



## Supplementary Materials for

### Birth of a Comet Magnetosphere: a Spring of Water Ions

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## Supplementary Text

### Instrument operations

Due to instrument safety concerns RPC-ICA has not been operated continuously. Regular operations have been conducted from 15 May. Water ions were first detected on 7 August 2014 and were subsequently observed on 11 and 17 August. After this only very brief periods with water ions were observed up until 21 September. On 21 September strong solar and comet activity allowed us to detect several phenomena as described in the main text. On 30 September we once again observed significant amounts of water ions as well as a strong and steady  $\text{He}^+$  signal. Interspersed with observations of solar wind – comet interaction are periods with an apparently undisturbed solar wind also when Rosetta is close to the nucleus. This was for example observed on 23 September 2014.

### Basic theory

As a first approximation, the solar wind interaction with a thin comet atmosphere can be understood in terms of the effect on newborn ions from the electric ( $\mathbf{E}_{\text{sw}}$ ) and magnetic ( $\mathbf{B}_{\text{sw}}$ ) fields of the solar wind. These are related to the solar wind velocity ( $\mathbf{U}_{\text{sw}}$ ) according to the relation

$$\mathbf{E}_{\text{sw}} + \mathbf{U}_{\text{sw}} \times \mathbf{B}_{\text{sw}} = 0$$

This is known as the “frozen-in” criterion, the solar wind magnetic field being frozen into the solar wind plasma. In the solar wind reference frame the electric field is zero. A newborn ion, stationary relative to the solar wind flow, will see an electric field  $\mathbf{E}_{\text{sw}}$  induced by the solar wind. Note also that for the case of the magnetic field being parallel to the solar wind velocity there is no induced electric field,  $\mathbf{U}_{\text{sw}} \times \mathbf{B}_{\text{sw}}$  is 0. The basic ion pick-up process is further described in (4,5,16).

Ions moving in a magnetic field gyrate. A newborn ion has a velocity in the solar wind reference frame of typically 400 km/s. For a magnetic field of the order of 1 nT, as expected in the vicinity of 67P/Churyumov-Gerasimenko for the initial observations, this corresponds to a gyro radius for a water ion of the order of 10 000 km. Therefore one may expect that newborn ions with an energy of only a few 100 eV will move in the solar wind electric field direction.

### Field of view of the instrument

RPC-ICA has a field of view of  $360^\circ$  in the central detector plane (see Fig. 3 for a schematic illustration). Electrostatic entrance deflection is used to bring in particles from approximately  $\pm 45^\circ$  from this plane. For sectors 10 to 15 and 0 and negative elevation angles the spacecraft body blocks the field of view (see (12) for details).

The energy table we are using has been in-flight adjusted so that the lowest energy ions we observe (and which are also observed by RPC-IES) have an energy close to zero. In flight investigation of on board monitor values for the high voltages confirm the adjustment to the energy table, which also brought the alpha particle to proton energy per

charge ratio closer to 2 on average. This gives a sparse energy sampling at low energies. The observed fluxes could thus be underestimates. Furthermore, due to the shift in the energy table, there is a mismatch between the energy table and the entrance elevation setting. The observed ions for a given setting have lower energy than expected. The voltage applied to achieve a certain elevation angle is thus too high, leading to a too high elevation angle. Therefore ions at energies below about 1 keV have a higher elevation angle than intended. For the lowest energy, ions can enter the instrument only for a setting close to 0°.

Therefore RPC-ICA can only observe the coldest water ions for certain favorable conditions. Water ions may therefore be more common than we have observed. The negative spacecraft potential in a relatively dense plasma helps the situation, as it accelerates the plasma towards the instrument. Cold plasma is, despite the negative spacecraft potential, only observed coming from one direction. Thus the plasma is accelerated, but the net flux should not be much influenced by the negative spacecraft potential (see below).

In the main paper we only indicated the directions where we observed ions using a schematic picture. For completeness, we summarize the sectors in which the different plasma components were observed in table S1.

### Calculation of ENA fluxes

On 30-09-2014, RPC-ICA detected  $\text{He}^+$  ions in combination with the expected solar wind  $\text{He}^{2+}$ .  $\text{He}^+$  was observed also on 21 September, which is our sample of a day with increased solar wind and comet activity, but the signal was very weak. On 30 September when Rosetta was 20 km from the comet nucleus, RPC-ICA observed a strong and steady  $\text{He}^+$  signal. We show an energy–mass matrix from this day in Fig. S1. From this average data we determine the relative flux of  $\text{He}^+$  to  $\text{He}^{2+}$  to be 2.1% on this day.

$\text{He}^+$  may be produced from charge exchange between the solar wind and the neutral environment of the comet. The main production channel within 100 km of the comet nucleus is assumed to be  $\text{He}^{2+} + \text{H}_2\text{O} \rightarrow \text{He}^+ + \text{X}$ , with X being  $\text{H}_2\text{O}^+$  or fragment ions, with a cross section of  $8.4 \times 10^{-20} \text{m}^2$  at 0.83 keV/amu of collision energy, corresponding to a solar wind speed of  $400 \text{ km s}^{-1}$  (22). Other channels (involving O and H) can be ignored since the density of the corresponding neutrals is low in comparison to water  $\text{H}_2\text{O}$ .

The density of solar wind alpha particles ( $\text{He}^{2+}$ ) at the position  $\mathbf{r} = (x, y, z)$  is given by:

$$N_\alpha(\mathbf{r}) = N_\alpha^{\text{SW}} e^{-\sigma_\alpha I(\mathbf{r})} \quad (1)$$

where  $N_\alpha^{\text{SW}}$  is the density of alpha particles colliding with neutrals  $N_n$  ( $\text{H}_2\text{O}$ ) on their path to the detector. The charge-exchange cross section  $\sigma_\alpha$  is assumed constant since the ions are considered to have a beam-like structure of constant energy in the  $\mathbf{r}$  direction. The water column density  $I(\mathbf{r})$  is defined as:

$$I(\mathbf{r}) = I(x, y, z) = \int_x^\infty N_n(\mathbf{r}') dx' \quad \text{with} \quad |\mathbf{r}'| = \sqrt{x'^2 + y^2 + z^2} \quad (2)$$

Here the  $x$ -axis points from the center of the comet towards the sun, forming a Cartesian coordinate system together with  $y$  and  $z$ .

One can derive an analytical expression for  $I(\mathbf{r})$  in the case where the analyzed point of the  $x$ -axis points from the center of a spherical nucleus to the Sun. By conservation of matter,

$$N_{\text{He}^+}(\mathbf{r}) = N_{\alpha}^{\text{SW}} - N_{\alpha}(\mathbf{r}) = N_{\alpha}^{\text{SW}} \left( 1 - e^{-\sigma_{\alpha} I(\mathbf{r})} \right) \quad (3)$$

The energy loss of  $\text{He}^{2+}$  ion is small compared with its initial energy ( $\sim 4$  keV) and, therefore, the velocity of a newly born  $\text{He}^+$  ion,  $U_{\text{He}^+}$ , is about the same as the velocity of the initial  $\text{He}^{2+}$  ion,  $U_{\alpha}$ . The ratio of ion fluxes can in this case be derived analytically by:

$$R = \frac{N_{\text{He}^+}(\mathbf{r}) U_{\text{He}^+}}{N_{\alpha}(\mathbf{r}) U_{\alpha}} = \frac{N_{\text{He}^+}(\mathbf{r})}{N_{\alpha}(\mathbf{r})} = \frac{1 - e^{-\sigma_{\alpha} I(\mathbf{r})}}{e^{-\sigma_{\alpha} I(\mathbf{r})}} \quad (4)$$

From this equation, the column density can be estimated:

$$I(\mathbf{r}) = \frac{\ln(R + 1)}{\sigma_{\alpha}} \sim \frac{R}{\sigma_{\alpha}} \quad \text{for } R \ll 1 \quad (5)$$

The numerical application on 30-09-2014 at the time of RPC-ICA's observation and at a distance  $r_0 = 18$  km from the comet nucleus, yields, for an observed  $\text{He}^+/\text{He}^{2+}$  flux ratio  $R = 0.021$ :

$$I_{\text{obs}}(r_0) = 2.5 \times 10^{17} \text{ m}^{-2} \quad (6)$$

We also calculated an estimate of an equivalent outgassing rate  $Q$ , using a simple model that links  $Q$  with the atmospheric density  $N_{\text{model}}$ , assuming a spherically symmetric Haser-like profile (23) for the cometary neutral  $\text{H}_2\text{O}$  atmosphere:

$$N_{\text{model}} = \frac{Q}{4\partial v_0 \mathbf{r}^2} \quad (7)$$

where  $v_0$  is the velocity of the outgassing material ( $\text{H}_2\text{O}$ ). A conservative estimate of  $v_0 = 400 \text{ m s}^{-1}$  was chosen (*e.g.*, 15), which lies within the wide range of values measured by ROSINA-COPS (ROSINA team, pers. comm.).

Integrating  $N_{\text{model}}$  along the constant ( $y, z$ ) line according to equation (2) results in a modelled column density,  $I_{\text{model}}(\mathbf{r})$ , with only one unknown,  $Q$ :

$$I_{\text{model}}(\mathbf{r}) = \frac{Q}{4\partial v_0} \int_x^{\infty} \frac{1}{x'^2 + y^2 + z^2} dx' \quad (8)$$

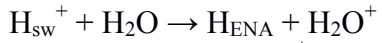
From equations (6) and (8), at the position of RPC-ICA where a ratio of 2.1% was measured, we estimate an equivalent outgassing rate:

$$Q_{\text{eq}} = \frac{4\partial v_0 I_{\text{obs}}(r_0)}{\int_{x_0}^{\infty} \frac{1}{x'^2 + y_0^2 + z_0^2} dx'} \quad (9)$$

The numerical application gives  $Q_{\text{eq}} \sim 1.4 \times 10^{25} \text{ s}^{-1}$ . This corresponds in turn to an estimated  $\text{H}_2\text{O}$  density of about  $1 \times 10^{13} \text{ m}^{-3}$ , a value lying in the lower limit of ROSINA/COPS measurements for the same day (ROSINA team, pers. comm.), hence validating *a posteriori* our approach.

### Calculation of hydrogen ENA flux

The flux of energetic neutral hydrogen atoms  $H_{\text{ENA}}$  can be derived in a similar fashion as  $\text{He}^+$  ions, i.e., through the charge-exchange reaction:

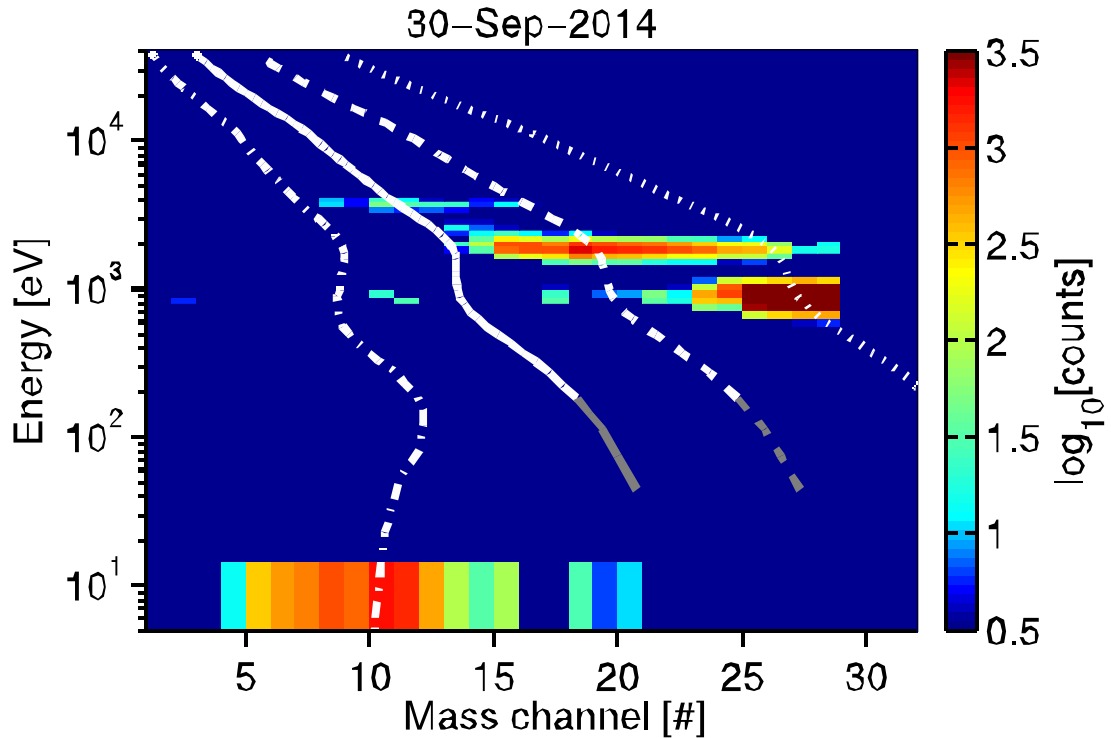


The local  $\text{H}/\text{H}^+$  cometary ratio at the position of the spacecraft is obtained by using the column density  $I_{\text{obs}}$  in equation (5) and replacing  $\sigma_e$  with the cross section for the charge exchange between protons and water molecules,  $\sigma_{\text{H}} = 1.8 \times 10^{-19} \text{ m}^2$  (24), valid around 1 keV impact energy. The corresponding  $\text{H}/\text{H}^+$  ratio is then:

$$R(\text{H}/\text{H}^+) = 0.044 \quad (10)$$

which is more than twice the  $\text{He}^+/\text{He}^{2+}$  ratio.

Using the equivalent  $Q_{\text{eq}}$  value calculated above in the expression of the column density inferred at the surface of the comet, the propagated near-surface  $\text{H}/\text{H}^+$  ratio at the terminator is estimated at 0.33, about 8 times the ratio deduced at Rosetta's position.



**Fig. S1.**

Energy-mass matrix from 30 September 2014. The white lines show the calibrated position of the peak of the signal for ions with mass 1 amu/e (dotted), 2 amu/e (dashed), 4 amu/e (solid) and 16 amu/e (dash-dotted), respectively.  $H^+$ ,  $He^{2+}$  and  $He^+$  are seen at about 1, 2 and 4 keV. Cometary ions are seen at 10 eV. Grey lines show extrapolation beyond the laboratory calibration. The line at mass about 16 amu/e has been determined through in-flight calibration.

<b>Date</b>	<b>Solar wind H<sup>+</sup> ~1 amu/e</b>	<b>Solar wind He<sup>2+</sup> ~2 amu/e</b>	<b>Water ions 12 – 24 amu/e</b>	<b>Accelerated comet ions 12 – 24 amu/e</b>
<b>2014-08-07</b>	<b>11</b>	<b>11</b>	<b>7</b>	
<b>2014-08-11</b>	<b>11</b>		<b>7</b>	
<b>2014-09-21</b>	<b>8-9</b>	<b>9</b>	<b>5 – 7 (mainly 6)</b>	<b>12</b>
<b>2014-09-30</b>	<b>9</b>	<b>9</b>	<b>5 - 6</b>	

**Table S1.**

Sectors where different ion species / populations were detected, corresponding to different angles of arrival. The sector numbers are defined in Fig. 3.