A New SATIRE-S Spectral Solar Irradiance Reconstruction for Solar Cycles 21–23 and Its Implications for Stratospheric Ozone*

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ABSTRACT

The authors present a revised and extended total and spectral solar irradiance (SSI) reconstruction, which includes a wavelength-dependent uncertainty estimate, spanning the last three solar cycles using the Spectral and Total Irradiance Reconstruction—Satellite era (SATIRE-S) model. The SSI reconstruction covers wavelengths between 115 and 160,000 nm and all dates between August 1974 and October 2009. This represents the first full-wavelength SATIRE-S reconstruction to cover the last three solar cycles without data gaps and with an uncertainty estimate. SATIRE-S is compared with the Naval Research Laboratory Spectral Solar Irradiance (NRLSSI) model and ultraviolet (UV) observations from the Solar Radiation and Climate Experiment (SORCE) Solar Stellar Irradiance Comparison Experiment (SOLSTICE). SATIRE-S displays similar cycle behavior to NRLSSI for wavelengths below 242 nm and almost twice the variability between 242 and 310 nm. During the decline of the last solar cycle, between 2003 and 2008, the SSI from SORCE SOLSTICE versions 12 and 10 typically displays more than 3 times the variability of SATIRE-S between 200 and 300 nm. All three datasets are used to model changes in stratospheric ozone within a 2D atmospheric model for a decline from high solar activity to solar minimum. The different flux changes result in different modeled ozone trends. Using NRLSSI leads to a decline in mesospheric ozone, while SATIRE-S and SORCE SOLSTICE result in an increase. Recent publications have highlighted increases in mesospheric ozone when considering version 10 SORCE SOLSTICE irradiances. The recalibrated SORCE SOLSTICE version 12 irradiances result in a much smaller mesospheric ozone response than that of version 10, and this smaller mesospheric ozone response is similar in magnitude to that of SATIRE-S. This shows that current knowledge of variations in spectral irradiance is not sufficient to warrant robust conclusions concerning the impact of solar variability on the atmosphere and climate.

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1. Introduction

There is substantial evidence to suggest that changes in the solar irradiance influence variations in the temperature and circulation of Earth’s atmosphere over the 11-yr solar cycle. Many of these results are based on correlations with the 10.7-cm solar flux (e.g., Labitzke and van Loon 1995; van Loon and Shea 1999) or the wavelength-integrated total solar irradiance (TSI) [see Haigh (2003) and references therein]. While TSI is a good indicator of the total solar forcing on the climate, it cannot be used to understand the physical interaction between the solar radiation and the atmosphere, as spectral solar irradiance (SSI) variability, and the altitude in the atmosphere at which it is absorbed, is highly wavelength dependent (Meier 1991; Lean et al. 1997; Krivova et al. 2006).

There is a growing body of evidence to suggest that TSI, and as a consequence SSI, may vary on secular time scales exceeding the 11-yr solar cycle. Fröhlich (2009), Lockwood et al. (2010), and Ball et al. (2012) provide some evidence that TSI may have been slightly lower in the recent minimum, compared to the two prior to that, though the Physikalisch-Meteorologisches Observatory Davos (PMOD) composite of TSI observations (Fröhlich 2006) and the modeled TSI by Ball et al. (2012) are consistent, within the error bars, with no change between the last three minima. Estimates of the increase in TSI since the seventeenth century vary widely [see Schmidt et al. (2012), Solanki and Unruh (2013), and references therein], though most recent estimates lie in the range ~1.0–1.5 W m$^{-2}$ (Wang et al. 2005; Krivova et al. 2007; Steinhilber et al. 2009; Krivova et al. 2010), a change similar to solar cycle variability.

A large proportion of the variability in TSI is due to much larger relative variations at UV wavelengths compared to those at the longer visible and infrared (IR) wavelengths. Wavelengths shorter than 400 nm account for less than 10% of the absolute value of TSI but contribute 30%–60% to TSI variability according to models (Lean et al. 1997; Krivova et al. 2006) and measurements by the Solar–Stellar Irradiance Comparison Experiment (SOLSTICE) (Rottman et al. 2001) and the Solar Ultraviolet Spectral Irradiance Monitor (SUSIM) (Floyd et al. 2003; Morrill et al. 2011) on the Upper Atmosphere Research Satellite (UARS) made prior to 2006, as well as the Scanning Imaging Absorption Spectrometer for Atmospheric Cartography (SCIAMACHY) on the Environmental Satellite (Envisat) (Pagaran et al. 2009). The data from the Spectral Irradiance Monitor (SIM) (Harder et al. 2005) and SOLSTICE (Snow et al. 2005) instruments onboard the Solar Radiation and Climate Experiment (SORCE) spacecraft indicate that this contribution might be as high as 180% (Harder et al. 2009), though it should be noted that SIM is currently undergoing a reanalysis. A value larger than 100% is possible because the SSI in the visible measured by SIM varies in antiphase to that in the UV.

The UV radiation influences many processes in the atmosphere. Of particular interest is the interaction between solar UV radiation and ozone, which is the largest contributor to heating in the stratosphere. Variation of solar UV radiation over secular time scales may have an effect on global temperature trends, and the impact is important to quantify. Haigh et al. (2010) and Merkel et al. (2011) both investigated the potential impact that the SSI changes observed by SORCE (Rottman 2005; Harder et al. 2009) could have on stratospheric ozone concentrations, using a coupled chemistry–climate 2D atmospheric model and the fully 3D general circulation Whole Atmosphere Community Climate Model (WACCM), respectively. Both studies obtained qualitatively similar results when using hybrid SORCE data from SOLSTICE and SIM, though the two studies adopted different wavelengths to change between SOLSTICE and SIM. While the magnitude and the exact heights varied, both studies found that between 2004 and 2007, when solar UV output was declining, ozone concentrations increased above ~45 km while they decreased below ~40 km. It is interesting to note that trends in O$_3$ from the Microwave Limb Sounder (MLS) on the Aura satellite and the Sounding of the Atmosphere using Broadband Emission Radiometry (SABER) on Thermosphere, Ionosphere, Mesosphere Energetics and Dynamics (TIMED) observations presented by Haigh et al. (2010) and Merkel et al. (2011), respectively, suggest that SORCE SSI may better capture solar variability than models because of the negative response in mesospheric ozone that is out of phase with solar irradiance changes. On the other hand, Austin et al. (2008) did not find a negative ozone response to cycle changes of the sun using combined data from several satellites prior to 2004. Dhomse et al. (2013) suggest that the negative response in the lower mesosphere cannot be used to distinguish between SSI datasets because of the large uncertainties in the ozone observations.

The significant differences in SSI variability between data from SORCE and different models, the latter partly relying on earlier observations (see Ermolli et al. 2013), indicate that there is still much uncertainty in our knowledge of how the sun’s irradiance varies spectrally. The larger UV irradiance variability and an inverse solar cycle trend in the visible measured by the SIM instrument on the SORCE satellite (Harder et al. 2005, 2009) may indicate that the solar cycle variability...
observed by previous missions, as well as the models that reproduce similar behavior, may be incorrect. It may also indicate a change in the sun during the recent cycle. However, recent studies suggest that incomplete accounting for instrument degradation may contribute to the SSI trends suggested by SIM data (Ball et al. 2011; Deland and Cebula 2012; Lean and DeLand 2012; Ermolli et al. 2013).

This paper presents an extended and recalibrated dataset using the Spectral and Total Irradiance Reconstruction—Satellite era (SATIRE-S) model (Fligge et al. 2000; Krivova et al. 2003; Wenzler et al. 2004; Ball et al. 2012) for wavelengths between 115 and 160 000 nm and on all days between August 1974 and October 2009 for use by the climate and atmospheric research communities.

SATIRE-S is the most detailed of the SATIRE family of models (Krivova et al. 2011) and provides the most reliable reconstruction of TSI and SSI. It does, however, rely on the availability of magnetograms and continuum intensity images, which restricts its applicability to a comparatively short period of time. In the past, the TSI and SSI reconstructed with SATIRE-S have been further limited by the fact that magnetograms obtained from different instruments do not have the same spatial resolution, noise level, or magnetic field calibration. It requires careful intercalibrations between various magnetographs (and imagers) to allow a homogeneous reconstruction of TSI. This has been successfully done by Wenzler et al. (2004, 2006) for the Kitt Peak Solar Observatory (KP) 512-channel magnetograph (512) (Livingston et al. 1976a,b) and spectromagnetograph (SPM) (Jones et al. 1992) instruments and by Ball et al. (2012) for the 512, SPM, and Michelson Doppler Imager (MDI) instruments (Scherrer et al. 1995), the latter of which is onboard the Solar and Heliospheric Observatory (SoHO) spacecraft.

Here we compute the SSI using magnetograms from all three instruments, thus extending the SSI reconstructed by SATIRE-S to fully cover the last three solar cycles, including, for the first time, the extended solar minimum in 2008. The reconstruction is compared with the Naval Research Laboratory Spectral Solar Irradiance (NRLSSI) model (Lean 2009; Lean et al. 2005) and data from the SOLSTICE instrument (McCintock et al. 2005) onboard the SORCE satellite. We then show how the different spectral irradiances of these datasets affect changes in stratospheric O_3, using the atmospheric model based on Harwood and Pyle (1975).

2. Modeling solar irradiance with SATIRE

The SATIRE-S model (Fligge et al. 2000; Krivova et al. 2003; Wenzler et al. 2006; Krivova et al. 2011) assumes that all irradiance variations are the result of changes in the surface photospheric magnetic flux. SATIRE-S identifies four solar surface components in magnetograms and continuum intensity images: the background quiet sun; the dark penumbral and umbral components of sunspots; and small-scale magnetic features, which appear predominantly bright, called faculae.

Daily irradiance spectra are produced by summing the intensities of the four components, weighted according to their surface distribution. The component intensities (as functions of wavelength and limb angle) are calculated with the spectral synthesis program ATLAS 9 (Kurucz 1993) assuming local thermodynamic equilibrium (LTE) conditions. We use time-independent model atmospheres (Fligge et al. 2000; Krivova et al. 2003; Solanki and Unruh 2013) with effective temperatures of 5777, 5450, and 4500 K for quiet sun, penumbral, and umbral intensities, respectively. For faculae, we use the Fontenla–Avrett–Loeser plage (FAL-P) model atmosphere (Fontenla et al. 1993), as modified by Unruh et al. (1999). The wavelength grid of the daily spectra from SATIRE-S has a resolution of 1 nm below 290 nm, 2 nm from 290 to 1000 nm, 5 nm from 1000 to 1600 nm, 10 nm from 1600 to 3200 nm, 20 nm from 3200 to 6400 nm, 40 nm from 6400 to 10 000 nm, and 20 000 nm for the remainder of the spectrum up to 160 μm.

The model has one free parameter; this relates the magnetic flux registered in a magnetogram pixel to the fraction of the pixel filled by faculae. The free parameter is set to a fixed value for each observatory (i.e., for KP and SoHO), as outlined in the next section.

a. Method to combine reconstructions

To maximize the length of the SSI time series, magnetograms and continuum intensity images are taken from three instruments: two at KP that are based on spectropolarimetry of the Fe I 868.8-nm line (Livingston et al. 1976a), the KP 512 (Livingston et al. 1976b) and KP SPM instruments (Jones et al. 1992), and the SoHO MDI instrument, which uses the Ni I 676.8-nm line (Scherrer et al. 1995). The free parameter for each instrument is fixed by comparing the reconstructed TSI to either TSI observations or to a TSI reconstruction made using images from a different instrument. Broadly, three steps are involved in the intercalibration, which are outlined below (see also Ball et al. 2012). The uncertainties arising in this process are outlined in section 2c.

In step (i), we fix the free parameter for the MDI reconstruction by requiring a regression slope of unity between the reconstructed TSI and the SORCE Total Irradiance Monitor (TIM) TSI observations (Kopp and
Lawrence 2005). In step (ii), we combine the KP and MDI magnetogram and continuum images using the KP SPM and SoHO MDI overlap period of 895 days between 1999 and 2003. This requires fixing the free parameter for SPM so that the reconstructed TSI during the overlap period agrees with the TSI derived from the MDI images. However, while the resulting spectral irradiances are very well correlated for the overlap period \( r_c > 0.91 \) at all wavelengths, we see slightly different variability amplitudes in the two reconstructions at some wavelengths. The different instrument design, the use of different spectral lines with different magnetic sensitivities, and the different telescope optics and detectors mean that there are nonlinear, position-dependent differences in the KP and MDI instruments in response to magnetic flux that lead to the differing variability amplitude. The differences in amplitude of variability in the overlap period are typically 2\% in the visible and near-IR and remain below 8\% at all wavelengths. To avoid discontinuities in the SSI trends when changing between reconstructions based on MDI and KP magnetograms, we adjust the variability amplitudes of the KP reconstructions by rescaling them to those of the MDI reconstructions.

Step (iii) involves correcting for the change between the 512 and SPM instruments on KP. While the imaging quality for the KP 512 data is poorer, the two KP polarimeters show very similar flux registration so that the correction can be used to convert the KP 512 magnetogram signal to the KP SPM level (see Wenzler et al. 2006; Ball et al. 2012). Thus, the same filling factor can be used for both KP datasets. While the scaling factor introduces uncertainties regarding the long-term TSI behavior, it does not affect its spectral distribution.

The ATLAS 9 model intensities assume LTE conditions in the solar atmosphere; this can result in large errors in the modeled irradiance variability in some wavelength regions, mainly below 270 nm and at the Mg I line at 285 nm. SATIRE-S does show, however, good agreement with SSI observations from the UARS satellite: the reconstructed SSI in the range 220–240 nm agrees well with UARS SUSIM measurements (Krivova et al. 2006, 2009) and reasonably well with UARS SOLSTICE measurements (Unruh et al. 2012).

To better reflect the spectral irradiance variability between 115 and 270 nm, we apply the empirical method outlined in Krivova et al. (2006). This method relies on the good agreement in the temporal variability of the 220–240-nm region, as calculated by SATIRE-S, and uses the scaling coefficients derived from spectral irradiance measurements over the period 1997–2002 taken by the UARS SUSIM instrument (Brueckner et al. 1993; Floyd et al. 2003). Therefore, spectral regions in SATIRE-S below 220 nm and between 240 and 270 nm rely on SUSIM measurements, and the close agreement in these regions is partly by design. In section 2c, we show an example of this with the reconstructed Lyman-\( \alpha \) irradiance, which is in agreement with the composite of Lyman-\( \alpha \) measurements and proxy models by Woods et al. (2000) and two integrated UV wavelength bands below 290 nm.

The TSI dataset that is obtained by integrating our new SSI reconstruction is considered an update of the TSI reconstruction presented in Ball et al. (2012). While both reconstructions are consistent within their uncertainty ranges, the updated TSI is now based upon the integral of the SSI that is self-consistent at every wavelength for the full reconstruction period. In Ball et al. (2012), the intercycle decline between 1996 and 2008 was estimated to be \( 0.20^{+0.12}_{-0.10} \) W m\(^{-2}\), where the errors are 1\( \sigma \) uncertainties. The new reconstruction revises this estimate down to \( 0.13^{+0.09}_{-0.10} \) W m\(^{-2}\). Note that the reconstructions are calibrated using only the SORCE TIM measurements and are thus independent of any TSI composite post-1990 and independent within the uncertainty range prior to this period [see Ball et al. (2012) for more details].

In a final step, we adjust the absolute levels of SATIRE-S SSI so that the integrated SSI is in agreement with SORCE TIM at the solar minimum in December 2008. For this, the entire spectrum is multiplied by a factor of 1.0047. This small correction of 0.5\% assures that the original variability, as obtained directly from SATIRE-S, is not affected.

b. Data gap filling

The new SATIRE-S SSI reconstruction now extends through the most recent and unusually long solar minimum period, whereas the previous version (Krivova et al. 2009) ended in 2007. For the period between 10 December 1974 and 31 October 2009, images are missing on \( \sim 50 \% \) of dates, mostly within cycles 21 and 22; we fill these data gaps to provide fluxes on all dates over the entire period. To avoid any assumptions about the solar behavior, we decompose each wavelength into short-term, or rotational, and long-term time series. The long-term time series is obtained by smoothing the original time series using a Gaussian window equivalent to a boxcar width of 135 days. We use this period, longer than the typical 81 days, to reduce the impact of short-term variability. The short-term time series, which captures rotational variability, is obtained by subtracting the long-term time series from the original.

Gaps in the long-term SATIRE-S time series are filled by linear interpolation. Most gaps are short, with 90\% of gaps being no longer than a solar rotation of 27 days in
length, so the long-term trend is well approximated by a linear interpolation. Only five data gaps exceed two solar rotations, the longest of which is a 282-day period around the solar minimum of 1976.

Gaps in the detrended, rotational time series are filled using solar activity indices: the National Oceanic and Atmospheric Administration (NOAA) and Laboratory for Atmospheric and Space Physics (LASP) Mg II indices (Viereck et al. 2004; Snow et al. 2005), combined through linear regression; the Lyman-α composite by Woods et al. (2000); the Penticton F10.7-cm radio flux (data available at http://www.spaceweather.ca/solarflux/sx-eng.php); the TSI from version d41_62_1003 of the PMOD composite (Fröhlich 2000); and the sunspot area composite record by Balmaceda et al. (2009). Each index is indicative of the behavior of some feature in the solar atmosphere, although it is not clear exactly how they relate to each wavelength of SSI [see Dudok de Wit et al. (2008) and supplementary material file JAS-D-13-0241s1, section 1b]. Rotational variability at each wavelength is better approximated by using multilinear regression of two indices than by using just one. We calculated the regression coefficient for every combination of two indices for each wavelength using dates when calculated the regression coefficient for every combination of two indices. These uncertainties are associated with the long-term uncertainty of SATIRE-S.

Finally, the detrended and smoothed time series are added together to produce a spectral reconstruction that reflects the long-term variability of SATIRE-S while retaining rotational consistency (see supplementary material file JAS-D-13-0241s1, section 1b for examples). This procedure is expected to perform less well prior to 1978, because the TSI and the Mg II index, which generally have the highest combined correlation coefficients, are unavailable then. Also, this time period coincides with the longest data gaps in the reconstruction.

The change in SSI between maximum activity in solar cycle 23, in 2002, and the minimum in 2008 is plotted in Fig. 1. The blue curve indicates the final SATIRE-S reconstruction. This figure is described in greater detail and discussed in section 3a.

c. Uncertainty estimate

An accurate error estimate for the modeled reconstruction is difficult to provide, since it depends partly on unknowns (such as the amount of magnetic flux missed by the magnetograms) and on uncertainties that cannot be precisely constrained within the scope of this paper (such as the accuracy of the model atmospheres employed or the influence of neglecting non-LTE effects; see section 1c of the supplementary material file JAS-D-13-0241s1). Therefore, we attempt to provide a long-term SSI uncertainty range similar to the approach taken for TSI in Ball et al. (2012). This is an empirical approach that takes into account the uncertainties introduced in the calibration steps described in section 2a. Specifically, we account for step (i), the regression fitting between the TSI derived from MDI images and the SORCE TIM measurements; step (ii), the regression fitting to combine the SATIRE-S reconstructions for MDI and KP data; and step (iii), the uncertainties in the correction factor for the KP 512 relative to the KP SPM magnetograms.

For wavelengths below 270 nm we add, in quadrature, the uncertainty from the SATIRE-S reconstruction and the estimated relative uncertainty of the UARS SUSIM measurements, which are estimated to be on the order of 5% below 142 nm and decrease to about 2% above 160 nm (Woods et al. 1996; L. Floyd 2013, personal communication); we linearly interpolate the uncertainty between these wavelengths. These uncertainties are provided with the published reconstruction. We also flag a few wavelengths in the SATIRE-S spectrum, most notably the Mg I line at 285 nm, where our detailed comparisons with SORCE SIM measurements on rotational time scales indicate that SATIRE-S overestimates the solar variability (see section 1c of the supplementary material file JAS-D-13-0241s1).

To summarize and illustrate the temporal behavior of the uncertainties, we list the cycle amplitudes and their associated uncertainties in Table 1 for the TSI and for selected broadband spectral irradiances. Column 4 lists the cycle amplitudes for cycle 23; these are based on reconstructions from SoHO MDI images [i.e., these account for uncertainties in step (i) only]. As shown in Ball et al. (2012), the error on the regression fitting for the SoHO MDI free parameter is small and arises mainly from the long-term uncertainty in SORCE TIM. The uncertainty on the cycle amplitude (between sunspot maximum in March 2000 to the minimum in December 2008), is on the order of 100 ppm, comparable to the long-term uncertainty of SORCE TIM. We note that the agreement between the TSI derived with SATIRE-S and the available composites is just as good as the agreement between the different composites (Ball et al. 2012).

Going back in time, the uncertainties increase, mainly as a result of the additional calibration steps (ii) and (iii). This is illustrated by the larger uncertainties for the amplitudes of cycles 21 and 22 (see columns 2 and 3 of Table 1, respectively). As illustrated in Fig. 2 of this paper and Fig. 7 of Ball et al. (2012), the uncertainties are asymmetric and typically show slightly larger
positive ranges. This is because of the different response of the magnetograms when connecting reconstructions from MDI and KP as in step (ii) and the uncertainties in the correction factor from step (iii), which only lead to an increase in flux variability, not a decrease (see Ball et al. 2012).

While considerable progress has been made in determining the absolute value of the total solar irradiance (Kopp and Lean 2011), the absolute spectral solar irradiance is still poorly constrained, and a number of different “standard” absolute solar spectra are available [see Thuillier et al. (2003) for a discussion of this]. For this reason, the uncertainties listed here and distributed with the reconstructions are for relative irradiances. Relative spectral irradiances in each band are much better constrained than the absolute accuracy, though the degradation of space instruments means that considerable uncertainties remain when going beyond rotational time scales (Unruh et al. 2012; Ermolli et al. 2013). If users of the SATIRE-S reconstruction wish to use a different absolute spectra as a basis upon which SATIRE-S variability is placed, we provide absolute

![Graph depicting percentage and absolute change in flux between cycle 23 maximum and minimum](image-url)
spectra binned onto the SATIRE-S wavelength grid. We do this for the ATLAS 3 and Whole Heliosphere Interval solar reference spectra (Woods et al. 2009).

The SATIRE-S SSI data can be found through the websites of the Max Planck Institute (http://www.mps.mpg.de/projects/sun-climate/data.html).

3. Intercomparison of SATIRE-S with other datasets

In this section, we compare the SATIRE-S model with the NRLSSI model (Lean 2000; Lean et al. 2005) and with SORCE SOLSTICE observations. NRLSSI is the most widely used, empirically derived, model of SSI, so we perform a comparison with SATIRE-S here. The solar cycle spectral variability recorded by SORCE SOLSTICE is larger than the variability seen by the UARS SUSIM and UARS SOLSTICE instruments. It is also larger than the variability inferred from the models. SORCE SIM displays even larger variability than SORCE SOLSTICE for the overlapping range between 240 and 310 nm, and it is an interesting SSI dataset to consider. However, a comparison of SATIRE-S and an updated version of SORCE SIM version 17 was made in Ball et al. (2011). The SORCE SIM UV changes below 310 nm were up to 5 times larger than the changes seen in SATIRE-S. We note that Fig. 11 of Ball et al. (2011) indicates that SORCE SIM displays up to 10 times the variability of SATIRE-S at some wavelengths between 300 and 400 nm, though the integrated variability of SORCE SIM over this wavelength range was approximately 3.4 times larger than that of SATIRE-S. Ball et al. (2011) suggested that degradation of the instrument has not been properly accounted for and may cause an overestimation of the long-term trends (see also Lean and DeLand 2012; DeLand and Cebula 2012; Unruh et al. 2012; Ermolli et al. 2013). SORCE SIM is currently undergoing a reanalysis that may affect the cycle variability and its uncertainty estimates (P. Pilewskie 2013, personal communication). We therefore only consider observations from SORCE SOLSTICE. We thus compare SATIRE-S and NRLSSI for wavelengths between 120 and 3000 nm and up to 310 nm when comparing with SORCE SOLSTICE.

a. Comparison with the NRLSSI model

NRLSSI is an empirical model that uses the disk-integrated Mg II and photospheric sunspot indices to describe the evolution of sunspots and faculae, respectively. For wavelengths < 400 nm, spectral irradiances are computed from multiple regression analysis with UARS SOLSTICE observations. This analysis is performed on detrended, rotational data to avoid instrumental degradation effects and, therefore, assumes that rotational variability scales with solar cycle changes.

<table>
<thead>
<tr>
<th>Wavelength band (nm)</th>
<th>SC21</th>
<th>SC22</th>
<th>SC23</th>
</tr>
</thead>
<tbody>
<tr>
<td>200–270</td>
<td>114.25±9 114.25±9 99.4±16</td>
<td></td>
<td></td>
</tr>
<tr>
<td>270–400</td>
<td>509.127±46 501.135±47 445.16±21</td>
<td></td>
<td></td>
</tr>
<tr>
<td>400–700</td>
<td>241.141±72 190.166±80 201.1±37</td>
<td></td>
<td></td>
</tr>
<tr>
<td>700–1000</td>
<td>138.157±34 116.78±38 118.2±34</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TSI</td>
<td>979.139±185 876.445±195 837.8±113</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

TABLE 1. Amplitude of flux variability (m W m⁻²) over a solar cycle (SC) for selected wavelength bands and for total solar irradiance. The uncertainty of the amplitude is also given.
in irradiance. For wavelengths above 400 nm, facular and sunspot contrasts from the models by Solanki and Unruh (1998) are scaled to agree with solar cycle TSI observations. NRLSSI’s integrated flux is \( \sim 4 \text{ W m}^{-2} \) higher than that of SO<sub>2</sub>C TIM, so we normalize NRLSSI to SO<sub>2</sub>C TIM, as was done for SATIRE-S in section 2a.

It is worth briefly considering how the TSI derived by integrating the SSI differs between the two models. In Fig. 2, the smoothed, wavelength-integrated SATIRE-S (blue), NRLSSI (red), and PMOD composite of TSI (black) (Fröhlich 2003) are plotted between 1978 and 2009. NRLSSI and PMOD have been normalized to the absolute value of SATIRE-S, averaged over 3 months, centered at the minimum of 1986. The SATIRE-S uncertainty range is plotted with light blue shading, and the cycle minima error bars from PMOD are plotted as black bars (Fröhlich 2009). Although other TSI composites exist, PMOD is now generally accepted as the most accurate TSI composite of observations, which is why we consider only it here (see Ball et al. 2012 for comparisons with all TSI composites on rotational and cyclical time scales). We find correlation coefficients between NRLSSI and SATIRE-S TSI with PMOD, of 0.92 and 0.96, respectively; detrending the time series, as described in section 2b, yields correlation coefficients of 0.87 and 0.96 for the rotational variability. These statistics suggest that SATIRE-S reproduces the PMOD composite of observations better than NRLSSI. However, there are periods when NRLSSI matches PMOD better, on yearly and longer time scales, than SATIRE-S. For example, the large difference between SATIRE-S and PMOD around 1991–93 is due to the remaining uncertainties in the cross calibration of the KP 512 and SPM magnetograms (see also Wenzler et al. 2006; Ball et al. 2012).

The intercycle trends of the three datasets are subtly different. Whereas PMOD and SATIRE-S show a decline of \( \sim 0.20^{+0.16}_{-0.26} \) and \( 0.13^{+0.07}_{-0.10} \) W m\(^{-2}\), respectively, between 1996 and 2008, NRLSSI exhibits no change over this period. NRLSSI’s behavior is most likely due to the use of the Mg Set as full size: II. index as a proxy for long-term changes; this index does not exhibit any strong intercycle variation (Fröhlich 2009). Note, however, that the uncertainty of the cycle minima in PMOD and SATIRE-S also encompasses the NRLSSI model estimate of no change, though the Mg II record is not entirely free of long-term uncertainty either (Snow et al. 2005; M. Snow 2012, personal communication). We note that while accurate TSI is important to act as a constraint for the SSI in both NRLSSI and SATIRE-S [and SO<sub>2</sub>C SIM, which is much less well constrained (see Ball et al. 2011)], it does not ensure that the SSI is correct, in either case, as higher variability at some wavelengths can be compensated by lower variability at other wavelengths.

For the SSI comparison between NRLSSI and SATIRE-S, in Fig. 1 we consider the change in flux \( \Delta F \) between two 81-day averaged periods centered on 1 February 2002, the second and highest peak of cycle 23, and 15 December 2008, the cycle 23/24 minimum. This provides the largest range of change in cycle 23.

In the top plot of Fig. 1, the percentage change between the maximum and minimum of solar cycle 23 is plotted on a logarithmic scale, while the bottom plot depicts the absolute change in flux on a linear y axis. The spectral uncertainty in SATIRE-S is very small for cycle 23 and is virtually invisible on the plotted scales. We therefore plot the spectral variability and the uncertainties for each cycle in section 1a of the supplementary material file JAS-D-13-0241s1. The wavelength spacings in the two models are different. Consequently, NRLSSI has been interpolated onto the SATIRE-S wavelength grid (see section 2). The regions below 242 nm and between 242 and 310 nm are important in ozone production and destruction processes in the stratosphere (see section 4), so these have been highlighted with vertical dashed lines.

Below 242 nm, the two models agree well in the change of flux, with larger differences apparent only below \( \sim 150 \text{ nm} \); these result from the use of different instruments to define the cycle variability [i.e., UARS SUSIM for SATIRE-S (see section 2c) and the scaled rotational variability of UARS SOLSTICE for NRLSSI (see above)]. Integrating over 120–242 nm, a region important for the photodissociation of O\(_2\) and O\(_3\), we find that NRLSSI shows the same solar cycle change as SATIRE-S, though we note that the SATIRE-S Lyman-\( \alpha \) has \( \sim 6\% \) larger cycle amplitude than NRLSSI during the descending phase of cycle 23, while this is generally larger for NRLSSI in earlier solar cycles (see section 3c and Fig. 4). These differences in Lyman-\( \alpha \) cycle changes will have an impact on OH chemistry, which indirectly affects ozone concentrations. Between 242 and 310 nm, important in O\(_3\) photodissociation, the integrated \( \Delta F \) is more than 50% larger in SATIRE-S than NRLSSI.

SATIRE-S shows up to 3 times larger cycle variability than NRLSSI for wavelengths between 300 and 400 nm. At longer wavelengths, between 400 and 1250 nm (i.e., in the visible and near-IR) NRLSSI generally displays larger cycle variability than SATIRE-S. At yet longer wavelengths, both NRLSSI and SATIRE-S display negative variability in the IR, though this occurs over a wider range and with higher variability in SATIRE-S than NRLSSI, the latter of which only shows negative variability between 1500 and 1850 nm. Where this transition to negative variability occurs is highly
dependent on the facular model used [see supplementary material file JAS-D-13-0241s1 section 1c and Unruh et al. (1999, 2000, 2008)]. We note that negative variability in the IR region is also seen in SORCE SIM in the IR above 970 nm, but this change is much larger than in both NRLSSI and SATIRE-S. In Ball et al. (2011), the integrated IR region of 972–1630 nm shows ∼0.00 W m⁻² change in SATIRE-S between 2004 and 2008, while SORCE SIM increases by 0.24 W m⁻². For all wavelengths between 200 and 1600 nm, detailed comparisons between SORCE SIM and the SATIRE-S and NRLSSI models are made by Ball et al. (2011) and Lean and DeLand (2012), respectively.

b. Comparison with SORCE SOLSTICE

SORCE SOLSTICE observations cover the UV region 115–310 nm. In Fig. 3, we compare the modeled SSI with SORCE SOLSTICE between two 81-day average periods centered on 15 August 2003 and 15 December 2008. This period constitutes ∼60% of the full cycle variation in TSI and allows for a direct comparison with SORCE SOLSTICE observations that started on 14 May 2003 (i.e., sometime after the maximum of cycle 23). The left plot of Fig. 3 shows the absolute change in flux, while the right is the change in absolute flux relative to SATIRE-S. We plot version 10 of SORCE SOLSTICE data in addition to the latest version 12, as we consider version 10 in the next section. SORCE SOLSTICE data have a reported long-term uncertainty of 0.5% yr⁻¹, which amounts to ∼2.7% for the period considered in Fig. 3 and is shown with gray shading for version 12 only (up to 290 nm); version 12 data have additional degradation corrections compared to prior versions that result in a change to the absolute level and relative change in spectral irradiance (M. Snow 2013, personal communication). When not otherwise specified, version 12 is referred to in the following.

From Fig. 3, SORCE SOLSTICE cycle variability is in reasonable agreement with the models below 140 nm and near 165, 180, and 255 nm. At most other wavelengths below 290 nm, cycle variability is larger in SORCE SOLSTICE than in the models, typically by at least a factor of 2: it is twice that of SATIRE-S at 170 and 200 nm; between 200 and 250 nm SORCE SOLSTICE increases from 2 up to 5 times the change in SATIRE-S; and between 260 and 290 nm, it typically ranges between 1.7 and 3.5 times larger than SATIRE-S. Above 290 nm the long-term uncertainty of SORCE SOLSTICE exceeds the flux change ΔF (M. Snow 2013, personal communication), so results cannot be considered realistic or useful in comparison to the models.

c. Time series comparison with NRLSSI and SORCE SOLSTICE

We show examples of time series for three different wavelength bands: Lyman-α at ∼121, 176–242, and 242–290 nm.

In Fig. 4, we compare the Lyman-α composite by Woods et al. (2000) (black) with SATIRE-S (blue), NRLSSI (red), and SORCE SOLSTICE versions 12.
and 10 (yellow, dashed). In this figure, SATIRE-S and NRLSSI are normalized to the Lyman-α composite for the solar minimum of 1986 (as was done for the TSI plot with PMOD in Fig. 2), while both versions of SORCE SOLSTICE are normalized to the 3-month averaged period around December 2008. The gray shading is an estimated 10% uncertainty (Woods et al. 2000) on the composite cycle amplitude, or approximately 0.22 mW m$^{-2}$ at the 1σ level. The Lyman-α composite agrees with SORCE SOLSTICE version 10 almost exactly during the declining phase of cycle 23 because that version was used in this version of the Lyman-α composite. Light blue shading is the uncertainty range of SATIRE-S at 121.5 nm and is the quadrature sum of uncertainty from the SATIRE-S reconstruction and an estimated 5% uncertainty from UARS SUSIM for the correction applied using the method by Krivova et al. (2006).

The nominal values of NRLSSI and SATIRE-S are at the lower end of the Lyman-α uncertainty range. Considering that there is uncertainty at the cycle minima and maxima, SATIRE-S and NRLSSI absolute values could be shifted up and remain within the Lyman-α composite uncertainty in all three solar cycles. Therefore, both models agree with the Lyman-α observations, within the uncertainty, at almost all times on annual-to-decadal time scales.

UARS SUSIM monitored spectral irradiance between 115 and 410 nm for the period 1991–2005 and suggests lower solar cycle changes in UV SSI than SORCE SOLSTICE. In Fig. 5, we show the time series for two integrated regions, between 176–242 and 242–290 nm (important in the production and destruction of stratospheric ozone, respectively), for SATIRE-S (blue), NRLSSI (red), SORCE SOLSTICE version 10 (yellow) and version 12 (green), and UARS SUSIM (purple). The absolute fluxes of NRLSSI and UARS SUSIM are shifted to SATIRE-S for the average of the period between 1997 and 2000 and those of SORCE SOLSTICE are shifted to SATIRE-S by the average over the period between June and November 2003. Both wavelength bands show similar solar cycle behavior for UARS SUSIM and the two models; SORCE SOLSTICE shows much larger solar cycle trends. We note that the degradation correction issue discussed by Krivova et al. (2006) can be seen just prior to the solar minimum of 1996. This
would lead to a change of the cycle maximum in 1991, relative to that of 2000.

However, the two bands in Fig. 5 are broad spectral bands; selecting different wavelength ranges might lead to different conclusions as to which model is better at reconstructing SSI solar cycle changes based on UARS SUSIM measurements. The inset in the bottom plot of Fig. 1 shows the solar cycle change in flux, from 3-month averages centered on the solar minimum in 1996 and solar maximum in 2002, in 10-nm bands between 120 and 400 nm. This plot illustrates the wavelength dependence of the solar cycle changes. All three datasets show good agreement below 250 nm. Above 250 nm, UARS SUSIM and SATIRE-S typically show larger solar cycle changes than NRLSSI; SATIRE-S shows closer solar cycle changes to UARS SUSIM than NRLSSI.

Understanding the effect that the sun has on Earth’s atmosphere and climate requires reliable estimates of SSI variability. The differences between SATIRE-S, NRLSSI, and SORCE SOLSTICE lead to different predicted impacts on Earth’s atmosphere (e.g., heating and photodissociation rates) as the following example considering changes in ozone concentration shows.

4. Modeled $\Delta O_3$ from different input SSI

We employ the radiative–chemical transport atmospheric model based on that of Harwood and Pyle (1975) to compare the resultant change in ozone concentration $\Delta O_3$ when using modeled and observational SSI data as a solar input. The atmospheric model has been used in many studies to investigate the dynamics and chemical interactions of Earth’s atmosphere (e.g., Bekki et al. 1996; Warwick et al. 2004; Haigh et al. 2010). It calculates the zonal mean temperature and winds and chemical constituent concentration in a time-dependent 2D model with full radiative–chemical–dynamical coupling. The model considers a spherical earth with seasons but without land topography or oceans. The model is resolved into 19 latitudes and 29 pressure levels up to 95 km. In this study, the only difference between model runs is the specification of the input SSI. Use of different
input SSI affects photochemical reactions involved in ozone production and destruction, which account for the largest contribution to heating in the stratosphere through the absorption of solar flux below 310 nm. The spectral resolution of the input spectra for the atmospheric model decreases nonlinearly from less than 1 nm at 116 nm to 5 nm at 300 nm; it remains at 5-nm resolution up to 650 nm, after which it has 10-nm resolution up to 730 nm.

The atmospheric model requires input SSI up to 730 nm. As SORCE SOLSTICE data are not available above 310 nm, SATIRE-S fluxes are used. This is valid, as there is little effect on ΔO₃ profiles from wavelengths above 310 nm. SORCE SOLSTICE data show anomalous, large inverse trends in ΔF between 298 and 310 nm, indicated by the dotted lines in Fig. 3, but tests show that this narrow region also has very little impact on the magnitude and spatial distribution of ΔO₃; the results remain effectively unchanged if we use SATIRE-S at and above 298 nm, so we use the full-wavelength range of SORCE SOLSTICE in runs using SOLSTICE.

Tests show that the background spectrum (absolute flux) upon which the changes in SSI are superimposed makes very little difference to the O₃ results, which depend more critically on the spectral shape of the change. This is because of the strong wavelength dependence of ozone production–destruction reactions. We therefore make no attempt to adjust fluxes to a common absolute level, and we use the SSI data as published (see also section 1d of the supplementary material file JAS-D-13-0241s1).

Using the same model that we use here, Haigh et al. (2010) investigated middle-atmosphere ozone changes for SSI changes between 2004 and 2007 for NRLSSI and hybrid SORCE spectra with SIM data for wavelengths > 200 nm and an older version of SOLSTICE below. Merkel et al. (2011) presented similar work using the WACCM model, but switched between an older version of SORCE SOLSTICE and SOLSTICE SIM datasets at 240 nm. The choice of wavelength at which to make the switch is somewhat arbitrary and makes a direct comparison with the runs we do here difficult. Also, the exact choice of dates over which SSI are averaged is different between Haigh et al. (2010) and Merkel et al. (2011). We do not try to reproduce their model setups exactly. Instead, we focus on making comparisons between the models and observations we present here. However, it is worth noting that the spatial distributions of ΔO₃ when using version 10 of SORCE SOLSTICE show similar structure and magnitude to the results presented by Haigh et al. (2010) and Merkel et al. (2011). Both studies, when employing SORCE data between 2004 and 2007, found a negative response (i.e., increasing ozone out of phase with solar irradiance) in the mesosphere around 55 km of 1.2% and 2%, respectively. They also found a positive response in the middle stratosphere below 40 km, reaching ~2%. Using NRLSSI in these studies resulted in a response in phase with SSI changes at all altitudes between 30 and 60 km of up to ~1%.

The input UV SSI for the atmospheric model is the 81-day average spectra; the difference of which is presented in Fig. 3. In Fig. 6 the change in ozone concentration produced by taking the difference between atmospheric model outputs that use SSI from 2003 and 2008 is shown for (a) NRLSSI, (b) SATIRE-S, (c) SORCE SOLSTICE v10, and (d) SORCE SOLSTICE v12. The period considered is during a decline in TSI and UV fluxes that is approximately 50% larger than for the 2004–07 periods used by Haigh et al. (2010) and Merkel et al. (2011). In Fig. 6a the result for NRLSSI is qualitatively similar in spatial distribution to the NRLSSI result of Haigh et al. (2010), but values are approximately 50% larger, as expected for the larger UV change. Figure 6c, using SORCE SOLSTICE version 10 also displays qualitatively similar ΔO₃ to those using SORCE presented by Haigh et al. (2010) and Merkel et al. (2011). The general spatial distribution of ΔO₃ in both versions of SORCE SOLSTICE presented in Figs. 6c and 6d are similar. However, there are two striking differences: the magnitude of ΔO₃ in the equatorial lower mesosphere is reduced by a factor of 6 at around 55 km, from ~1.6% to ~0.2%, for versions 10 and 12, respectively; and the zero line has also shifted up by ~5 km in version 12, with an increased ΔO₃ maximum in the stratosphere at around 40 km. The change in ΔO₃ from version 10 to version 12 reflects a general decrease of ΔF at wavelengths > 242 nm and competing increases and decreases in ΔF at wavelengths < 242 nm.

Despite showing different responses in the atmospheric model for these different datasets, versions 10 and 12 of SORCE SOLSTICE only differ at a small number of wavelengths by more than the stated uncertainty of 0.5% per annum. So, even though there are differences in the ΔF values of the two SORCE SOLSTICE versions, they are within the stated uncertainty. Our work shows that knowledge of the detailed spectra to better than this accuracy is required to place any faith in derived atmospheric effects.

The run using SATIRE-S, in Fig. 6b, also displays a negative ΔO₃ above ~50 km, though of lower magnitude than for SORCE SOLSTICE. Relative to NRLSSI, the negative response in the mesosphere results mainly from the larger ΔF in SATIRE-S above 242 nm. The total concentration of O + O₃ is similar in SATIRE-S and NRLSSI because of similar ΔF for wavelengths < 242 nm. The UV radiation at wavelengths between
242 and 310 nm photodissociates ozone to produce \( O(1D) \) and \( O_2 \). This has two effects: it reduces the \( O_3/O \) ratio, and \( O(1D) \) reacts with \( H_2O \) to produce \( OH \), which catalytically destroys \( O_3 \). Both processes tend to decrease the \( O_3 \) concentration at these altitudes. Although NRLSSI and SATIRE-S show similar cycle variability below 242 nm, the reduction in ozone concentration at all altitudes and negative response in the mesosphere of SATIRE-S, with respect to NRLSSI, is a result of the larger flux change in SATIRE-S at wavelengths longer than 242 nm. SORCE SOLSTICE shows very different flux changes compared to SATIRE-S and NRLSSI, but the similar response in the mesosphere, relative to SATIRE-S, is caused by competing effects on ozone concentration at all wavelengths.

The modeling study by Swartz et al. (2012) shows similar responses in \( O_3 \) to the NRLSSI and SORCE spectra as Haigh et al. (2010) and Merkel et al. (2011). Analysis of observational ozone data [e.g., Solar Backscatter UV (SBUV), Stratospheric Aerosol and Gas Experiment (SAGE), and Halogen Occultation Experiment (HALOE) from Soukharev and Hood (2006), Aura MLS from Haigh et al. (2010), and TIMED SABER from Merkel et al. (2011)] suggests a range of changes in ozone with uncertainties that span the range of differences shown by the model runs here. It is therefore not possible, with datasets and methods currently available, to make unequivocal statements about the “true” ozone response to solar cycle changes. The absolute calibration is less important than the long-term trends in spectral irradiance, the accuracy of which is limited by the stability of the instrument sensitivity. Accurate cycle trends in spectral irradiance are crucial to have confidence in the solar effect on Earth. At the moment, this is lacking.

5. Discussion and conclusions

In this paper, we present SATIRE-S spectral solar irradiances covering fully the last three solar cycles spanning 1974–2009. SATIRE-S is a semiempirical model that assumes all irradiance changes are the result
of changes in surface magnetic flux (Fligge et al. 2000; Krivova et al. 2003). Data are available for all dates over the period with an accompanying error estimate.

We compare our new SATIRE-S spectral irradiances with the NRLSSI model and SORCE SOLSTICE observations. NRLSSI and SATIRE-S are in good agreement between ~150 and 242 nm. Between 242 and 400 nm, the solar cycle change in flux in SATIRE-S is generally ~50% larger than in NRLSSI. Both models display significantly lower cycle variability than SORCE SOLSTICE at almost all wavelengths between 190 and 290 nm.

Both models provide consistent, long-term reconstructions of solar irradiance with different cycle variability. At the moment, there is insufficient reliable observational spectral irradiance data to identify which model is more accurate (on solar cycle time scales). While NRLSSI uses solar indices, SATIRE-S provides a direct translation from observed solar images to irradiances. The results presented in this paper show that SATIRE-S reproduces the PMOD TSI composite values better than NRLSSI (though the uncertainties of SATIRE-S and PMOD both encompass NRLSSI). Above 250 nm, the SATIRE-S spectral response is closer to that shown by UARS SUSIM than NRLSSI (see inset of Fig. 1). We suggest that the SATIRE-S spectral irradiances should be considered in future climate studies, either on their own or in addition to NRLSSI.

We present an example of the physical implications that these different SSI datasets have within the stratosphere. We calculate the ozone concentration resulting from the use of three datasets within a 2D atmospheric model. We find that: (i) the magnitude of mesospheric \( \Delta O_3 \) response when using SORCE SOLSTICE versions 10 and 12 is very different; (ii) SATIRE-S mimics the small negative mesospheric solar cycle change that SORCE SOLSTICE version 12 produces; and (iii) NRLSSI displays positive changes at all heights.

Interestingly, a recent study by Wang et al. (2013) suggests that modeled solar cycle changes in OH concentration in the stratosphere agree better with observations when using SORCE than NRLSSI data as a model input. We note that Wang et al. (2013) used hybrid SORCE datasets with SIM data either above 240 or above 210 nm and SOLSTICE below these wavelengths; this is different to the spectra we use here or those used by Haigh et al. (2010) and Merkel et al. (2011). However, the different ozone changes in the mesosphere resulting from SSI inputs from two different models and two versions of the same observational dataset in this study highlights the need for a better understanding of solar cycle changes at wavelengths important for \( O_2, O_3 \) and other atmospheric photochemistry, such as \( OH \) as in Wang et al. (2013). If the solar effect on ozone is to be isolated correctly, it is imperative that greater certainty is established in SSI variability.

This raises an important point that should be considered when making comparisons of observed with modeled ozone changes that use different SSI datasets. Data are usually updated to make an improvement on previous releases. Unfortunately, the fast pace at which data revisions are made makes comparisons with previous publications difficult. In the case presented here, the change in \( \Delta O_3 \) between versions 10 and 12 of SORCE SOLSTICE leads to a result in the mesosphere that is so different that it is not yet possible to make robust conclusions about SSI or ozone based on the negative ozone mesospheric response. Many previous investigations have used different SORCE SIM spectral data and hybrids with SORCE SOLSTICE to investigate the atmospheric response. This makes direct comparisons with the results published in the literature difficult. We have focused the investigation to highlight what is different about SATIRE-S and to only consider the effect of one SORCE dataset in each run. A major result is that significant uncertainties remain in how SSI affects the atmosphere on time scales longer than the solar rotation.

The SATIRE-S SSI data are available for download through the Max Planck Institute (http://www.mps.mpg.de/projects/sun-climate/data.html).

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