¹ **Experimental determination of the dispersion** ² **relation of magnetosonic waves**

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³ **Abstract.** Magnetosonic waves are commonly observed in the vicinity of the terrestrial magnetic equator. It has been proposed that within this re- gion they may interact with radiation belt electrons, accelerating some to high energies. These wave-particle interactions depend upon the character- istic properties of the wave mode. Hence determination of the wave prop- erties is a fundamental part of understanding these interaction processes. Us- ing data collected during the Cluster Inner Magnetosphere Campaign, this paper identifies an occurrence of magnetosonic waves, discusses their gen- eration and propagation properties from a theoretical perspective, and utilises ¹² multispacecraft measurements to experimentally determine their dispersion relation. Their experimental dispersion is found to be in accordance with that based on cold plasma theory.

1. Introduction

 Electromagnetic equatorial noise, or magnetosonic waves (MSW) as they are more com- monly referred to, consist of intense electromagnetic emissions that occur close to the ¹⁷ magnetic equator of the terrestrial magnetosphere. MSW have been suggested to play an important role in the local acceleration of radiation belt electrons from 10 keV to relativistic energies via resonant interactions [*Gurnett*, 1976; *Horne et al.*, 2007]. First principles based models of the particle environment of the radiation belts include terms such as wave-particle interactions in the form of energy, pitch angle, and mixed diffusion ₂₂ coefficients. The derivation of these terms is strongly dependent upon the assumed wave propagation characteristics. Based on the cold plasma description of MSW [*Mourenas* ²⁴ *et al.*, 2013] demonstrated that the pitch-angle scattering and energy diffusion rates of ²⁵ high energy electrons decrease sharply as the wave normal angle approaches 90[°] and that these rates also depend inversely on the width of the wave normal distribution. In ad- dition, *Albert* [2008] reported that the scattering rates also depended upon the rate of ²⁸ change of wave normal angle with frequency $(d\theta/d\omega)$. Since the dispersion relation of MSW and resonance condition essentially define the relationship between the resonant energy and either the pitch angle (for a given wave normal angle) or wave normal angle ³¹ (for a given energy and pitch angle) any deviation from the cold plasma dispersion would α result in a marked change in the energy/pitchangle ranges that are affected by these waves ³³ [*Mourenas et al.*, 2013]. Using such parameters, physics based first principles models (e.g. VERB [*Shprits et al.*, 2008, 2009]) are able to estimate electron fluxes throughout the radiation belt region.

 MSW were first reported by *Russell et al.* [1970]. Using data from OGO-3, these authors ³⁷ described observations of magnetic fluctuations in the frequency range between the proton ³⁸ gyrofrequency (Ω_p) and an upper limit around half of the lower hybrid resonance (LHR) ³⁹ frequency ($ω$ _{*LH*}). The waves were found to occur within 2[°] of the magnetic equator at distances in the range *L* = 3 *−* 5. Their propagation characteristics showed that the waves possessed a high degree of elliptical polarisation, with a wave normal angle almost perpendicular to the external magnetic, and the wave magnetic perturbations directed parallel to the external magnetic field. Electric field observations by *Gurnett* [1976] from the IMP-6 and Hawkeye 1 satellites revealed that these emissions, whose frequency was typically in the range 50-200 Hz, possess a complex frequency structure with the large spectral peaks observed around the proton gyroharmonic frequencies possessing a finer 47 substructure characterised by frequencies of $\Omega_p/8$ and $\Omega_p/2$ i.e. heavy ion gyrogrequencies. The dominant oscillations occurred at harmonics of the proton gyrofrequency. *Perraut et al.* [1982]; *Laakso et al.* [1990]; *Boardsen et al.* [1992]; *Kasahara et al.* [1994]; *Andr´e et al.* [2002] and *Balikhin et al.* [2015] also showed further evidence for the harmonic structure of these waves and investigated their morphology. More recent studies by [*Chen et al.*, 2011] and *Nˇemec et al.* [2005] demonstrated that the magnetosonic wave instability 53 could operate over a broad range of frequencies from 5 to $40\Omega_p$. This multiharmonic spectral structure is indicative of interactions at some characteristic resonance frequency. ⁵⁵ *Horne et al.* [2007] suggested that the cyclotron resonances tend to occur at high (MeV) energies and therefore unlikely to play a major role in the scattering of electrons whilst the Landau resonance may operate over a wide range of energies from below 100 keV. An alternate mechanism [*Russell et al.*, 1970; *Shprits*, 2009] suggests that electrons may

 also be scattered by bounce resonant interactions [*Roberts and Schulz* , 1968]. Recent reports by *Fu et al.* [2014], *Boardsen et al.* [2014], and *Nˇemec et al.* [2015] have shown the existence of periodic, rising tone MSW using data from THEMIS, VAP, and Cluster ϵ_2 respectively. The cause of this periodicity is still unknown, though it may be linked to the occurrence of ULF magnetic field pulsations.

⁶⁴ *Perraut et al.* [1982] were able to correlate their observations of MSW with the appear-⁶⁶ ance of peaks in the energy spectra of 90[°] pitch angle protons (ring-like ion distributions), ⁶⁶ suggesting this as the source of free energy for the growth of these waves. These authors σ used this theoretical model to investigate the dispersion characteristics of the observed ⁶⁸ waves. The dispersion obtained was characterised by multiple branches at frequencies ⁶⁹ $\omega \sim n\Omega_p$, reducing to the cold plasma dispersion ($\omega \sim k_{\perp}V_A$) in the case of a vanish-⁷⁰ ing ring density. Maximum growth occurred at wave numbers that corresponded to the π crossover points between the cold dispersion and that resulting from the ring distribu- τ_2 tion. The frequency range of instability has been shown to depend upon the ratio of the ⁷³ Alfvén velocity (V_A) and the velocity of the proton ring (V_R) [Perraut et al., 1982; *Korth* ⁷⁴ *et al.*, 1984; *Boardsen et al.*, 1992; *Horne et al.*, 2000; *Chen et al.*, 2010; *Ma et al.*, 2014]. π . The ring distribution may provide the source of free energy for the growth of MSW when τ_6 0.5 $\lt V_R/V_A$ \lt 2. This ratio also controls the range of frequencies that are unstable. High π values of V_R/V_A result in MSW at low proton gyroharmonic frequencies whilst lower ratios ⁷⁸ yield waves at high (*>*20) harmonics. Using sets of parameters based on measured ring-⁷⁹ type ion distributions *Balikhin et al.* [2015] was able to recreate the frequency spectrum ⁸⁰ of the observed wave emissions. *Korth et al.* [1983, 1984] also proposed a second possible ⁸¹ generation mechanism based on the occurrence of a sharp gradient in the observed plasma

 pressure as a free energy source for instabilities such as a drift wave instability. *Meredith et al.* [2008] and *Chen et al.* [2011] showed that the region where proton ring distributions were observed was generally consistent with the distribution of MSW. *Thomsen et al.* ⁸⁵ [2011] analysed the occurrence of ring-like distributions at Geosynchronous Orbit (GSO), concluding that these distributions were most likely to occur in the afternoon sector dur-⁸⁷ ing periods of low Kp and small Dst and that there appeared to be a discrepancy between ⁸⁸ the occurrence of ring-like proton distributions and the occurrence of MSW. It was con- cluded that storms, due to either coronal mass ejections or high speed streams, actually suppressed the occurrence of these distributions.

 Since these waves propagate, on average, in a direction nearly perpendicular to the external magnetic field they are confined to the equatorial region, enabling potential az- imuthal guiding by the plasmasphere, as well as radial translation [*Bortnik and Thorne*, 2010]. These effects were considered by *Chen and Thorne* [2012] who investigated the ex- tent to which magnetosonic waves may propagate azimuthally. Waves trapped within the plasmasphere may migrate indefinitely until damped. Waves of plasmaspheric origin that are not trapped within the plasmasphere may propagate up to 4hrs in MLT whilst those originating outside the plasmapause may migrate up to 7hrs MLT, possibly explaining the discrepancy in the distributions of proton rings and magnetosonic waves at geosyn- chronous orbit [*Thomsen et al.*, 2011]. *Perraut et al.* [1982] described the propagation of these waves from the source region to the point of observation and the fact that they would retain their harmonic structure from the source region, i.e. the spacing of the harmonic bands reflects the magnetic field of the source region which may not be the same as that of the local field at the location of observation. Using multipoint measurements, *Santol´ık*

¹⁰⁵ *et al.* [2002] showed spectral differences between observations made by two of the Cluster satellites. These authors suggested that this may result from either two different source regions, different regions of the same extended source region, or from the propagation of the waves. Whilst these emissions are observed to occur within a few degrees of the magnetic equator, detailed analysis by *Santol´ık et al.* [2002] and *Nˇemec et al.* [2005] have ¹¹⁰ shown that they tend to reach a maximum intensity at a latitude 2-3[°] above the equator, a point corresponding to the minimum magnetic field strength along the magnetic field ₁₁₂ line.

2. Cluster Inner Magnetosphere Campaign

 The goal of the Cluster Inner Magnetosphere Campaign was to study the role of mag- netosonic waves and chorus emissions in the process energization of electrons within the radiation belts. This program of observations took place between July and October 2013. During this period, Cluster employed a "100 km formation" which resulted in intersatel- lite separations of around 30 km for the pair C3 and C4 with C1 typically 300-400 km distant. Cluster 2 was situated around 5000 km from the other 3 satellites. Since the main observations are targeted at the plasma wave environment, new modes of opera- tion for the Cluster Wave Experiment Consortium (WEC) [*Pedersen et al.*, 1997] were devised, tested, and implemented within the Digital Wave Processor (DWP) [*Woolliscroft* ¹²² *et al.*, 1997, the WEC control instrument. These modes, referred to as BM2, enable the possibility of collecting high resolution (equivalent to burst mode science) data from the Electric fields and Waves (EFW) [*Gustafsson et al.*, 1997] and the Spatio-Temporal Anal- ysis of Field Fluctuations (STAFF) search coil magnetometer [*Cornilleau-Wehrlin et al.*, 1997] together with the possibility of timesharing telemetry resources between Wide-band

 (WBD) [*Gurnett et al.*, 1997] waveforms, decimated by a factor 3 or 4, and spectra from ¹²⁸ the WHISPER relaxation sounder $[Decreau et al., 1997]$. This mode operated in addition to periods of normal Cluster burst science mode telemetry (BM1) to increase the number of high resolution observations available in the vicinity of the magnetic equator.

3. Data Source

 T_{131} The data presented in this paper were collected on July 6^{th} , 2013, during a period using the burst science telemetry mode (BM1) on all Cluster satellites. This mode of operation provided electric field measurement from EFW instrument and magnetic field oscillations from the STAFF search coil magnetometer with a sampling resolution of 450 Hz together with Fluxgate Magnetometer (FGM) [*Balogh et al.*, 1997] measurements of the background magnetic field at a resolution of 67 Hz. The ion data used in this study were collected by the Cluster Ion Spectrometer (CIS) instrument[*Reme et al.*, 1997].

During the period 1832-1857 UT on July 6^{th} , 2013 the Cluster spacecraft were passing through the inner magnetosphere at a radial distance of the order of 3.8-4.2 R*^E* on the dayside at a local time 1330-1250, and crossed the magnetic equator at around 1844 UT, ¹⁴¹ travelling north to south between magnetic latitudes of 1.9[°] and -2.3[°]. The four panels in Figure 1 show the location of the Cluster satellites (lower right) and the relative separa- tions of the Cluster quartet (in the GSE frame). Satellites C3 and C4 were separated by around 60 km and so appear on top of each other at the scales shown in Figure 1. The separation distances between C3/C4 and C1 was around 1000 km whilst C2 was around 4300 km distant. As a result, C3 and C4 observed almost identical patterns of wave emis-¹⁴⁷ sions, C1 observed similar overall structure whilst the observations of C2 are completely different owing to its different location. The external magnetic field during this period

¹⁴⁹ varies from 487 nT at the beginning of the period to 287 nT at the end, implying the ¹⁵⁰ proton gyrofrequency gradually changing from 7.4 Hz to 4.4 Hz and the lower hybrid ¹⁵¹ frequency from 318 to 187 Hz. The electron density, estimated from WHISPER electric ¹⁵² field spectra was in the range 15-19 cm⁻³. Based on these density values and the assump-¹⁵³ tion of a proton only plasma, the Alfvén velocity varies in the range 2600-1600kms⁻¹. $_{154}$ These values represent an upper limit to the value of V_A which reduces when heavy ions ¹⁵⁵ are included. The level of geomagnetic disturbance during the period under study was μ_{156} moderate. At the beginning of July 6^{th} , the Dst index increased during the early hours ¹⁵⁷ of July 6th from around 0 to -60 nT and maximising at \sim −79 nT around 19 UT before ¹⁵⁸ decreasing over the following two days.

4. Observations

4.1. Wave spectrum

¹⁵⁹ As mentioned in Section 3, during this period both the STAFF search coil magnetometer ¹⁶⁰ sampled the plasma wave environment at a resolution of 450 Hz. Figure 2 shows the ¹⁶¹ dynamic spectra measured by the search coil magnetometers on satellites 3 (panel a) and $_{162}$ 4 (panel b). The black lines indicate the 15, 20, 25, and 30th harmonics of the local ¹⁶³ proton gyrofrequency. Both Cluster 3 an 4 observe a set of banded emissions beginning ¹⁶⁴ around 18:40 and continuing until the end of the BM1 operations at 18:57. Initially, the emissions are observed in the frequency range 130-170 Hz, corresponding to the 21*st*-30*th* 165 ¹⁶⁶ gyro-harmonics. As the Cluster satellites continue to travel southward, the amplitude of the waves increases, maximising at a latitude of around -1*◦* ¹⁶⁷ before decreasing until the end ¹⁶⁸ of observations. Thus the amplitudes are asymmetrically distributed around the equator in ¹⁶⁹ line with results reported by *Santolik et al.* [2002] (based on the T89 magnetic field model

¹⁷⁰ [*Tsyganenko*, 1989]).During this period, the external magnetic field weakens as evidenced $_{171}$ by the decrease in the gyrofrequency harmonics. At the same time, the emission frequency ¹⁷² of the waves drops, mirroring the change observed on the gyrofrequency harmonics. This ¹⁷³ frequency change is evidence that the waves are observed in their source region.

 Figure 3 shows averaged spectra of the Cluster 4 STAFF search coil Bx (GSE) mea- $_{175}$ surements centred at (top to bottom)18:43, 18:46, 18:51, and 18:56. Each spectrum is the average of 9 1024 point Fourier spectra. The vertical dotted black lines mark the ¹⁷⁷ 14 th − 30th harmonics of the local proton gryofrequency. It is noticeable that two types of emission can be seen in Figures 2 and 3. The first corresponds to the higher frequency emissions seen in the top three plots of Figure 3. These high frequency emissions occur ¹⁸⁰ close to harmonics of the local proton gyrofrequency in the range $20\Omega_p < \omega < 30\Omega_p$ and as the magnetic field decreases so does the frequency of emission. The position of the peaks relative to the gyrofrequency harmonics changes with time. In panel (a) the majority of the spectral peaks are observed just below the gyroharmonics whilst in panel (b), corresponding to the time around the magnetic field line minimum, the peaks are at the gyro frequencies. As the spacecraft moves away from the field line minimum the frequency relative to the gyroharmonic falls.

¹⁸⁷ Figure 4 shows the FFT spectrum of emissions between 18:48:40 and 18:49:20 UT ¹⁸⁸ calculated by averaging nine 2048 point FFTs. The external magnetic field in this period ¹⁸⁹ varied from 339-335 nT (Ω_p changes from 5.2-5.14 Hz). The format is the same as that in ¹⁹⁰ Figure 3. During this period, emission lines are observed in the frequency range from $14\Omega_p$ ¹⁹¹ to $29\Omega_p$. The position of the emission with respect to the harmonic frequency varies with ¹⁹² harmonic number. At the low frequency end of the spectrum e.g. harmonics 14-18, the

 emissions occur at the exact frequency of the gyroharmonic whereas at higher frequencies the emissions lie slightly below the harmonic. In the case of the 23 and 24 harmonics the frequency difference is around 1.1 Hz. The other noticeable feature in this Figure is that most harmonics (except those mentioned above) exhibit multiple peaks. This could ¹⁹⁷ indicate the existence of further interactions with heavier ions such as He^+ , He^{2+} , or O^+ ions. This harmonic structure implies that resonant interactions have a dominant role in the generation of these waves. This topic will be investigated further in a later paper.

 The second type of emissions is seen in Figures 2 and 3 (panel d), measured around ²⁰¹ 18:56 UT. These emissions are observed in the frequency range 10 - $16\Omega_p$, and occur be- tween the local gyroharmonics. Their frequency spacing is of the order of 4.3 Hz and analysis of spectra recorded after 18:56 UT (not shown). These emissions are monotonic, their frequency does not depend upon the local gyrofrequency. Emissions such as these are more typical of those discussed by other authors when they refer to magnetosonic ²⁰⁶ waves or equatorial noise [e.g. *Santolik et al.*, 2002]. The reason for their constant fre- quency is that these waves were generated at some other location and have propagated to the location in which they are observed. Since the frequency spacing of these emissions is lower than the local proton gyrofrequency these emissions are generated in a region of lower magnetic field strength (*∼*282 nT) most probably at a greater radial distance, and ₂₁₁ have propagated to the point at which they were observed. Unfortunately, these emissions were not observed on C3 due to a mode change a few seconds before.

4.2. Ion distributions

 As noted in Section 1, the occurrence of magnetosonic waves are associated with ring-like ion distributions [*Perraut et al.*, 1982; *Chen et al.*, 2011]. Figure 5 shows the 1D

²¹⁵ ion distributions measured by CIS-CODIF instrument onboard Cluster 4. It should be ²¹⁶ noted that these observations are heavily contaminated due to the passage of the satellite ²¹⁷ through the radiation belts. In spite of this, evidence for the existence of a ring-like ²¹⁸ distribution is still very strong. The top panel shows the pitch-angle distribution of protons ²¹⁹ in the energy range 7-38.5 keV. These distributions are strongly peaked at pitch angles ₂₂₀ around 90[°], indicative of a ring-like distribution. During the period in which the waves ₂₂₁ are observed the particle flux observed increased, with the highest fluxes observed after ²²² 1850UT corresponding to the period when emissions at high harmonics vanish whilst those ²²³ at lower frequencies become less intense. This change in the distribution is also evident ²²⁴ in the bottom panel which shows the particle count rate as a function of energy and time. ²²⁵ The highest count rates are observed at energies above 10 keV, maximising in the region ²²⁶ of 20-30 keV. This is the energy of the proton ring and corresponds to a velocity of the 227 order *V_r*= 2000-2400 kms^{−1}. This velocity is greater than the Alfvén velocity (calculated ²²⁸ in Section 3). Thus, the ring distribution could provide the free energy to enable the $_{229}$ growth of the MSW since the energy of the ring distribution exceeds the Alfvén energy ²³⁰ [*Korth et al.*, 1984]. Moving towards lower energies there is a distinct minimum in the ²³¹ energy just below the ring particles that occurs at an energy of around 7 keV. This energy, ²³² referred to as the dip energy/dip velocity (V_{dip}) [e.g. *Chen et al.*, 2011], corresponds to as a velocity of around 1100 kms^{-1}. Thus, for velocities in the range $V_{dip} < v_{\perp} < V_r$ the ²³⁴ proton distribution has a positive gradient $(\partial f / \partial v_$ ^{*⊥*} > 0).

²³⁵ Using the results of the theoretical analysis performed by *Chen et al.* [2010], it is possible ²³⁶ to estimate the frequencies at which the instability occurs and wave growth is observed. $_{237}$ The blue curve in Figure 6 shows the approximate perpendicular velocity in terms of

²³⁸ the Alfvén velocity that corresponds to peak wave growth as a function of the harmonic ²³⁹ resonance. Also plotted (black lines) are V_A (dotted), V_{dip} (dashed), and V_r (dash-dotted). ²⁴⁰ Thus it would be expected that there should be emission bands in the range $8\text{-}29\Omega_p$ since ²⁴¹ $V_{dip} < v_{\perp} < V_r$. The wave spectra, measured in the period 1844:45 to 1845:15 is shown ²⁴² in red. It is clear from this Figure that all harmonics at which waves were observed 243 correspond to perpendicular velocities in the range $V_{dip} < v_{\perp} < V_r$, inline with results ²⁴⁴ reported by *Chen et al.* [2010]. These results are consistent with the general trend reported ²⁴⁵ by *Ma et al.* [2014]. From Figure 6, the value of $V_r/V_A \sim 1.02$ which would imply that ²⁴⁶ the unstable wave frequencies would be expected at frequencies around the mid-range of ²⁴⁷ possible harmonics, exactly as was observed and shown in this figure.

4.3. Wave Properties

²⁴⁸ In order to establish the propagation mode of the waves that were observed during this ²⁴⁹ period the basic properties of these emissions were investigated based on the measurements ²⁵⁰ from Cluster 4. In the previous Section it was shown that the bands of emission at higher ²⁵¹ frequencies typically occurred at or just below harmonics of the proton gyrofrequency. In ²⁵² this section, the wave polarisation and propagation characteristics are investigated.

 The wave properties for the period 18:47:00-18:47:20 UT, based on the STAFF search coil measurements, are shown in Figure 7. During this period, the proton gyrofrequency was 5.32 Hz. These results are based on the use of a Morlet wavelet transform to extract the frequency information from the waveform and Singular Valued Decomposition [*San-*²⁵⁷ *tolik et al.*, 2003 to compute the eigenvectors and eigenvalues of the complex spectral matrix. It should be noted that the signal after 18:47:19 UT is superposed with a broad

²⁵⁹ band signal arising from some local interference whose effects can be seen most clearly on ²⁶⁰ the three lower panels.

 The top panel in Figure 7 shows a spectrogram of the emissions in the frequency range 70-170 Hz. The banded nature of the emissions can be clearly seen and their amplitudes are not constant but vary independently. In the panels below data is only plotted when the trace of the spectral matrix exceeds a level of 1×10^{-7} nT²Hz⁻¹.

₂₆₅ The ellipticity of the banded emissions, defined as the ratio of the intermediate eigen-²⁶⁶ value to the maximum eigenvalue i.e. e_{int}/e_{max} is plotted in the second panel. A value ₂₆₇ of unity implies circular polarisation, whilst zero indicates linear. As is evident from ²⁶⁸ this panel, the majority of emissions are highly elliptical with eigenvalue ratios typically $e_{int}/e_{max} < 0.1$.

²⁷⁰ The third panel displays the propagation angle of the waves with respect to the external ²⁷¹ magnetic field (obtained from FGM measurements). The distribution of *k*-direction is strongly peaked in the region of $\theta_{Bk} \sim 90^{\circ}$ indicating almost perpendicular propagation ²⁷³ of the wave. Figure 8 shows the distribution of **k** with respect to the external magnetic $_{274}$ field direction in more detail. The X axis shows the angle between the wave vector ²⁷⁵ and the external magnetic field (θ_{Bk}) , using a bin size of 0.5[°]. The Y axis represents ²⁷⁶ the normalised distribution of occurrence. An offset of 0.06 has been added to separate $_{277}$ the distributions at different frequencies, the horizontal dashed line (of the same colour) $_{278}$ representing the baseline Y=0 for the distribution. The frequency of each distribution is ²⁷⁹ indicated to the right of the plot. Since the frequency decreases slowly over the *∼*20 second ²⁸⁰ time period over which this analysis was performed, adjacent frequency bins have been averaged. The vertical dashed line indicates an angle $\theta_{Bk} = 90^{\circ}$ whilst the dash-dotted

lines mark angles of $\theta_{Bk} = 88.5$ and $\theta_{Bk} = 91.5^{\circ}$. These plots show that the majority of ₂₈₃ the propagation angles occur in the range 87-93[°]. There appears to be two basic types ²⁸⁴ of distribution. The first show a peak at $\theta_{Bk} = 90^{\circ}$, indicating that the waves propagate ²⁸⁵ perpendicularly to the external magnetic field. Such distributions are observed for waves $_{286}$ of frequency 160.5, 150.5, 109.5, 107.5, and 92.5Hz. The second type of distribution ²⁸⁷ exhibits a number of peaks in the angular distribution, indicating a preference for almost ²⁸⁸ perpendicular propagation e.g. the distributions for frequencies 155.5, 113.5, 97.5, and ²⁸⁹ 86.5Hz. Typically, the peaks occur within 2[°] of perpendicular, a value in line with that ²⁹⁰ often quoted in discussions of the propagation of magnetosonic waves.

 Finally, the fourth panel of Figure 7 displays the angle between the eigenvector of the ₂₉₂ magnetic field oscillations that corresponds to the maximum eigenvalue i.e. the direction of the principle axis of the polarisation ellipsoid and the direction of the external magnetic field. The distribution is centred on the direction antiparallel to the external magnetic field implying that the oscillations of the wave magnetic field occur in the direction parallel to the external magnetic field.

 $_{297}$ In summary, the banded emissions observed by the Cluster 4 STAFF search coil magne-²⁹⁸ tometer during the period 18:47:00-18:47:20 UT are consistent with whistler mode waves ²⁹⁹ propagating almost perpendicular to the eternal magnetic field since they are highly ellip-³⁰⁰ tical in nature and the wave magnetic field oscillates parallel to the external field. Thus ³⁰¹ these emissions are examples of magnetosonic waves (equatorial noise). This conclusion is ³⁰² further strengthened in the next sections by the determination of the dispersion relation ³⁰³ of the observed waves and its comparison to dispersion relations derived theoretically.

5. Experimental Determination of the Dispersion Relation

 The wave vector (**k**) of a wave is a vector quantity whose direction corresponds to the wave propagation direction and whose magnitude is related to the wavelength (*λ*) of the 306 wave $(|\mathbf{k}| = 2\pi/\lambda)$. Determination of the wave vector is important when considering the ³⁰⁷ propagation of waves within the plasma environment as well as their interaction with the local particle populations for which they provide a medium for the transfer of energy between the particle populations via either current or resonant instabilities.

³¹⁰ Experimental determination of the wave vector has only been possible since the advent ³¹¹ of multispacecraft missions and the possibility of making simultaneous measurements at ³¹² two or more closely spaced points in space. Depending upon the type of data sets available, ³¹³ there are a number of different methods such as **k**-filtering/wave telescope [*Pincon and* ³¹⁴ *Lefeuvre*, 1992], and phase differencing [*Balikhin and Gedalin*, 1993; *Balikhin et al.*, 1997a; ³¹⁵ *Chisham et al.*, 1999] that may be employed. These methods, which were compared ³¹⁶ in *Walker et al.* [2004], are based on the fact that a comparison of the simultaneous ³¹⁷ multipoint measurements will show differences in the phase of the wave at the different ³¹⁸ measurement locations. These differences may then be used to determine the **k**-vector of ³¹⁹ the wave. In the present paper, the phase differencing methodology is employed.

³²⁰ Following *Balikhin et al.* [1997b] and *Balikhin et al.* [2001] the basic assumption behind ³²¹ the phase differencing method is that the measured wave field may be represented by the $_{322}$ superposition of plane waves as shown by equation (1)

$$
\mathbf{B}(\mathbf{r},t) = \Sigma_{\omega} \mathbf{B}_{\omega} \exp[i(\mathbf{k} \cdot \mathbf{r} - \omega t)] + cc \tag{1}
$$

324 where \mathbf{B}_{ω} is the wave amplitude at frequency ω , **k** is the wave vector (*k*-vector), **r** is the ³²⁵ separation vector between the location of the two (or more) simultaneous measurements,

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³²⁶ and *cc* represents the complex conjugate term. A comparison of observations from two ³²⁷ closely spaced locations will display a difference in the phases of the measurements of ³²⁸ the wave. This phase shift ∆*ψ* is proportional to the component of the wave vector **k** ³²⁹ projected along the measurement separation direction **r** (assuming that there is only one 330 wave vector **k** for any frequency ω) and is given by (2).

$$
\Delta \psi(\omega) = \mathbf{k}(\omega) \cdot \mathbf{r} + 2n\pi
$$

$$
= \|\mathbf{k}\| \|\mathbf{r}\| \cos(\theta_{kr}) + 2n\pi
$$
 (2)

333 where θ_{kr} is the angle between the wave vector **k** and the satellite separation vector **r** $_{334}$ and *n* is an integer value. Since the phase difference between the two signals can only be 335 determined in the range $-\pi < \Delta \psi < \pi$, a family of periodic solutions is possible, resulting 336 in a phase ambiguity of $2n\pi$. Thus, in order to determine the correct value of $k_{\rm r}$ it is ³³⁷ necessary to determine the correct value of *n*.

³³⁸ The phase differencing method may be applied to scalar measurements or single com- ponents of a vector quantity and results in a measurement of the component of the wave vector projected along the measurement separation vector. If measurements are available ³⁴¹ from four (or more) closely spaced, non-coplanar locations it is possible to determine the ³⁴² projection of the wave vector along three independent directions and hence determine the complete wave vector [*Balikhin et al.*, 2003]. However, if measurements from only two locations are available the size of k_r can be estimated but not it's direction and so another method is required to determine the direction of **k**. One such method that may be used with magnetic field data is to calculate the eigenvalues and eigenvectors of the magnetic ³⁴⁷ field covariance matrix. Provided that the ratio of the intermediate to minimum eigenval-ues is large (typically a factor 10, i.e. the wave is not linearly polarised) then the minimum

³⁴⁹ variance direction is well defined and represents the direction of wave propagation. Thus, ³⁵⁰ knowledge of the direction together with the magnitude of *k*-vector projected along the ³⁵¹ measurement separation vector enables the full wave *k*-vector to be determined.

³⁵² The phase differencing method was applied to measurements from the spacecraft Clus-³⁵³ ter 3 and 4 during the interval $18:47:13-18:47:16.5$ UT on July 6^{th} , 2013. This period ³⁵⁴ corresponds to a time when the emissions are observed from the $14th$ -29th proton gyro-³⁵⁵ harmonic as seen in Figure 2. Figure 9 shows the *ω −* **k** histogram of the variation in ³⁵⁶ the phase difference measured between satellites C3 and C4. The left hand panel shows ³⁵⁷ the phase differences recorded in the Bx component whilst that on the right shows the ³⁵⁸ phase differences recorded in the Bz component. These plots show that in the frequency ³⁵⁹ range 70-105 Hz there are emissions occurring at discrete frequency bands at around 77, ³⁶⁰ 81, 87, 92, 98, 103 Hz. These frequencies correspond to the 14-19 harmonics of the proton ³⁶¹ gyrofrequency. At each of these frequencies there is a well defined maximum in the phase ³⁶² difference between the two signals detected on satellites C3 and C4. The reason for two ³⁶³ peaks at each frequency is due to the $2n\pi$ ambiguity factor when determining the phase ³⁶⁴ difference (equation 2). Knowledge of the satellite separation distance enables the values ³⁶⁵ of phase difference to be converted into spatial measurements of the projection of the ³⁶⁶ wave vector along the satellite separation direction and so the histogram represents the ³⁶⁷ dispersion relation of the observed waves. It is clearly seen from Figure 9 that there is a ³⁶⁸ linear feature running diagonally up and right to the top right corner of each panel. This ³⁶⁹ line is a representation of the dispersion of the observed waves.

₃₇₀ The features observed by satellites C3 and C4 are highly coherent due to their small $\mathbf{371}$ separation in comparison with the coherency length of the waves. This cannot be said

³⁷² for the observations by Cluster 1, whilst Cluster 2 is in a completely different plasma ₃₇₃ location and does not see this banded structures at all. It is, therefore, not possible to ³⁷⁴ use the phase differencing technique to determine the dispersion relations between other ³⁷⁵ pairs of satellites in the Cluster quartet and hence compute the full *k*-vector. In order ³⁷⁶ to find the direction of the wave *k*-vector another method is required. Since the above ³⁷⁷ analysis is based on magnetic field measurements it is possible to obtain the direction of ³⁷⁸ **k** by calculating the eigenvalues and corresponding eigenvectors of the magnetic field co-³⁷⁹ variance matrix. The analysis period (18:47:13-18:47:16.5 UT) was divided into a number ³⁸⁰ of segments, each typically 0.25 seconds, and the eigenvalues and vectors were calculated. ³⁸¹ The direction of **k** was taken as the average of the minimum variance directions for which ³⁸² the corresponding ratio of the intermediate to minimum eigenvalues $\lambda_{int}/\lambda_{min} > 50$. This ³⁸³ criteria ensures that the minimum variance direction is well defined. This direction, to-³⁸⁴ gether with the projections of **k** along the satellite separation vector were used to compute ³⁸⁵ the *k*-vector of the wave.

386 However, this still leaves the problem of resolving the ambiguity factor $2n\pi$ in the ³⁸⁷ determination of the phase difference between the two signals. There are two scenarios for which the determination of *n* is reasonably straight forward. The first is for low frequency signals, i.e. those whose wavelength is much greater than the separation of the two measurement points in which case *n* would probably be zero and the phase difference could actually be computed directly from the waveforms [e.g. *Balikhin et al.*, 1997c]. The second scenario involves the comparison of isolated wave packets whose waveforms are virtually identical in both signals [e.g. *Balikhin et al.*, 2005]. Neither of these methods could be applied to the current case in question since the observed waves consist of a

 superposition of waves with a number of discrete frequencies and variable amplitudes. This fact also rules out the possibility of determining *n* from the shape of sequences of wave packets since they are just too irregular [*Walker and Moiseenko*, 2013]. Therefore, the only way to determine *n* is to compare the experimental dispersion with one determined $\frac{399}{2}$ from theory and match the two by changing the value of *n*.

6. Theoretical insight into the propagation of MSW

⁴⁰⁰ To get some insight into the properties of MSW it is instructive to consider the theoret- ical derivation of their dispersion relation, growth rate and propagation direction based on the local ion distribution. The contribution of the ions to the growth rate of MSW is investigated based on an approach first proposed about fifty years ago [*Dawson*, 1961; *O'Neil*, 1965] and has since been used for many studies of wave-particle interactions in the magnetosphere. This approach assumes the magnetospheric plasma is composed of two parts: a "cold" bulk population of electrons and ions that determines the plasma ⁴⁰⁷ dispersion relation, and low-density suprathermal populations of electrons and ions which participate in resonant interactions with the waves and are responsible for wave growth or damping. If the wave growth (or damping) rate is less than the inverse nonlinear time of resonant interaction, the resonant particle distribution function can be found using the ⁴¹¹ adiabatic approximation with respect to the wave amplitude, i.e. neglecting the amplitude variation during the time of resonant interaction.

6.1. Dispersion relation and polarization of magnetosonic waves below *ωLH*

The electric field of a plane wave can be written as

$$
^{414}
$$

$$
\mathcal{E} = \text{Re}\{\mathbf{a}Ee^{i(\mathbf{k}\mathbf{r}-\omega t)}\}\tag{3}
$$

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 where E is the complex wave amplitude and **a** is the complex polarization vector. In the reference frame in which the ambient magnetic field **B⁰** is directed along the *z*-axis and the wave propagation vector (**k**) lies in the (x,z) plane, the dielectric tensor of a cold plasma has the form [*Ginzburg and Rukhadze*, 1972]:

$$
\varepsilon_{ij}(\omega) = \begin{pmatrix} \varepsilon_1 & i\varepsilon_2 & 0 \\ -i\varepsilon_2 & \varepsilon_1 & 0 \\ 0 & 0 & \varepsilon_3 \end{pmatrix}
$$
(4)

⁴²⁰ In a cold, magnetized plasma, there is only one wave mode that propagates in the ⁴²¹ frequency range above proton cyclotron frequency Ω_p . This mode is right-hand polarized. ⁴²² The characteristics of this mode depend on both the wave frequency and the propagation ⁴²³ angle *θ* between **k** and **B**₀. In the case when the electron plasma frequency $ω_p$ is larger than ω_c electron cyclotron frequency ω_c , this mode extends up to the frequency $\omega_c \cos \theta$. Another ⁴²⁵ characteristic frequency, the so called lower hybrid resonance frequency, is defined as

$$
\omega_{LH}^2 = \frac{1}{M_{eff}} \frac{\omega_p^2 \omega_c^2}{\omega_p^2 + \omega_c^2}
$$
(5)

 $\frac{427}{427}$ where effective ion mass M_{eff} is

$$
\frac{1}{M_{eff}} = \frac{m_e}{n_e} \sum_{ions} \frac{n_i}{m_i} \tag{6}
$$

 429 Above this frequency, the wave propagation angle lies inside the resonance cone θ_R deter-⁴³⁰ mined by the relation

$$
\omega^2 = \omega_{LH}^2 + \omega_c^2 \cos^2 \theta_R
$$

432 At the resonance cone the wave refractive index $N = kc/\omega$ tends to infinity. Waves with μ_{33} frequencies above ω_{LH} are known as whistler-mode waves, whilst waves with frequencies ⁴³⁴ close to the LHR frequency are often referred to as lower hybrid waves.

435 Below the LHR frequency, the propagation angle is arbitrary, including $\theta = \pi/2$. In ⁴³⁶ this frequency range the propagating right-hand polarized waves are often termed mag-⁴³⁷ netosonic waves.

⁴³⁸ For waves in the frequency range

$$
^{439}
$$

$$
\Omega_p \ll \omega \lesssim \omega_{LH} \ll \omega_c
$$

440 and assuming $\omega_p \gg \omega_c$ the real part of the quantities ε_1 , ε_2 , and ε_3 can be approximated ⁴⁴¹ by

$$
\varepsilon_1 \simeq \frac{\omega_p^2 + \omega_c^2}{\omega_c^2} \left(1 - \frac{\omega_{LH}^2}{\omega^2}\right) \; ; \quad \varepsilon_2 \simeq -\frac{\omega_p^2}{\omega \omega_c} \; ; \quad \varepsilon_3 \simeq -\frac{\omega_p^2}{\omega^2} \; .
$$

443 Note that in this frequency range, the ions only contribute to the quantity ε_1 , through the term ω_{LH}^2 , while the quantities ε_2 and ε_3 are determined solely by the electrons. Using general dispersion relation for electromagnetic waves in a cold magnetized plasma [see e.g. *Ginzburg and Rukhadze*, 1972], together with the expressions for the components of the dielectric tensor given above, one can derive the following dispersion relation in the frequency range of interest [*Shklyar and Jiˇr´ıˇcek*, 2000]

$$
\omega^2 = \frac{\omega_{LH}^2}{1 + q^{2}/k^2} + \frac{\omega_c^2 \cos^2 \theta}{(1 + q^2/k^2)^2} \equiv \omega_{LH}^2 \frac{k^2}{k^2 + q^2} + \omega_c^2 \frac{k_{\parallel}^2 k^2}{(k^2 + q^2)^2} \,,\tag{7}
$$

⁴⁵⁰ where

$$
q^2 = \frac{\omega_p^2}{c^2} \,,\tag{8}
$$

⁴⁵² and *k[∥]* = *k* cos *θ* and *k[⊥]* = *k* sin *θ*. Figure 10 shows the so-called surface of the refractive ⁴⁵³ index, i.e. the isolines of constant frequencies on the (*k⊥, k∥*)-plane, resulting from the dispersion relation (7) . The contours shown correspond (from blue (inner) to brown ⁴⁵⁵ (outer)) to the 14^{th} , 17^{th} , 20^{th} , 23^{rd} , 26^{th} , and 29^{th} harmonics of the proton cyclotron ⁴⁵⁶ frequency. One can see that for any frequency, the largest possible value of *k[∥]* corresponds D R A F T November 5, 2015, 1:42pm D R A F T ⁴⁵⁷ to parallel propagation, i.e., $k = k_{\parallel}$, $k_{\perp} = 0$; and since for $\omega \le \omega_{LH}$, each term on the ⁴⁵⁸ right hand side of (7) is smaller than $\omega_{L,H}^2$, so that the following inequalities should be ⁴⁵⁹ fulfilled:

$$
^{460}
$$

$$
\frac{k_{\parallel}^2}{q^2} < \frac{\omega}{\omega_c} \leqslant \frac{\omega_{LH}}{\omega_c} \ll 1 \; ; \quad \frac{k^2}{q^2} < \frac{\omega^2}{\omega_{LH}^2 - \omega^2} \; . \tag{9}
$$

⁴⁶¹ Due to reasons clarified below, only waves propagating at a large angle *θ* to the ambient ⁴⁶² magnetic field will be considered.

⁴⁶³ In order to estimate typical values for the refractive index, the maximum parallel com-⁴⁶⁴ ponent of the wave vector, and the resonant velocity the following further assumptions ⁴⁶⁵ are made. As can be seen from Figure 10, for *ω ∼< ωLH*, the wave refractive index *N* at ⁴⁶⁶ large *θ* is of the same order as its value at $θ = π/2$ (which is not true for $ω = ω_{LH}$). From ⁴⁶⁷ (7) it then follows that in the case under discussion $k^2 \sim \omega_p^2/c^2$, or alternatively

$$
N^2 \sim \frac{\omega_p^2}{\omega^2} \,. \tag{10}
$$

⁴⁶⁹ Using the standard relations between the components of the polarization vector **a** [see e.g. ⁴⁷⁰ *Shklyar and Matsumoto*, 2009]

$$
a_y = -i\frac{\varepsilon_2}{N^2 - \varepsilon_1} a_x ; \quad a_z = \frac{N^2 \sin \theta \cos \theta}{N^2 \sin^2 \theta - \varepsilon_3} a_x , \tag{11}
$$

⁴⁷² and the expressions for ε_1 , ε_2 , ε_3 given previously the polarisation vector can be rewritten ⁴⁷³ as

$$
a_y \sim i \frac{\omega}{\omega_c} a_x \ll a_x ; \quad a_z \sim \cos \theta \; a_x \ll a_x ,
$$

⁴⁷⁵ so that the wave electric field is right-hand and almost linearly polarized along the *x*-axis. 476 As for the wave magnetic field, combining Faraday's law $[\mathbf{k} \times \mathbf{E}] = (\omega/\mathbf{c})\mathbf{B}$ and the ⁴⁷⁷ relations given above it follows that

$$
|B_x| \sim \frac{\omega_p}{\omega_c} \cos \theta \ a_x |E| ; |B_y| \sim \frac{\omega_p}{\omega} \cos \theta \ a_x |E| ; |B_z| \sim \frac{\omega_p}{\omega_c} \sin \theta \ a_x |E| ,
$$

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 μ_{19} thus, $|B_x| \ll |B_y|$, $|B_z|$. It is worth mentioning that $|B| \gg |E|$ (in CGS units), but $|B| \ll N|E|$.

6.2. Propagation of magnetosonic waves in the magnetosphere

⁴⁸¹ The surface of the refractive index, shown in Figure 10, provides information regarding ⁴⁸² the propagation on MSW. Since the wave group velocity is directed normal to the refractive ⁴⁸³ index surface, for large θ except when considering propagation directions close to $\theta = \pi/2$, ⁴⁸⁴ the wave group velocity is directed almost along the ambient magnetic field. In the vicinity ⁴⁸⁵ of $\theta = \pi/2$, the direction of wave group velocity with respect to the ambient magnetic field ⁴⁸⁶ changes sign very fast, so that the point where $\theta = \pi/2$ may be considered as a reflection ⁴⁸⁷ point. Figure 11 shows an example of the ray trajectory of a 150 Hz magnetosonic wave 488 propagating in meridian plane which starts at $L = 4.15$ on the equator and has a wave ⁴⁸⁹ normal angle $\theta_0 = 89^\circ$. We see that the latitude of the ray trajectory oscillates around ⁴⁹⁰ zero, so that the trajectory as the whole is confined to the equatorial region. If the initial ⁴⁹¹ wave normal angle has an azimuthal component, the ray no longer lies in the meridian ⁴⁹² plane, but its confinement to the equatorial region remains in effect.

6.3. Magnetosonic wave excitation

⁴⁹³ Considering MSW excitation as the result of resonant interaction with energetic plasma ⁴⁹⁴ particles, assuming cyclotron instability to be in effect the resonant velocity related to the ⁴⁹⁵ *n*th cyclotron resonance is given by

$$
V_{Rn\alpha} = \frac{\omega - n\omega_{H\alpha}}{k_{\parallel}} , \quad \alpha = e, \ i \tag{12}
$$

 ω_{H} where index α refers to quantities related to electrons (*e*) and protons (*i*), so that $\omega_{He} = \omega_c$ ⁴⁹⁸ and $\omega_{Hi} = \Omega_p$. Equation (12) defines the particle parallel velocity at which it interacts

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 resonantly with the wave. Since the waves are excited due to their interaction with resonant particles, and because the number of these particles depends on their energy and in particular their parallel velocity, the value of *VRnα* is essential for estimating the efficiency of their interaction. The value of $V_{Rn\alpha}$ may be estimated using the following $\frac{1}{503}$ parameters, which are typical of the equatorial region at $L = 4.15$, namely:

 $_{^{504}}$ $~\omega\sim900~{\rm rad/s}~;~~\omega_p\sim6.4{\cdot}10^5~{\rm rad/s}~;~~\omega_c\sim7.6{\cdot}10^4~{\rm rad/s}~;~~\Omega_c\sim41.6~{\rm rad/s}~;~~\omega_{LH}\sim1.8{\cdot}10^3~{\rm rad/s}$ $_{505}$ together with (see (10))

$$
N \sim 677 \; ; \quad k \sim 2.1 \cdot 10^{-5} \text{cm}^{-1} \; . \tag{13}
$$

⁵⁰⁷ The first inequality in (9) gives the maximum value of *k[∥]*

$$
(k_{\parallel})_{max} \sim 2.4 \cdot 10^{-6} \text{cm}^{-1} \ .
$$

⁵⁰⁹ Obviously, this value corresponds to the parallel propagation of MSW. Using this value ⁵¹⁰ we find that, in general

$$
|V_{R1e}| > 3.2 \cdot 10^{10} \text{cm/s} ; \ V_{R1i} > 3.8 \cdot 10^{8} \text{cm/s} ; \ V_{R0} > 4 \cdot 10^{8} \text{cm/s} .
$$

 512 Note that the Cerenkov resonance velocity (V_{R0}) does not depend on the type of particle, 513 in contrast to cyclotron resonance velocities.

 Relation (12) is written using the non-relativistic approximation. In this approximation, it may be seen that the interaction of MSW with electrons at the first cyclotron resonance - the only one that exists for parallel propagation - is impossible. As for the protons, \mathbf{S}_{17} the value of $V_{\parallel} = V_{R1i}$ corresponds to proton energies exceeding 100 keV, and so only a small number of resonant particles may be expected in this case. Thus, it is necessary to consider oblique MSW propagation. In this case the Cerenkov resonance comes into

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⁵²⁰ effect, playing the main role together with the first cyclotron resonance, for small and 521 medium wave normal angles. For oblique propagation, the value of V_{R0} given above $\frac{1}{2}$ represents the minimum value of parallel velocity, corresponding to $E > 65$ eV electrons $\frac{1}{2}$ and $E > 118$ keV ions. In the absence of parallel beams, the Cerenkov resonance leads to ⁵²⁴ wave damping and, given the relation between resonance energies, drives out a possible ⁵²⁵ wave excitation at the first cyclotron resonance due to cyclotron instability. Thus, the 526 only possible case for MSW excitation is when $k_{\parallel} \ll (k_{\parallel})_{max}$, i.e., when the wave normal μ ₅₂₇ angle is close to $\pi/2$ and $\omega \simeq n\Omega_c$. In this case, the Cerenkov resonance for electrons does ⁵²⁸ not drive out the instability, since it corresponds to an overly high electron velocity, while V_{Rni} for protons can be sufficiently small for an appropriate number of particles to be in ⁵³⁰ cyclotron resonance. As was shown by *Shklyar* [1986], higher order cyclotron resonances ⁵³¹ for protons are efficient only when

 $k_{\parallel}V_{\parallel} + k_{\perp}V_{\perp} > \omega$,

which requires $V_{\perp} > \omega/k$. Using (13) it is found that $V_{\perp} > 4.5 \cdot 10^7$ cm/s, or $E > 1$ keV, ⁵³⁴ which is quite realistic for protons.

 A general expression for the growth rate of the cyclotron instability for oblique elec- tromagnetic wave, which is valid for MSW under consideration, can be found in *Shklyar and Matsumoto* [2009, expression (4.13)]. As has been argued above, the growth rate is μ ₅₃₈ significant only for $\omega \simeq n\Omega_c$, with the main contribution to the growth rate from protons interacting with the wave at the *n*th cyclotron resonance. Retaining the corresponding term for the growth rate from *Shklyar and Matsumoto* [2009] it is found that

$$
\gamma = \frac{\Omega_c(\pi e|E|c)^2}{2m_i k_{\parallel} U} \int_0^\infty d\mu f'_{0n}(\mu) V_n^2(\mu) , \qquad (14)
$$

 $_{542}$ where f_0 is the unperturbed proton distribution function, which depends on particle energy $_543$ *W* and magnetic momentum μ ,

$$
f'_{0n} = \left(\frac{\partial f_0}{\partial W} + \frac{n}{\omega} \frac{\partial f_0}{\partial \mu}\right)_{W = m_i V_{Rni}^2 / 2 + \mu \Omega_c},\tag{15}
$$

$$
^{545}
$$

$$
V_n = \left(\frac{n|\Omega_c|}{k_{\perp}c}a_x + \frac{V_{Rni}}{c}a_z\right)J_n(\rho) + \frac{i\rho\Omega_c}{k_{\perp}c}a_yJ'_n(\rho) \; ; \quad \rho = k_{\perp} \left(\frac{2\mu}{m_i|\Omega_c|}\right)^{1/2} \; , \tag{16}
$$

 $J_n(\rho)$ and $J'_n(\rho)$ are, respectively, the Bessel function and its derivative with respect $_{548}$ to the argument *ρ*. The quantity *ρ* defined above is the dimensionless Larmor radius, i.e., β_{49} *ρ* = $k_{\perp}V_{\perp}/\Omega_c$. The quantity *U* that enters the expression for γ is the wave energy density $\sum_{s=0}$ and is proportional to $|E|^2$ and expressed through the polarization coefficients and the $\frac{551}{551}$ dielectric tensor in a usual way [e.g. *Shafranov*, 1967]. The value of V_n , which plays the ⁵⁵² role of an effective amplitude of interaction at the *n*th cyclotron resonance is proportional $_{553}$ to $J_n(\rho)$. It is well known that for large *n* this function is exponentially small unless $\mu = k_{\perp}V_{\perp}/\Omega_c > n$, or, with the account of $n \simeq \omega/\Omega_c$, $k_{\perp}V_{\perp} > \omega$. This explains the above ⁵⁵⁵ mentioned requirement of the efficiency of wave excitation by ions.

 556 From $(14)-(16)$ it follows that for wave excitation the derivative (15) should, on average, ₅₅₇ be positive, which is typically observed for distributions with a loss-cone or temperature ⁵⁵⁸ anisotropy. In general, the growth rate strongly depends on the energetic proton distribu-⁵⁵⁹ tion function, as well as on the wave characteristics (frequency and wave vector). However, 560 in many cases the distribution function is proportional to $\exp(-W/W_T)$, where W_T is a $_{561}$ characteristic energy scale of the distribution. (For a quasi-Maxwellian distribution, W_T $\frac{562}{14}$ characterizes the particle thermal energy). In this case, the growth rate γ defined by (14) ⁵⁶³ appears to be proportional to

$$
\exp\left(-\frac{m_i(\omega - n\Omega_c)^2}{2k_{\parallel}^2 W_T}\right)
$$

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⁵⁶⁵ As mentioned above, *k[∥]* is a small quantity, which clearly shows that the growth rate is $\sigma_{\rm 566}$ significant only for $\omega \simeq n\Omega_c$, i.e., for frequencies close to ion cyclotron harmonics.

6.4. Comparison of Experimental and Theoretical Dispersion

⁵⁶⁷ The dispersion relation (7) is plotted as the solid line in Figure 12 using plasma densities ⁵⁶⁸ of 19 cm^{−3} (black) and 15 cm^{−3} estimated using data from WHISPER. The angle between the wave propagation vector and the eternal magnetic field was assumed to be 89*◦* ⁵⁶⁹ . In order to fit the experimentally derived dispersion to the theoretical ones *n*, the ambiguity $\frac{571}{271}$ factor in equation (2) was varied in the range $-5 < n < 5$ and the results compared to the theoretical curves. It was found that the best fit was obtained using $n = 1$ and the dispersions of the Bx (blue crosses) and Bz (cyan circles) components using this factor are shown in the Figure. This value is in agreement with the fact that the wavelength of the magnetosonic waves is *∼*18 km (from the dispersion shown in Figure 12) compared with an intersatellite separation of 60 km. As can be seen from this Figure there is good agreement between the experimental and theoretical results.

7. Conclusions

⁵⁷⁸ Using data collected as part of the Cluster Inner Magnetosphere campaign this paper has ₅₇₉ presented observations of a set of narrow banded emissions that occurred in the vicinity of ⁵⁸⁰ harmonics of the proton gyrofrequency. It was demonstrated that these waves propagated ⁵⁸¹ in the magnetosonic mode as characterised by their spectral properties.

⁵⁸² Using the phase differencing method, it was possible to combine observations from the ⁵⁸³ satellites Cluster 3 and Cluster 4 in order to determine the dispersion relation. The exper-

 imentally determined dispersion was shown to be consistent with theoretical dispersion curves.

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-4000 -4000

Figure 1. Location of the Cluster spacecraft on July 6^{th} , 2013 (lower right panel) and their relative separations (C1 black, C2 red, C3 green, and C4 blue). Note that due to their close proximity C3 is masked by C4 and their trajectories in the lower right panel appear magenta.

Figure 2. Dynamic spectra of the SM B*^z* component of the STAFF magnetic field waveform measured onboard spacecraft 3 (panel a) and 4 (panel b) on July 6*th*, 2013. The black curves represent the 15, 20, 25, and $30th$ harmonics of the proton gyrofrequency Ω_p .

D R A F T November 5, 2015, 1:42pm D R A F T

WAL $\,$

50 100 150 200

Figure 3. FFT spectra of the Bx component of the STAFF magnetic field waveform measured onboard spacecraft 4 on July 6*th*, 2013. The black curves represent harmonics of the proton gyrofrequency in the range 14-30 $\Omega_p.$

WAL \blacksquare 60 70 80 90 100 110 120 130 140 150 160

Figure 4. FFT spectrum of the Bx component of the STAFF magnetic field waveform measured onboard spacecraft 4 during the period 18:48:40-18:49:20 UT. The vertical lines represent harmonics of the proton gyrofrequency, each labelled with the harmonic number.

Figure 5. Spectra of the ion distribution measured by CIS-CODIF on July 6*th*, 2013 between 1840 and 1857UT.

Figure 6. Frequency of peak growth rate with respect to the Alfvén and ring velocities.

 $X - 44$ WAL

Figure 7. The characteristic properties of the banded emissions. From top to bottom the panels show the wave spectra, the ellipticity of the waves, the angle between the propagation direction and the external magnetic field, and the angle between the maximum variance direction and the external magnetic field.

Figure 8. Distributions of the wave normal angle for frequencies at which the banded harmonic emissions occurred.

Figure 9. $\omega - k$ histogram showing the variation in the phase difference of the signals measured be satellites C3 and C4 with frequency.

Figure 12. Comparison of the experimentally determined dispersion using the Bz (blue lines and crosses) and Bx (cyan lines and circles) components with that derived theoretically from Eqn 7 using total plasma densities of 19 cm⁻³ (black) and 15 cm⁻³ (red). θ_{kB} was assumed to be 89*◦* .

3.99

CIS

4,53

4.56

4.61

3.93

TANGO (SC 4)

06/Jul/2013

4.13

4.08

4,19

4.26

Contours of constant frequency

