#### 1 Adrift.org.au – a free, quick and easy tool to quantitatively study

### 2 planktonic surface drift in the global ocean

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## 9 Abstract:

Almost all organisms in the ocean are impacted by ocean currents. Hence, there 10 is growing interest by marine ecologists in using objective methods to assess 11 current drift and its implications for marine connectivity. Here, an online tool -12 hosted at adrift.org.au – is introduced that allows for a simple, quantitative 13 assessment of drift patterns and transit time scales on the global scale. The tool 14 is based on a statistical transition matrix representation of the observed 15 trajectories of more than 15 thousand surface drifters. Users can select any point 16 in the ocean and obtain the evolution of the probability density distribution for a 17 tracer released at that point, both forward and backward in time, for a maximum 18 interval of 10 years. It is envisioned that this tool will be used in research and 19 teaching, especially where estimates of drift patterns and transit times are 20 required quickly. 21

22 Keywords: Ocean circulation, dispersion, drifting buoys

#### 23 **1. Introduction**

Many organisms in the ocean are planktonic for either their entire lifespan or 24 during some stage of their life cycle (e.g. Suthers and Rissik, 2009). This means 25 that these species – including bacteria (e.g. Wilkins et al., 2013), foraminifera 26 (e.g. Weyl, 1978) and jellyfish (e.g. Dawson et al., 2005) as well as eggs and 27 larvae of fish (Aiken et al., 2011; e.g. Cowen et al., 2006; White et al., 2010), 28 crustaceans (e.g. Griffin et al., 2001) and algae (e.g. Coleman et al., 2013) – can 29 drift and disperse over long distances during their life. In addition, stronger 30 swimmers such as nekton, including large fish, turtles, marine mammals and 31 penguins may have their movements impacted by currents that can alter their 32 speed of travel or carry individuals off-course (see Chapman et al., 2011 for a 33 review). 34

Understanding this pelagic drift is important for studying (genetic) connectivity, 35 species invasion, biogeography or for explaining animal behaviour (such as in 36 some species of turtle; Scott et al., 2014). Given this broad impact of ocean 37 currents, it is not surprising that biologists have had a long interest in assessing 38 the implications of current drift for their particular species or ecosystems of 39 interest. Many decades ago, marine biologists used simple schematics of ocean 40 currents to assess ocean drift (Carr, 1967), thereby ignoring the variability in 41 currents and details such as eddies. As ocean current models and trajectories of 42 drifting buoys started to becomes available, there were efforts by biologists to 43 use these tools (e.g. Hays and Marsh, 1997). 44

45 Studies in the early 1990s were constrained by the limitations of the models and
46 the availability and extent of buoy trajectories. The last 20 years have seen

advances in both areas. Ocean models are now widely used by biologists (Cowen 47 et al., 2006; e.g. Hays et al., 2013; Kough et al., 2013; Naro-Maciel et al., 2014; 48 Paris et al., 2005; Simpson et al., 2013; Staaterman et al., 2012). However, these 49 ocean models still do not reproduce all the details and variability in actual ocean 50 currents and require a skills set to implement that is often outside the 51 experience of marine ecologists (Fossette et al., 2012). At the same time, the 52 freely available data-set of global Lagrangian drifter trajectories has grown 53 hugely (http://www.aoml.noaa.gov/phod/dac/index.php) and this is now a relatively 54 straightforward resource for biologists to use in its simplest form of plotting 55 individual trajectories (Monzon-Argueello et al., 2010). 56

Here I describe a freely available, quick and simple online tool for studying the 57 pathways and time scales of ocean surface drift based on empirical data. The tool 58 uses Lagrangian drifter data but goes well beyond plotting individual 59 trajectories. Rather, the tool splices together all the possible drift scenarios in 60 the Lagrangian drifter dataset so that the full extent of drift scenarios is 61 generated and quantified. This easy-to-implement approach promises to have 62 very wide utility (van Sebille et al., 2011; 2012a), although there are some 63 caveats (including the lack of control over exact depth habitat of the organisms 64 and the absence of mortality or settling/beaching, see also the Discussions and 65 Conclusion section) that might limit the merit of the tool for certain research 66 questions. 67

The website adrift.org.au is fronted by an easy-to-use interface (Figure 1) where researchers can study the movement (in a probabilistic sense) of passive particles from any point in the ocean, both forward and backward in time. While

adrift.org.au is framed in terms of marine plastics, the results are equally
representative for many planktonic species in the upper few meters of the ocean.
Output from the website can be downloaded in comma separated values (csv)
format for easy import into data analysis programs like Microsoft Excel, R,
python or Mathworks Matlab.

### 76 **2. The methodology behind adrift.org.au**

## 77 2.1 The surface drifter data

The numerical engine behind adrift.org.au is built on an updated version of the 78 methodology described in (van Sebille et al., 2012a), which is similar to that 79 presented in (Maximenko et al., 2012). In these studies, the trajectories of 80 surface drifters (Niiler, 2001), as aggregated in the NOAA Global Drifting Buoy 81 Program (Lumpkin, 2003; Lumpkin et al., 2012), were used to study the 82 formation and evolution of the marine garbage patches. In total, more than 24 83 million locations from 17,494 individual surface drifter trajectories and 84 spanning a time period between 1979 and 2013 are used on adrift.org.au. The 85 drifter geolocations are available every 6 hours, and more than 85% of the ocean 86 surface has had more than 100 location fixes per 1° x 1° degree grid cell during 87 that 34 year period (van Sebille et al., 2011). See Figure 2 for an example of the 88 density of drifter trajectories. 89

The buoys are deployed with a drogue centered at 15m depth, which is there to make the buoys less prone to windage effects and hence more closely follow the (upper-ocean) Ekman transport. However, many buoys lose their drogue at some point, affecting their paths (Grodsky et al., 2011; Poulain et al., 2009).

Within the data set used here, 48% of all data used is of buoys with a drogue and 94 52% is of buoys without a drogue, making the data representative for anything 95 that drifts in the upper 15m of the ocean. Maximenko et al. (2012) showed that 96 although the details of the pathways of drogued and undrogued buoys are 97 different, the evolution of the two types of buoys is similar on longer timescales 98 and larger spatial scales. Furthermore, it can be argued that neutrally buoyant 99 particles that constantly change depth within the mixed layer will have 100 pathways that are a mix of both the drogued and undrogued buoys. 101

### 102 2.2 The global transition matrix

As in Van Sebille et al. (2012a), the drifter trajectories are converted into a set of 103 six transition matrices (Dellnitz et al., 2009; Froyland et al., 2007) that 104 represent, for each surface grid cell on the ocean, the fractional distribution of 105 tracer two months later. More specifically (see also Figure 3), each individual 106 drifter trajectory is binned onto a 1° x 1° degree array of grid cells. For each 107 trajectory and then within each trajectory for each day t, the number of times a 108 buoy crosses from grid cell *i* at time *t* to grid cell *j* at time  $t + \Delta t$  is added to the 109 crossing matrix  $\mathbf{C}_{b}(i,j)$ . Here, we use  $\Delta t = 2$  months and a grid size of 1°, which 110 are the same values as used in Van Sebille et al. (2012a). The choice for a 1° x 1° 111 grid is an optimum between the size of the matrix (and hence computational 112 effort) and the ability to resolve fronts, as also shown in Van Sebille et al. (2011). 113 The choice for  $\Delta t = 2$  months ensures both a sufficient number of off-diagonal 114 115 crossings (which requires a  $\Delta t$  larger than 1 month) and a good representation of seasonality (which requires a  $\Delta t$  smaller than 3 months). The seasonal cycle in 116 the circulation is resolved by classifying the time of year each crossing occurred 117

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and then adding that crossing to one of six crossing matrices for each two-month period (Jan-Feb for  $C_1$ , Mar-Apr for  $C_2$ , ..., Nov-Dec for  $C_6$ ).

The crossing matrices  $C_b$  are converted to transition matrices  $P_b$  by row-120 normalizing them (i.e. making the sum of each row *i* equal to 1.0). The entries in 121 the rows of  $\mathbf{P}_b$  can be interpreted as a 2-dimensional probability distribution of a 122 virtual tracer two month after it is released from a grid cell. Ocean grid cells that 123 buoys have never reached or have never exited from are removed from the 124 transition matrix. The total number of ocean grid cells that remains in this way is 125 N = 33,654, and they are ordered from south to north. The transition matrix for 126 the months January and February ( $P_1$ , Figure 2b) is rather diagonal and sparse, 127 indicating that for each grid cell (each row in  $P_b$ ), there are only a limited 128 amount of grid cells within the vicinity that are reachable in two months. This 129 transition matrix also shows that dispersion is larger in the Southern Ocean 130 around 60°S than anywhere else, largely because of the large speeds and eddy 131 activity there. 132

The general idea of the tool is that each transition matrix calculates the 133 probabilities that a particle that is in one grid cell at time *t* has moved to any of 134 the grid cells by time t + 2 months. This calculation is then repeated through 135 time, so that for a particle at t + 2 months that has moved to a new grid cell, the 136 subsequent probability of moving to all other cells is estimated and so forth. 137 Numerically, the evolution of the probabilities v from any point in the ocean can 138 be computed by solving the iterative vector-matrix multiplication  $v_{t+2\text{months}} = v_t \times v_t$ 139  $\mathbf{P}_b$  where the bimonthly counter *b* is cycled through. 140

The initial condition on adrift.org.au is that  $v_{t=0} = 0$  everywhere except for the 141 entry *i* that represents the grid cell where the user clicked, which is  $v_{t=0}(i) = 1$ . 142 Releases in different two-month periods can be simulated by using a different 143 initial value of the counter b at t = 0. Because of the row-normalisation, the 144 virtual tracer is conserved throughout the iteration, meaning that the total 145 probability of the particles being somewhere in the ocean will always be 1. This 146 means that the tool doesn't account for mortality, beaching or other ways in 147 which a particle might leave the ocean. 148

### 149 2.3 Hybrid transition matrices for regional experiments

While the surface drifter data set is adequate for the study of tracer evolution in the open ocean, where the number of samples is generally large, it is not sufficient in some regional seas such as for instance the Indonesian Archipelago or the Strait of Gibraltar. In the 30 years since the global drifter program started, for example, there has never once been a surface buoy that has crossed the Indonesian Archipelago (Lumpkin et al., 2012).

Because of this lack of observational data in some regional areas, hybrid 156 transition matrices  $\mathbf{P}_b$  are created for the regional experiments only. These 157 hybrid transition matrices are formed from a combination of both the 158 observational drifting buoy trajectories and a set of virtual particles released 159 within a numerical ocean circulation model. The model is the eddy resolving 160 OFES model data set (Masumoto et al., 2004), with a horizontal resolution of 0.1° 161 and 54 vertical levels. This model, although lacking the sub-mesoscale structures 162 that do affect the drifting buoys, has been shown to accurately reproduce the 163 circulation in many areas of the global ocean (Masumoto et al., 2004; Sasaki et 164

al., 2008), as well as in specific regions such as around Australia (van Sebille et
al., 2012b). For the analysis here, the 2-dimensional horizontal velocity at 15 m
depth (the nominal depth of the surface drifters) from the model has been used.
A total of 455,236 virtual particles were released within the velocity fields in the
year 1980, on a 1° x ° grid and every 30 days. The locations of the particles were
saved every 6 hours, and the particles were advected for a total of 365 days,
using the Connectivity Modeling System version 1.1 (Paris et al., 2013).

The trajectories of the virtual particles were also converted into a crossing 172 matrix  $\mathbf{C}_{bmodel}$ . Before normalizing the crossing matrices, however, they were 173 entry-wise added together as  $C_b(i,j)_{hybrid} = C_b(i,j)_{model} + w * C_b(i,j)_{obs}$ , using a 174 weighing factor w of 10. This weighing factor ensures that, in the regional 175 transition matrices, the hybrid transition distribution is very similar to the 176 observed transition distribution for regions where sufficient surface drifters are 177 available, and that the model trajectories are only used in regions where 178 observed drifter coverage is inadequate. 179

### 180 **3. Using adrift.org.au**

Users of adrift.org.au are presented with a map of the global ocean. The mouse is used to select a point somewhere in the ocean that represents the start point of the particles. After a few seconds, an animated simulation is produced that shows how tracer from that location spreads through the ocean (Figure 1). Each frame in the animation represents an interval of 2 months, and the total animation runs for 10 years, a time ceiling currently dictated by limits on the computational resources. The simulation represents the evolution of a large number of free drifting, neutrally buoyant particles, with the color scale indicating the percentage of particles in each 1° x 1° grid cell at each time interval. As dispersion causes the density of particles to decrease rapidly in the ocean, the colour scale (bottom right) has a maximum value of 1% of the total amount of particles. The value in each grid cell can be viewed as a probability that a particle is found in that grid cell, a certain amount of time after being released.

The particles can be tracked both forward in time (the default) and backwards in time, by toggling the switch on the map. In the latter case, the animation shows the probability distribution of where particles at the selected location have come from. There is also an option on the map to zoom into specific regions. Currently, only the Mediterranean and the seas around Australia are available, but new regions will be added in the future.

201 After the animation has finished, users are able to download the simulation data in a comma separated values (csv) format as (year, month, latitude, longitude, 202 probability). These files can be loaded into other software and used to further 203 investigate the quantitative spreading of the particles (see also Section 4). Users 204 who want to have more precise control of where the particles are released can 205 alter the start location manually in the browser's address bar, using the format 206 adrift.org.au/map?lat=LAT&lng=LNG&startmon=MON, where LAT and LNG are numerical 207 values of latitude and longitude, respectively, and MON is the three-letter 208 abbreviation of the month. Note that, since the method works with bimonthly 209 increments, JAN is the same as FEB, APR is the same as MAR, etc. 210

## **4. An example: drift times across the North Atlantic**

As an example of how adrift.org.au can be used, the fastest-possible drift across 212 the North Atlantic from the US East Coast is examined. For this, the simulation at 213 adrift.org.au/map?lat=35&lng=-75&center=251.4&startmon=Jan has been downloaded in 214 csv format. Some simple manipulation of the csv file allows for the creation of a 215 map that for example shows the shortest transit times from Cape Hatteras to any 216 point in the Atlantic Ocean (Figure 4). On this map, which is coloured on a 217 logarithmic scale to highlight differences in timing in the first few months after 218 release, regions that are white cannot be reached within 10 years. 219

The cyclonic circulation of the subtropical gyre is very clear in the map, with 220 transit time scales of less than 2 years in the Gulf Stream extension and North 221 Atlantic Current, increasing to 2 to 4 years on the southern flank of the 222 subtropical gyre around 20°S. Fastest times to cross the North Atlantic and reach 223 Europe are in the order of 1.5 years, which agrees well with direct analysis of the 224 drifter trajectories (Brambilla and Talley, 2006) and numerical ocean modelling 225 studies (Baltazar-Soares et al., 2014). As also found in these studies, as well as in 226 another recent numerical ocean modelling study (Burkholder and Lozier, 2014), 227 there is very little mixing between the subtropical gyre and the subpolar gyre, 228 with a very sharp front between the North Atlantic Current and the subpolar 229 gyre to the northwest. This suggests, as was also shown in van Sebille et al. 230 (2011), that the effect of spurious cross-frontal diffusion in the transition matrix 231 approach is limited. 232

Note that the map in Figure 4 is rather noisy in some regions such as the Gulf of
Mexico and northeast of Iceland. This is related to very low probabilities to reach
these grid points from Cape Hatteras. If a more smoothed version of this figure is

required, grid cells with very low probability of reaching could be blanked out.
The analysis using adrift.org.au shows that it is possible to reach the Barents Sea,
but that from Cape Hatteras it takes at least three to four years to reach that
area.

An analysis such as this one can be very useful as a way for marine biologist to quickly get an idea of the dispersion range of a particular species, given the span of its planktonic life. Note that in this example any particle density greater than 0 was taken as significant. It is relatively straightforward to change the analysis to include a threshold probability; for example a one in a thousand chance of reaching a certain area.

### **5. Discussions and Conclusion**

Adrift.org.au is a free, easy to use tool that can help marine biologists and 247 ecologists quickly assess drift pathways in the global ocean. Although the 248 website started as a public outreach tool, the methodology behind the website is 249 scientifically robust. The narrative of the website is whimsical (featuring lots of 250 rubber ducks: a reference to the story of the container full of bath toys lost at sea 251 - Ebbesmeyer and Scigliano, 2009) and focuses on the problem of marine litter. 252 However, the tool can be used beyond the study of pathways of plastics. The drift 253 of many different substances, including planktonic marine organisms, can be 254 studied using the same statistical analysis of observational drifting buoy 255 trajectories. 256

The method is different from most other tracking tools available (e.g. Condie, 258 2005; Lett et al., 2008; Paris et al., 2013) in that - for the global version - it is

based entirely on empirical data. While this means that there are no errors 259 associated with numerical ocean models or the choices for parameters 260 associated with running virtual particles in these models (Putman and He, 2013; 261 Simons et al., 2013), the method does have some caveats that users should be 262 aware of. These include the fact that a mix both drogued and undrogued drifters 263 is used and that therefore the user doesn't have control of the exact depth 264 habitat of the organisms. It is hoped that, as the global drifter dataset increases 265 in size, coverage will within a few years be sufficient to construct transition 266 matrices of the drogued and undrogued drifters separately, so that users can 267 choose which depth scenario most suits their needs. 268

The method behind adrift.org.au is also different from most other tracking 269 methods in that pathways are inherently statistical, and that contrary to direct 270 integration methods users don't get individual trajectories to analyze. Finally, 271 the limits of the observed data set mean that the resolution of the global run is 272 only 1° x 1°, which is relatively coarse for organisms with short drift durations. 273 Nevertheless, it is hoped that adrift.org.au is a valuable addition to the landscape 274 of tracking tools and that the site will be used by scientists, both in their teaching 275 and their research, who are interested in how ocean currents shape ecosystems. 276

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## 418 Figures



Figure 1: Screenshot of adrift.org.au, showing the distribution of tracer released
from a grid cell close to Sydney (where the black tip of the yellow duck points)
after 10 years. Colors are in percentages of the initial release, and capped at 1%
to visually highlight regions with relatively low concentrations. The download
button in the top right corner allows for the retrieval of a csv file that contains
the data from the simulation.



Figure 2: A map of all surface drifter trajectories ever to have passed through
the area between Eastern Australia and New Zealand. Each drifter has a unique,
randomly assigned, color. The drifters are geolocated every six hours. Although
there are some regions with fairly low coverage (such as Bass Strait between
southeast Australia and Tasmania, overall coverage is higher than 100 fixes per
1° x ° grid cell. This is typical for most of the global ocean.



**Figure 3:** Depiction of the methodology to construct the transition matrix  $\mathbf{P}_{b}$ , 434 which is used to propagate the distribution of tracer forward in time. The  $P_b(i,j)$ 435 matrix is a row-normalized version of the crossing matrix  $C_b(i,j)$  which holds, for 436 each pair of cells *i* and *j*, the number of times a surface drifter has moved from *i* 437 to *j* in the two-month period *b*. a) Example how, for one drifter trajectory, two 438 points are taken 60 days apart. This is done for each day along the trajectory. b) 439 Depiction of the non-zero entries (in blue) of **P**<sub>1</sub>, the global transition matrix for 440 the months January and February. This matrix shows for a particular row (grid 441 cell *i*), which columns (grid cells *j*) can be reached within 2 months. The ordering 442 of the 33,654 grid cells is from south to north, and the ticks on the top and right 443 of the panel show where some latitudes are located. Because there are more 444 ocean grid cells in the Southern Hemisphere, this scale is non-linear. 445



Figure 4: Map of the minimum time it takes a particle released from Cape
Hatteras (black circle) and passively drifting on the ocean surface to reach other
areas in the North Atlantic. White areas cannot be reached in ten years,
according to this analysis based on the observed surface buoy trajectories. Note
that the color scale is logarithmic.