Experimental determination of the dispersion ² relation of magnetosonic waves

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X - 2 WALKER ET AL.: MAGNETOSONIC WAVE DISPERSION Abstract. Magnetosonic waves are commonly observed in the vicinity of the terrestrial magnetic equator. It has been proposed that within this region they may interact with radiation belt electrons, accelerating some to high energies. These wave-particle interactions depend upon the character-

istic properties of the wave mode. Hence determination of the wave prop-7 erties is a fundamental part of understanding these interaction processes. Us-8 ing data collected during the Cluster Inner Magnetosphere Campaign, this q paper identifies an occurrence of magnetosonic waves, discusses their gen-10 eration and propagation properties from a theoretical perspective, and utilises 11 multispacecraft measurements to experimentally determine their dispersion 12 relation. Their experimental dispersion is found to be in accordance with that 13 based on cold plasma theory. 14

1. Introduction

Electromagnetic equatorial noise, or magnetosonic waves (MSW) as they are more com-15 monly referred to, consist of intense electromagnetic emissions that occur close to the 16 magnetic equator of the terrestrial magnetosphere. MSW have been suggested to play 17 an important role in the local acceleration of radiation belt electrons from 10 keV to 18 relativistic energies via resonant interactions [Gurnett, 1976; Horne et al., 2007]. First 19 principles based models of the particle environment of the radiation belts include terms 20 such as wave-particle interactions in the form of energy, pitch angle, and mixed diffusion 21 coefficients. The derivation of these terms is strongly dependent upon the assumed wave 22 propagation characteristics. Based on the cold plasma description of MSW [Mourenas 23 et al., 2013] demonstrated that the pitch-angle scattering and energy diffusion rates of 24 high energy electrons decrease sharply as the wave normal angle approaches 90° and that 25 these rates also depend inversely on the width of the wave normal distribution. In ad-26 dition, Albert [2008] reported that the scattering rates also depended upon the rate of 27 change of wave normal angle with frequency $(d\theta/d\omega)$. Since the dispersion relation of MSW and resonance condition essentially define the relationship between the resonant 29 energy and either the pitch angle (for a given wave normal angle) or wave normal angle 30 (for a given energy and pitch angle) any deviation from the cold plasma dispersion would 31 result in a marked change in the energy/pitchangle ranges that are affected by these waves 32 [Mourenas et al., 2013]. Using such parameters, physics based first principles models (e.g. 33 VERB [Shprits et al., 2008, 2009]) are able to estimate electron fluxes throughout the 34 radiation belt region. 35

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MSW were first reported by *Russell et al.* [1970]. Using data from OGO-3, these authors 36 described observations of magnetic fluctuations in the frequency range between the proton 37 gyrofrequency (Ω_p) and an upper limit around half of the lower hybrid resonance (LHR) 38 frequency (ω_{LH}) . The waves were found to occur within 2° of the magnetic equator at 39 distances in the range L = 3 - 5. Their propagation characteristics showed that the 40 waves possessed a high degree of elliptical polarisation, with a wave normal angle almost 41 perpendicular to the external magnetic, and the wave magnetic perturbations directed 42 parallel to the external magnetic field. Electric field observations by *Gurnett* [1976] from 43 the IMP-6 and Hawkeye 1 satellites revealed that these emissions, whose frequency was 44 typically in the range 50-200 Hz, possess a complex frequency structure with the large 45 spectral peaks observed around the proton gyroharmonic frequencies possessing a finer 46 substructure characterised by frequencies of $\Omega_p/8$ and $\Omega_p/2$ i.e. heavy ion gyrogrequencies. 47 The dominant oscillations occurred at harmonics of the proton gyrofrequency. *Perraut* 48 et al. [1982]; Laakso et al. [1990]; Boardsen et al. [1992]; Kasahara et al. [1994]; André 49 et al. [2002] and Balikhin et al. [2015] also showed further evidence for the harmonic 50 structure of these waves and investigated their morphology. More recent studies by [Chen 51 et al., 2011] and Němec et al. [2005] demonstrated that the magnetosonic wave instability 52 could operate over a broad range of frequencies from 5 to $40\Omega_p$. This multiharmonic 53 spectral structure is indicative of interactions at some characteristic resonance frequency. 54 Horne et al. [2007] suggested that the cyclotron resonances tend to occur at high (MeV) 55 energies and therefore unlikely to play a major role in the scattering of electrons whilst 56 the Landau resonance may operate over a wide range of energies from below 100 keV. 57 An alternate mechanism [Russell et al., 1970; Shprits, 2009] suggests that electrons may 58

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⁵⁹ also be scattered by bounce resonant interactions [*Roberts and Schulz*, 1968]. Recent ⁶⁰ reports by *Fu et al.* [2014], *Boardsen et al.* [2014], and *Němec et al.* [2015] have shown ⁶¹ the existence of periodic, rising tone MSW using data from THEMIS, VAP, and Cluster ⁶² respectively. The cause of this periodicity is still unknown, though it may be linked to ⁶³ the occurrence of ULF magnetic field pulsations.

Perraut et al. [1982] were able to correlate their observations of MSW with the appear-64 ance of peaks in the energy spectra of 90° pitch angle protons (ring-like ion distributions), 65 suggesting this as the source of free energy for the growth of these waves. These authors 66 used this theoretical model to investigate the dispersion characteristics of the observed 67 waves. The dispersion obtained was characterised by multiple branches at frequencies 68 $\omega \sim n\Omega_p$, reducing to the cold plasma dispersion ($\omega \sim k_{\perp}V_A$) in the case of a vanish-69 ing ring density. Maximum growth occurred at wave numbers that corresponded to the 70 crossover points between the cold dispersion and that resulting from the ring distribu-71 tion. The frequency range of instability has been shown to depend upon the ratio of the 72 Alfvén velocity (V_A) and the velocity of the proton ring (V_R) [Perraut et al., 1982; Korth 73 et al., 1984; Boardsen et al., 1992; Horne et al., 2000; Chen et al., 2010; Ma et al., 2014]. 74 The ring distribution may provide the source of free energy for the growth of MSW when 75 $0.5 < V_R/V_A < 2$. This ratio also controls the range of frequencies that are unstable. High 76 values of V_R/V_A result in MSW at low proton gyroharmonic frequencies whilst lower ratios 77 yield waves at high (>20) harmonics. Using sets of parameters based on measured ring-78 type ion distributions *Balikhin et al.* [2015] was able to recreate the frequency spectrum 79 of the observed wave emissions. Korth et al. [1983, 1984] also proposed a second possible 80 generation mechanism based on the occurrence of a sharp gradient in the observed plasma 81

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pressure as a free energy source for instabilities such as a drift wave instability. *Meredith* 82 et al. [2008] and Chen et al. [2011] showed that the region where proton ring distributions 83 were observed was generally consistent with the distribution of MSW. Thomsen et al. 84 [2011] analysed the occurrence of ring-like distributions at Geosynchronous Orbit (GSO), 85 concluding that these distributions were most likely to occur in the afternoon sector dur-86 ing periods of low Kp and small Dst and that there appeared to be a discrepancy between 87 the occurrence of ring-like proton distributions and the occurrence of MSW. It was con-88 cluded that storms, due to either coronal mass ejections or high speed streams, actually 89 suppressed the occurrence of these distributions. 90

Since these waves propagate, on average, in a direction nearly perpendicular to the 91 external magnetic field they are confined to the equatorial region, enabling potential az-92 imuthal guiding by the plasmasphere, as well as radial translation [Bortnik and Thorne, 93 2010]. These effects were considered by Chen and Thorne [2012] who investigated the extent to which magnetosonic waves may propagate azimuthally. Waves trapped within the 95 plasmasphere may migrate indefinitely until damped. Waves of plasmaspheric origin that are not trapped within the plasmasphere may propagate up to 4hrs in MLT whilst those 97 originating outside the plasmapause may migrate up to 7hrs MLT, possibly explaining 98 the discrepancy in the distributions of proton rings and magnetosonic waves at geosyn-99 chronous orbit [Thomsen et al., 2011]. Perraut et al. [1982] described the propagation of 100 these waves from the source region to the point of observation and the fact that they would 101 retain their harmonic structure from the source region, i.e. the spacing of the harmonic 102 bands reflects the magnetic field of the source region which may not be the same as that 103 of the local field at the location of observation. Using multipoint measurements, Santolík 104

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et al. [2002] showed spectral differences between observations made by two of the Cluster 105 satellites. These authors suggested that this may result from either two different source 106 regions, different regions of the same extended source region, or from the propagation 107 of the waves. Whilst these emissions are observed to occur within a few degrees of the 108 magnetic equator, detailed analysis by Santolík et al. [2002] and Němec et al. [2005] have 109 shown that they tend to reach a maximum intensity at a latitude 2-3° above the equator, 110 a point corresponding to the minimum magnetic field strength along the magnetic field 111 line. 112

2. Cluster Inner Magnetosphere Campaign

The goal of the Cluster Inner Magnetosphere Campaign was to study the role of mag-113 netosonic waves and chorus emissions in the process energization of electrons within the 114 radiation belts. This program of observations took place between July and October 2013. 115 During this period, Cluster employed a "100 km formation" which resulted in intersatel-116 lite separations of around 30 km for the pair C3 and C4 with C1 typically 300-400 km 117 distant. Cluster 2 was situated around 5000 km from the other 3 satellites. Since the 118 main observations are targeted at the plasma wave environment, new modes of opera-119 tion for the Cluster Wave Experiment Consortium (WEC) [Pedersen et al., 1997] were 120 devised, tested, and implemented within the Digital Wave Processor (DWP) [Woolliscroft 121 et al., 1997, the WEC control instrument. These modes, referred to as BM2, enable the 122 possibility of collecting high resolution (equivalent to burst mode science) data from the 123 Electric fields and Waves (EFW) [Gustafsson et al., 1997] and the Spatio-Temporal Anal-124 ysis of Field Fluctuations (STAFF) search coil magnetometer [Cornilleau-Wehrlin et al., 125 1997] together with the possibility of timesharing telemetry resources between Wide-band 126

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¹²⁷ (WBD) [*Gurnett et al.*, 1997] waveforms, decimated by a factor 3 or 4, and spectra from ¹²⁸ the WHISPER relaxation sounder [*Décréau et al.*, 1997]. This mode operated in addition ¹²⁹ to periods of normal Cluster burst science mode telemetry (BM1) to increase the number ¹³⁰ of high resolution observations available in the vicinity of the magnetic equator.

3. Data Source

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¹³¹ The data presented in this paper were collected on July 6th, 2013, during a period using ¹³² the burst science telemetry mode (BM1) on all Cluster satellites. This mode of operation ¹³³ provided electric field measurement from EFW instrument and magnetic field oscillations ¹³⁴ from the STAFF search coil magnetometer with a sampling resolution of 450 Hz together ¹³⁵ with Fluxgate Magnetometer (FGM) [*Balogh et al.*, 1997] measurements of the background ¹³⁶ magnetic field at a resolution of 67 Hz. The ion data used in this study were collected by ¹³⁷ the Cluster Ion Spectrometer (CIS) instrument[*Reme et al.*, 1997].

During the period 1832-1857 UT on July 6^{th} , 2013 the Cluster spacecraft were passing 138 through the inner magnetosphere at a radial distance of the order of 3.8-4.2 R_E on the 139 dayside at a local time 1330-1250, and crossed the magnetic equator at around 1844 UT, 140 travelling north to south between magnetic latitudes of 1.9° and -2.3° . The four panels in 141 Figure 1 show the location of the Cluster satellites (lower right) and the relative separa-142 tions of the Cluster quartet (in the GSE frame). Satellites C3 and C4 were separated by 143 around 60 km and so appear on top of each other at the scales shown in Figure 1. The 144 separation distances between C_3/C_4 and C_1 was around 1000 km whilst C_2 was around 145 4300 km distant. As a result, C3 and C4 observed almost identical patterns of wave emis-146 sions, C1 observed similar overall structure whilst the observations of C2 are completely 147 different owing to its different location. The external magnetic field during this period 148

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varies from 487 nT at the beginning of the period to 287 nT at the end, implying the 149 proton gyrofrequency gradually changing from 7.4 Hz to 4.4 Hz and the lower hybrid 150 frequency from 318 to 187 Hz. The electron density, estimated from WHISPER electric 151 field spectra was in the range $15-19 \text{ cm}^{-3}$. Based on these density values and the assump-152 tion of a proton only plasma, the Alfvén velocity varies in the range 2600-1600 km s⁻¹. 153 These values represent an upper limit to the value of V_A which reduces when heavy ions 154 are included. The level of geomagnetic disturbance during the period under study was 155 moderate. At the beginning of July 6^{th} , the Dst index increased during the early hours 156 of July 6th from around 0 to -60 nT and maximising at ~ -79 nT around 19 UT before 157 decreasing over the following two days. 158

4. Observations

4.1. Wave spectrum

As mentioned in Section 3, during this period both the STAFF search coil magnetometer 159 sampled the plasma wave environment at a resolution of 450 Hz. Figure 2 shows the 160 dynamic spectra measured by the search coil magnetometers on satellites 3 (panel a) and 161 4 (panel b). The black lines indicate the 15, 20, 25, and 30^{th} harmonics of the local 162 proton gyrofrequency. Both Cluster 3 an 4 observe a set of banded emissions beginning 163 around 18:40 and continuing until the end of the BM1 operations at 18:57. Initially, the 164 emissions are observed in the frequency range 130-170 Hz, corresponding to the 21^{st} - 30^{th} 165 gyro-harmonics. As the Cluster satellites continue to travel southward, the amplitude of 166 the waves increases, maximising at a latitude of around -1° before decreasing until the end 167 of observations. Thus the amplitudes are asymmetrically distributed around the equator in 168 line with results reported by Santolik et al. [2002] (based on the T89 magnetic field model 169

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[*Tsyganenko*, 1989]).During this period, the external magnetic field weakens as evidenced
by the decrease in the gyrofrequency harmonics. At the same time, the emission frequency
of the waves drops, mirroring the change observed on the gyrofrequency harmonics. This
frequency change is evidence that the waves are observed in their source region.

Figure 3 shows averaged spectra of the Cluster 4 STAFF search coil Bx (GSE) mea-174 surements centred at (top to bottom)18:43, 18:46, 18:51, and 18:56. Each spectrum is 175 the average of 9 1024 point Fourier spectra. The vertical dotted black lines mark the 176 $14^{th} - 30^{th}$ harmonics of the local proton gryofrequency. It is noticeable that two types 177 of emission can be seen in Figures 2 and 3. The first corresponds to the higher frequency 178 emissions seen in the top three plots of Figure 3. These high frequency emissions occur 179 close to harmonics of the local proton gyrof requency in the range $20\Omega_p\,<\,\omega\,<\,30\Omega_p$ 180 and as the magnetic field decreases so does the frequency of emission. The position of 181 the peaks relative to the gyrofrequency harmonics changes with time. In panel (a) the 182 majority of the spectral peaks are observed just below the gyroharmonics whilst in panel 183 (b), corresponding to the time around the magnetic field line minimum, the peaks are 184 at the gyro frequencies. As the spacecraft moves away from the field line minimum the 185 frequency relative to the gyroharmonic falls. 186

Figure 4 shows the FFT spectrum of emissions between 18:48:40 and 18:49:20 UT calculated by averaging nine 2048 point FFTs. The external magnetic field in this period varied from 339-335 nT (Ω_p changes from 5.2-5.14 Hz). The format is the same as that in Figure 3. During this period, emission lines are observed in the frequency range from $14\Omega_p$ to $29\Omega_p$. The position of the emission with respect to the harmonic frequency varies with harmonic number. At the low frequency end of the spectrum e.g. harmonics 14-18, the

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emissions occur at the exact frequency of the gyroharmonic whereas at higher frequencies the emissions lie slightly below the harmonic. In the case of the 23 and 24 harmonics the frequency difference is around 1.1 Hz. The other noticeable feature in this Figure is that most harmonics (except those mentioned above) exhibit multiple peaks. This could indicate the existence of further interactions with heavier ions such as He⁺, He²⁺, or O⁺ ions. This harmonic structure implies that resonant interactions have a dominant role in the generation of these waves. This topic will be investigated further in a later paper.

The second type of emissions is seen in Figures 2 and 3 (panel d), measured around 200 18:56 UT. These emissions are observed in the frequency range $10-16\Omega_p$. and occur be-201 tween the local gyroharmonics. Their frequency spacing is of the order of 4.3 Hz and 202 analysis of spectra recorded after 18:56 UT (not shown). These emissions are monotonic, 203 their frequency does not depend upon the local gyrofrequency. Emissions such as these 204 are more typical of those discussed by other authors when they refer to magnetosonic 205 waves or equatorial noise [e.g. Santolik et al., 2002]. The reason for their constant fre-206 quency is that these waves were generated at some other location and have propagated to 207 the location in which they are observed. Since the frequency spacing of these emissions 208 is lower than the local proton gyrofrequency these emissions are generated in a region of 209 lower magnetic field strength ($\sim 282 \text{ nT}$) most probably at a greater radial distance, and 210 have propagated to the point at which they were observed. Unfortunately, these emissions 211 were not observed on C3 due to a mode change a few seconds before. 212

4.2. Ion distributions

As noted in Section 1, the occurrence of magnetosonic waves are associated with ringlike ion distributions [*Perraut et al.*, 1982; *Chen et al.*, 2011]. Figure 5 shows the 1D

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ion distributions measured by CIS-CODIF instrument onboard Cluster 4. It should be 215 noted that these observations are heavily contaminated due to the passage of the satellite 216 through the radiation belts. In spite of this, evidence for the existence of a ring-like 217 distribution is still very strong. The top panel shows the pitch-angle distribution of protons 218 in the energy range 7-38.5 keV. These distributions are strongly peaked at pitch angles 219 around 90°, indicative of a ring-like distribution. During the period in which the waves 220 are observed the particle flux observed increased, with the highest fluxes observed after 221 1850UT corresponding to the period when emissions at high harmonics vanish whilst those 222 at lower frequencies become less intense. This change in the distribution is also evident 223 in the bottom panel which shows the particle count rate as a function of energy and time. 224 The highest count rates are observed at energies above 10 keV, maximising in the region 225 of 20-30 keV. This is the energy of the proton ring and corresponds to a velocity of the 226 order $V_r = 2000-2400 \text{ kms}^{-1}$. This velocity is greater than the Alfvén velocity (calculated 227 in Section 3). Thus, the ring distribution could provide the free energy to enable the 228 growth of the MSW since the energy of the ring distribution exceeds the Alfvén energy 229 [Korth et al., 1984]. Moving towards lower energies there is a distinct minimum in the 230 energy just below the ring particles that occurs at an energy of around 7 keV. This energy, 231 referred to as the dip energy/dip velocity (V_{dip}) [e.g. Chen et al., 2011], corresponds to 232 a velocity of around 1100 km s⁻¹. Thus, for velocities in the range $V_{dip} < v_{\perp} < V_r$ the 233 proton distribution has a positive gradient $(\partial f / \partial v_{\perp} > 0)$. 234

²³⁵ Using the results of the theoretical analysis performed by *Chen et al.* [2010], it is possible ²³⁶ to estimate the frequencies at which the instability occurs and wave growth is observed. ²³⁷ The blue curve in Figure 6 shows the approximate perpendicular velocity in terms of

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the Alfvén velocity that corresponds to peak wave growth as a function of the harmonic 238 resonance. Also plotted (black lines) are V_A (dotted), V_{dip} (dashed), and V_r (dash-dotted). 239 Thus it would be expected that there should be emission bands in the range 8-29 Ω_p since 240 $V_{dip} < v_{\perp} < V_r$. The wave spectra, measured in the period 1844:45 to 1845:15 is shown 241 in red. It is clear from this Figure that all harmonics at which waves were observed 242 correspond to perpendicular velocities in the range $V_{dip} < v_{\perp} < V_r$, inline with results 243 reported by Chen et al. [2010]. These results are consistent with the general trend reported 244 by Ma et al. [2014]. From Figure 6, the value of $V_r/V_A \sim 1.02$ which would imply that 245 the unstable wave frequencies would be expected at frequencies around the mid-range of 246 possible harmonics, exactly as was observed and shown in this figure. 247

4.3. Wave Properties

In order to establish the propagation mode of the waves that were observed during this period the basic properties of these emissions were investigated based on the measurements from Cluster 4. In the previous Section it was shown that the bands of emission at higher frequencies typically occurred at or just below harmonics of the proton gyrofrequency. In this section, the wave polarisation and propagation characteristics are investigated.

The wave properties for the period 18:47:00-18:47:20 UT, based on the STAFF search coil measurements, are shown in Figure 7. During this period, the proton gyrofrequency was 5.32 Hz. These results are based on the use of a Morlet wavelet transform to extract the frequency information from the waveform and Singular Valued Decomposition [*Santolik et al.*, 2003] to compute the eigenvectors and eigenvalues of the complex spectral matrix. It should be noted that the signal after 18:47:19 UT is superposed with a broad

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²⁵⁹ band signal arising from some local interference whose effects can be seen most clearly on
²⁶⁰ the three lower panels.

The top panel in Figure 7 shows a spectrogram of the emissions in the frequency range 70-170 Hz. The banded nature of the emissions can be clearly seen and their amplitudes are not constant but vary independently. In the panels below data is only plotted when the trace of the spectral matrix exceeds a level of $1 \times 10^{-7} \text{ nT}^2 \text{Hz}^{-1}$.

The ellipticity of the banded emissions, defined as the ratio of the intermediate eigenvalue to the maximum eigenvalue i.e. e_{int}/e_{max} is plotted in the second panel. A value of unity implies circular polarisation, whilst zero indicates linear. As is evident from this panel, the majority of emissions are highly elliptical with eigenvalue ratios typically $e_{int}/e_{max} < 0.1$.

The third panel displays the propagation angle of the waves with respect to the external 270 magnetic field (obtained from FGM measurements). The distribution of k-direction is 271 strongly peaked in the region of $\theta_{Bk} \sim 90^{\circ}$ indicating almost perpendicular propagation 272 of the wave. Figure 8 shows the distribution of \mathbf{k} with respect to the external magnetic 273 field direction in more detail. The X axis shows the angle between the wave vector 274 and the external magnetic field (θ_{Bk}) , using a bin size of 0.5°. The Y axis represents 275 the normalised distribution of occurrence. An offset of 0.06 has been added to separate 276 the distributions at different frequencies, the horizontal dashed line (of the same colour) 277 representing the baseline Y=0 for the distribution. The frequency of each distribution is 278 indicated to the right of the plot. Since the frequency decreases slowly over the ~ 20 second 279 time period over which this analysis was performed, adjacent frequency bins have been 280 averaged. The vertical dashed line indicates an angle $\theta_{Bk} = 90^{\circ}$ whilst the dash-dotted 281

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lines mark angles of $\theta_{Bk} = 88.5$ and $\theta_{Bk} = 91.5^{\circ}$. These plots show that the majority of 282 the propagation angles occur in the range $87-93^{\circ}$. There appears to be two basic types 283 of distribution. The first show a peak at $\theta_{Bk} = 90^{\circ}$, indicating that the waves propagate 284 perpendicularly to the external magnetic field. Such distributions are observed for waves 285 of frequency 160.5, 150.5, 109.5, 107.5, and 92.5Hz. The second type of distribution 286 exhibits a number of peaks in the angular distribution, indicating a preference for almost 287 perpendicular propagation e.g. the distributions for frequencies 155.5, 113.5, 97.5, and 288 86.5Hz. Typically, the peaks occur within 2° of perpendicular, a value in line with that 289 often quoted in discussions of the propagation of magnetosonic waves. 290

Finally, the fourth panel of Figure 7 displays the angle between the eigenvector of the magnetic field oscillations that corresponds to the maximum eigenvalue i.e. the direction of the principle axis of the polarisation ellipsoid and the direction of the external magnetic field. The distribution is centred on the direction antiparallel to the external magnetic field implying that the oscillations of the wave magnetic field occur in the direction parallel to the external magnetic field.

In summary, the banded emissions observed by the Cluster 4 STAFF search coil magnetometer during the period 18:47:00-18:47:20 UT are consistent with whistler mode waves propagating almost perpendicular to the eternal magnetic field since they are highly elliptical in nature and the wave magnetic field oscillates parallel to the external field. Thus these emissions are examples of magnetosonic waves (equatorial noise). This conclusion is further strengthened in the next sections by the determination of the dispersion relation of the observed waves and its comparison to dispersion relations derived theoretically.

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5. Experimental Determination of the Dispersion Relation

The wave vector (**k**) of a wave is a vector quantity whose direction corresponds to the wave propagation direction and whose magnitude is related to the wavelength (λ) of the wave (|**k**| = $2\pi/\lambda$). Determination of the wave vector is important when considering the propagation of waves within the plasma environment as well as their interaction with the local particle populations for which they provide a medium for the transfer of energy between the particle populations via either current or resonant instabilities.

Experimental determination of the wave vector has only been possible since the advent 310 of multispacecraft missions and the possibility of making simultaneous measurements at 311 two or more closely spaced points in space. Depending upon the type of data sets available, 312 there are a number of different methods such as k-filtering/wave telescope [Pincon and 313 Lefeuvre, 1992], and phase differencing [Balikhin and Gedalin, 1993; Balikhin et al., 1997a; 314 Chisham et al., 1999] that may be employed. These methods, which were compared 315 in Walker et al. [2004], are based on the fact that a comparison of the simultaneous 316 multipoint measurements will show differences in the phase of the wave at the different 317 measurement locations. These differences may then be used to determine the k-vector of 318 the wave. In the present paper, the phase differencing methodology is employed. 319

Following *Balikhin et al.* [1997b] and *Balikhin et al.* [2001] the basic assumption behind the phase differencing method is that the measured wave field may be represented by the superposition of plane waves as shown by equation (1)

$$\mathbf{B}(\mathbf{r},t) = \Sigma_{\omega} \mathbf{B}_{\omega} \exp[i(\mathbf{k} \cdot \mathbf{r} - \omega t)] + cc \tag{1}$$

where \mathbf{B}_{ω} is the wave amplitude at frequency ω , \mathbf{k} is the wave vector (k-vector), \mathbf{r} is the separation vector between the location of the two (or more) simultaneous measurements,

and *cc* represents the complex conjugate term. A comparison of observations from two closely spaced locations will display a difference in the phases of the measurements of the wave. This phase shift $\Delta \psi$ is proportional to the component of the wave vector **k** projected along the measurement separation direction **r** (assuming that there is only one wave vector **k** for any frequency ω) and is given by (2).

$$\Delta \psi(\omega) = \mathbf{k}(\omega) \cdot \mathbf{r} + 2n\pi$$

$$= \|\mathbf{k}\| \|\mathbf{r}\| \cos(\theta_{kr}) + 2n\pi$$
(2)

where θ_{kr} is the angle between the wave vector **k** and the satellite separation vector **r** and *n* is an integer value. Since the phase difference between the two signals can only be determined in the range $-\pi < \Delta \psi < \pi$, a family of periodic solutions is possible, resulting in a phase ambiguity of $2n\pi$. Thus, in order to determine the correct value of $k_{\rm r}$ it is necessary to determine the correct value of *n*.

The phase differencing method may be applied to scalar measurements or single com-338 ponents of a vector quantity and results in a measurement of the component of the wave 339 vector projected along the measurement separation vector. If measurements are available 340 from four (or more) closely spaced, non-coplanar locations it is possible to determine the 341 projection of the wave vector along three independent directions and hence determine the 342 complete wave vector [Balikhin et al., 2003]. However, if measurements from only two 343 locations are available the size of k_r can be estimated but not it's direction and so another 344 method is required to determine the direction of \mathbf{k} . One such method that may be used 345 with magnetic field data is to calculate the eigenvalues and eigenvectors of the magnetic 346 field covariance matrix. Provided that the ratio of the intermediate to minimum eigenval-347 ues is large (typically a factor 10, i.e. the wave is not linearly polarised) then the minimum 348

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variance direction is well defined and represents the direction of wave propagation. Thus, knowledge of the direction together with the magnitude of k-vector projected along the measurement separation vector enables the full wave k-vector to be determined.

The phase differencing method was applied to measurements from the spacecraft Clus-352 ter 3 and 4 during the interval 18:47:13-18:47:16.5 UT on July 6^{th} , 2013. This period 353 corresponds to a time when the emissions are observed from the 14^{th} - 29^{th} proton gyro-354 harmonic as seen in Figure 2. Figure 9 shows the $\omega - \mathbf{k}$ histogram of the variation in 355 the phase difference measured between satellites C3 and C4. The left hand panel shows 356 the phase differences recorded in the Bx component whilst that on the right shows the 357 phase differences recorded in the Bz component. These plots show that in the frequency 358 range 70-105 Hz there are emissions occurring at discrete frequency bands at around 77, 359 81, 87, 92, 98, 103 Hz. These frequencies correspond to the 14-19 harmonics of the proton 360 gyrofrequency. At each of these frequencies there is a well defined maximum in the phase 361 difference between the two signals detected on satellites C3 and C4. The reason for two 362 peaks at each frequency is due to the $2n\pi$ ambiguity factor when determining the phase 363 difference (equation 2). Knowledge of the satellite separation distance enables the values 364 of phase difference to be converted into spatial measurements of the projection of the 365 wave vector along the satellite separation direction and so the histogram represents the 366 dispersion relation of the observed waves. It is clearly seen from Figure 9 that there is a 367 linear feature running diagonally up and right to the top right corner of each panel. This 368 line is a representation of the dispersion of the observed waves. 369

The features observed by satellites C3 and C4 are highly coherent due to their small separation in comparison with the coherency length of the waves. This cannot be said

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for the observations by Cluster 1, whilst Cluster 2 is in a completely different plasma 372 location and does not see this banded structures at all. It is, therefore, not possible to 373 use the phase differencing technique to determine the dispersion relations between other 374 pairs of satellites in the Cluster quartet and hence compute the full k-vector. In order 375 to find the direction of the wave k-vector another method is required. Since the above 376 analysis is based on magnetic field measurements it is possible to obtain the direction of 377 **k** by calculating the eigenvalues and corresponding eigenvectors of the magnetic field co-378 variance matrix. The analysis period (18:47:13-18:47:16.5 UT) was divided into a number 379 of segments, each typically 0.25 seconds, and the eigenvalues and vectors were calculated. 380 The direction of \mathbf{k} was taken as the average of the minimum variance directions for which 381 the corresponding ratio of the intermediate to minimum eigenvalues $\lambda_{int}/\lambda_{min} > 50$. This 382 criteria ensures that the minimum variance direction is well defined. This direction, to-383 gether with the projections of \mathbf{k} along the satellite separation vector were used to compute 384 the k-vector of the wave. 385

However, this still leaves the problem of resolving the ambiguity factor $2n\pi$ in the 386 determination of the phase difference between the two signals. There are two scenarios 387 for which the determination of n is reasonably straight forward. The first is for low 388 frequency signals, i.e. those whose wavelength is much greater than the separation of the 389 two measurement points in which case n would probably be zero and the phase difference 390 could actually be computed directly from the waveforms [e.g. Balikhin et al., 1997c]. The 391 second scenario involves the comparison of isolated wave packets whose waveforms are 392 virtually identical in both signals [e.g. Balikhin et al., 2005]. Neither of these methods 393 could be applied to the current case in question since the observed waves consist of a 394

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³⁹⁵ superposition of waves with a number of discrete frequencies and variable amplitudes. ³⁹⁶ This fact also rules out the possibility of determining n from the shape of sequences of wave ³⁹⁷ packets since they are just too irregular [*Walker and Moiseenko*, 2013]. Therefore, the ³⁹⁸ only way to determine n is to compare the experimental dispersion with one determined ³⁹⁹ from theory and match the two by changing the value of n.

6. Theoretical insight into the propagation of MSW

To get some insight into the properties of MSW it is instructive to consider the theoret-400 ical derivation of their dispersion relation, growth rate and propagation direction based 401 on the local ion distribution. The contribution of the ions to the growth rate of MSW 402 is investigated based on an approach first proposed about fifty years ago [Dawson, 1961; 403 O'Neil, 1965] and has since been used for many studies of wave-particle interactions in 404 the magnetosphere. This approach assumes the magnetospheric plasma is composed of 405 two parts: a "cold" bulk population of electrons and ions that determines the plasma 406 dispersion relation, and low-density suprathermal populations of electrons and ions which 407 participate in resonant interactions with the waves and are responsible for wave growth 408 or damping. If the wave growth (or damping) rate is less than the inverse nonlinear time 409 of resonant interaction, the resonant particle distribution function can be found using the 410 adiabatic approximation with respect to the wave amplitude, i.e. neglecting the amplitude 411 variation during the time of resonant interaction. 412

6.1. Dispersion relation and polarization of magnetosonic waves below ω_{LH}

⁴¹³ The electric field of a plane wave can be written as

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$$\mathcal{E} = \operatorname{Re}\{\mathbf{a} E e^{i(\mathbf{k}\mathbf{r}-\omega t)}\}$$

(3)

where E is the complex wave amplitude and **a** is the complex polarization vector. In the reference frame in which the ambient magnetic field \mathbf{B}_0 is directed along the z-axis and the wave propagation vector (**k**) lies in the (x,z) plane, the dielectric tensor of a cold plasma has the form [*Ginzburg and Rukhadze*, 1972]:

$$\varepsilon_{ij}(\omega) = \begin{pmatrix} \varepsilon_1 & i\varepsilon_2 & 0\\ -i\varepsilon_2 & \varepsilon_1 & 0\\ 0 & 0 & \varepsilon_3 \end{pmatrix}$$
(4)

In a cold, magnetized plasma, there is only one wave mode that propagates in the frequency range above proton cyclotron frequency Ω_p . This mode is right-hand polarized. The characteristics of this mode depend on both the wave frequency and the propagation angle θ between **k** and **B**₀. In the case when the electron plasma frequency ω_p is larger than electron cyclotron frequency ω_c , this mode extends up to the frequency $\omega_c \cos \theta$. Another characteristic frequency, the so called lower hybrid resonance frequency, is defined as

$$\omega_{LH}^2 = \frac{1}{M_{eff}} \frac{\omega_p^2 \omega_c^2}{\omega_p^2 + \omega_c^2} \tag{5}$$

 $_{427}$ where effective ion mass M_{eff} is

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$$\frac{1}{M_{eff}} = \frac{m_e}{n_e} \sum_{ions} \frac{n_i}{m_i} \tag{6}$$

⁴²⁹ Above this frequency, the wave propagation angle lies inside the resonance cone θ_R deter-⁴³⁰ mined by the relation

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$$\omega^2 = \omega_{LH}^2 + \omega_c^2 \cos^2 \theta_R$$

At the resonance cone the wave refractive index $N = kc/\omega$ tends to infinity. Waves with frequencies above ω_{LH} are known as whistler-mode waves, whilst waves with frequencies close to the LHR frequency are often referred to as lower hybrid waves.

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Below the LHR frequency, the propagation angle is arbitrary, including $\theta = \pi/2$. In 435 this frequency range the propagating right-hand polarized waves are often termed mag-436 netosonic waves. 437

For waves in the frequency range 438

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$$\Omega_p \ll \omega \lesssim \omega_{LH} \ll \omega_c$$

and assuming $\omega_p \gg \omega_c$ the real part of the quantities ε_1 , ε_2 , and ε_3 can be approximated 440 by 441

$$\varepsilon_1 \simeq \frac{\omega_p^2 + \omega_c^2}{\omega_c^2} \left(1 - \frac{\omega_{LH}^2}{\omega^2} \right) ; \quad \varepsilon_2 \simeq -\frac{\omega_p^2}{\omega\omega_c} ; \quad \varepsilon_3 \simeq -\frac{\omega_p^2}{\omega^2}$$

Note that in this frequency range, the ions only contribute to the quantity ε_1 , through the 443 term ω_{LH}^2 , while the quantities ε_2 and ε_3 are determined solely by the electrons. Using 444 general dispersion relation for electromagnetic waves in a cold magnetized plasma [see 445 e.g. Ginzburg and Rukhadze, 1972], together with the expressions for the components of 446 the dielectric tensor given above, one can derive the following dispersion relation in the 447 frequency range of interest [Shklyar and Jiříček, 2000] 448

$$\omega^{2} = \frac{\omega_{LH}^{2}}{1 + q^{2}/k^{2}} + \frac{\omega_{c}^{2}\cos^{2}\theta}{(1 + q^{2}/k^{2})^{2}} \equiv \omega_{LH}^{2}\frac{k^{2}}{k^{2} + q^{2}} + \omega_{c}^{2}\frac{k_{\parallel}^{2}k^{2}}{(k^{2} + q^{2})^{2}},$$
(7)

where 450

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$$q^2 = \frac{\omega_p^2}{c^2} , \qquad (8)$$

and $k_{\parallel} = k \cos \theta$ and $k_{\perp} = k \sin \theta$. Figure 10 shows the so-called surface of the refractive 452 index, i.e. the isolines of constant frequencies on the $(k_{\perp}, k_{\parallel})$ -plane, resulting from the 453 dispersion relation (7). The contours shown correspond (from blue (inner) to brown 454 (outer)) to the 14th, 17th, 20th, 23rd, 26th, and 29th harmonics of the proton cyclotron 455 frequency. One can see that for any frequency, the largest possible value of k_{\parallel} corresponds 456 DRAFT November 5, 2015, 1:42pm

to parallel propagation, i.e., $k = k_{\parallel}$, $k_{\perp} = 0$; and since for $\omega \leq \omega_{LH}$, each term on the right hand side of (7) is smaller than ω_{LH}^2 , so that the following inequalities should be fulfilled:

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$$\frac{k_{\parallel}^2}{q^2} < \frac{\omega}{\omega_c} \lesssim \frac{\omega_{LH}}{\omega_c} \ll 1 \; ; \quad \frac{k^2}{q^2} < \frac{\omega^2}{\omega_{LH}^2 - \omega^2} \; . \tag{9}$$

⁴⁶¹ Due to reasons clarified below, only waves propagating at a large angle θ to the ambient ⁴⁶² magnetic field will be considered.

In order to estimate typical values for the refractive index, the maximum parallel component of the wave vector, and the resonant velocity the following further assumptions are made. As can be seen from Figure 10, for $\omega \leq \omega_{LH}$, the wave refractive index N at large θ is of the same order as its value at $\theta = \pi/2$ (which is not true for $\omega = \omega_{LH}$). From (7) it then follows that in the case under discussion $k^2 \sim \omega_p^2/c^2$, or alternatively

$$N^2 \sim \frac{\omega_p^2}{\omega^2} \,. \tag{10}$$

⁴⁶⁹ Using the standard relations between the components of the polarization vector **a** [see e.g.
⁴⁷⁰ Shklyar and Matsumoto, 2009]

$$a_y = -i\frac{\varepsilon_2}{N^2 - \varepsilon_1}a_x \; ; \quad a_z = \frac{N^2 \sin\theta\cos\theta}{N^2 \sin^2\theta - \varepsilon_3}a_x \; , \tag{11}$$

and the expressions for ε_1 , ε_2 , ε_3 given previously the polarisation vector can be rewritten as

$$a_y \sim i \frac{\omega}{\omega_c} a_x \ll a_x ; \quad a_z \sim \cos \theta \ a_x \ll a_x ;$$

⁴⁷⁵ so that the wave electric field is right-hand and almost linearly polarized along the *x*-axis. ⁴⁷⁶ As for the wave magnetic field, combining Faraday's law $[\mathbf{k} \times \mathbf{E}] = (\omega/\mathbf{c})\mathbf{B}$ and the ⁴⁷⁷ relations given above it follows that

$$\begin{split} & |B_x| \sim \frac{\omega_p}{\omega_c} \cos\theta \; a_x |E| \; ; \\ B_y| \sim \frac{\omega_p}{\omega} \cos\theta \; a_x |E| \; ; \\ B_z| \sim \frac{\omega_p}{\omega_c} \sin\theta \; a_x |E| \; , \\ \text{D R A F T} & \text{November 5, 2015, 1:42pm} & \text{D R A F T} \end{split}$$

thus, $|B_x| \ll |B_y|$, $|B_z|$. It is worth mentioning that $|B| \gg |E|$ (in CGS units), but $|B| \ll N|E|$.

6.2. Propagation of magnetosonic waves in the magnetosphere

The surface of the refractive index, shown in Figure 10, provides information regarding 481 the propagation on MSW. Since the wave group velocity is directed normal to the refractive 482 index surface, for large θ except when considering propagation directions close to $\theta = \pi/2$, 483 the wave group velocity is directed almost along the ambient magnetic field. In the vicinity 484 of $\theta = \pi/2$, the direction of wave group velocity with respect to the ambient magnetic field 485 changes sign very fast, so that the point where $\theta = \pi/2$ may be considered as a reflection 486 point. Figure 11 shows an example of the ray trajectory of a 150 Hz magnetosonic wave 487 propagating in meridian plane which starts at L = 4.15 on the equator and has a wave 488 normal angle $\theta_0 = 89^\circ$. We see that the latitude of the ray trajectory oscillates around 489 zero, so that the trajectory as the whole is confined to the equatorial region. If the initial 490 wave normal angle has an azimuthal component, the ray no longer lies in the meridian 491 plane, but its confinement to the equatorial region remains in effect. 492

6.3. Magnetosonic wave excitation

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⁴⁹³ Considering MSW excitation as the result of resonant interaction with energetic plasma ⁴⁹⁴ particles, assuming cyclotron instability to be in effect the resonant velocity related to the ⁴⁹⁵ nth cyclotron resonance is given by

$$V_{Rn\alpha} = \frac{\omega - n\omega_{H\alpha}}{k_{\parallel}} , \quad \alpha = e, \ i \tag{12}$$

where index α refers to quantities related to electrons (e) and protons (i), so that $\omega_{He} = \omega_c$ and $\omega_{Hi} = \Omega_p$. Equation (12) defines the particle parallel velocity at which it interacts

resonantly with the wave. Since the waves are excited due to their interaction with resonant particles, and because the number of these particles depends on their energy and in particular their parallel velocity, the value of $V_{Rn\alpha}$ is essential for estimating the efficiency of their interaction. The value of $V_{Rn\alpha}$ may be estimated using the following parameters, which are typical of the equatorial region at L = 4.15, namely:

 $\omega \sim 900 \text{ rad/s}; \quad \omega_p \sim 6.4 \cdot 10^5 \text{ rad/s}; \quad \omega_c \sim 7.6 \cdot 10^4 \text{ rad/s}; \quad \Omega_c \sim 41.6 \text{ rad/s}; \quad \omega_{LH} \sim 1.8 \cdot 10^3 \text{ rad/s};$ together with (see (10))

$$N \sim 677$$
; $k \sim 2.1 \cdot 10^{-5} \text{cm}^{-1}$. (13)

507 The first inequality in (9) gives the maximum value of k_{\parallel}

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$$(k_{\parallel})_{max} \sim 2.4 \cdot 10^{-6} \mathrm{cm}^{-1}$$
 .

⁵⁰⁹ Obviously, this value corresponds to the parallel propagation of MSW. Using this value ⁵¹⁰ we find that, in general

$$|V_{R1e}| > 3.2 \cdot 10^{10} \text{ cm/s}; V_{R1i} > 3.8 \cdot 10^8 \text{ cm/s}; V_{R0} > 4 \cdot 10^8 \text{ cm/s}.$$

Note that the Cerenkov resonance velocity (V_{R0}) does not depend on the type of particle, in contrast to cyclotron resonance velocities.

Relation (12) is written using the non-relativistic approximation. In this approximation, it may be seen that the interaction of MSW with electrons at the first cyclotron resonance - the only one that exists for parallel propagation - is impossible. As for the protons, the value of $V_{\parallel} = V_{R1i}$ corresponds to proton energies exceeding 100 keV, and so only a small number of resonant particles may be expected in this case. Thus, it is necessary to consider oblique MSW propagation. In this case the Cerenkov resonance comes into

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effect, playing the main role together with the first cyclotron resonance, for small and 520 medium wave normal angles. For oblique propagation, the value of V_{R0} given above 521 represents the minimum value of parallel velocity, corresponding to E > 65 eV electrons 522 and E > 118 keV ions. In the absence of parallel beams, the Cerenkov resonance leads to 523 wave damping and, given the relation between resonance energies, drives out a possible 524 wave excitation at the first cyclotron resonance due to cyclotron instability. Thus, the 525 only possible case for MSW excitation is when $k_{\parallel} \ll (k_{\parallel})_{max}$, i.e., when the wave normal 526 angle is close to $\pi/2$ and $\omega \simeq n\Omega_c$. In this case, the Cerenkov resonance for electrons does 527 not drive out the instability, since it corresponds to an overly high electron velocity, while 528 V_{Rni} for protons can be sufficiently small for an appropriate number of particles to be in 529 cyclotron resonance. As was shown by Shklyar [1986], higher order cyclotron resonances 530 for protons are efficient only when 531

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$$k_{\parallel}V_{\parallel} + k_{\perp}V_{\perp} > \omega \; ,$$

which requires $V_{\perp} > \omega/k$. Using (13) it is found that $V_{\perp} > 4.5 \cdot 10^7 \text{cm/s}$, or E > 1 keV, which is quite realistic for protons.

⁵³⁵ A general expression for the growth rate of the cyclotron instability for oblique elec-⁵³⁶ tromagnetic wave, which is valid for MSW under consideration, can be found in *Shklyar* ⁵³⁷ and Matsumoto [2009, expression (4.13)]. As has been argued above, the growth rate is ⁵³⁸ significant only for $\omega \simeq n\Omega_c$, with the main contribution to the growth rate from protons ⁵³⁹ interacting with the wave at the *n*th cyclotron resonance. Retaining the corresponding ⁵⁴⁰ term for the growth rate from *Shklyar and Matsumoto* [2009] it is found that

$$\gamma = \frac{\Omega_c (\pi e |E|c)^2}{2m_i k_{\parallel} U} \int_0^\infty d\mu f'_{0n}(\mu) V_n^2(\mu) , \qquad (14)$$

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where f_0 is the unperturbed proton distribution function, which depends on particle energy 542 W and magnetic momentum μ , 543

$$f_{0n}' = \left(\frac{\partial f_0}{\partial W} + \frac{n}{\omega} \frac{\partial f_0}{\partial \mu}\right)_{W = m_i V_{Rni}^2/2 + \mu \Omega_c} , \qquad (15)$$

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$$V_n = \left(\frac{n|\Omega_c|}{k_{\perp}c}a_x + \frac{V_{Rni}}{c}a_z\right)J_n(\rho) + \frac{i\rho\Omega_c}{k_{\perp}c}a_yJ'_n(\rho) \; ; \quad \rho = k_{\perp}\left(\frac{2\mu}{m_i|\Omega_c|}\right)^{1/2} \; , \qquad (16)$$

and $J_n(\rho)$ and $J'_n(\rho)$ are, respectively, the Bessel function and its derivative with respect 547 to the argument ρ . The quantity ρ defined above is the dimensionless Larmor radius, i.e., 548 $\rho = k_{\perp} V_{\perp} / \Omega_c$. The quantity U that enters the expression for γ is the wave energy density 549 and is proportional to $|E|^2$ and expressed through the polarization coefficients and the 550 dielectric tensor in a usual way [e.g. Shafranov, 1967]. The value of V_n , which plays the 551 role of an effective amplitude of interaction at the nth cyclotron resonance is proportional 552 to $J_n(\rho)$. It is well known that for large n this function is exponentially small unless 553 $\rho \equiv k_{\perp}V_{\perp}/\Omega_c > n$, or, with the account of $n \simeq \omega/\Omega_c$, $k_{\perp}V_{\perp} > \omega$. This explains the above 554 mentioned requirement of the efficiency of wave excitation by ions. 555

From (14)-(16) it follows that for wave excitation the derivative (15) should, on average, 556 be positive, which is typically observed for distributions with a loss-cone or temperature 557 anisotropy. In general, the growth rate strongly depends on the energetic proton distribu-558 tion function, as well as on the wave characteristics (frequency and wave vector). However, 559 in many cases the distribution function is proportional to $\exp(-W/W_T)$, where W_T is a 560 characteristic energy scale of the distribution. (For a quasi-Maxwellian distribution, W_T 561 characterizes the particle thermal energy). In this case, the growth rate γ defined by (14) 562 appears to be proportional to 563

$$-\exp\left(-\frac{m_i(\omega-n\Omega_c)^2}{2k_{\parallel}^2W_T}\right)$$

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As mentioned above, k_{\parallel} is a small quantity, which clearly shows that the growth rate is significant only for $\omega \simeq n\Omega_c$, i.e., for frequencies close to ion cyclotron harmonics.

6.4. Comparison of Experimental and Theoretical Dispersion

The dispersion relation (7) is plotted as the solid line in Figure 12 using plasma densities 567 of 19 cm^{-3} (black) and 15 cm^{-3} estimated using data from WHISPER. The angle between 568 the wave propagation vector and the eternal magnetic field was assumed to be 89°. In 569 order to fit the experimentally derived dispersion to the theoretical ones n, the ambiguity 570 factor in equation (2) was varied in the range -5 < n < 5 and the results compared to 571 the theoretical curves. It was found that the best fit was obtained using n = 1 and the 572 dispersions of the Bx (blue crosses) and Bz (cyan circles) components using this factor 573 are shown in the Figure. This value is in agreement with the fact that the wavelength of 574 the magnetosonic waves is ~ 18 km (from the dispersion shown in Figure 12) compared 575 with an intersatellite separation of 60 km. As can be seen from this Figure there is good 576 agreement between the experimental and theoretical results. 577

7. Conclusions

⁵⁷⁸ Using data collected as part of the Cluster Inner Magnetosphere campaign this paper has ⁵⁷⁹ presented observations of a set of narrow banded emissions that occurred in the vicinity of ⁵⁸⁰ harmonics of the proton gyrofrequency. It was demonstrated that these waves propagated ⁵⁸¹ in the magnetosonic mode as characterised by their spectral properties.

⁵⁸² Using the phase differencing method, it was possible to combine observations from the ⁵⁸³ satellites Cluster 3 and Cluster 4 in order to determine the dispersion relation. The exper-

⁵⁸⁴ imentally determined dispersion was shown to be consistent with theoretical dispersion ⁵⁸⁵ curves.

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Figure 1. Location of the Cluster spacecraft on July 6^{th} , 2013 (lower right panel) and their relative separations (C1 black, C2 red, C3 green, and C4 blue). Note that due to their close proximity C3 is masked by C4 and their trajectories in the lower right panel appear magenta.

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Figure 2. Dynamic spectra of the SM B_z component of the STAFF magnetic field waveform measured onboard spacecraft 3 (panel a) and 4 (panel b) on July 6th, 2013. The black curves represent the 15, 20, 25, and 30th harmonics of the proton gyrofrequency Ω_p .

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Figure 3. FFT spectra of the Bx component of the STAFF magnetic field waveform measured onboard spacecraft 4 on July 6^{th} , 2013. The black curves represent harmonics of the proton gyrofrequency in the range 14-30 Ω_p .

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Figure 4. FFT spectrum of the Bx component of the STAFF magnetic field waveform measured onboard spacecraft 4 during the period 18:48:40-18:49:20 UT. The vertical lines represent harmonics of the proton gyrofrequency, each labelled with the harmonic number.

Figure 5. Spectra of the ion distribution measured by CIS-CODIF on July 6th, 2013 between 1840 and 1857UT.

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Figure 6. Frequency of peak growth rate with respect to the Alfvén and ring velocities.

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Figure 7. The characteristic properties of the banded emissions. From top to bottom the panels show the wave spectra, the ellipticity of the waves, the angle between the propagation direction and the external magnetic field, and the angle between the maximum variance direction and the external magnetic field.

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Figure 8. Distributions of the wave normal angle for frequencies at which the banded harmonic emissions occurred.

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Figure 9. $\omega - k$ histogram showing the variation in the phase difference of the signals measured be satellites C3 and C4 with frequency.

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Figure 12. Comparison of the experimentally determined dispersion using the Bz (blue lines and crosses) and Bx (cyan lines and circles) components with that derived theoretically from Eqn 7 using total plasma densities of 19 cm⁻³ (black) and 15 cm⁻³ (red). θ_{kB} was assumed to be 89°.











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CIS

TANGO (SC 4)

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Contours of constant frequency





