (a2 from a1) or maximum edge length (a3 from  
607 a1) permitted during the simulation leads to decrease in accuracy, and there  
608 is little, or no, saving in computational cost. This is because both these  
609 changes produce a mesh with less spatial variation in edge length. Metric

610 advection predicts where higher spatial resolution will be needed in the fu-  
611 ture, and increases resolution accordingly. Therefore, not employing metric  
612 advection (a4 from a1) results in increased likelihood of the dynamics of in-  
613 terest (here, the interface between materials) propagating out of the regions  
614 of high resolution, and an associated decrease in accuracy.

615 Using meshes that adapt more frequently (a5 from a1) is also not advan-  
616 tageous as it is computationally more expensive and additional small errors  
617 are introduced during the interpolation of fields between the pre- and post-  
618 adapted meshes. These are usually insignificant but can accumulate if the  
619 mesh adapts too frequently.

620 The adaptive simulation a6, uses only 20% of the nodes used in the fixed  
621 mesh simulation that achieves the same result. Simulation a6 uses the same  
622 minimum edge length as the edge length in the fixed mesh, however the edge  
623 length is coarsened away from material interfaces, and this leads to a reduc-  
624 tion in number of nodes and therefore lower computational expense. The  
625 simulation time is reduced from 120 minutes (fixed mesh MM3) to approxi-  
626 mately 20 minutes (adaptive mesh MM3, simulation a6).

## 627 *4.2. Large scale test case: Gulf of Mexico, Horrillo et al. (2013)*

### 628 *4.2.1. Problem set-up*

629 To benchmark Fluidity for a full scale tsunamigenic submarine slide event,  
630 the recent simulations of Horrillo et al. (2013) were used. In this work they  
631 present TSUNAMI3D, their three-dimensional Navier-Stokes model for water  
632 and submarine slide, and validate it against the laboratory experiments of  
633 Liu et al. (2005), before applying it to a full-scale historical scenario in the  
634 Gulf of Mexico in two and three dimensions comparing TSUNAMI3D and a

635 more diffusive commercial CFD program, FLOW3D.

636 TSUNAMI3D builds on the classical VoF formulation of Hirt and Nichols  
637 (1981) to track both the water surface and slide interface on a structured  
638 grid with a 3rd order finite difference scheme to solve the incompressible  
639 Navier-Stokes system. The VoF method determines regions containing wa-  
640 ter and slide material, with corresponding cell-weighted values of physical  
641 properties (density and viscosity) used in the momentum equation, in a very  
642 similar manner to the MM2FS and MM3 approaches employed in this work.  
643 TSUNAMI3D uses a simplified treatment of the free surface: the free sur-  
644 face in each column of cells is treated as horizontal, and consequently, wave  
645 breaking cannot be modelled. The water and slide are modelled as two in-  
646 compressible, Newtonian fluids. For the full-scale tsunami simulations in a  
647 vertical two-dimensional slice domain (Horrillo et al., 2013) TSUNAMI3D is  
648 configured to only employ two cells in the “third” dimension.

649 In the two-dimensional full-scale scenario considered, the slide is on av-  
650 erage approximately 150 m thick, 30 km long and the slope is approxi-  
651 mately 1.6%. Their domain is 100 km across by 1.24 km high, with 496,000  
652 cells, which are each 100 m across and 10 m high. The initial densities of  
653 the water and slide are  $1000 \text{ kgm}^{-3}$  and  $2000 \text{ kgm}^{-3}$  respectively. With  
654 bathymetry data and slide geometry provided by Horrillo (pers. comm) the  
655 two-dimensional simulation is replicated using Fluidity, with the same geom-  
656 etry and fluid densities. The set-up is shown in Figure 7. In Fluidity, the  
657 values for dynamic viscosity, in the horizontal and vertical respectively are  
658 set as  $10^6 \text{ kgm}^{-1}\text{s}^{-1}$  and  $10^3 \text{ kgm}^{-1}\text{s}^{-1}$  for water, and  $10^7 \text{ kgm}^{-1}\text{s}^{-1}$  and  $10^3$   
659  $\text{kgm}^{-1}\text{s}^{-1}$  for the slide. Viscosity values incorporate both the physical viscos-

660 ity and the turbulent viscosity. These ‘eddy’ viscosity values were selected in  
661 order to dampen any instabilities at the interface between water and slide,  
662 whilst being low enough to have a negligible effect on the overall motion of  
663 the slide. The meshes used in this work employ elements with a high aspect  
664 ratio i.e. with a far larger element edge length in the horizontal direction  
665 than the vertical direction; anisotropic values for ‘eddy’ viscosity are often  
666 required for simulations on such meshes.

667 The problem was reproduced using the three available methods: SEDFS,  
668 MM2FS and MM3. An adaptive timestep was used, with a requested max-  
669 imum Courant number of 0.5. A free-slip boundary condition on the water  
670 bottom was used.

#### 671 *4.2.2. Fixed mesh results*

672 Density contour plots at three times in each simulation (3, 7 and 10  
673 minutes) are shown in Figure 8. As in the laboratory scale simulations,  
674 SEDFS (a) has a more diffuse interface between the slide and water, this  
675 is also reflected in the water surface, resulting in a smooth free surface. In  
676 all three approaches material builds up in the slide head and the position of  
677 the slide head is almost identical. Consistent with Horrillo et al. (2013) and  
678 Løvholt et al. (2015), we also find that wave generation is largely controlled  
679 by the initial movement/acceleration of the slide under gravity, as opposed  
680 to the later deformation and run out of the slide in deeper water.

681 Water surface wave forms obtained by Fluidity at 3, 7 and 10 minutes  
682 using the three different approaches in Fluidity are compared in Figure 9  
683 (a, c, e). Between the three approaches there is very good agreement in  
684 wave amplitudes and the locations of the wave minimums and maximums.

685 At 10 minutes, there is more variation in the three approaches (Figure 9e).  
686 This is due to the different behaviour of the slide in each case, the ability of  
687 the model to handle wave breaking, and the nature of the interface between  
688 materials, affecting the diffusion of the slide material into the water. The  
689 range of water surface elevations are compared to the two model results in  
690 Horrillo et al. (2013), TSUNAMI3D and FLOW3D, at the same time intervals  
691 (Figure 9b, d, f). Good agreement (within 10%) in wave amplitude and  
692 wave form is seen between the three models at all time levels. However, the  
693 forwarding propagating wave forms produced by Fluidity are consistently  
694 slightly ahead of the other models and has a higher maximum peak at 7  
695 minutes (Figure 9d). The rearward propagating wave form produced by  
696 Fluidity tends to lie between the TSUNAMI3D and FLOW3D results.

#### 697 *4.2.3. Adaptive mesh results*

698 In Figure 10 the maximum wave heights, at 3 minutes (a) and 7 minutes  
699 (b), are plotted against number of nodes for MM3 fixed mesh simulations  
700 (blue line), with edge lengths in the horizontal/vertical of:  $400 \times 40$  m,  $200$   
701  $\times 20$  m,  $100 \times 10$  m,  $50 \times 5$  m,  $20 \times 2$  m and  $10 \times 1$  m. The maximum  
702 wave heights at 3 minutes and 7 minutes converge to approximately 16 m  
703 and 43 m respectively. Cells with edge lengths of 50 m in the horizontal and  
704 5 m in the vertical provide a reasonable compromise between accuracy and  
705 computational expense.

706 An adaptive mesh (e.g., a section of which is shown in Figure 11) was  
707 used to increase spatial resolution at the interfaces between slide and water,  
708 and water and air. Coarser spatial resolution can be seen with increasing  
709 distance from these regions and despite the columnar restriction in vertically



710 aligned adaptivity, the mesh resolution can be seen varying locally in both  
711 directions. In Figure 10 the maximum wave height for four adaptive mesh  
712 simulations are plotted against the average number of nodes during each  
713 simulation. Error bars show the maximum and minimum number of nodes  
714 between the first adapt and when the simulation reached 10 minutes.

715 Parameters for the four adaptive mesh simulations shown in Figure 10  
716 are described in Table 2. The adaptivity settings were varied to establish the  
717 optimum values. Increasing maximum horizontal edge length (h2 from h1) re-  
718 sulted in only a slight deterioration in the solution accuracy and significantly  
719 reduces the minimum and maximum number of nodes in the simulation.  
720 However, as the average number of nodes is relatively unchanged relative to  
721 h1, it does not constitute a substantial improvement. Increasing minimum  
722 vertical edge length (h3 from h1), reduced the maximum and average num-  
723 ber of nodes in simulation, however, this computational saving comes with  
724 substantial loss in accuracy. The absence of metric advection (h4 from h1)  
725 resulted in increased likelihood of the material interfaces propagating out of  
726 the regions of high resolution, causing material to diffuse further into the  
727 water column, disturbing the water surface, and resulting in decreased ac-  
728 curacy. The effects of adaptivity parameters observed in the large scale test  
729 case are consistent with the effects observed in the laboratory scale case.

730 Adaptive simulation h1 uses, on average, an order of magnitude fewer  
731 nodes than the number of nodes needed in a fixed mesh simulation to obtain  
732 a very similar result. Using a minimum element edge length of  $100 \times 2$  m,  
733 and maximum element edge length of  $200 \times 200$  m, the simulation time, in  
734 serial, is reduced to approximately 4 hours, compared to 10 hours for a fixed

Simulation name	Minimum Edge Length: vertical (m)	Minimum Edge Length: horizontal (m)	Maximum Edge Length: vertical (m)	Maximum Edge Length: horizontal (m)	Metric Advection	No. of timesteps between mesh adapts
h1	2	100	200	100	on	20
h2	2	100	200	400	on	20
h3	10	100	200	100	on	20
h4	2	100	200	100	off	20

Table 2: Parameters for large scale adaptive simulations

735 mesh resolution of  $100 \times 10$  m. The adaptive results shown are all within  
736 10% of the converged answer at each time. This indicates that the result is  
737 not greatly dependant on the adaptivity parameters that are chosen.

## 738 5. Discussion

739 The three modelling approaches considered in this work have differing  
740 computational costs. SEDFS is the most efficient, followed by MM2FS, then  
741 MM3. This is largely governed by the increasing number of fields that are  
742 solved for (volume fractions or concentrations) and the need to sub-cycle  
743 the solution for the volume fraction. However, there is also an increase in  
744 the number of degrees of freedom from SEDFS to MM2FS to MM3. This  
745 is due to the changes in discretisation methods employed, as well as MM3  
746 representing the additional volume of air above the water surface.

747 As submarine slides are often subcritical – the wave speed is far greater  
748 than the speed of the slide – the initial slide movement dominates the wave  
749 generation (Harbitz et al., 2014; Løvholt et al., 2015). This is typically seen  
750 in the simulations presented here, where the waveform is largely determined

751 by the initial acceleration of the slide, when it is at relatively shallow depths,  
752 and not by later details of the slide movement and deformation. The three  
753 different approaches produce very similar waveforms and the slides evolve  
754 similarly in each case.

755 Each of the three approaches used in this work have advantages justifying  
756 their use for different scenarios. In the high slide density scenarios consid-  
757 ered here, the SEDFS approach differs from MM2FS and MM3 in how the  
758 concentration/volume fraction is advected i.e the choice of flux limiter (see  
759 section 3.2); using SEDFS there is greater diffusion of the slide material. In  
760 submarine slide scenarios with lower particle concentrations, where the set-  
761 tling velocity is non-negligible, SEDFS allows other aspects of slide dynamics  
762 to be considered, including material deposition from the slide (providing a  
763 method to compare to deposits) and its transformation from submarine slide  
764 into turbidity current. However, the full model including sediment settling  
765 dynamics is only valid for dilute sediment concentrations. More dilute flows  
766 will favour the more diffusive SEDFS approach and so the most appropriate  
767 choice of model will also depend on the sediment concentration. Another  
768 advantage of this approach is that, the free surface method (used in SEDFS  
769 and MM2FS) has the potential to facilitate more straightforward coupling  
770 to a basin scale wave propagation model in the future, or between different  
771 approaches within Fluidity.

772 A disadvantage of SEDFS is that it does not allow the slide and water to  
773 have different viscosities; however, this flexibility is available in MM2FS and  
774 MM3. Both MM2FS and MM3 allow modelling of a sharp interface between  
775 materials, whereas SEDFS assumes a more diffusive interface. MM3 is more

776 flexible, as it has the advantage of being able to model wave breaking during  
777 the generation phase. However, in realistic submarine slide scenarios wave  
778 breaking does not often occur because submarine slides are subcritical and  
779 often initiate in deep water, implying that wave amplitudes are typically low  
780 relative to wavelength. If wave breaking does not occur, modelling the third  
781 material (air) is an unnecessary expense, because the computational domain  
782 is larger, and it requires high mesh resolutions at the water–air interface. In  
783 this case, simulations that employ Fluidity’s free-surface method (MM2FS,  
784 SEDFS) are computationally more efficient. Additionally, MM3 requires  
785 higher spatial resolution before convergence of the maximum wave height  
786 is reached (comparison not shown). This may be a consequence of how  
787 water surface elevation is extracted from MM3 simulations, as the interface  
788 position is not calculated explicitly as it is with the method used in MM2FS  
789 and SEDFS. Instead, the air–water interface position is calculated based on  
790 the air and water volume fractions, and hence depends more sensitively on  
791 spatial resolution.

## 792 **6. Conclusions**

793 Fluidity has been successfully compared to laboratory experiments and  
794 four other numerical models (two at laboratory scale and two using a full  
795 scale slide). Three different approaches (SEDFS, MM2FS and MM3) within  
796 Fluidity have been successfully applied to dynamically model submarine slide  
797 evolution at both laboratory and large scales using fixed meshes. Each ap-  
798 proach has advantages and disadvantages, so future use will depend on each  
799 specific application. Mesh adaptivity has also been applied at both labora-

800 tory and realistic scales, tracking important features of the slide geometry  
801 as the simulation progresses. The importance of slide geometry, deformation  
802 and dynamics will be the subject of future work. Mesh adaptivity has been  
803 shown to reduce the computational expense of simulations, whilst maintain-  
804 ing accuracy. At both scales we were able to reduce the number of nodes by  
805 at least an order of magnitude. This can be utilised in the future to simulate  
806 scenarios previously considered too computationally expensive, for example  
807 in three-dimensional simulations.

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1082 **9. Figure Captions**

1083 Figure 1: Geometry and initial condition for laboratory scale simulations,  
1084 after Assier-Rzadkiewicz et al. (1997).

1085

1086 Figure 2: Density plots at  $t = 0.4$  seconds and  $t = 0.8$  seconds for ini-  
1087 tial water density  $1000 \text{ kgm}^{-3}$ , slide density  $1950 \text{ kgm}^{-3}$  in SEDFS (top),  
1088 MM2FS (middle) and MM3 (bottom).

1089

1090 Figure 3: A comparison of water surface elevations for: (a,c) three dif-  
1091 ferent approaches by Fluidity: SEDFS (solid red), MM2FS (blue dotted)  
1092 and MM3 (solid green) and (b,d) the spread in the results by Fluidity (yel-  
1093 low area bounded by black line) and the experimental results (red dotted)  
1094 and NASA-VOF2D numerical results from (Assier-Rzadkiewicz et al., 1997)  
1095 (purple) and NHWAVE (Ma et al., 2013) (solid blue) at  $t = 0.4 \text{ s}$  (a,b) and  
1096  $t = 0.8 \text{ s}$  (c,d).

1097

1098 Figure 4: Density plots with close-ups showing complex wave interac-  
1099 tions, including wave breaking and back-fill in MM3 simulation at (a) 0.7 (b)  
1100 0.8 (c) 0.9 and (d) 1.0 seconds.

1101

1102 Figure 5: Maximum water surface elevations at 0.4 seconds (a) and 0.8  
1103 seconds (b) for MM3 simulations. Fixed mesh results for element edge lengths  
1104 0.04, 0.02, 0.01, 0.005 and 0.0025, represented by number of nodes in the  
1105 simulation (solid blue). a1–a6 used adaptive meshes, plotted at the average  
1106 number of nodes in the simulation, with error bars to indicate the minimum

1107 and maximum number of nodes used during the simulation. The black dots  
1108 indicates results from NASA-VOF2D (Assier-Rzadkiewicz et al., 1997).

1109

1110 Figure 6: Adaptive mesh at 0.8 seconds in simulation a6. Higher spatial  
1111 resolution at the boundaries between the three materials can be observed, as  
1112 can the vertically aligned nature of the mesh.

1113

1114 Figure 7: Geometry and initial condition for Gulf of Mexico test case  
1115 (Horrillo et al., 2013). There is a vertical exaggeration by a factor of 30.

1116

1117 Figure 8: Density plots at 3, 7 and 10 minutes in SEDFS, MM2FS and  
1118 MM3 simulations. There is a vertical exaggeration by a factor of 30.

1119

1120 Figure 9: Water surface elevations at 3 minutes, 7 minutes, and 10 min-  
1121 utes for (left) Fluidity: SEDFS (solid red), MM2 (dashed blue), MM3 (solid  
1122 green), and (right) the range of Fluidity wave heights (yellow area bounded  
1123 by black line), TSUNAMI3D (solid blue) and FLOW3D (solid red) (from  
1124 Horrillo et al. (2013)).

1125

1126 Figure 10: Maximum water surface elevations at 3 mins (a) and 7 mins  
1127 (b) for MM3 simulations. Fixed mesh results for element edge lengths in  
1128 horizontal/vertical:  $400 \times 40$  m,  $200 \times 20$  m,  $100 \times 10$  m,  $50 \times 5$  m,  $20$   
1129  $\times 2$  m and  $10 \times 1$  m. These are represented by number of nodes in each  
1130 simulation (solid blue). h1-h4 used adaptive meshes, plotted at the average  
1131 number of nodes in the simulation, with error bars to indicate the minimum



1132 and maximum number of nodes used. The red dot indicates a result from  
1133 FLOW3D, with the black dot a result from TSUNAMI3D (Horrillo et al.,  
1134 2013).

1135

1136 Figure 11: Close up section of adapted mesh at 7 minutes for MM3 sim-  
1137 ulation h1.

1138

1139 10. Figures

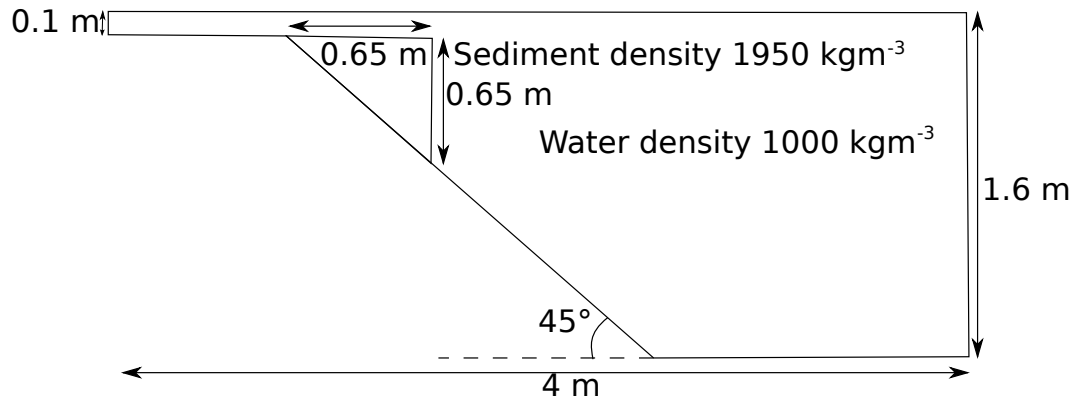


Figure 1:

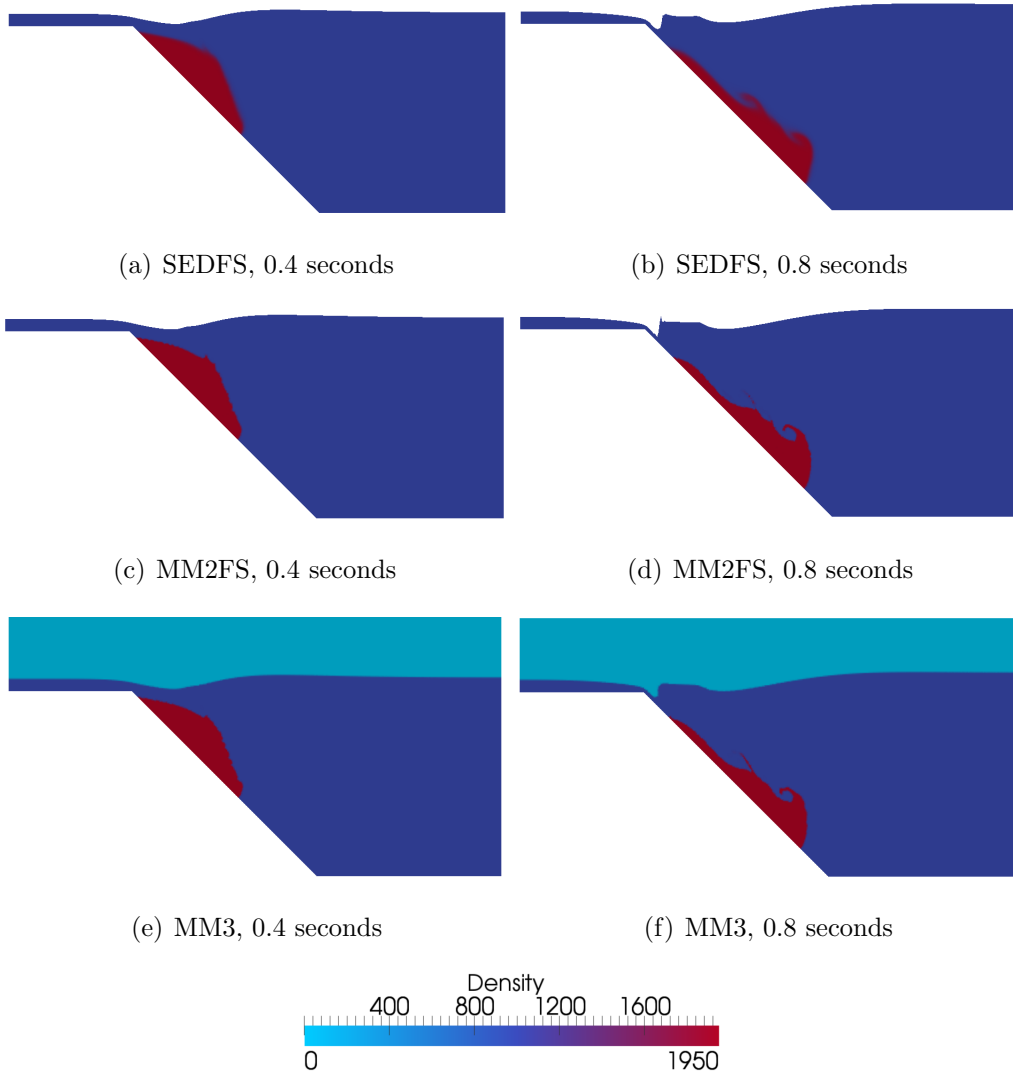


Figure 2:

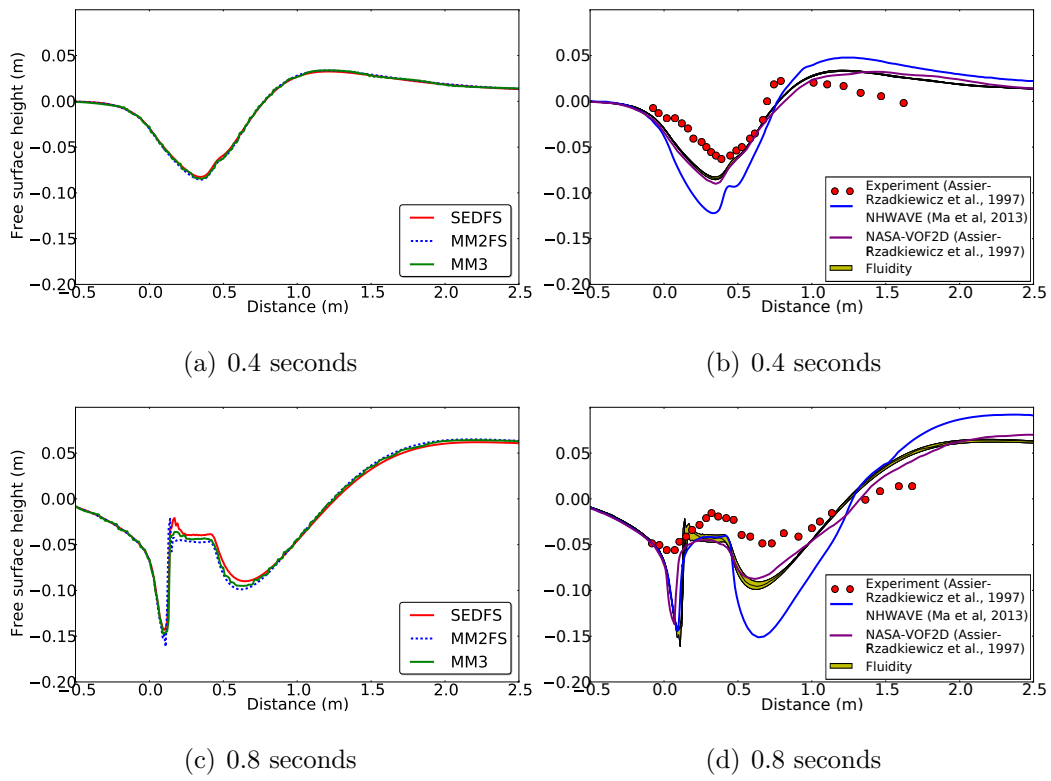


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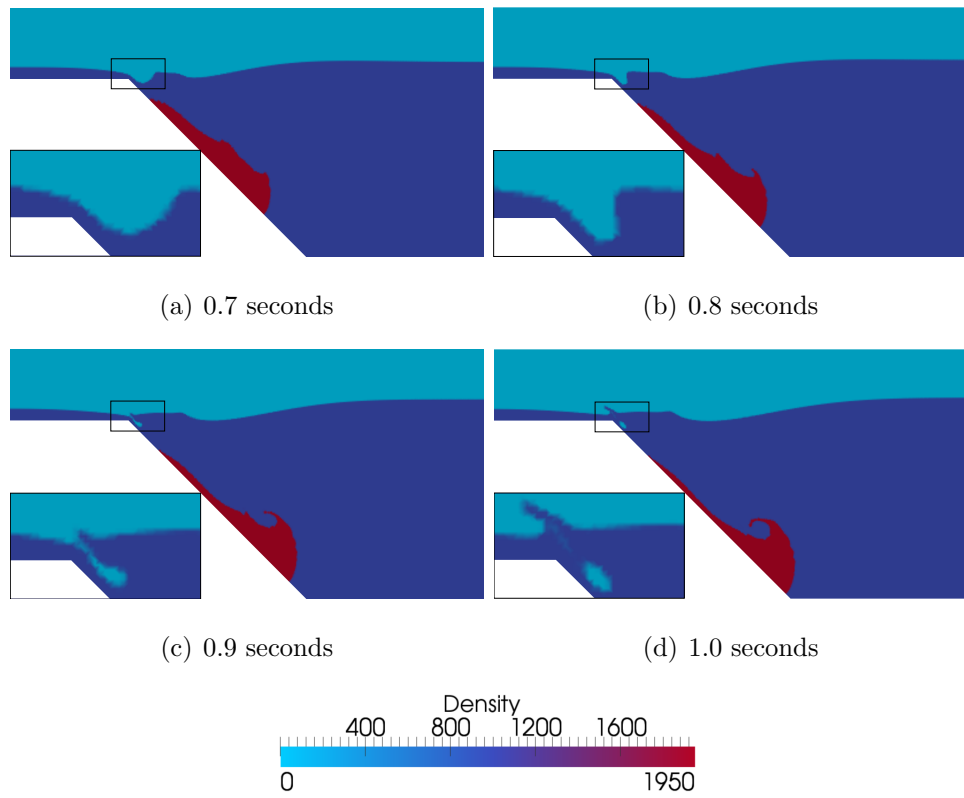
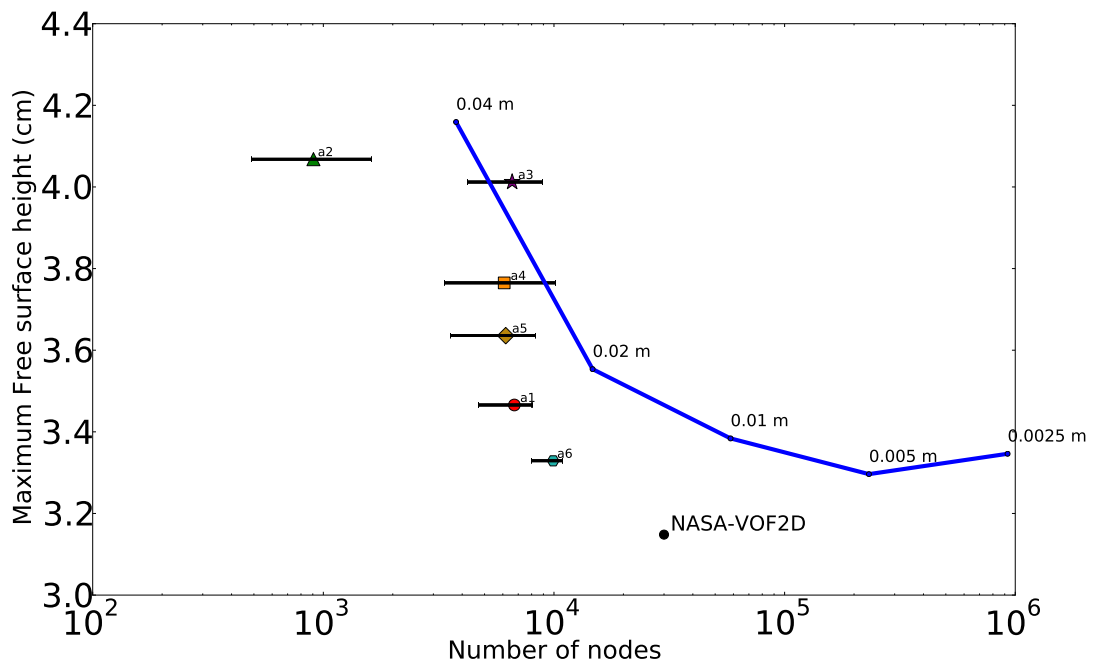
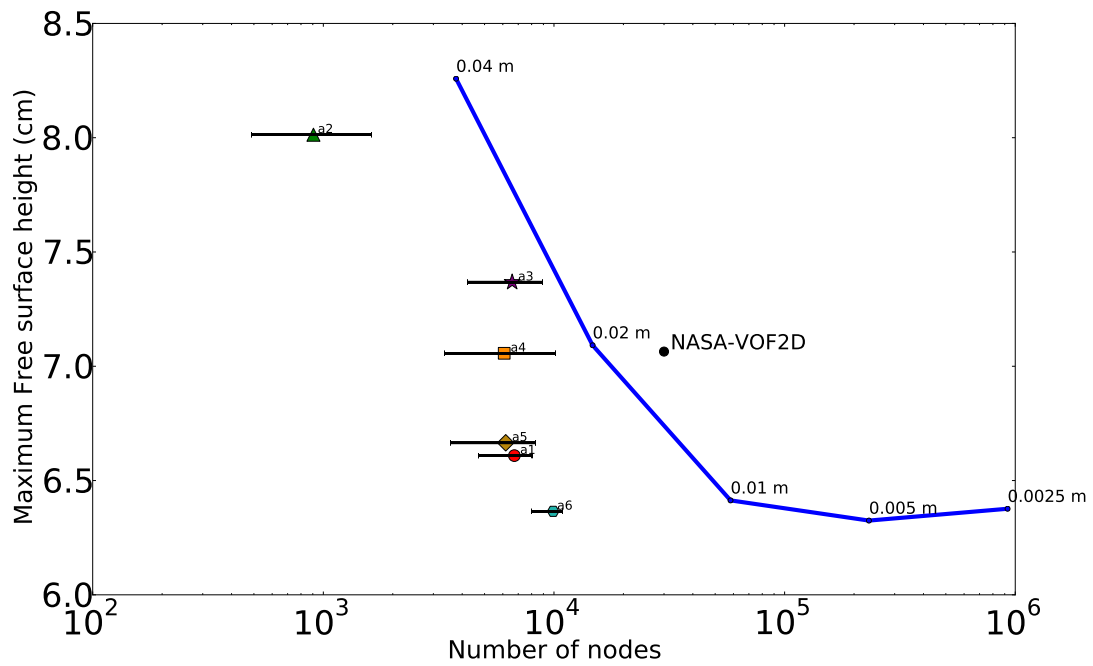


Figure 4:



(a) 0.4 seconds



(b) 0.8 seconds

Figure 5:

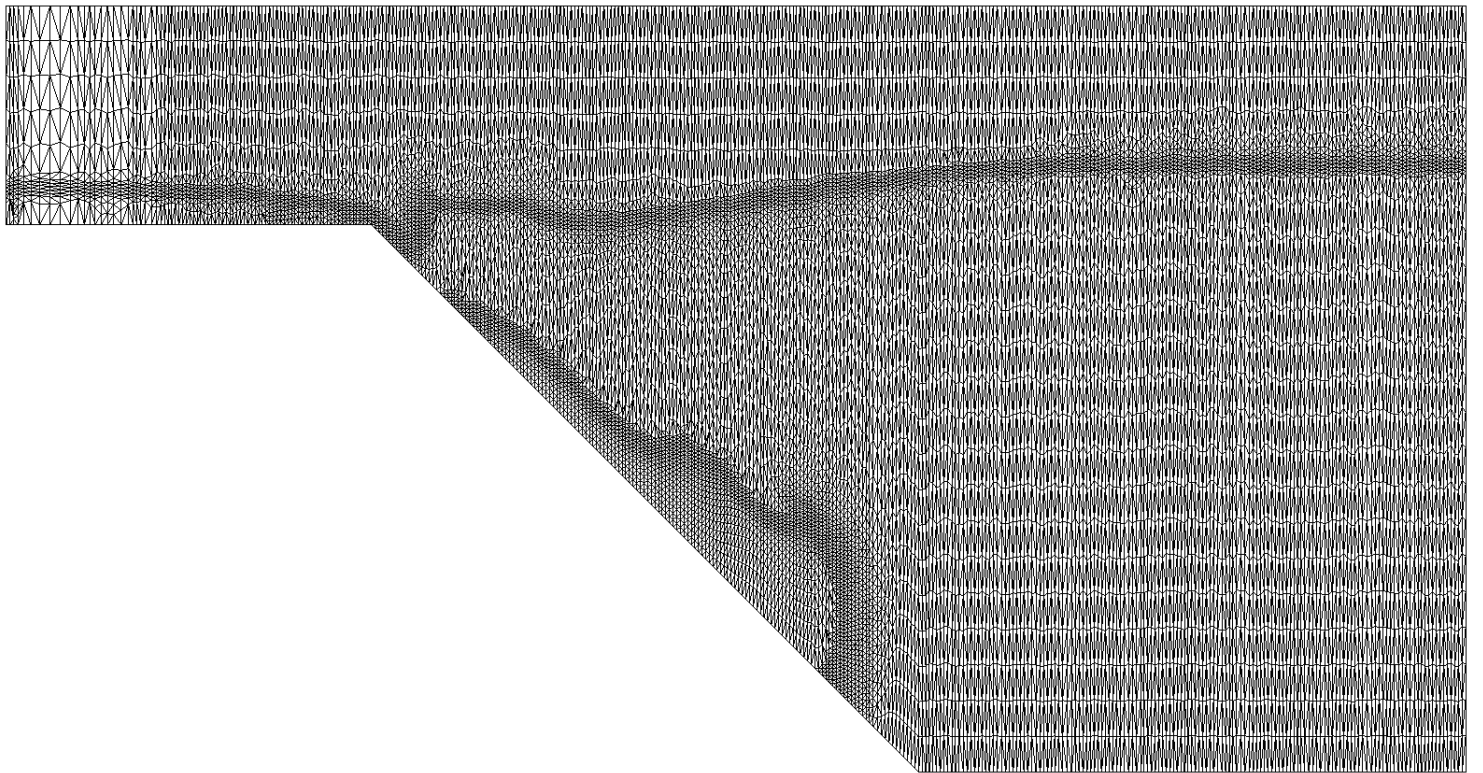


Figure 6:

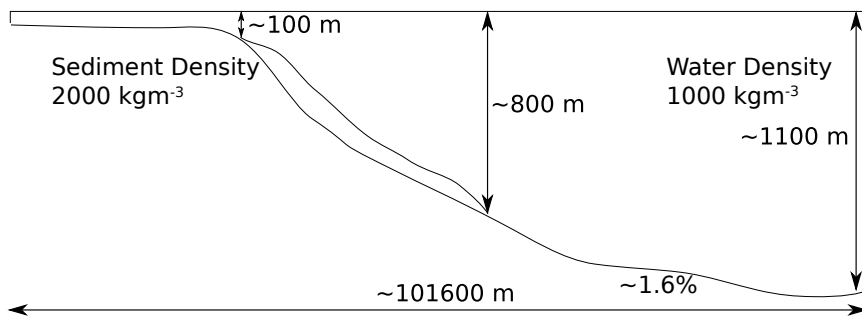


Figure 7:



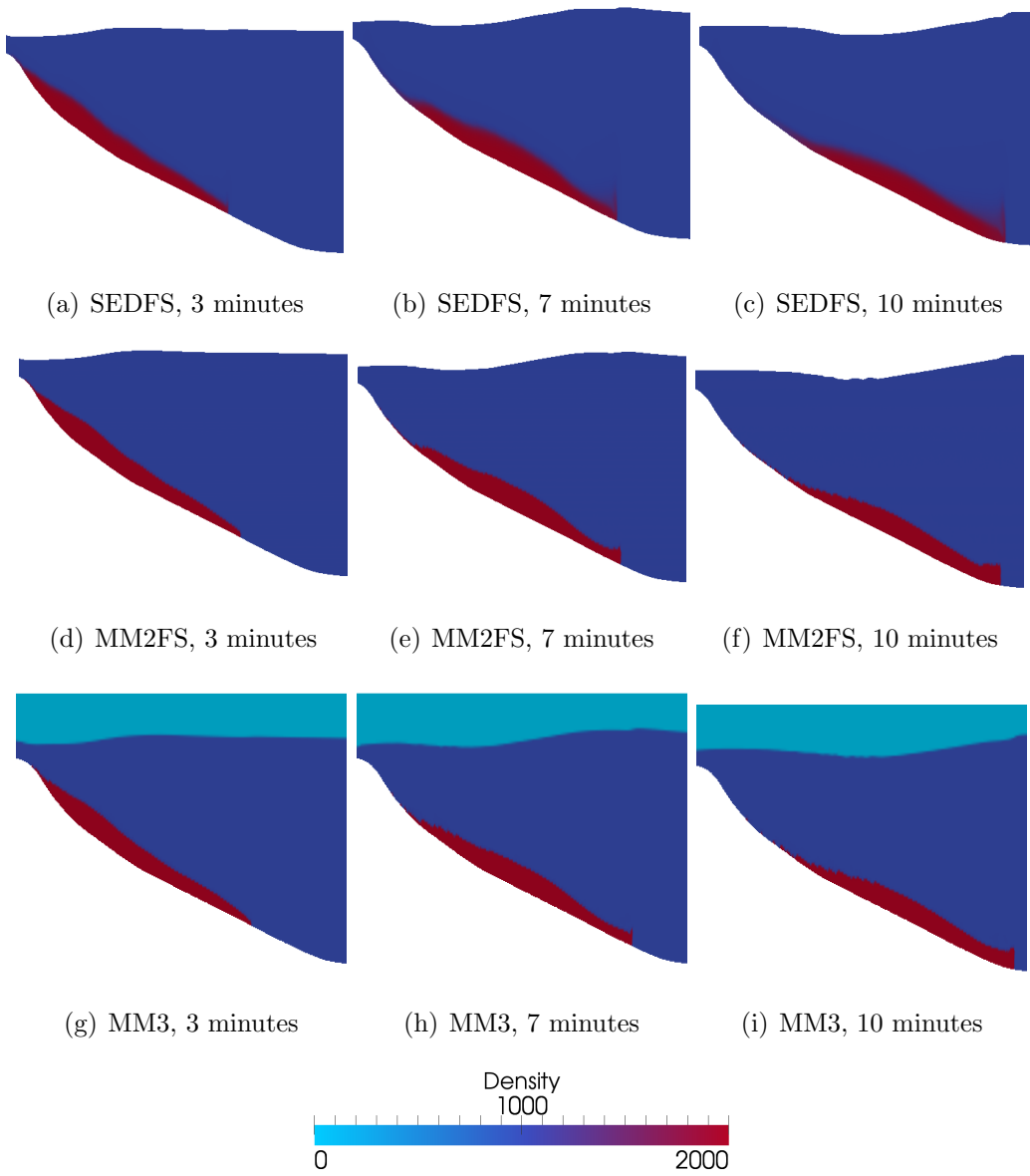
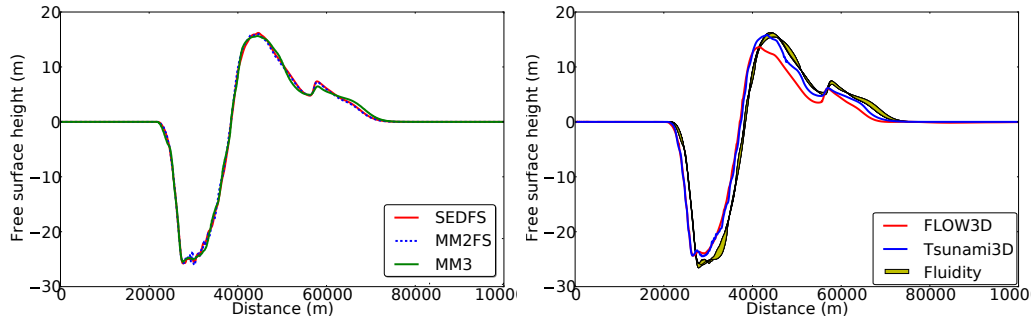
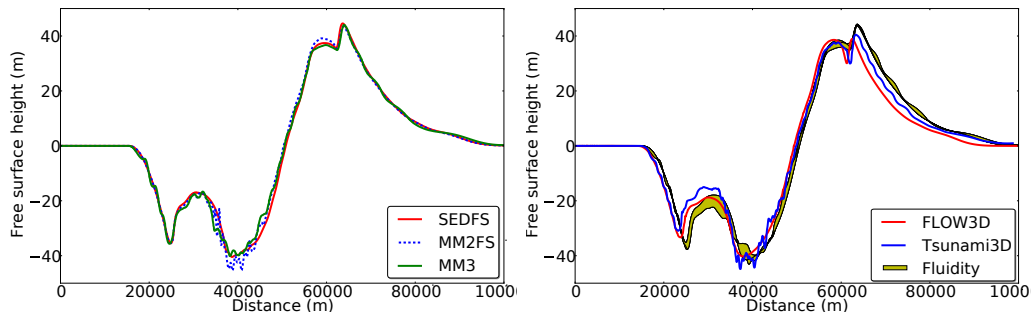


Figure 8:



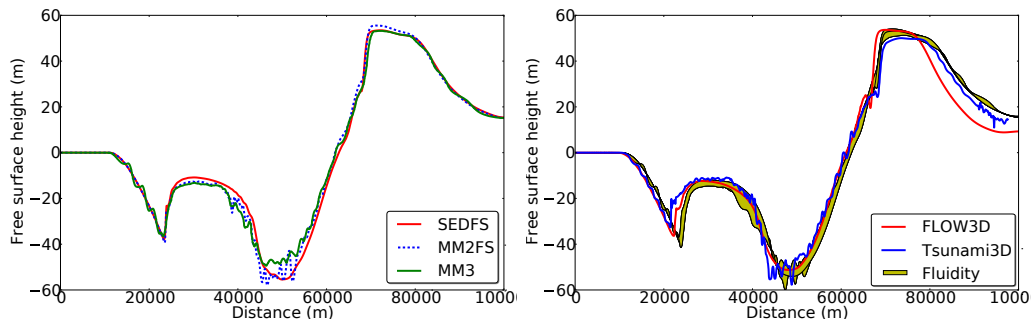
(a) 3 minutes

(b) 3 minutes



(c) 7 minutes

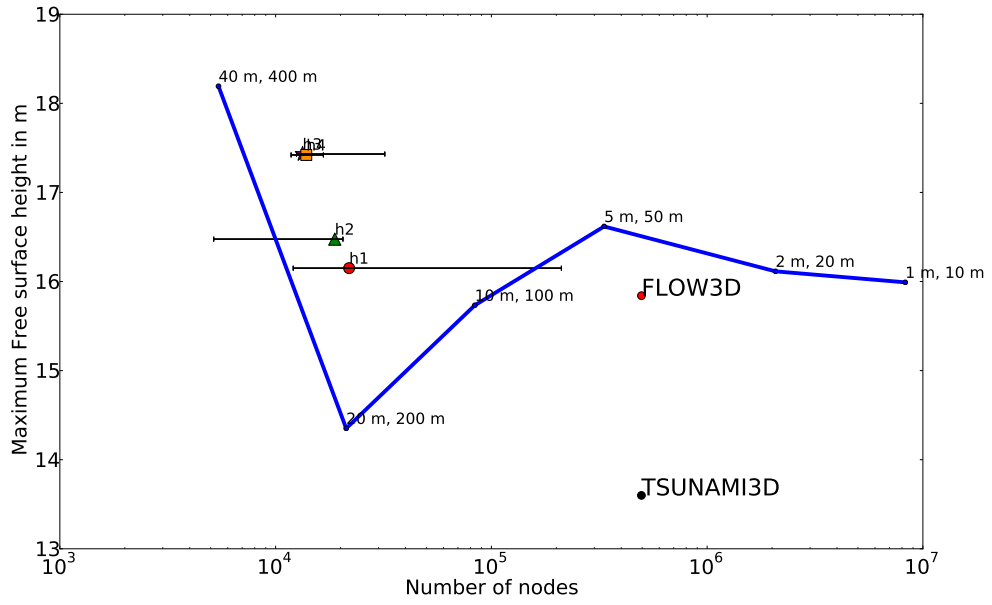
(d) 7 minutes



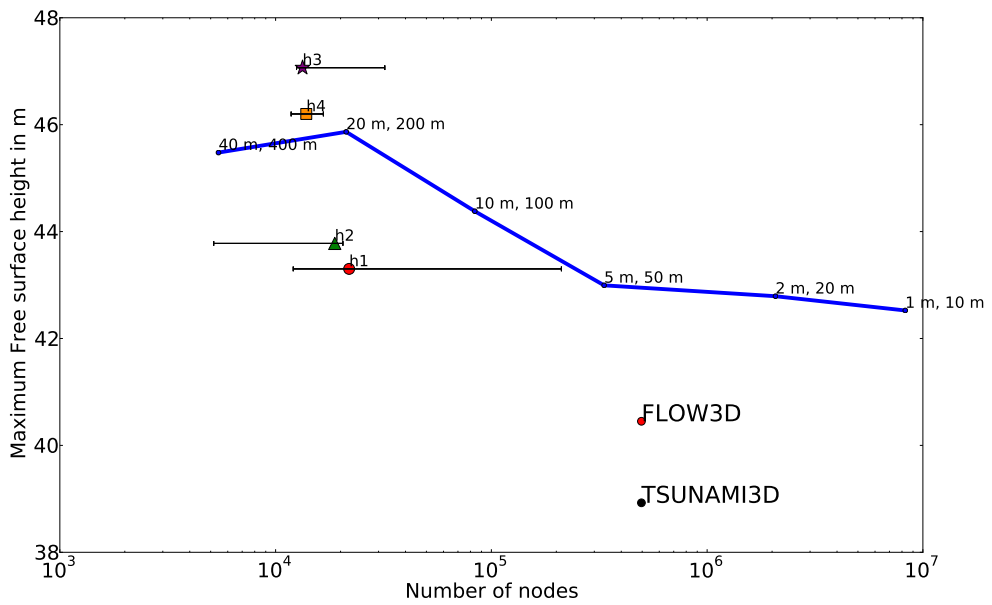
(e) 10 minutes

(f) 10 minutes

Figure 9:



(a) 3 minutes



(b) 7 minutes

Figure 10:

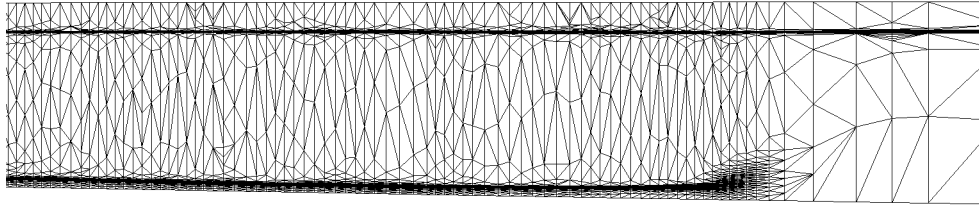


Figure 11: