

A nuclear localisation signal in the herpesvirus protein VP1-2 is essential for infection via capsid routing to the nuclear pore.

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Running title: The role of the NLS in Herpes simplex virus VP1-2

## ABSTRACT

1  
2  
3 To initiate infection, herpesviruses must navigate to and transport their genomes  
4 across the nuclear pore. VP1-2 is a large structural protein of the virion, conserved  
5 in all herpesviridae and plays multiple essential roles in virus replication including in  
6 early entry. VP1-2 contains an N-terminal basic motif which functions as an efficient  
7 nuclear localisation signal (NLS). Here we construct a mutant HSV strain, K.VP1-  
8 2ΔNLS, which contains a short 7 residue deletion of the core NLS at position 475.  
9 This mutant fails to spread in normal cells, but can be propagated in complementing  
10 cell lines. Electron microscopy (EM) analysis of infection in non-complementing cells  
11 demonstrated capsid assembly, cytoplasmic envelopment and the formation of  
12 extracellular enveloped virions. Furthermore extracellular virions isolated from non-  
13 complementing cells had similar profiles and abundance of structural proteins.  
14 Virions containing VP1-2ΔNLS were able to enter and be transported within cells.  
15 However further progress of infection was prevented, with at least a 500-1000 fold  
16 reduction in the efficiency of initiating gene expression compared to the revertant.  
17 Ultrastructural and immunofluorescence analysis reveal that the K.VP1-2ΔNLS  
18 mutant was blocked at the microtubule organising centre or immediately upstream of  
19 nuclear pore docking and prior to gene expression. These results indicate that the  
20 VP1-2 NLS is not required for the known assembly functions of the protein but is a  
21 key requirement for early routing to the nuclear pore necessary for successful  
22 infection. Given its conservation we propose this motif may also be critical for entry  
23 of other classes of herpesviruses.

## INTRODUCTION

24  
25  
26  
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28  
29 The nuclear pore is the conduit for transport between the cytoplasm and nucleus and  
30 as such represents an obligatory pathway that must be navigated very early after  
31 infection by many classes of human viruses (9, 20, 21, 27, 36, 44, 50, 60, 62). For  
32 herpesviruses, capsid-tegument assemblies must be transported across the  
33 cytoplasm, targeted to and interact with pores, and undergo structural  
34 rearrangements promoting genome exit and transport across the pore where virus  
35 immediate-early gene transcription ensues (14). A prime candidate within the virus

1 encoded proteins for a possible role at this stage of infection is the large tegument  
2 protein VP1-2, the product of the UL36 gene in herpes simplex virus (HSV). This  
3 protein is conserved across the herpesvirus family and essential for virus replication  
4 (13, 15, 31, 34, 38). It is a complex, multifunctional protein playing crucial and  
5 distinct roles at various points in the virus life cycle including entry, capsid transport  
6 and virion assembly (7, 13, 15, 31, 34, 38, 52, 55). Consistent with a role at the  
7 earliest stages of infection, VP1-2 is among a subset of components classed as  
8 inner tegument proteins based on tight association with capsids during biochemical  
9 extraction and in vivo during entry by immunoelectron and confocal microscopy (19,  
10 30, 37, 40, 45, 51, 61). Evidence for a key role for VP1-2 early after infection  
11 originated from studies of the temperature sensitive mutant virus tsB7 where at the  
12 restrictive temperature, full capsids accumulated at the nuclear pore and virus gene  
13 expression was profoundly blocked (5, 31). The defect in tsB7 was mapped  
14 specifically to a single amino acid at residue 1453 in VP1-2 (1). Supporting evidence  
15 for a role in nuclear entry was obtained from studies of a full deletion mutant of the  
16 UL36 gene (52). While this mutant does not assemble virions, the authors examined  
17 a potential role for VP1-2 by chemically fusing initially infected cells with surrounding  
18 cells and measuring infection in these secondary nuclei. The UL36 deletion mutant  
19 was completely unable to infect such nuclei in the fused polykaryocytes while in  
20 parallel, a UL36+ve but UL37-ve virus was able to promote infection.

21 However as indicated above, VP1-2 also clearly plays a pivotal role later in  
22 infection in virion assembly. Thus an additional late defect was identified in strains  
23 carrying the ts VP1-2, resulting in defective cytoplasmic envelopment and a failure to  
24 produce infectious virus (1, 3, 4). Furthermore, analysis of VP1-2 deletion mutants  
25 have shown that while C-capsids assemble relatively normally in the nucleus,  
26 cytoplasmic envelopment and production of infectious virus is completely blocked  
27 (13, 15, 52), indicating a critical role in assembly after capsid formation. Current work  
28 indicates that, consistent with its definition as an inner tegument protein, that VP1-2  
29 is among the first proteins, if not the first, to be recruited onto assembled capsids  
30 and is essential for the further recruitment of additional tegument proteins and  
31 subsequent envelopment (15, 30, 32, 52). VP1-2 has also been reported to have  
32 additional functions, including capsid motility on microtubules, a role that could also  
33 be connected to assembly (37, 38, 51, 55, 61). The protein also encompasses a  
34 unique class of ubiquitin specific protease embedded within its extreme N-terminus



1 obtained a several fold increase in extracellular virus yields of any strain analysed,  
2 and therefore used these to produce w/t and mutant viruses. Routine  
3 characterisation of virus infection was performed exactly as described previously (6).

#### 5 **Construction of a virus mutant expressing VP1-2 $\Delta$ NLS.**

6 A mutant virus expressing VP1-2 lacking the core NLS was constructed in the  
7 background of HSV-1 strain KOS previously cloned in a bacterial artificial  
8 chromosome (16). The BACmid was grown in E. coli strain GS1783. The strategy  
9 involved recombinational insertion of a cassette for kanamycin resistance adjacent to  
10 the mutated sequence, selection for kanamycin resistance, followed by  
11 recombination excision and counter selection was carried out exactly as described  
12 previously (6). PCR was carried out with the primers below using pEPKan-S (57) as  
13 a template. Template DNA was digested by incubating the PCR reaction mix with 20  
14 U DpnI for 1 h at 37°C and the PCR product was purified using a QIAQuick Gel  
15 extraction kit (Qiagen) after separation on a 0.8% agarose gel.

#### 16 COL414: Forward primer for UL36 NLS deletion

17 CGTCCCGGTTGGTCGGCACCGGGCACGCTACTCGGCCGGCACCTGGACTCCG  
18 CCTTC CAGAGGATGACGACGATAAGTAGGG

#### 19 COL417: Reverse primer for UL36 NLS deletion

20 CCGAAGTCAGGTCTTCGACGCTGGAAGGCGGAGTCCAGGTGCCGGCCGAGTA  
21 GCGTG CCCCAACCAATTAACCAATTCTGATTAG

22 The PCR product (100 ng) was electroporated and bacteria selected for resistance  
23 to chloramphenicol and kanamycin. Resistant colonies were screened by restriction  
24 digest. Appropriate colonies were grown and I-SceI induced and the culture heat-  
25 shocked at 42°C for 30 min. Candidate colonies that had lost the kanamycin  
26 resistance gene were identified by replica plating and BAC DNA isolated and  
27 examined by restriction digestion. BAC DNA (100  $\mu$ g) together with 1  $\mu$ g pGS403  
28 encoding Cre recombinase, was then transfected into HS30 cells to reconstitute  
29 HSV-1 and excise the BAC backbone from the viral genome. Plaques were isolated  
30 and multiply plaque-purified in complementing RSC-HAUL36 cells. These cells were  
31 used for subsequent routine propagation since spontaneous revertants were not  
32 produced while in complementing HS30 cells, revertants were observed, (though still  
33 with a low frequency). The mutant virus was designated K.VP1-2 $\Delta$ NLS. The

1 presence of the deletion covering 7 residues of the VP1-2 NLS substitution was  
2 confirmed by sequencing the first 1353 nucleotides from purified DNA stocks of  
3 mutant or parental virus isolates. No other mutations were observed in this region  
4 and this fragment was sufficient to rescue K.VP1-2 $\Delta$ NLS by cotransfection with viral  
5 DNA and recombination. A revertant of K.VP1-2 $\Delta$ NLS was generated by co-  
6 transfecting DNA from the K.VP1-2 $\Delta$ NLS mutant virus together with the w/t UL36  
7 gene, followed by growth and plaque purification on non-complementing RSC cells.  
8 The revertant virus was designated K.VP1-2 $\Delta$ NLS.R. Restoration of the deleted  
9 residues was confirmed by sequencing DNA from the isolated revertant virus. For  
10 purification of extracellular virions by gradient centrifugation, RSC-HAUL36 cells  
11 were infected with K.VP1-2 $\Delta$ NLS or the revertant at a moi of 0.001 and the medium  
12 collected after complete cpe, clarified by centrifugation (2000 rpm 10 min) and virus  
13 pelleted from the supernatant by centrifugation at 19k for 90 min. The pellet was  
14 then resuspended in PBS (overnight at 4<sup>0</sup>C) and loaded onto 5-15% Ficoll gradients  
15 made in PBS and samples centrifuged at 25k rpm for 2 h. The lower band containing  
16 enveloped virions was extracted by needle puncture, concentrated (25k rpm for 60  
17 min) and the pellet then resuspended in PBS and frozen.

18

### 19 **Purification of viral DNA from K.VP1-2 $\Delta$ NLS.**

20 For purification of viral DNA, RSC-HAUL36 cells (10 x 175 cm<sup>2</sup> flasks) were infected  
21 (moi 0.001) and virus isolated from the medium after advanced cpe was observed.  
22 The media was first clarified by centrifugation (2k rpm for 20 min) and virus pelleted  
23 from the supernatant (19k rpm for 90 min). The pelleted virus was resuspended  
24 gently in 5 ml of buffer (10 mM Tris-Hcl [pH 7.6], 1 mM EDTA, 1% SDS), containing  
25 50  $\mu$ g/ml proteinase K and incubated at 50<sup>0</sup>C for 4 h and DNA extracted by  
26 successive rounds of phenol and phenol/chloroform extraction. The final aqueous  
27 phase was precipitated by addition of 0.1 volumes 3M sodium acetate [pH5.3] and 2  
28 volumes of EtOH, gentle mixing and incubation at -20<sup>0</sup>C for 30 min. Finally, the DNA  
29 was pelleted (3500 rpm for 30 min ) at 4<sup>0</sup>C and washed with 70% EtOH and air  
30 dried, then resuspended in 100-200  $\mu$ l of H<sub>2</sub>O. The DNA was stored at -80<sup>0</sup>C.

31

32

## 1 **Immunofluorescence studies.**

2 Immunofluorescence analysis was performed exactly as described previously (3)  
3 using the following antibodies: ICP4 (Virusys, 1:400); ICP8 (a kind gift from Roger  
4 Everett, 1:2000), pUL37 (a kind gift from Prashant Desai, 1:800); VP16 (LP1  
5 antibody, a kind gift from Tony Minson, 1:400); VP5 (East Coast Bio, 1:500);  
6 polyclonal VP1-2, ( $\alpha$ VP1-2NT1r, 1:250) (3); monoclonal VP1-2 (CB4, 1:2) and anti  
7 PCM1, (Santa Cruz Technologies, 1:50). PCM1 was used for detection of the region  
8 around the microtubule organising centre since it gave better staining under  
9 conditions for capsid detection than other markers tested, such as  $\gamma$ -tubulin. Samples  
10 were collected at times indicated, washed with PBS, fixed with methanol (5 min at -  
11 20<sup>o</sup>C) and blocked with PBSB (PBS containing 10% NCS), supplemented for  $\alpha$ VP1-  
12 2NT1r with 0.5 mg/ml human IgG (Sigma) for 1 h at room temperature. After  
13 processing images were collected using Zeiss x10, x40 LD or x100 (Plan-  
14 Apochromat, 1.4 numerical aperture) lenses. Images for each channel were captured  
15 sequentially with a Retiga 2000R camera using Image Pro plus software. Composite  
16 illustrations were prepared using Adobe software. Example images shown are  
17 representative of numerous images gathered for each virus and condition. For  
18 studies of the presence and subcellular distribution of HSV virions, infections were  
19 performed at a moi of 100. The cells were pre-chilled at 4<sup>o</sup>C prior to infection. The  
20 monolayers were washed once with cold media and the inoculum added for further  
21 incubation at 4<sup>o</sup>C for 1 h. The inoculum was then removed, pre-warmed media  
22 containing 2% NCS was added and the cells incubated for different lengths of time at  
23 37<sup>o</sup>C. The samples were then washed three times with cold PBS and fixed 3.7%  
24 PFA in PBS for 20 min at room temperature, and permeabilised with 0.1% TritonX-  
25 100 prior the incubation with anti-VP5, pUL37, VP1-2 (CB4) or anti-PCM1 antibody.  
26 Controls included parallel analysis of infected cells incubated at 4<sup>o</sup>C without shifting  
27 to 37<sup>o</sup>C. Images for capsid localisation were collected with a Zeiss LSM510 confocal  
28 microscope x40 or x63 Plan-Apochromat, 1.4 numerical aperture lenses. For studies  
29 of viral entry, so as not to be selective, images were collected throughout the cell in  
30 multiple Z-stacks, compiled and the total images shown. To demonstrate the capsid  
31 congregation around the microtubule organising centre (MTOC) e.g., Figure 7, single  
32 confocal slices were taken using a pinhole size of 2. Channels were captured  
33 sequentially using Axiovision acquisition software and composite illustrations

1 prepared using Adobe software. For quantitation of capsid localisation, a region of  
2 interest (ROI) comprising a 2  $\mu\text{m}$  diameter circle centred around the MTOC  
3 (identified by PCM1 staining) was defined for each cell in a field. In an unbiased way,  
4 i.e. including all cells with definable MTOCs, these ROIs were then applied to the  
5 green channel capsid (VP5 localisation), and the pixel intensity calculated for every  
6 ROI after background subtraction. This analysis was performed for approximately  
7 100 cells in several independent fields for the mutant or revertant virus. The results  
8 were plotted as the pixel intensity within each MTOC-associated ROI for each cell,  
9 for each virus.

10

### 11 **Electron microscopy studies.**

12 For analysis of assembly (Figure 5) RSC or Vero cells were infected with KOS w/t or  
13 K.VP1-2 $\Delta$ NLS at a moi of 5 and processed 16 h after infection. For early entry  
14 studies (Figure 8,9) cells were infected at a moi of 500. Cells were washed with ice-  
15 cold PBS and fixed for 30 min at room temperature in 0.5% glutaraldehyde in 200  
16 mM sodium cacodylate buffer, washed in buffer and processed into Epon and  
17 sectioned. Samples were viewed by using an FEI Tecnai G2 electron microscope  
18 (FEI, Eindhoven, The Netherlands) with a Soft Imaging System Megaview III charge-  
19 coupled device camera. Images were collected at 1,376  $\times$  1,032  $\times$  16 pixels by using  
20 analysis version Docu software (Olympus Soft Imaging Solutions, Münster,  
21 Germany). For staining of virus particles, suspensions of extracellular pelleted virus  
22 were absorbed onto 400 mesh formvar carbon coated glow discharged grids and  
23 negatively stained with 1% uranyl acetate.

24

### 25 **SDS-PAGE and Western blotting.**

26 Mock or infected cell monolayers were harvested in standard SDS sample buffer.  
27 Samples were lysed either using a sonicator waterbath or by needle shearing using  
28 a 25G needle and boiled for 5 min prior to electrophoresis. Equal cell samples were  
29 analysed on 3-8 % gradient Tris-acetate gels or 10% Tris-glycine gels and  
30 transferred to nitrocellulose membranes. Membranes were blocked in PBS  
31 containing 0.5x blocking solution (Licor Biosciences). Primary and secondary  
32 antibodies for immunodetection were diluted in PBST (PBS plus 0.1% Tween 20  
33 containing 5 % dried milk). Target proteins were visualized using DyLight-conjugated



1 secondary antibodies (Pierce 1/10000) and developed using Li-Cor Bioscience  
2 Odyssey Infrared Imaging System. Odyssey v3.0 software was used for  
3 quantification with linearity of measurement being confirmed using a standardisation  
4 bioassay with serial dilutions of sample inputs. Values of specific viral protein  
5 intensities were normalized against actin values. Primary antibodies included anti-  
6 ICP4 (Virusys, 1/1000); anti-ICP8 (1/2000); anti-VP5 (East Coast Bio, 1/3000), anti-  
7 HA (Invitrogen, 1/10,000), anti-pUL37 (a gift of P.Desai, 1/10,000), anti-pUL25 (a gift  
8 of V.Preston, 1/1000), LP1, anti-VP16 (1/4000, anti-VP1-2 (anti-NT1, 1/2000), anti-  
9 gB (1/10,000), anti-gD (a gift of G Cohen,1/10,000), anti-pUL6 (a gift from Arvind  
10 Patel, 1/1000) and monoclonal anti-actin (Sigma, 1/500).

11

## RESULTS

### **Growth characteristics of K.VP1-2 $\Delta$ NLS.**

We previously identified a short, highly conserved basic motif in VP1-2, embedded within an otherwise poorly conserved region at the N-terminus of the protein (Figure 1a). We demonstrated that this motif could function as an efficient nuclear localisation signal (2). Further complementation assays with a mutant VP1-2 lacking the NLS indicated that it was important for virus growth. However definitive elucidation of its role requires the analysis of a virus lacking the motif. Therefore we constructed a mutant HSV KOS strain containing a short deletion of the 7 amino acids which provided the core NLS function (see materials and methods). Anticipating that this determinant would be important for virus growth we isolated and plaque purified the mutant virus on complementing cell lines (either HS30 or RSC-HAUL36) containing the wild-type (w/t) gene for VP1-2. The mutant replicated on the complementing cells, purified virus stocks were obtained and the deletion confirmed by sequencing across the UL36 gene. This virus designated K.VP1-2 $\Delta$ NLS replicated only in complementing lines with plaque formation roughly comparable to the KOS w/t parental virus (Figure 1b,c). In striking contrast, in parallel assays in non-complementing Vero cells absolutely no plaque formation was observed and plaquing efficiency was decreased by at least 7 orders of magnitude compared to that on complementing cells (Figure 1b,c). Identical results were obtained when comparing plaque formation in complementing RSC-HAUL36 cells versus RSC cells (data not shown). Basically no plaques of any size were observed in Vero cells, RSC, Hep2 cells or any other cell type tested, though cpe was observed at high levels of virus inoculum. We next compared replication in multi-step growth curves in complementing versus non-complementing lines, assaying yield on complementing cells. Consistent with the lack of plaque formation, we observed a virtually complete absence of production of infectious virus (Figure 1d).

We also tested growth after high multiplicity infection in both Vero versus HS30 cells or RSC versus RSC-HAUL36 cells, with virus yields titred on the complementing cells. The results were similar in both situations and are shown in Figure 1e for Vero versus HS30. Growth of the KOS parental virus was approximately the same in both cell types. For K.VP1-2 $\Delta$ NLS, while replication

1 kinetics and yields were similar to the parental virus in HS30 cells, yields in the non-  
2 complementing Vero cells were substantially delayed and reduced by an order of 3-4  
3 logs (Figure 1e). Notwithstanding this reduction, it was clear that after the eclipse  
4 phase, infectious virus was produced from non-complementing cells after a high moi  
5 infection, that could infect and form plaques in complementing cells but not in non-  
6 complementing cells. Similar results were obtained in comparing RSC versus RSC-  
7 HAUL36 cells (data not shown).

8 To ensure that the profound phenotype of the mutant was due to the NLS  
9 deletion, we rescued K.VP1-2 $\Delta$ NLS with w/t UL36, isolating the revertant termed  
10 K.VP1-2 $\Delta$ NLS.R, and confirming restoration of the basic 7 amino acids by  
11 sequencing of purified viral DNA. The revertant could now propagate and plaque on  
12 any non-complementing cell type. A comparison of growth on non-complementing  
13 RSC cells demonstrating complete restoration of plaque formation is shown in  
14 (Figure S1a,b), with plaquing efficiencies now identical on non-complementing  
15 versus complementing cells. Plaque size for the revertant K.VP1-2 $\Delta$ NLS.R was also  
16 comparable with that for the parental KOS w/t (Figure S1c). Protein expression,  
17 exemplified by ICP4 and ICP8 were restored in the revertant demonstrating  
18 progressive accumulation after low moi multi-step replication, unlike the virtual  
19 complete block for the mutant (Figure S1d). Taken together, the observation of  
20 complementation in cells containing UL36 and the reversion of phenotype by  
21 restoration of the basic motif, confirms that this 7 amino acid deletion of the NLS is  
22 the basis of the profound defect in replication and spread.

23 K.VP1-2 $\Delta$ NLS, when amplified on complementing cells (which for ease of  
24 future reference we term K.VP1-2 $\Delta$ NLS<sup>C</sup>), clearly entered complementing cells and  
25 spread. We wished therefore to examine whether, although it could not form plaques  
26 at all, K.VP1-2 $\Delta$ NLS<sup>C</sup> could enter non-complementing cell types, with the defect in  
27 plaque formation being in some later aspect of propagation. To examine this we  
28 used serial dilutions in Vero cells similar to those in the plaque assays (Figure 1), but  
29 assaying by immunofluorescence to detect virus protein expression at the cell level.  
30 We observed that after 2-3 days when normal plaque formation for KOS w/t had  
31 spread and contained hundreds of infected cells, single antigen positive cells were  
32 observed for K.VP1-2 $\Delta$ NLS<sup>C</sup> (Figure 2a, arrows) but these cells showed no  
33 progression of infection to surrounding cells. Identical results showing bright antigen

1 positive single cells were obtained for a number of virus proteins, demonstrating  
2 firstly their expression and at the same time, lack of virus spread. Identical results,  
3 showing initial single infections and no spread, were obtained on a number of  
4 standard cell types including RPE, HFF and HaCaT (data not shown).

5 Considering the known recruitment of VP1-2 to virions, the reasonable  
6 interpretation of these results is that when K.VP1-2 $\Delta$ NLS<sup>C</sup> is propagated in  
7 complementing cells, the w/t VP1-2 from the cell line is recruited into virions which  
8 can then infect non-complementing cells, but in which further progression is  
9 profoundly blocked at one or more stages (see also below). To confirm the  
10 recruitment of w/t VP1-2, we analysed the expression of the w/t VP1-2 in the  
11 complementing cells, in this case in RSC-HAUL36 cells, during infection with K.VP1-  
12 2 $\Delta$ NLS<sup>C</sup>. This is made possible since the w/t VP1-2 in this line contains an HA-  
13 epitope tag enabling selective detection (52). The results show that while mock-  
14 infected RSC-HAUL36 cells expressed no detectable VP1-2.HA, infection with  
15 K.VP1-2 $\Delta$ NLS<sup>C</sup> induced the endogenous protein resulting in detectable levels from  
16 12 h onwards (Figure 2b). We further analysed gradient purified extracellular virus  
17 particles of K.VP1-2 $\Delta$ NLS<sup>C</sup> (see below), demonstrating the presence of the HA-  
18 tagged w/t VP1-2 in the virions (Figure 2b, lane 10,11). These data confirm that, as  
19 expected, complementation is due to the incorporation of the w/t VP1-2 during  
20 propagation of K.VP1-2 $\Delta$ NLS<sup>C</sup>. It is possible that in complementing cells, the mutant  
21 VP1-2 is also recruited to virions in addition to the w/t protein but we cannot  
22 discriminate between the two proteins. The  $\Delta$ NLS propagates in complementing  
23 cells while there is no spread at all in non-complementing cells. In the following  
24 series of experiments we addressed the key questions of whether, in non-  
25 complementing cells, particles were assembled and whether they contained the  
26 mutant VP1-2, (which in non-complementing cells could only be mutant VP1-2) and if  
27 these events occur, what was the nature of the profound block to virus spread.

### 28 29 **Analysis of protein expression in K.VP1-2 $\Delta$ NLS infected cells.**

30 To that end we first examined various parameters of infection in non-complementing  
31 cells comparing K.VP1-2 $\Delta$ NLS<sup>C</sup> with the revertant K.VP1-2 $\Delta$ NLS.R, during high moi  
32 infection (based upon titres obtained in complementing cells). First examining virus  
33 protein synthesis at 2-4 h intervals for 24 h, we observed virtually normal kinetics

1 and accumulation for representative immediate-early, early and late virus proteins,  
2 including in this latter class those proteins which have been shown to interact with  
3 VP1-2 (Figure 3a). No major differences were observed for a number of additional  
4 representative proteins including VP5, pUL37, pUL25 and the glycoprotein gD. For  
5 VP1-2 itself we noted a modest decrease in levels compared to infection with the  
6 revertant, though this would not be likely of itself to explain the profound phenotype  
7 in replication (see also below). We noted also in more refined analysis of the early  
8 part of infection, sampling every hour to 12 h, that there appeared to be a slight  
9 delay in accumulation of ICP4, though again this did not appear to have a significant  
10 effect on e.g., accumulation of ICP8 (Figure 3b) or other late proteins as indicated  
11 above.

12

### 13 **Normal localisation of candidate virus proteins.**

14 We also analysed localisation of candidate proteins after infection in non-  
15 complementing cells by immunofluorescence. Typical results obtained at 16 h post  
16 infection are shown in Figure 4. Generally expression and localisation of proteins  
17 including VP5, VP16, and pUL37 could not be differentiated between K.VP1-2 $\Delta$ NLS<sup>C</sup>  
18 and the revertant virus (Figure 4a). VP5 and VP16 were observed in nuclear  
19 patterns with accumulation in replication compartments with additional cytoplasmic  
20 VP16, as previously reported. pUL37 was also observed in a cytoplasmic pattern  
21 that could not be differentiated between mutant and revertant. For VP1-2 itself, we  
22 have previously reported on localisation in infected Vero cells showing that although  
23 the protein contains an NLS, the majority was found in the cytoplasm, though with a  
24 discernible minor population in the nucleus (2). Interestingly in this current work in  
25 RSC cells, we found little evidence for a population of nuclear VP1-2, detecting  
26 mainly a cytoplasmic pattern, frequently in a perinuclear or aggregated pattern,  
27 though the comparatively rapid rounding up of infected RSC cells made localisation  
28 studies more difficult (Figure 4b). Nevertheless we found little difference in  
29 localisation of VP1-2 comparing infection with the mutant and revertant viruses. To  
30 further examine whether we could detect any difference in VP1-2 we also examined  
31 localisation in infected Vero cells (Figure 4b). In this case, again while the majority  
32 of the protein was in the cytoplasm frequently in large perinuclear punctae, we could  
33 now detect a population of nuclear VP1-2 as previously reported. Indeed a

1 discernible difference was now observed, with K.VP1-2 $\Delta$ NLS exhibiting little  
2 detectable nuclear VP1-2. This result serves to illustrate a potential phenotype of  
3 the NLS deletion on de novo synthesised VP1-2, at least where nuclear VP1-2 can  
4 be detected. However such a difference was not readily discernible in RSC cells  
5 neither in other cell types analysed such as HaCaT (data not shown), where clearly  
6 the w/t virus could replicate normally but without significant levels of nuclear VP1-2.  
7 We do not know the reason for the cell type difference in VP1-2 location but possible  
8 explanations include differences in VP1-2 cleavage or non-specific breakdown in  
9 different cells. A comprehensive analysis of the localisation of all virus encoded  
10 proteins, ultimately aimed at addressing virion formation in K.VP1-2 $\Delta$ NLS is beyond  
11 the scope of this work and tractability. However within the limits for these types of  
12 analyses, the results indicate normal expression levels and localisation for a series  
13 of representative proteins. Moreover such a comprehensive analysis is rendered  
14 unnecessary in the light of additional results below.

15

#### 16 **Virion assembly during infection by K.VP1-2 $\Delta$ NLS.**

17 Based on the results above, the profound defect in K.VP1-2 $\Delta$ NLS<sup>C</sup> when infecting  
18 non-complementing cells is clearly at a stage after initial infection. Considering the  
19 observations of normal protein synthesis, at least of all candidates examined  
20 including late proteins, the next key question was whether capsids and virions would  
21 be assembled at all during infection with the mutant, with the defect being at some  
22 stage downstream of this, or whether the deletion of the motif in VP1-2 prevented  
23 some late stage of assembly itself. To address this, we first performed electron  
24 microscopy (EM) analyses of non-complementing cells infected by the mutant in  
25 comparison to the w/t virus. The results (Figure 5) generally indicated little  
26 discernible difference between the mutant and w/t virus. Importantly formation of full  
27 capsids was observed in the nucleus of cells infected with K.VP1-2 $\Delta$ NLS (Figure 5a,  
28 arrows) and the w/t virus (Figure 5d, arrows). Cytosolic capsids were also observed  
29 either as free capsids but also in membrane wrapping intermediates, examples of  
30 which are shown (Figure 5b,e, arrows). Finally, enveloped particles were also  
31 observed at the cell surface for both K.VP1-2 $\Delta$ NLS and the w/t virus (Figure 5c,f).  
32 Additional examples showing readily detectable cell surface or extracellular  
33 enveloped virions for the K.VP1-2 $\Delta$ NLS mutant are shown in panels g,h. Over the

1 course of this work, we could detect no significant difference in capsid or enveloped  
2 particle formation in the nucleus and cytoplasm between the mutant and w/t virus  
3 (see additional data below). Clearly these data indicate that the profound defect in  
4  $\Delta$ NLS propagation is not due to a defect in the known requirement for VP1-2 for  
5 virion assembly per se. They also demonstrate that the deletion did not affect the  
6 global folding and functioning of the protein, since VP1-2 is known to be essential for  
7 assembly and for multiple interactions necessary for this complex activity. Given that  
8 we could now observe virion formation and extracellular virus after infection by  
9 K.VP1-2 $\Delta$ NLS<sup>C</sup> in non-complementing (i.e., UL36 -ve) cells, we termed such virions  
10 K.VP1-2 $\Delta$ NLS<sup>NC</sup>.

11

### 12 **Extracellular virion formation by K.VP1-2 $\Delta$ NLS.**

13 From the observations of on the one hand, the profound defect in spread seen after  
14 low multiplicity infection, while on the other hand the very similar levels of protein  
15 expression and the formation of enveloped virions, the implication of our studies was  
16 that K.VP1-2 $\Delta$ NLS<sup>NC</sup> extracellular virions were formed but would be blocked at some  
17 stage of subsequent infection in secondary cells. We next performed a more  
18 quantitative analysis of virion production and infectivity. Since multi-round virion  
19 production was impossible, we performed high moi infections in non-complementing  
20 cells (in this case HaCaT human keratinocytes, which from comparative analysis  
21 generally produced increased yields of all HSV-1 strains). Cells were infected with  
22 the mutant or revertant virus at a moi of 1 (based in titres in complementing cells)  
23 and the media harvested at 16 h post infection. The media was clarified and  
24 extracellular virus then pelleted and analysed firstly by SDS gel electrophoresis and  
25 total protein staining. The results showed similar levels of extracellular virion  
26 production. When normalised for levels of major capsid protein by minor adjustment  
27 little significant difference in qualitative or quantitative terms could be observed  
28 between the virion protein profiles (Figure 6a). In particular there was no difference  
29 in the relative abundance of VP1-2 itself, e.g., compared to major capsid protein  
30 VP5. Further analysis by western blotting of a number of structural proteins  
31 including VP5, VP1-2, pUL37, VP16, pUL25, gB and pUL6 also showed little  
32 difference between the mutant and revertant particles (Figure 6b). Without a  
33 complete comprehensive analysis of all virus (and host) proteins, and their potential

1 modifications, it is formally impossible to state that there were no qualitative  
2 differences in the protein profiles of the mutant and revertant particles (with the  
3 implication that the deletion of NLS of VP1-2 was responsible for any proposed  
4 differences). However within the limitations of SDS-PAGE and Western blot analysis  
5 of extracellular particles, we could not detect significant differences between the  
6 mutant and revertant protein profiles of extracellular virions. We further analysed  
7 these extracellular particles by negative staining observing at least as many particles  
8 from the K.VP1-2 $\Delta$ NLS infected medium. While inherent in such analysis, stain  
9 penetration is not homogeneous and a range of particles are observed, no significant  
10 differences were detected between the mutant and revertant. Sample images  
11 showing stained particles for the mutant and revertant samples are shown in Figure  
12 6c. Taking altogether with the thin section EM analysis and expression data, these  
13 data demonstrate little defect in virion assembly and release of K.VP1-2 $\Delta$ NLS.

14 In contrast, there was a very considerable difference in infectivity.  
15 Normalising for major capsid protein in the extracellular virus preparations, infectious  
16 titres of the mutant virus, (analysed by titration in complementing HS30 cells), was of  
17 the order of 1000-fold lower than the revertant (Figure 6d). This result is consistent  
18 with the initial analytical scale analysis (Figure 1), where despite a complete block in  
19 spread after single cell infection, some infectious virus was produced after high moi  
20 infection but at approximately 1000-fold lower efficiency. The implications of the  
21 current EM and extracellular virus analyses, are that this decrease is not due to a  
22 defect in the production of virus particles, but rather that such particles are produced  
23 and are profoundly reduced in their ability to initiate infection in a new cell.

24

### 25 **Block to infection in K.VP1-2 $\Delta$ NLS<sup>NC</sup>.**

26 To characterise the block to infection further we next examined the mutant virus now  
27 made in non-complementing cells, i.e., K.VP1-2 $\Delta$ NLS<sup>NC</sup>, in low multiplicity infections  
28 in non-complementing cells, identifying successfully infected cells by  
29 immunofluorescence similar to that shown in Figure 2. The implication of our results  
30 in the previous section would be that with standardised amounts of infecting  
31 particles, the numbers of cells initiating infection would be considerably reduced in  
32 the mutant compared to the w/t virus. We infected Vero or HS30 cells with a series of  
33 dilutions of the extracellular virus preparations, standardised on major capsid protein



1 (which we generally found more consistent than particle counts) and designed to  
2 give 1/1000, 1/100 to 1/3 cells infected (based on titres of the revertant virus) and  
3 enumerated antigen positive cells in multiple fields for the revertant and NLS mutant.  
4 Typical fields shown are Vero cells with the highest amount of input virus which  
5 emphasises the difference in infectivity between the revertant and mutant (Figure  
6 6e). At this input, evaluating 1392 cells in several fields, 398 were positive for ICP4  
7 protein synthesis for the revertant virus. An approximately 2-fold higher rate of  
8 infection was observed in HS30 cells (Figure 6f). In striking contrast for the mutant  
9 evaluating 1685 cells no positive cells were detected in Vero cells and of 1442 HS30  
10 cells, 8 were positive for ICP4 (Figure 6f).

11 We also examined infection at nominally higher multiplicities and examined  
12 protein synthesis by Western blotting (Figure 6g). We infected cells with virus  
13 purified from extracellular medium, at an input of 5 pfu/cell (based on titres of the  
14 revertant) or equal input inoculum for the mutant again standardised on VP5 capsid  
15 protein levels. The results demonstrated that while we could readily detect e.g. ICP8  
16 or VP5 protein synthesis for the revertant, we detected little protein synthesis for the  
17 VP1-2.ΔNLS mutant, with only minor amounts of these proteins observed very late  
18 after infection (Figure 6g). Altogether these data demonstrate a profound block to  
19 infection by K.VP1-2ΔNLS<sup>NC</sup> particles.

20 There could be several explanations for the block in K.VP1-2ΔNLS<sup>NC</sup> infection  
21 including virus entry to the cells or some block in virus gene expression per se.  
22 Such explanations would invoke a critical role of the NLS of VP1-2 in assembly of a  
23 particle that would fuse normally (which while formally possible seemed less likely to  
24 us) or a role of the NLS in immediate early expression per se, which also seemed  
25 less likely. Given the strong evidence from previous analysis for a role of VP1-2 in  
26 transport to and docking with the nuclear pore (1, 4, 31, 52), it seemed reasonable to  
27 propose that disruption in some aspect of the early intracellular pathway may  
28 underpin the defect in K.VP1-2ΔNLS<sup>NC</sup>.

29 To that end we next examined localisation of infecting capsids in cells infected  
30 with K.VP1-2ΔNLS<sup>NC</sup> or the revertant by immunofluorescence (Figure 7) and by  
31 electron microscopy (Figure 8,9). We wished to address the questions of whether  
32 K.VP1-2ΔNLS<sup>NC</sup> particles entered cells, and if so where the apparent block to  
33 infection may be. For these localisation studies, multiple images were collected in

1 the Z-dimension and images compiled to give an accurate representation throughout  
2 the cell. Cells were infected as before with extracellular virus, in this case at a moi of  
3 100 for the revertant or with a standardised input of K.VP1-2 $\Delta$ NLS<sup>NC</sup>. After infection  
4 at +4<sup>0</sup>C the cells were washed, warmed to 37<sup>0</sup>C and incubated for 2 or 4 h. At these  
5 times the cultures were fixed, permeabilised and stained with anti-VP5 antibody. In  
6 parallel control infections, cells were maintained at +4<sup>0</sup>C to prevent virus entry. This  
7 analysis showed a distinct difference in the behaviour of the mutant and revertant  
8 particles.

9 For the revertant virus, after shifting to 37<sup>0</sup>C particle staining at 2 h was  
10 distinct from that seen at +4<sup>0</sup>C, with numerous cytoplasmic particles reflecting  
11 particle internalisation (Figure 7a, panels I,II). By 4h virtually all the cells also now  
12 exhibited homogeneous nuclear staining reflecting successful infection, gene  
13 expression and de novo synthesis of VP5 (Figure 7a, panel III,IV), the latter being  
14 absent when analysis was performed in the presence of cycloheximide (data not  
15 shown). Considering that virtually all the cells were successfully infected by the  
16 revertant and synthesising VP5 (Figure 7a, panel IV), it was surprising that we could  
17 not see abundant nucleocapsid aggregation around the nuclear rim, though  
18 individual particle association could be observed. Nevertheless a clear difference  
19 was observed with the  $\Delta$ NLS mutant, though not what we had originally anticipated.  
20 For the mutant virus, the pattern was clearly different at 37<sup>0</sup>C compared to +4<sup>0</sup>C,  
21 reflecting capsid internalisation (Figure 7a, panels V,VI). However by 2 h the pattern  
22 for the mutant could now be distinguished from the revertant and this became even  
23 more pronounced at 4 h. Thus the  $\Delta$ NLS mutant was seen congregating in distinct  
24 accumulations in a single perinuclear region, and though capsids were clearly  
25 internalised (see also EM analysis below), none of the cells exhibited the diffuse  
26 nuclear VP5 indicative of de novo synthesis. This pronounced difference in  
27 behaviour between the revertant and mutant virus is clear in panels IV versus VIII  
28 which omits the nuclear counterstain. The perinuclear accumulation of the mutant  
29 was observed in many cells (Figure 7, panel VIII, indicated by arrows) and was  
30 reminiscent of congregation around the microtubule organising centre (MTOC). That  
31 the distinct localisation of the  $\Delta$ NLS capsids represented congregation around the  
32 MTOC is confirmed in Figure 7b (panel I, see also Figure S2) showing a confocal  
33 section, this time co-stained with anti-PCM1, one of a class of centriolar specific

1 proteins which associates with cytoplasmic granules and concentrates around the  
2 MTOC and centriolar matrix (12). The extent of congregation was quantified for  
3 revertant and mutant capsids. Defined and unbiased areas of interest (2  $\mu$ m  
4 diameter circles) were applied around PCM1 foci, and the accumulated density of  
5 pixels in the green VP5 channel in the same areas then calculated. We evaluated  
6 multiple fields and approximately 130 cells for each virus (Figure 7c, each vertical  
7 bar represents a cell). While a congregation pattern could be observed in some cells  
8 for the revertant virus, the distinction in behaviour in MTOC association between the  
9 two viruses was very clear. We found that a pixel density of approximately 2000 by  
10 quantitative analysis corresponded to the classification as a cluster by visual  
11 inspection. Using this value as a threshold to aid comparison, approximately 10% of  
12 the cells showed MTOC clustering for the revertant as compared to 75% for the  
13 mutant, though this represents a conservative estimate of the difference in behaviour  
14 which was highly significant ( $p < 0.0001$ ).

15 The simplest explanation for our results is that the block in VP1-2 $\Delta$ NLS capsid  
16 transport to the nucleus could be due to a requirement for the VP1-2 NLS for capsid  
17 interactions with cellular machinery. However, formally it could be that the  
18 requirement for the NLS reflected its involvement in retaining VP1-2 on the capsid  
19 during entry, in order for it to carry out some other function. Considering that the NLS  
20 was not required for VP1-2 recruitment and virus assembly this seems less likely in  
21 our view. However such a scenario has been proposed for a C-terminal determinant  
22 of VP1-2 (53). We therefore examined VP1-2 presence during infection by K.VP1-  
23 2 $\Delta$ NLS in confocal z sections stained with combinations of antibodies. Since our anti-  
24 VP5 and anti-VP1-2 combination was not suitable we stained with either anti-VP5  
25 and pUL37 or anti-VP1-2 and pUL37 combinations. The results showed the almost  
26 quantitative association of pUL37 with VP5+ve capsids and the same association for  
27 VP1-2 with pUL37+ve capsids (Figure 7b, panels II and III). These data indicate that  
28 VP1-2 remains on incoming capsids during infection with K.VP1-2 $\Delta$ NLS and that the  
29 requirement for the NLS more likely reflects a direct involvement in capsid targeting.

30 To pursue the defect in K.VP1-2 $\Delta$ NLS capsid entry, we performed a similar  
31 analysis using electron microscopy of thin sections (70 nm) after high moi infection of  
32 the mutant and revertant. For both the revertant and mutant, we readily observed  
33 internalised capsids, free of membranes in the cytosol of infected cells (Figure 8, 9).

1 However, consistent with the immunofluorescence analysis, a key qualitative  
2 difference was observed between the two, quantified in Figure 9c. The perimeter of  
3 nuclear envelope (NE) of 100 cells was scanned and scored for capsids in close  
4 proximity to the NE (within approximately 100 nm) and also classed as empty or  
5 DNA-containing capsids. For the revertant virus we could detect such capsids,  
6 typical examples of which are shown in Figure 8 with approximately 85% of these  
7 being empty and the majority adjacent to pore structures (Figure 9c). In contrast, for  
8 K.VP1-2  $\Delta$ NLS, capsids in close proximity to the NE were rare and what few were  
9 observed retained DNA and were not associated with pores (Figure 9c). Instead we  
10 observed capsids, frequently in close proximity to the centrioles within the  
11 microtubule organising centre, not wrapped in membranes and all containing DNA.  
12 Figure 9 a,b shows an example field with multiple full capsids (small arrowheads) in  
13 close proximity to the centriole (large single arrowhead). Taken together these data  
14 answer several questions. Firstly they show that  $\Delta$ NLS virions, which exhibit a  
15 profound block in infection, were able to enter cells. Moreover, although at the EM  
16 level it is difficult to be categorical, nevertheless we could not identify  $\Delta$ NLS empty  
17 capsids in proximity to the nuclear pore. Altogether, with the distinct phenotype and  
18 more quantitative analysis of capsid localisation by immunofluorescence and the  
19 clear failure to initiate gene expression, the most reasonable explanation for our data  
20 is that the  $\Delta$ NLS mutant exhibits a major block in forward routing from the MTOC  
21 and/or docking with the nuclear pore, resulting in a profound block to the normal  
22 progression of infection. These data provide evidence that the short NLS at position  
23 475 in VP1-2, which exhibits strong positional and sequence conservation in the  
24 homologues in all herpesviruses (2), is critical for virus spread and further that while  
25 dispensable for virion assembly per se, is key to normal entry early in infection via  
26 routing to the nuclear pore.

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## DISCUSSION

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Herpesvirus capsids must navigate the cytosolic environment of the cells which they infect, dock and engage with nuclear pores, and deposit their genomes across the pore for subsequent gene expression. Any mechanistic understanding of these early pathways requires the identification and dissection of the virus and host proteins involved. However most of the potential virus candidates for an important

1 role in early entry are essential proteins required for virus assembly. Thus their  
2 complete deletion results in failure to assemble a particle and prevents any further  
3 detailed analysis of a specific role in entry and the mechanism involved. VP1-2 is  
4 conserved in all herpesviruses and is absolutely essential for virus assembly (13, 15,  
5 34, 52). However strong evidence for an additional role of VP1-2 in entry was  
6 provided from previous data on the retention of a subset of tegument proteins  
7 including VP1-2 on infecting capsids (19, 23, 30, 37, 39, 40, 45, 51, 56, 61), on the  
8 failure of VP1-2-ve capsids to infect nuclei in polykaryocytes (52), and on a ts defect  
9 in entry mapping to VP1-2 (1, 5, 31). Nevertheless any mechanistic understanding  
10 of the relevant pathways and the role of VP1-2 requires identification of variants  
11 wherein virion assembly and secondary infection still occur, yet virus propagation is  
12 defective. Here we construct and characterise a variant in which infection and virus  
13 assembly proceeds but virus propagation and cell to cell spread is profoundly  
14 blocked. A summary of the features of this virus mutant is illustrated schematically in  
15 Figure 10. Taken together our data provide strong support for the proposal that the  
16 basic motif of VP1-2 functions as an NLS to promote successful transport to and/or  
17 interaction with nuclear pore complexes and that this is a critical requirement for  
18 successful infection.

19         There could be several not mutually exclusive explanations to account for our  
20 observations since distinct events in transport, docking or subsequent to nuclear  
21 pore engagement can all be involved in early nuclear entry (60). While VP1-2 has  
22 been shown to be required for intracellular microtubule-mediated capsid transport  
23 (38, 55, 61), our results indicate that a defect in the initial interaction and transport of  
24 the VP1-2 $\Delta$ NLS mutant capsid, at least from the periphery of the cell, would not be  
25 the most likely explanation for the block in infection. Although defects in early  
26 transport mechanisms, e.g., in peripheral interactions or in the kinetic efficiency of  
27 transport to the interior of the cell, are less likely explanations and not supported by  
28 current data, they will be addressed formally in future work when a fluorescent  
29 derivative of the VP1-2 $\Delta$ NLS mutant can be constructed.

30         It could have been that capsids were transported to the pore without a  
31 requirement for the VP1-2 NLS (but with a requirement for other specific  
32 capsid/tegument determinants), with the NLS being involved in promoting tight  
33 engagement with the pore or a key event subsequent to pore interaction per se. For

1 example, in adenovirus, capsid binding to nuclear pores has been reported to be  
2 independent of importin and presumably of any NLS motifs in capsid proteins, and  
3 mediated instead by direct capsid binding to pore components including Nup214  
4 (58). However our data support a role for VP1-2 NLS interactions specifically in  
5 routing to the pore, though there could be several not mutually exclusive  
6 explanations regarding the detailed processes involved. For example while not  
7 required for peripheral transport, it could be that the NLS is required for promoting  
8 subsequent transport of capsids directly, i.e. with the NLS determinant directly  
9 interacting with the cytoskeleton associated transport machinery, or indirectly by  
10 binding some type of NLS receptor, e.g., an importin and by virtue of this interaction,  
11 promoting transport to the pore. It could also be that the NLS is involved in capsid  
12 detachment from microtubules after retrograde transport and indeed it is possible  
13 that these events are coupled (see below).

14 It has previously been reported that HSV virions stripped of their envelope by  
15 detergent extraction, could bind to nuclear pores in vitro (46). Such in vitro binding  
16 could be inhibited to some degree either by a general broad antibody to multiple  
17 nucleoporins or by antibody to importin  $\beta$  and importin  $\beta$  was reported to be sufficient  
18 to promote capsid binding to isolated nuclei (46). No specific virus receptors were  
19 identified for such binding, but mild trypsin treatment of the capsids, removing  
20 several tegument proteins including VP13/14, VP22, VP16, and VP1-2 was reported  
21 to inhibit capsid-nuclear interaction. Also antibody to VP1-2 itself, when scrape  
22 loaded into cells resulted in a reduction in capsid accumulation at the nuclear pore  
23 (11). It is tempting to speculate that the pronounced requirement for the NLS of  
24 VP1-2 we now demonstrate could reflect an involvement of importin  $\beta$ . However it  
25 was also reported that importin  $\alpha$ , which directly interacts with NLS motifs (17, 18)  
26 did not support capsid binding, and that an NLS-peptide conjugate could not  
27 compete for capsid-pore docking. This would imply that the VP1-2 NLS did not bind  
28 importin  $\alpha$  but rather had specificity for importin  $\beta$ . Moreover, antibody to  
29 nucleoporins (46) also inhibited capsid binding in vitro and siRNA knockdown of  
30 nucleoporins reduced capsid accumulation at the nucleus in vivo (11). These effects  
31 though modest indicate that other interactions may be involved in capsid-pore  
32 interactions (see below).

1           The distinct congregation of the  $\Delta$ NLS mutant capsids around the MTOC and  
2 our failure to detect any empty capsids around the pore indicate that the NLS  
3 somehow promotes the forward routing of capsids from the MTOC and/or the  
4 detachment from the MTOC or surrounding region. The most straightforward  
5 explanation is that, without any necessary spatial restriction, the VP1-2NLS interacts  
6 with a cellular component as it undergoes retrograde transport to the interior of the  
7 cell retaining the component on the virus capsid. Some downstream event, possibly  
8 but not necessarily involving the NLS, then promotes release or alteration of the  
9 capsid and transport towards the pore dependent upon VP1-2 and its NLS  
10 associated component(s). This explanation would not rule out additional  
11 downstream roles for the NLS once docked at the pore. The congregation of the  
12  $\Delta$ NLS mutant around the MTOC would then be a result of the inefficient directed  
13 transport towards the pore and/or an actual retention of the  $\Delta$ NLS capsids within and  
14 around the MTOC region.

15           It is also possible that the NLS functions or interacts with its potential receptor  
16 in a spatially restricted way once at the MTOC, a region known to have particular  
17 structure and a matrix like organisation (12, 26, 33). One explanation for this could a  
18 regulated conformational exposure such as is thought to occur on the capsid of  
19 hepatitis B virus (HBV). HBV capsids (from bacterially expressed core protein), bind  
20 nuclear pores at least in permeabilized cells dependent upon phosphorylation at  
21 residues adjacent to an NLS motif. It is proposed that phosphorylation exposes the  
22 NLS for importin binding and pore docking (27). It could be that the disposition or  
23 activity of the VP1-2 NLS is regulated, either to promote exposure and function in  
24 entry, or to mask or inhibit its activity later after de novo synthesis and incorporation  
25 into newly assembled capsids during exit. An example of an event which might  
26 promote function or presentation of the NLS would be in proteolytic cleavage of VP1-  
27 2 which one report has indicated may be relevant at some stage of early infection  
28 (25).

29           Furthermore it is intriguing that in certain circumstances e.g., for intra-axonal  
30 transport, certain importin species have been shown to be complexed with the  
31 retrograde motor dynein. Signalling pathways induce the rapid translation of spatially  
32 restricted importin  $\beta$  mRNA into protein that is distant from the cell body and then  
33 complexes with importin  $\alpha$  and dynein to enable nuclear translocation of cargo (22,

1 48). By this manner it is possible that an NLS acts as a determinant both for  
2 microtubule-associated transport, and nuclear pore interaction. While such a  
3 mechanism is possible, it is more plausible for the neurotropic herpesviruses. Other  
4 mechanisms not requiring spatially restricted NLS interactions are more likely to  
5 explain the requirement for the VP1-2 NLS in core replication pathways in culture.  
6 Thus the NLS motif could be engaged at any of a number of points, but be critically  
7 important in forward transport from the MTOC area.

8         Clearly other determinants in VP1-2 are also critical for virus replication.  
9 Insertional and deletion mutations in several regions abrogate VP1-2 function. Little  
10 is known about the basis of the VP1-2 disruption, though it is likely that such mutants  
11 will affect the known essential roles of VP1-2 in recruiting additional tegument  
12 proteins in the assembly pathway. Indeed certain mutants have been shown to  
13 specifically disrupt VP1-2 interactions with other tegument proteins required for  
14 assembly (7, 15, 29, 32, 34, 41, 42). One specific VP1-2 determinant, the extreme  
15 carboxy terminal region, promotes with the essential capsid protein pUL25 (8, 34).  
16 In pseudorabies virus, deletion of the extreme C-terminal 23 residues abrogates  
17 virus replication (34, 42), an effect which has been attributed to disruption of VP1-2  
18 recruitment to capsid possibly by direct interaction with pUL25 (10, 47). Since pUL25  
19 itself has also been implicated in early events during the initiation of infection (47, 49)  
20 clearly such additional VP1-2 determinants could play a role in entry events. Indeed  
21 it has previously been shown that pUL25 when expressed individually could bind  
22 nucleoporins, and thus it has been proposed that it could act on capsids as an  
23 interface with the nuclear pore (47). If this were the case, from our results it would  
24 be insufficient to promote nuclear pore engagement in the absence of the VP1-2  
25 NLS. Nevertheless it remains possible that VP1-2 plays a role in promoting pUL25  
26 function after docking. It could for example be that downstream of NLS-promoted  
27 routing to the pore, the NLS itself or additional determinants in VP1-2 and/or pUL25  
28 engage with specific pore components such as Nup214 or Nup358. However the  
29 role of C-terminus of VP1-2 may be more complicated than first anticipated since  
30 recent results indicate that the C-terminal is not required for VP1-2 function in virion  
31 assembly, but rather is specifically required to retain the protein on capsids as it  
32 infects secondary cells (53). This apparently indicates that VP1-2 remains bound to  
33 newly formed capsids and promotes virion assembly without requiring the C-terminal  
34 pUL25-interacting region, but detaches from capsids after they infect cells if this C-



1 terminal region is not present. This determinant appears to act in a distinct fashion  
2 from the NLS identified here.

3 In conclusion our results advance understanding of the role of VP1-2  
4 specifically in entry pathways of HSV. The characterisation of the phenotype and the  
5 nature of block to entry uncouple critical determinants in VP1-2 for early intracellular  
6 routing pathways. These results provide a framework for future detailed analysis of  
7 the host cell factors involved, since these can be linked to a physiologically relevant  
8 and critical determinant whose alteration has a profound phenotype. Furthermore,  
9 considering the conserved and essential nature of VP1-2 and presence of the basic  
10 NLS-like motif in a similar position in all homologues (2), we believe that this motif  
11 may play a similar critical role in other herpesvirus species. Finally, in principle  
12 targeting the function of the NLS could provide a useful therapeutic avenue and the  
13 debilitated virus itself could prove useful in other settings such as gene therapy  
14 where entry is required but second round spread not desirable, or in vaccine  
15 applications.

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## FIGURE LEGENDS

### Figure 1. Deletion of the VP1-2 abolishes plaque formation.

(a) Schematic summary of VP1-2, indicating the N-terminal USP domain, the highly basic NLS motif contained within a poorly conserved region, the large central region (grey) and a C-terminal conserved region (blue). Regions relevant to interactions with VP16, pUL37 and pUL25 are also indicated (10, 15, 30, 34, 41, 47, 59). (b) Serial dilutions of K.VP1-2 $\Delta$ NLS were examined for plaque formation in complementing HS30 cells versus normal Vero cells. (c) Quantitative analysis of plaquing efficiency of the mutant versus KOS w/t in Vero and HS30 cells. Plaque formation is diminished by at least 7 logs and is essentially undetected in Vero cells compared to HS30 cells. (d) Comparison of the w/t and mutant strains in multi-step growth curve after low moi infection (0.01 pfu /cell, based on titres in complementing cells) in Vero (solid symbols) or HS30 (open symbols). Yields were titrated in HS30 cells. (e) Comparison of the w/t and mutant strain replication in single-step growth curves after high moi infection in Vero or HS30 (5 pfu /cell, based on titres in complementing cells).

### Figure 2. Initial infection of single cells by K.VP1-2 $\Delta$ NLS and failure to spread.

(a) Vero cells were infected with K.VP1-2 $\Delta$ NLS or KOS w/t at a moi calculated to infect 1/500 cells. Monolayers were examined after three days for virus proteins by immunofluorescence. (ICP4, green; pUL37, red; nuclear counterstain blue). For the w/t virus, plaques had obviously grown and contained numerous antigen positive cells. In contrast for the mutant, single antigen positive cells were observed, (both antigens were detected though the red channel obscures the green in this merged example) but infection was never detected and did not progress in surrounding cells. (b) To examine the induction of expression of the w/t VP1-2 during propagation in complementing cells, RSC-HAUL36 cells were infected with K.VP1-2 $\Delta$ NLS at a moi of 5 (based in titres in HS30 cells), samples harvested at the times indicated and examined for expression of the endogenous w/t VP1-2, by virtue of the HA-

1 tag, total VP1-2 using anti-VP1-2 or the major capsid protein VP5.  
2 Extracellular virus (see also Figure 5), from K.VP1-2 $\Delta$ NLS and K.VP1-  
3 2 $\Delta$ NLS.R amplified in the complementing RSC-HAUL36 cells, and purified by  
4 Ficoll gradient centrifugation were analysed to assess the incorporation of the  
5 endogenous VP1-2 into the virion particles produced in these cells in relation  
6 to VP5 (lanes 10,11).

7

8 **Figure 3. Comparison of protein expression during infection by K.VP1-  
9 2 $\Delta$ NLS and its revertant.**

10 (a) RSC or RSC-HAUL36 cells were infected in parallel with the mutant  
11 K.VP1-2 $\Delta$ NLS, the revertant K.VP1-2 $\Delta$ NLS.R or KOS w/t virus at a moi of 5.  
12 Samples were harvested at the times indicated and expression of a series of  
13 delayed early or late proteins, VP5, pUL37, UL25, gD and VP1-2 itself was  
14 examined by Western blotting using fluorescent antibodies and quantitative  
15 detection as described in materials and methods. (b) As above, examining  
16 immediate-early (ICP4) or delayed early (ICP8) every h for 12 h. Some  
17 reduction was observed in the abundance of ICP4 (long arrow) though little  
18 significant difference for ICP8. (A non -specific band migrating just below ICP4  
19 was occasionally observed, indicated by the short arrow). The parental KOS  
20 strain exhibited slightly increased expression of both proteins, estimated as 2-  
21 4 fold by quantitative analysis over the  $\Delta$ NLS and  $\Delta$ NLS.R viruses.

22

23 **Figure 4. Comparison of protein localisation during infection by K.VP1-  
24 2 $\Delta$ NLS and KOS w/t.**

25 (a) Vero cells were infected with KOS or the mutant K.VP1-2 $\Delta$ NLS virus at a  
26 moi of 5. Cultures were fixed after 16 h and processed for  
27 immunofluorescence as described. Patterns of localisation as discussed in  
28 the text were similar for both the w/t and mutant viruses. (b) RSC or Vero  
29 cells were infected and processed as in panel a and stained for VP1-2  
30 localisation.

31

32

1 **Figure 5. Ultrastructural analysis of capsid and virion formation in**  
2 **K.VP1-2ΔNLS infected cells.**

3 RSC cells were infected in parallel with KOS or the mutant K.VP1-2ΔNLS at a  
4 moi of 5, fixed at 16 h post infection and processed for thin section electron  
5 microscopy as described in materials and methods. Sections for K.VP1-  
6 2ΔNLS are shown in panels a-c and for KOS in panel d-f. Capsid formation  
7 was readily apparent for both mutant and w/t viruses (panels a,d; small white  
8 arrows indicate nucleocapsids; large white arrowhead a particle in primary  
9 envelopment). Cytoplasmic envelopment was also observed for mutant and  
10 w/t viruses (panels b,e; black arrows indicate wrapping during secondary  
11 envelopment). Finally the presence of assembled virions are shown in  
12 example panels c and f for the mutant and revertant respectively. Panels g,  
13 and h show further examples of assembled extracellular virions readily  
14 observed for the mutant virus. Generally little difference in the main  
15 ultrastructural features of infection were observed for the w/t versus the  
16 mutant strains and all qualitative features of capsid and virion assembly could  
17 be observed. Similar results were obtained with K.VP1-2ΔNLS revertant virus  
18 and in different cell lines (Vero and HaCaT cells). Scale bars are 500 nm.

19

20 **Figure 6. Characterisation of K.VP1-2ΔNLS<sup>NC</sup> extracellular virus**  
21 **produced from non-complementing cells.**

22 HaCaT cells were infected with K.VP1-2ΔNLS<sup>C</sup> or the revertant virus at a moi  
23 of 5 (estimated from titration in complementing cells). We used HaCaT cells  
24 which we find helps increase overall yields of all herpesviruses strains. Media  
25 was harvested 16 h after infection, clarified and extracellular virus pelleted by  
26 centrifugation at 19k rpm for 90 min. Samples of pelleted virus showed slightly  
27 increased amounts of virion proteins for the mutant. Samples were adjusted  
28 (based on major capsid protein VP5) and analysed by SDS-PAGE. (a) Mutant  
29 and revertant virus exhibited similar qualitative and quantitative profiles of  
30 structural proteins including VP1-2, VP5. (b) Equalised samples were  
31 analysed by western blotting for the presence of a number of structural  
32 components as indicated. (c) Samples of extracellular particles from revertant  
33 or mutant infected cell medium, analysed by negative staining. Various



1 degrees of stain penetration were observed for both mutant and revertant and  
2 examples illustrating partially stained intact particles are shown for both. Scale  
3 bars are 200 nm. (d) Comparison of specific infectivity of K.VP1-2 $\Delta$ NLS<sup>NC</sup> and  
4 revertant extracellular virus particles, equalised on the basis of major capsid  
5 protein and titred for plaque formation in complementing HS30 cells. On a  
6 capsid standardised basis, K.VP1-2 $\Delta$ NLS<sup>NC</sup> particles exhibited approximately  
7 600-fold lower infectivity. (e) Comparison of infectivity at the single cell level.  
8 Vero or HS30 cells were infected with serial dilutions of the revertant virus  
9 designed to give low moi infections within a range of 1:100 to 1:3 cells  
10 infected. Cells were infected in parallel with K.VP1-2 $\Delta$ NLS<sup>NC</sup> particles with an  
11 equivalent range based on equalised capsid protein. Cells were fixed 6 h after  
12 infection, stained with antibody to detect ICP4 and counterstained with DAPI  
13 to enumerate cells. Numerous cells were counted for each virus at each  
14 dilution. Typical fields shown are Vero cells with the highest amount of input  
15 virus to emphasis the difference in infectivity between the revertant and  
16 mutant, quantified in panel f. (g) Based on the titre of the revertant virus, cells  
17 were infected at high moi (5 pfu/cell) with the revertant virus or an equivalent  
18 input of mutant virus, based on standardisation of capsid protein in  
19 extracellular virus particles. Cells were harvested for Western blotting at the  
20 times indicated analysing in this case for a typical delayed-early protein ICP8,  
21 or the late major capsid protein VP5.

22

23 **Figure 7. Immunofluorescence analysis of K.VP1-2 $\Delta$ NLS<sup>NC</sup> virus entry.**

24 Cells (RSC) were infected with extracellular virus of either the revertant  
25  $\Delta$ NLS.R or mutant virus  $\Delta$ NLS produced from non-complementing cells.  
26 Infection was at a moi of 100 for the revertant or an equivalent amount of the  
27 mutant based on standardisation of VP5 in the extracellular purified virus. To  
28 help synchronise infection, cells were washed with cold media and incubated  
29 at 4°C after adding the inoculum. After 1 h, warmed media was added and  
30 the cells were further incubated for 2 or 4 h at 37°C prior to fixation and  
31 permeabilisation. Control samples maintained at 4°C were also examined as  
32 controls. Samples were stained for the major capsid protein VP5 and  
33 counterstained with DAPI to detect nuclei. The panels show typical examples

1 of many fields examined (using a x40 objective to capture multiple cells in  
2 each field), and present both channels for each virus at the indicated time.  
3 The VP5 channel only is shown in panels IV and VIII, highlighting the contrast  
4 between the revertant and mutant virus. Scale bars are 50  $\mu\text{m}$  (b) High  
5 resolution confocal section of cells infected with the  $\Delta\text{NLS}$  virus stained with  
6 anti-VP5 (green), anti-PCM1 (red) and DAPI (panel I; scale bar, 10  $\mu\text{m}$ ). The  
7 inset (scale bar, 2  $\mu\text{m}$ ) shows the pericentriolar PCM1 accumulations with  
8 congregating capsids in the same location, though the capsids do not  
9 necessarily directly overlap with the PCM1-specific material. Higher  
10 magnification images of capsids (VP5) and tegument proteins (pUL37 and  
11 VP1-2) colocalizing at the MTOC perinuclear accumulations of  $\Delta\text{NLS}$  virus  
12 infection (panels II and III; scale bars are 5  $\mu\text{m}$ ) (c) Graph showing the  
13 quantification of the MTOC accumulation for revertant and mutant capsids.  
14 Defined and unbiased areas of interest were applied around the PCM1  
15 pericentriolar region, and the accumulated density of pixels in the green  
16 channel for VP5 in the same areas calculated. Each vertical bar represents  
17 an individual cell. Approximately 130 cells were evaluated for each virus.

18

19 **Figure 8. EM analysis showing nuclear pore interaction during entry of**  
20 **the  $\Delta\text{NLS}$  revertant virus.**

21 Vero cells were infected with the revertant virus K.VP1-2 $\Delta\text{NLS}$ .R at an moi of  
22 500 PFU/cell. The cells were washed with cold media and incubated at 4°C  
23 after adding the inoculum. After 1 h, warmed media was added and the cells  
24 incubated for 4 h at 37°C, then fixed and processed for thin section (70 nm)  
25 ultrastructural analysis as described in materials and methods. N and C  
26 indicate nucleus and cytoplasm respectively. An example section is shown  
27 with its inset illustrating the presence of empty capsids in close proximity to  
28 the nuclear pore. Additional insets are shown from additional independent  
29 sections illustrating the same feature of nuclear pore proximity and empty  
30 capsids. Scale bars are 500 nm in the main image and 250 nm for the insets.  
31 As is the case in thin section analysis, capsids immediately adjacent to the NE  
32 are only a subset of infecting capsids but were readily observed for the w/t

1 virus. Total numbers of capsids within 100 nm of the NE are quantitated in  
2 Figure 9c.

3

4 **Figure 9. EM analysis of the block in K.VP1-2ΔNLS<sup>NC</sup> virus entry.**

5 In parallel with the analysis shown in Figure 8, cells were infected with the  
6 mutant virus K.VP1-2ΔNLS<sup>NC</sup>, fixed and processed. N and C indicate nucleus  
7 and cytoplasm respectively. We readily detected cytoplasmic capsids,  
8 frequently in close proximity to the centrioles of the MTOC but capsids close  
9 to the NE were rare. (a) Representative field indicating the position within the  
10 cell and larger magnification in inset (b), showing full capsids of the infecting  
11 mutant. The single large arrowhead indicates the position of one of the  
12 centrioles with small arrows pointing to 6 capsids accumulated around the  
13 MTOC area. (c) Quantification of full and empty capsids from K.VP1-2ΔNLS  
14 and revertant infected cells. The total perimeter of the NE in 100 cells was  
15 scanned for capsids in close proximity (within 100 nm distance from the NE)  
16 and scored as empty or DNA-containing capsids. We found only rare  
17 examples of capsids close to the NE, these were not adjacent to pores and  
18 were DNA containing. Scale bars are 500nm.

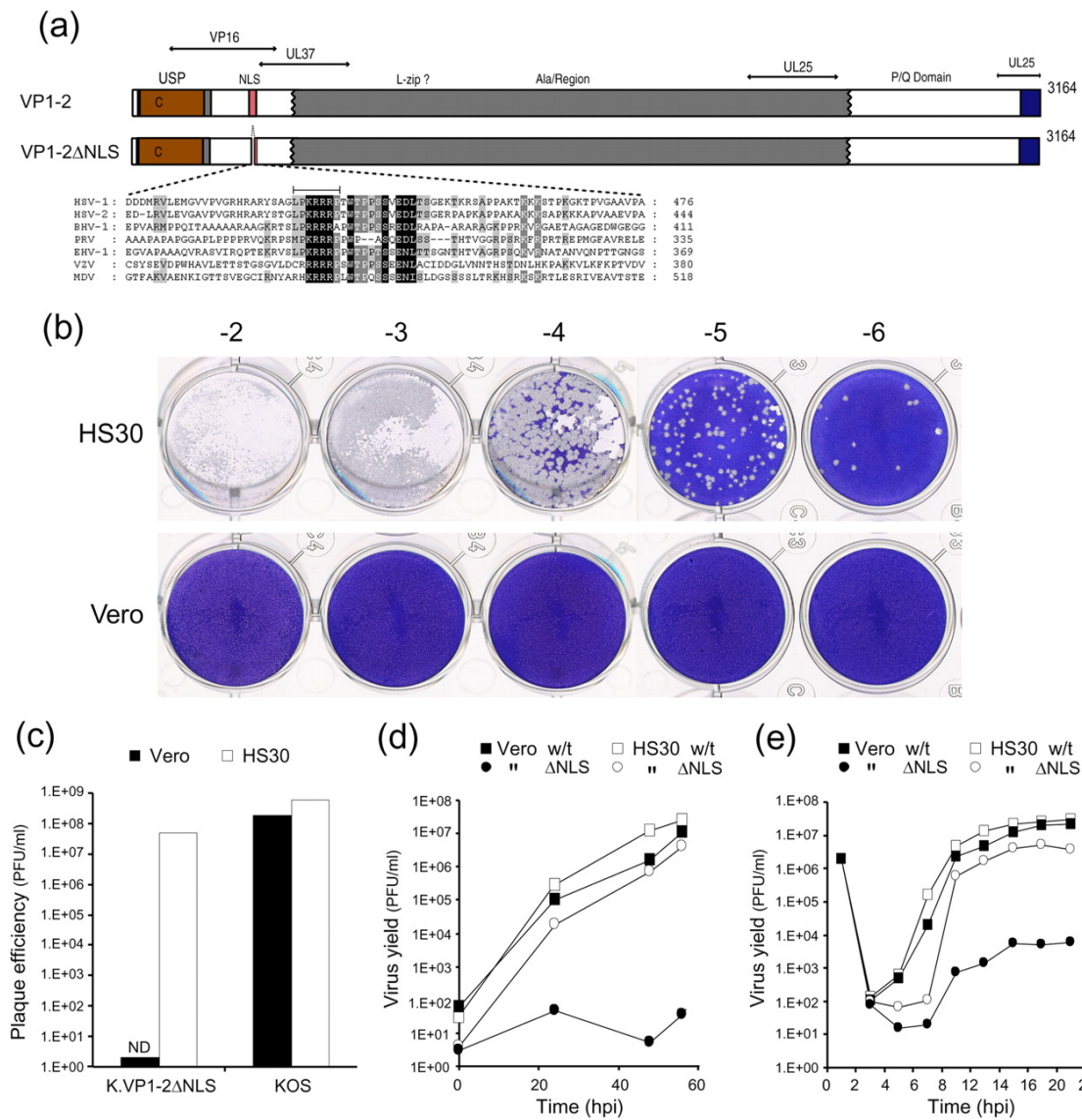
19

20 **Figure 10. Summary of propagation of K.VP1-2ΔNLS in complementing  
21 and normal cell types.**

22 Three rows (a,b,c) summarise the outcomes of K.VP1-2ΔNLS infection. (a)  
23 Complementing cells (shaded in green) contain the w/t UL36 gene, which is  
24 induced after infection with K.VP1-2ΔNLS mutant (Figure 2b). The w/t VP1-2  
25 is recruited onto virions (green virions with green dots indicating functional  
26 VP1-2 (Figure 2b). Note that a population of mutant VP1-2, indicated in  
27 orange could be recruited onto particles, and virions may have mixed  
28 populations and different distributions (a, II?). It may be that not all the VP1-2  
29 incorporated needs to be w/t, though neither the distribution of w/t versus  
30 mutant VP1-2 nor the amount of w/t VP1-2 required for successful infection is  
31 known. The mutant can replicate, spread and form plaques in complementing  
32 cells. (b) Upon infection of non-complementing cells (shaded in grey) with the  
33 complemented virus, the virus can infect, undergo gene expression and

1 produce extracellular virus (orange virions) which now contains mutant VP1-  
2 2ΔNLS (orange dots, II). Our results indicate that this virus contains VP1-  
3 2ΔNLS and appears structurally similar to w/t virus with a similar cohort of  
4 proteins, can infect cells, but is blocked at the MTOC prior to successful  
5 nuclear engagement. (c) Producing K.VP1-2ΔNLS virus in non-  
6 complementing cells results in the formation of extracellular non-  
7 complemented virions. These virions have a profound block in infection at  
8 MTOC prior to nuclear engagement.  
9  
10

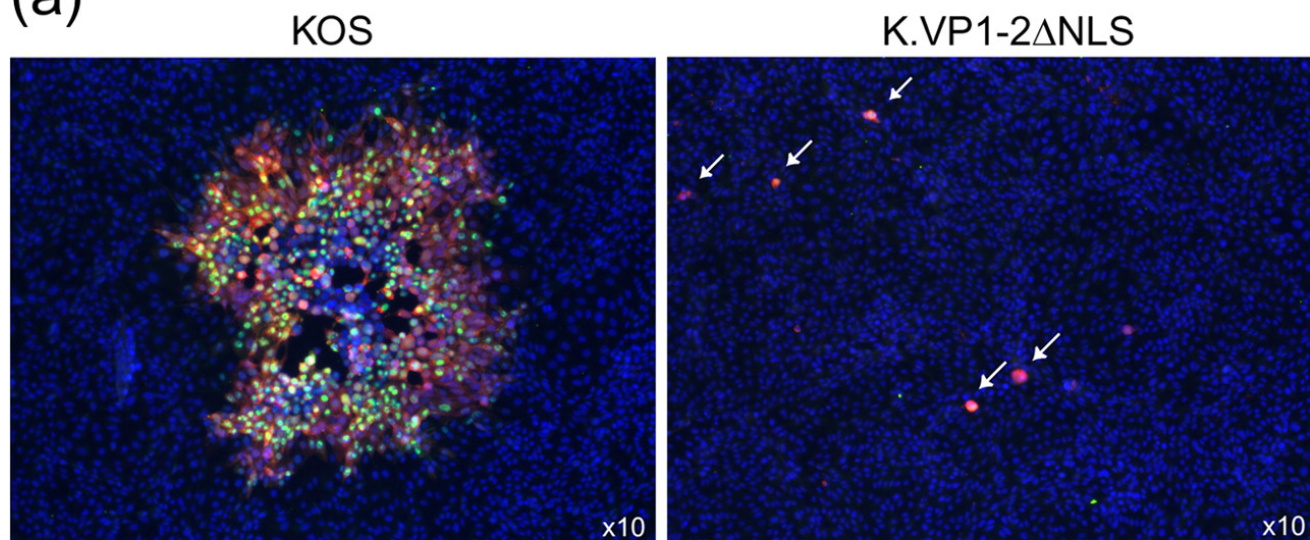
1 Figure 1



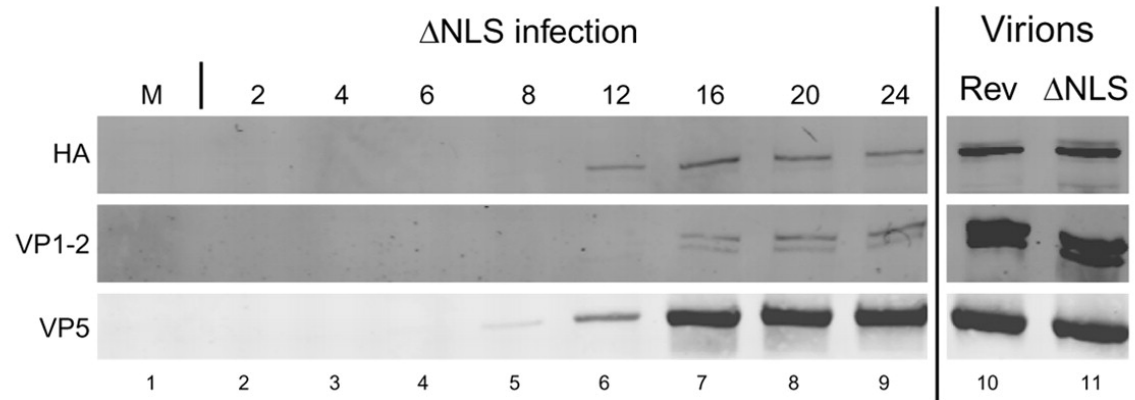
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1 Figure 2

(a)

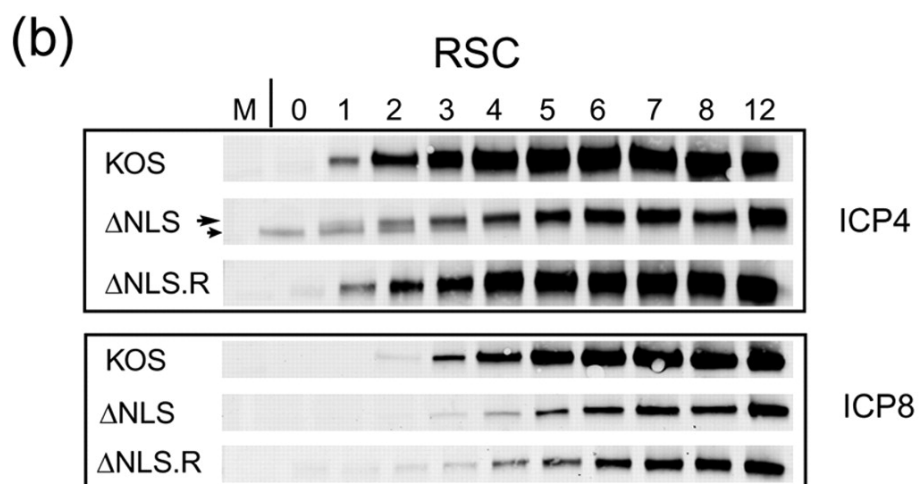
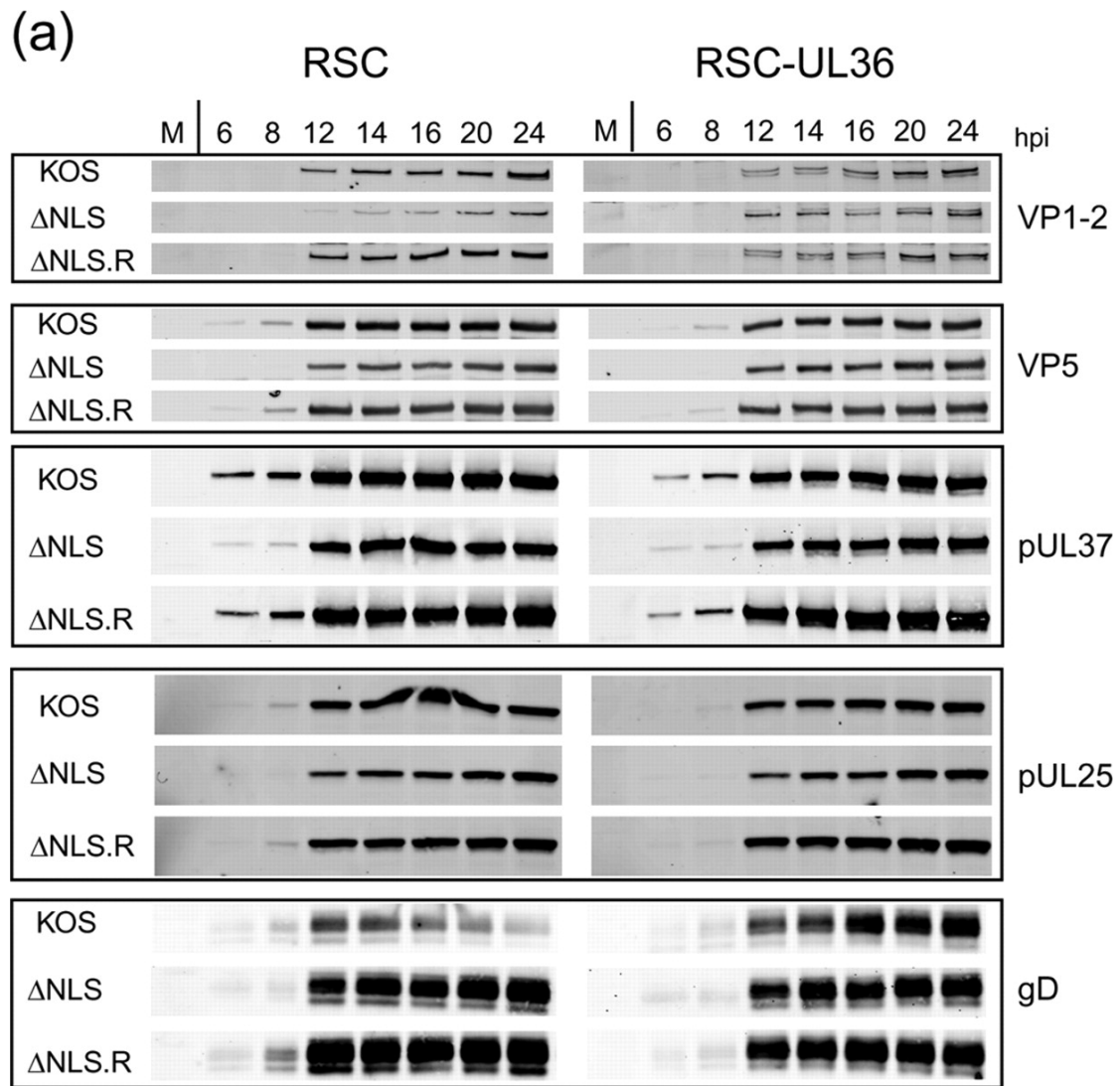


(b)



2

1 Figure 3

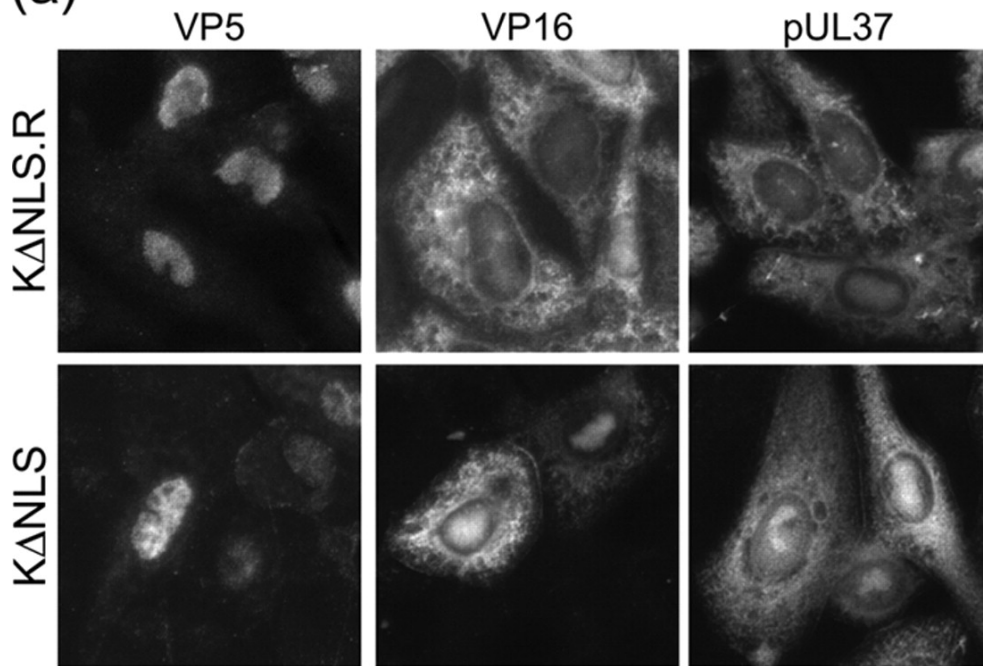


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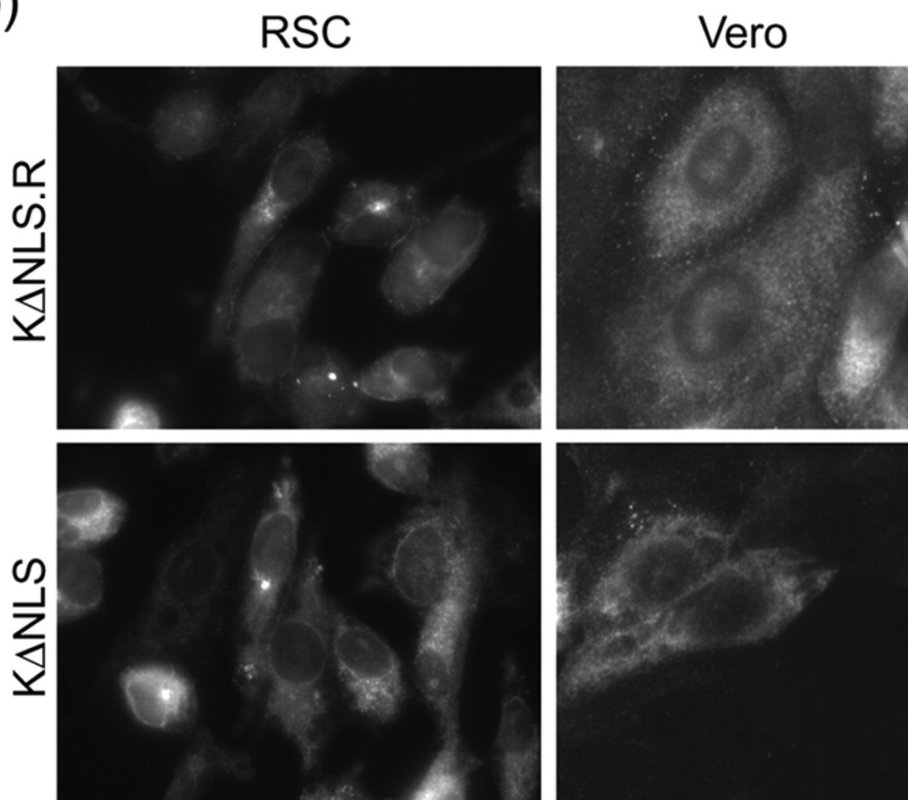
3

1 Figure 4

(a)



(b)

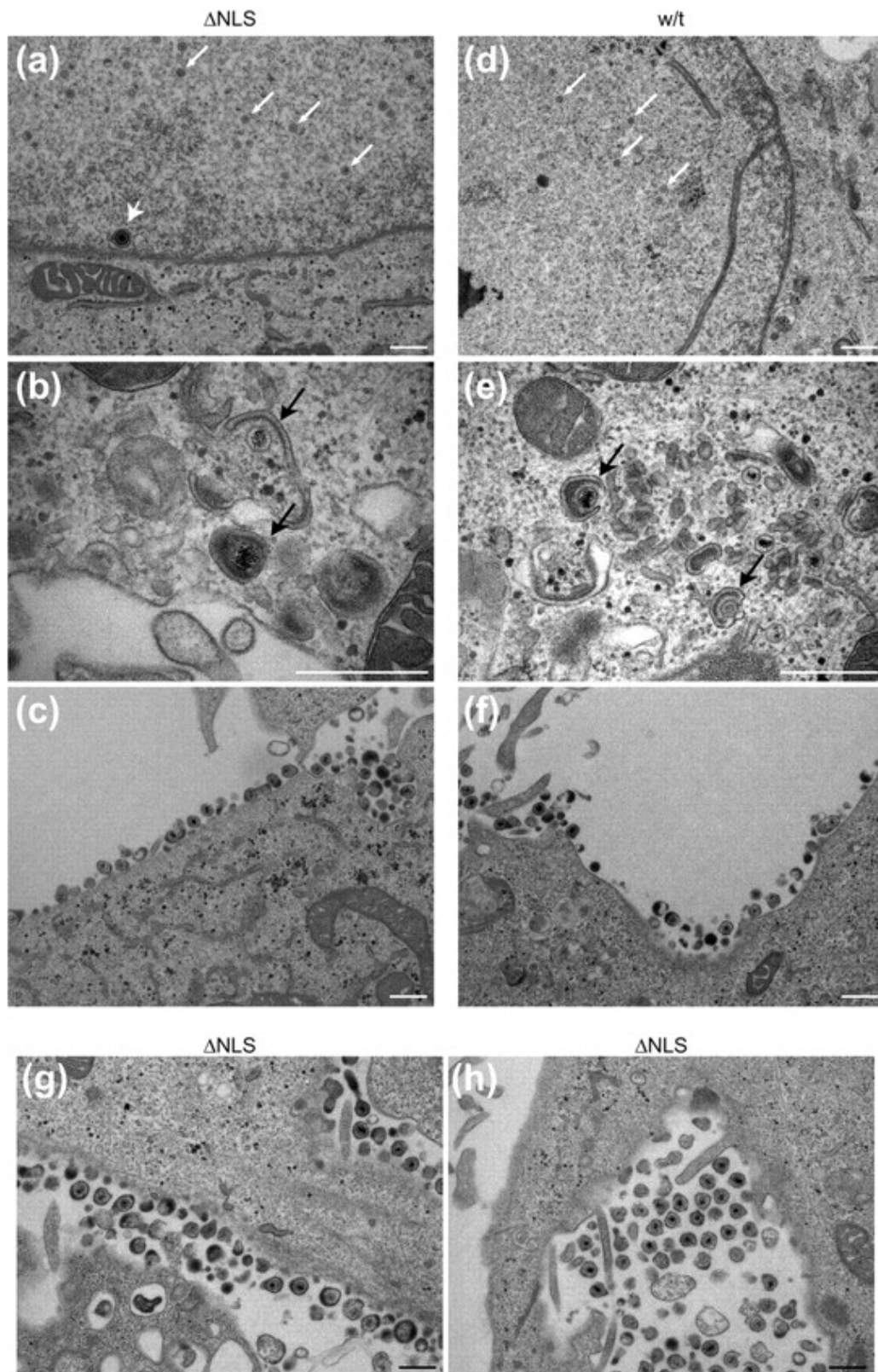


2

3

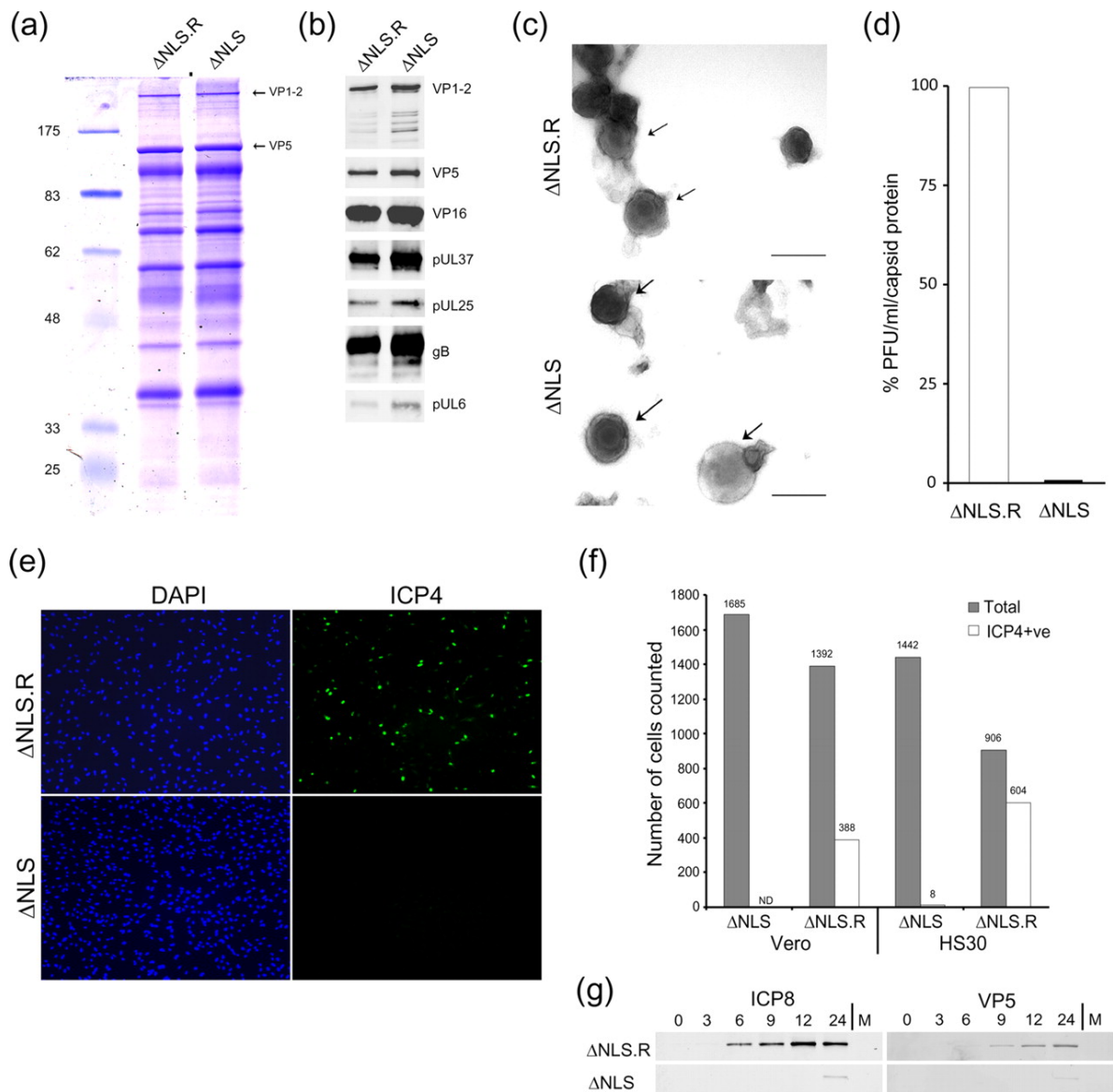


1 Figure 5



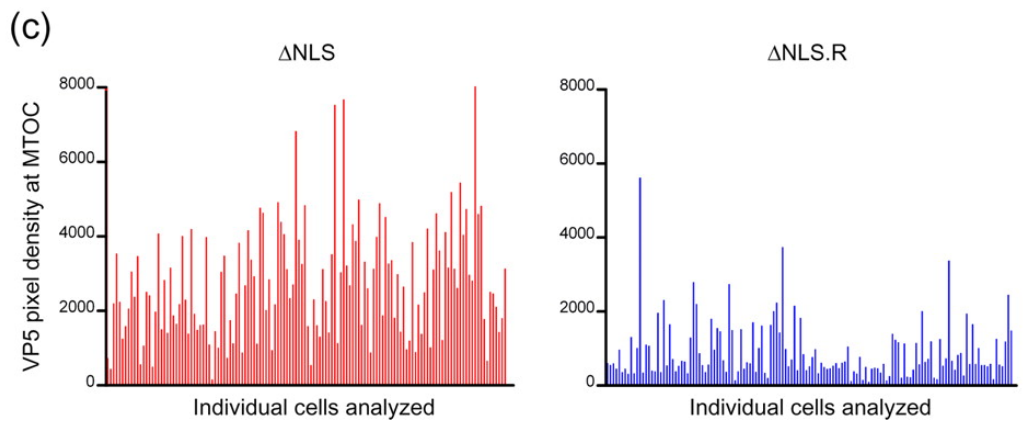
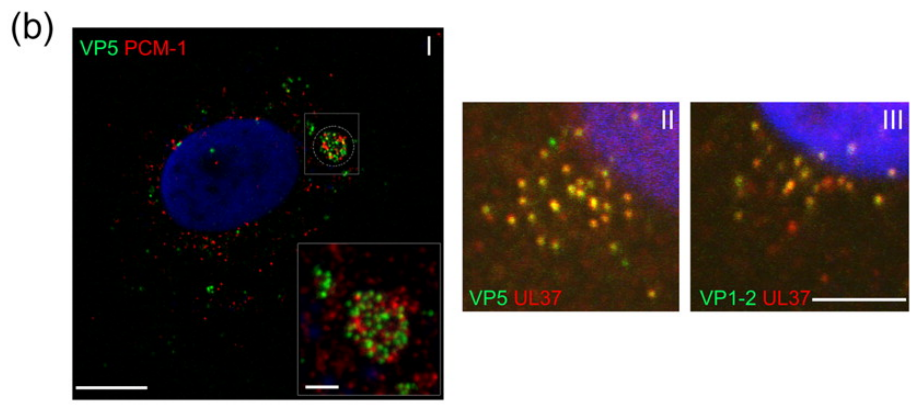
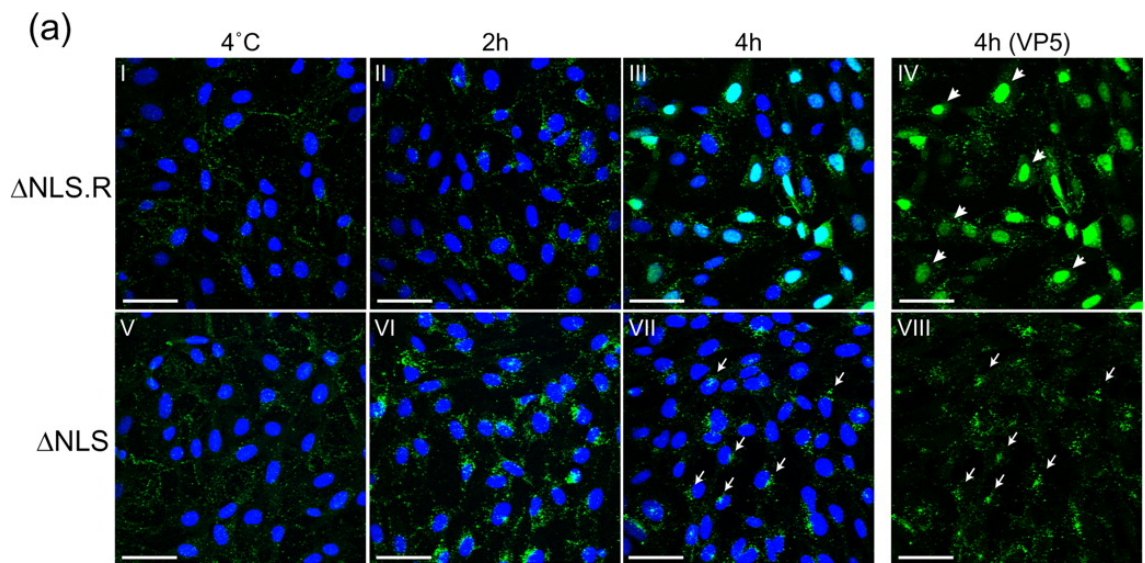
2  
3  
4

1 Figure 6



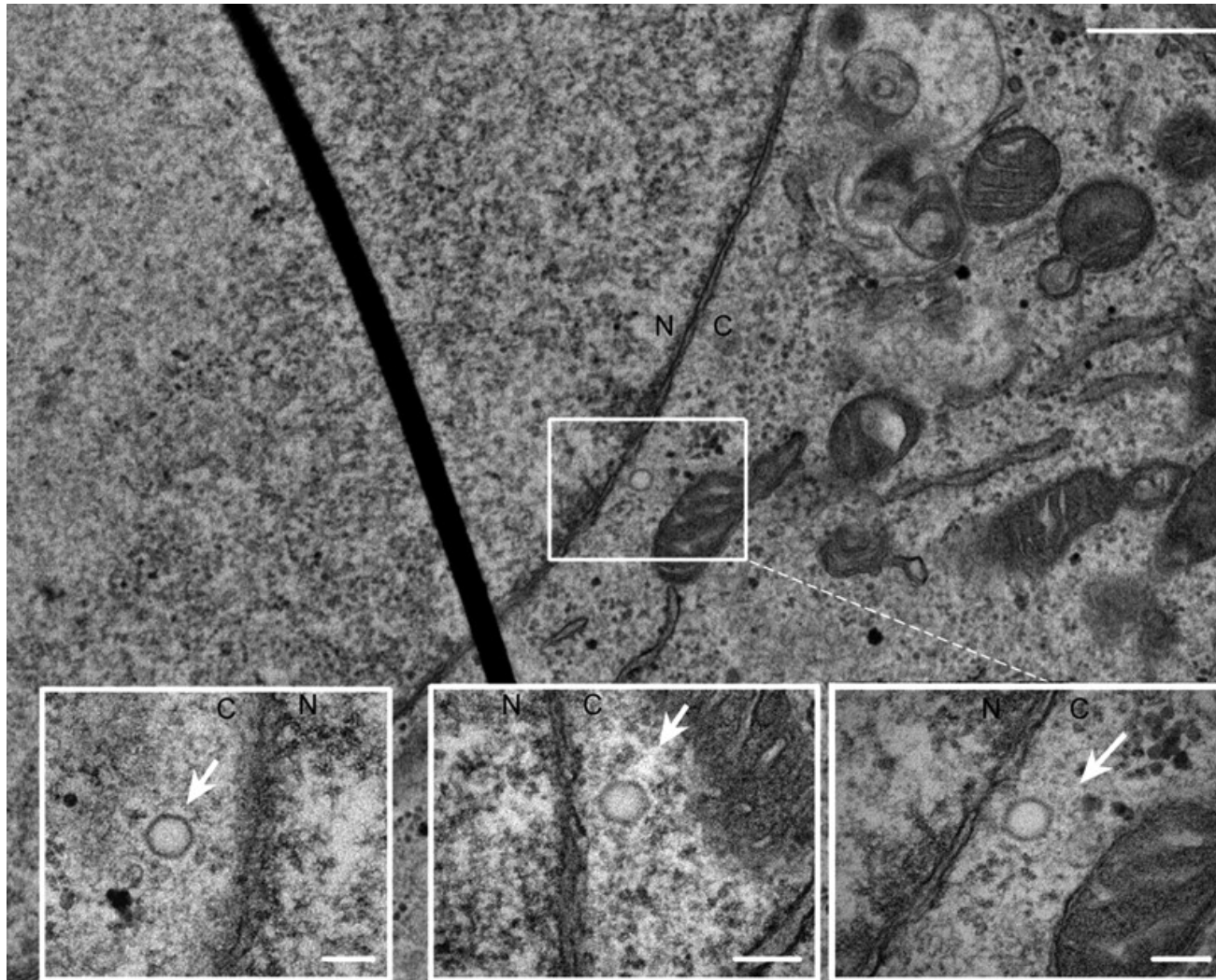
2  
3

1 Figure 7



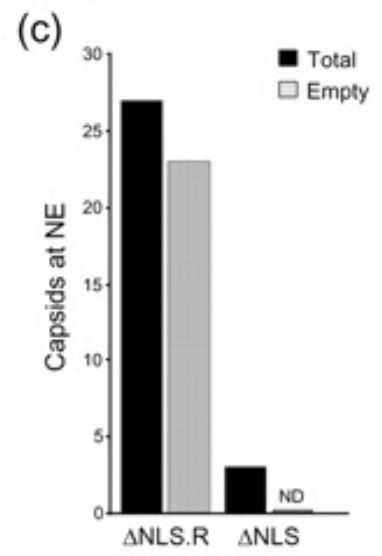
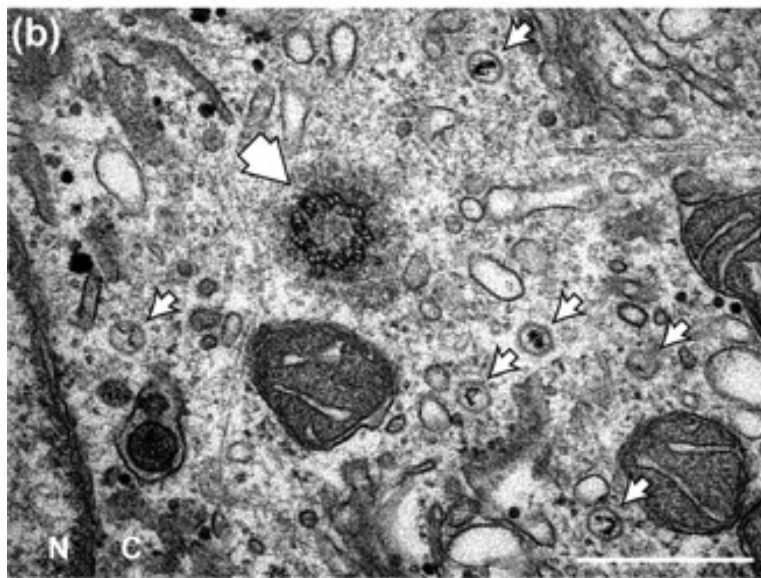
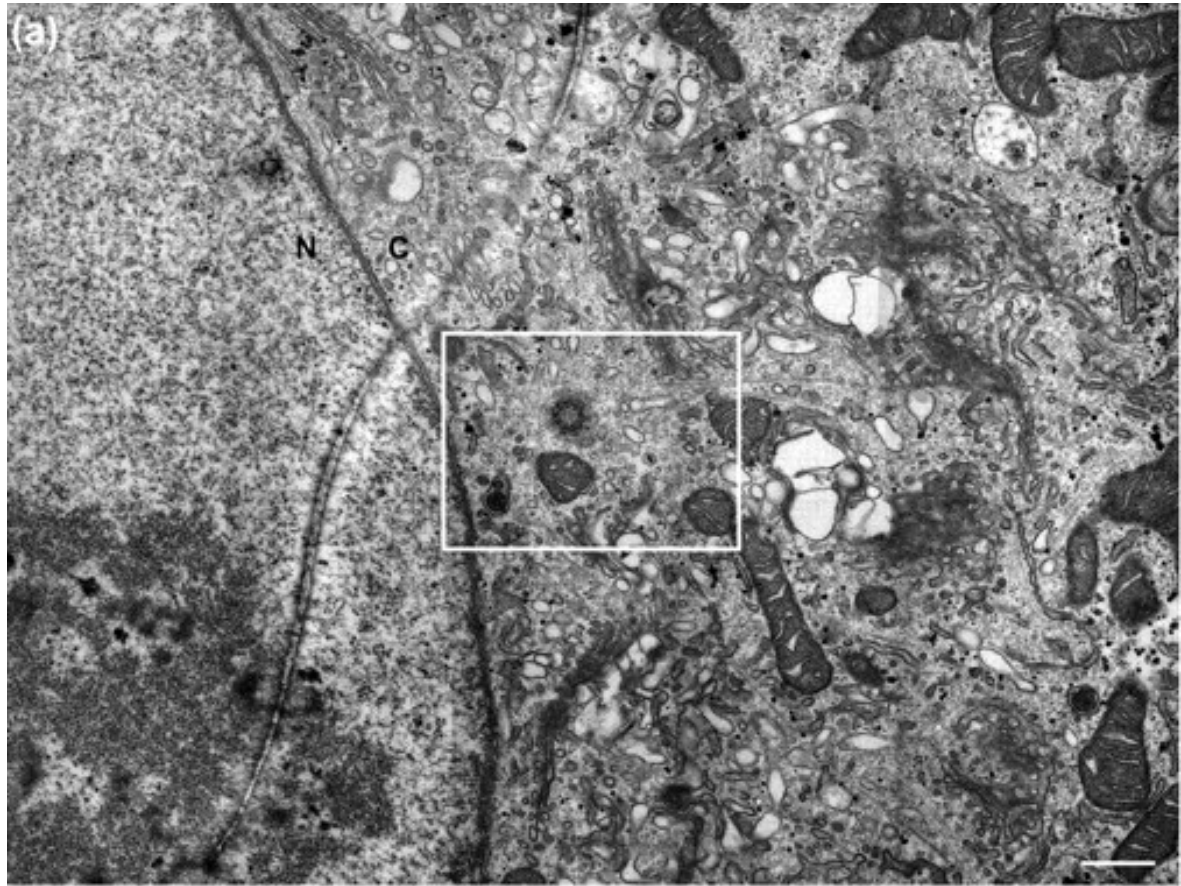
2

1 Figure 8



2

1 Figure 9



2

1 Figure 10

