



**Firedrake-Fluids  
(v0.1)**

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# Firedrake-Fluids v0.1: numerical modelling of shallow water flows using a performance-portable automated solution framework

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## Abstract

This model description paper introduces a new finite element model for the simulation of non-linear shallow water flows, called Firedrake-Fluids. Unlike traditional models that are written by hand in static, low-level programming languages such as Fortran or C, Firedrake-Fluids uses the Firedrake framework to automatically generate the model's code from a high-level abstract language called UFL. By coupling to the PyOP2 parallel unstructured mesh framework, Firedrake can then target the code in a performance-portable manner towards a desired hardware architecture to enable the efficient parallel execution of the model over an arbitrary computational mesh. The description of the model includes the governing equations, the methods employed to discretise and solve the governing equations, and an outline of the automated solution process. The verification and validation of the model, performed using a set of well-defined test cases, is also presented along with a roadmap for future developments and the solution of more complex fluid dynamical systems.

## 1 Introduction

Traditional approaches to numerical model development involve the production of hand-written, low-level (e.g. C or Fortran) code for the specific set of equations that need to be solved. This task alone can be highly error-prone, often resulting in sub-optimal code, and can make the efficiency, readability and longevity of the codebase difficult to maintain (Rognes et al., 2013; Farrell et al., 2013; Mortensen et al., 2011; Maddison and Farrell, 2014). Moreover, parallelisation of the code is usually accomplished by introducing explicit calls to parallel programming libraries such as OpenMP or CUDA. By doing so, computational scientists are frequently faced with the additional task of having to re-write their model's code as new parallel hardware architectures and platforms emerge. At the current rate that new hardware is introduced, this development workflow is unsustainable and places an infeasible requirement on the developer to not only

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be a subject/domain specialist adept in computational methods, but also well-versed in software engineering and parallelisation principles. A change to the traditional programming paradigm is clearly necessary if numerical model development is to continue in a sustainable manner.

Recent investigations into the use of automated solution techniques have shown great potential in mitigating some of the issues faced with traditional approaches to writing numerical models. The FEniCS project (Logg et al., 2012) is a well-known example of a framework which uses such a solution technique to automatically generate low-level model code to solve ordinary and partial differential equations (using the finite element method) from a near-mathematical high-level language, rather than by hand. This hides complexity through abstraction, and allows users to focus only on the problem specification and the end results of simulations. Furthermore, optimal or near-optimal performance can be achieved through code optimisations that would be tedious to implement by hand (Ølgaard and Wells, 2010). These benefits have been realised in numerous applications in the geosciences. For example, the use of the FEniCS framework by Maddison and Farrell (2014) allowed the runtime of their adjoint models to be as small (or even smaller) than an equivalent model generated and optimised by hand, and the extension of FEniCS by Rognes et al. (2013) to solve partial differential equations on the sphere permits ocean and atmospheric models to be written with just a few lines of high-level code rather than several thousand lines of low-level C or Fortran code. Several other application areas using automated solution techniques have demonstrated similar benefits (see e.g. the works by Farrell et al., 2013; Funke and Farrell, 2014; Logg et al., 2012).

Despite the success of FEniCS, the portability of its performance across different current and future high-performance computing hardware is limited since the generated code is independent of the architecture it can execute on. In contrast, the Firedrake project (Imperial College London, 2013) is geared towards performance-portability across different hardware platforms (e.g. multi- and many-core CPUs and GPUs), as well as the efficient handling of mesh topology (e.g. taking advantage of the

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semi-structured nature of a three-dimensional layered mesh extruded in the vertical, as often employed in ocean/atmospheric applications), and computational operations (e.g. avoiding the re-assembly of time-independent finite element discretisation matrices by caching them (Maddison and Farrell, 2014)). Essentially, Firedrake provides the same high-level problem solving interface, with enhanced performance benefits. Performance-portability is achieved by interfacing with the PyOP2 parallel unstructured mesh computation framework, which targets the automatically generated code towards specific high-performance computing platforms (Rathgeber et al., 2012; Markall et al., 2013). Recent application of PyOP2's code optimisation strategies has demonstrated up to a factor 4 speed-up compared to running FEniCS-generated code (Luporini et al., 2014). Furthermore, for a suite of benchmark problems (including Cahn–Hilliard, advection–diffusion and Poisson equation-based problems), Firedrake is at least as fast, if not faster, than the FEniCS framework (Rathgeber, 2014). In addition to performance benefits, the abstraction-based approach employed by Firedrake can also help future-proof models from hardware changes and removes a great deal of effort required by computational scientists to maintain the codebase.

In light of the issues surrounding the use of static, hand-coded numerical models, and the benefits that the Firedrake framework can bring, a new numerical model called Firedrake-Fluids has been developed for computational fluid dynamics (CFD)-related applications. The long-term goal of the project is to facilitate a re-engineering of Fluidity (Piggott et al., 2008), another CFD package (also developed at Imperial College London) comprising hand-written Fortran code whose efficiency, readability and longevity has become challenging to maintain as the package has grown over many years. In contrast to Fluidity, Firedrake-Fluids has been written in the high-level Unified Form Language (UFL) and uses Firedrake to automate the solution process. Currently it is capable of solving the non-linear shallow water equations which are widely used in the ocean modelling community for applications such as tidal turbine dynamics (Divett et al., 2013; Kramer et al., 2014; Martin-Short et al., 2014), array optimisation (Funke et al., 2014), tsunami modelling (Hill et al., 2014), flow dynamics

over submerged islands (Lloyd and Stansby, 1997), and dam breaching and flooding (Capart and Young, 1998). In addition to the core model, Firedrake-Fluids offers upwind stabilisation methods, a variety of diagnostic fields, and the Smagorinsky LES model (Smagorinsky, 1963) for the parameterisation of turbulence.

Section 2 details the set of equations that are solved and the assumptions under which they are valid. Section 3 describes the numerical methods that are used to discretise and solve the governing equations, followed by an overview of the automated solution techniques employed by the Firedrake framework. Section 4 presents results from a suite of test cases used to verify the correctness of the numerical model's implementation, and show how well it describes the physics. A discussion regarding the future developments and direction of Firedrake-Fluids is presented in Sect. 5, along with some concluding remarks in Sect. 6. Finally, Sect. "Code availability" contains information regarding the availability of the Firedrake-Fluids codebase, the license under which it is released, and where the model's documentation can be found.

## 2 Model equations

The model described in this paper solves the non-linear, non-rotational shallow water equations. These are a set of depth-averaged equations which model the dynamics of a free surface and associated depth-averaged velocities (Zhou, 2004). For modelling purposes, the free surface is split up into a mean component  $H$  and a perturbation component  $h$  (where  $h$  is generally assumed to be much smaller than  $H$ ) as illustrated in Fig. 1.

The shallow water equation set comprises a momentum equation and a continuity equation, each of which are defined below.

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## 2.1 Momentum equation

The momentum equation is solved in non-conservative form such that

$$\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} = -g \nabla h + \nabla \cdot \mathbb{T} - C_D \frac{\|\mathbf{u}\| \mathbf{u}}{(H + h)}, \quad (1)$$

5 where  $t$  is time,  $g$  is the acceleration due to gravity (set to  $9.8 \text{ m s}^{-2}$  throughout this paper),  $\mathbf{u} \equiv \mathbf{u}(x, y)$  is the depth-averaged velocity, and  $C_D$  is the non-dimensional drag coefficient. The stress tensor  $\mathbb{T}$  is given by

$$\mathbb{T} = \nu (\nabla \mathbf{u} + \nabla \mathbf{u}^T) - \frac{2}{3} \nu (\nabla \cdot \mathbf{u}) \mathbb{I}, \quad (2)$$

10 where  $\nu$  is the kinematic viscosity, which is assumed to be isotropic here, and  $\mathbb{I}$  is the identity tensor<sup>1</sup>.

## 2.2 Continuity equation

The continuity equation is given by

$$\frac{\partial h}{\partial t} + \nabla \cdot ((H + h) \mathbf{u}) = 0. \quad (3)$$

## 3 Methods

### 3.1 Automated code generation

Solving a given set of equations in the Firedrake framework requires only the weak forms of the model equations (along with associated boundary and initial conditions) to

<sup>1</sup>Note that, when using discontinuous basis functions for the velocity field (see Sect. 3.2), the form of the stress tensor is currently restricted to  $\mathbb{T} = \nu \nabla \mathbf{u}$ .

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be expressed in a near-mathematical language called Unified Form Language (UFL), an embedded language that uses Python as its host (Alnæs et al., 2014). An example of a model defined in UFL which solves a two-dimensional advection–diffusion problem is given in Fig. 2 (with associated results in Fig. 3), and highlights how the implementation can be accomplished with just a few lines of intuitive statements. This one file containing approximately 50 lines of UFL is automatically compiled into over 600, much more complicated, lines of low-level C code which are executed over the entire mesh by PyOP2 to perform the assembly of the finite element system.

The UFL code is compiled at run-time, using a modified version of the FEniCS Form Compiler (FFC)<sup>2</sup> (Kirby and Logg, 2006; Luporini et al., 2014), into an intermediate representation as an abstract syntax tree (AST) before being passed into the PyOP2 library, as shown in Fig. 4. Furthermore, optimal numbering of the solution nodes in the domain is important to avoid cache misses and ensure efficient computation; therefore, the topology of any mesh that is provided by the user (e.g. from the Gmsh mesh generator (Geuzaine and Remacle, 2009)) is described using a PETSc DMPlex object which is also passed to PyOP2 along with the AST. PyOP2 then performs additional optimisations on the AST using the COFFEE compiler (Luporini et al., 2014) which outputs the model's optimised low-level C code. Finally, PyOP2 calls a back-end compiler (e.g. GNU gcc or the Intel C compiler for CPUs) to compile the generated code on demand at run-time (known as just-in-time compilation), and then executes it efficiently over the entire domain. As previously mentioned, in addition to targeting the code towards multi-core CPUs, PyOP2 can also target the generated code towards a specific parallel platform using, for example, the PyOpenCL and PyCUDA compilers for GPUs.

<sup>2</sup>The original version of FFC which is part of the FEniCS project compiles the UFL into low-level C++ code called UFC (Kirby and Logg, 2006; Logg and Wells, 2010), whereas the modified version in Firedrake first compiles the UFL into an abstract syntax tree for further manipulation and optimisation by the PyOP2 framework (Luporini et al., 2014).

## 3.2 Spatial and temporal discretisation

The spatial discretisation of the model equations is performed using the Galerkin finite element method. The first step of the method involves deriving the variational/weak form of the model equations by multiplying them through by a so-called test function  $\mathbf{w} \in H^1(\Omega)^3$ , where  $H^1(\Omega)^3$  is the first Hilbertian Sobolev space (Elman et al., 2005), and integrating over the whole domain  $\Omega$ ; this yields, in the case of the momentum equation (Eq. 1):

$$\int_{\Omega} \mathbf{w} \cdot \frac{\partial \mathbf{u}}{\partial t} dV + \int_{\Omega} \mathbf{w} \cdot (\mathbf{u} \cdot \nabla \mathbf{u}) dV = - \int_{\Omega} g \mathbf{w} \cdot \nabla h dV - \int_{\Omega} \nabla \mathbf{w} \cdot \mathbb{T} dV - \int_{\Omega} C_D \mathbf{w} \cdot \frac{\|\mathbf{u}\| \mathbf{u}}{(H+h)} dV. \quad (4)$$

Note that the stress term has been integrated by parts and it is assumed that the normal stress at all boundaries is zero. In this weak form, a solution  $\mathbf{u} \in H^1(\Omega)^3$  is sought for all  $\mathbf{w} \in H^1(\Omega)^3$ .

The test function and the solution  $\mathbf{u}$  (also known as the trial function) are then replaced by discrete representations, given by a linear combination of basis functions  $\{\phi_i\}_{i=1}^{N_{u\_nodes}}$  which may be continuous or discontinuous across the cells/elements of the mesh:

$$\mathbf{w} = \sum_{i=1}^{N_{u\_nodes}} \phi_i \mathbf{w}_i, \quad (5)$$

$$\mathbf{u} = \sum_{i=1}^{N_{u\_nodes}} \phi_i \mathbf{u}_i, \quad (6)$$

where  $N_{u\_nodes}$  is the number of velocity solution nodes in the mesh,  $\mathbf{w}_i$  are arbitrary, and the coefficients  $\mathbf{u}_i$  are sought using a numerical solution method. The free surface perturbation field  $h$ , which needs to be solved for in addition to the velocity field, is also



represented by a (possibly different) set of basis functions  $\{\psi_i\}_{i=1}^{N_{h\_nodes}}$ :

$$h = \sum_{i=1}^{N_{h\_nodes}} \psi_i h_i, \quad (7)$$

where  $N_{h\_nodes}$  is the number of free surface solution nodes, and  $h_i$  are the coefficients to be found.

The discrete system of size  $N_{u\_nodes} \times N_{u\_nodes}$  for the momentum equation then becomes:

$$\mathbf{M} \frac{\partial \mathbf{u}}{\partial t} + \mathbf{A}(\mathbf{u})\mathbf{u} + \mathbf{K}\mathbf{u} = -\mathbf{C}h + \mathbf{D}(\mathbf{u}, h)\mathbf{u}, \quad (8)$$

where  $\mathbf{M}$ ,  $\mathbf{A}$ ,  $\mathbf{K}$ ,  $\mathbf{C}$  and  $\mathbf{D}$  are the mass, advection, stress, gradient and drag discretisation matrices, respectively. The notation  $\mathbf{A}(\mathbf{u})$  and  $\mathbf{D}(\mathbf{u}, h)$  is used to highlight the non-linear dependence of the matrices on the velocity and free surface fields. A similar process is performed for the continuity equation (Eq. 3), resulting in a full block-coupled system.

The temporal discretisation is performed using the implicit backward Euler method, yielding:

$$\mathbf{M} \frac{\mathbf{u}^{n+1} - \mathbf{u}^n}{\Delta t} + \mathbf{A}(\mathbf{u}^{n+1})\mathbf{u}^{n+1} + \mathbf{K}\mathbf{u}^{n+1} = -\mathbf{C}h^{n+1} + \mathbf{D}(\mathbf{u}^{n+1}, h^{n+1})\mathbf{u}^{n+1}, \quad (9)$$

where the superscript  $n$  represents the current time level and  $n + 1$  represents the next time level. The backward Euler method gives first-order accuracy in time. Newton iteration is employed to deal with the non-linearity introduced via the advection and drag terms, although this does not need to be implemented explicitly by the model developer; instead, it can be performed using a PETSc Scalable Nonlinear Equations Solvers (SNES) object.

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A wide variety of basis functions of arbitrary order are available through FIAT (the Finite element Automatic Tabulator) (Kirby, 2004). For the simulations presented in this paper, only the P2-P1 (i.e. piecewise-quadratic basis functions representing the velocity field and piecewise-linear basis functions representing the free surface field) and P0-P1 (i.e. piecewise-constant (discontinuous) basis functions for velocity and piecewise-linear basis functions for the free surface) element pairs will be considered. Unless otherwise stated, the P2-P1 element pair will be used in preference to P0-P1, in order to obtain higher-order solutions.

### 3.3 Solution methods

Firedrake assembles the full block-coupled form of the discrete system of linear equations and attempts to solve it using a suite of iterative (as well as direct) numerical solution methods. Firedrake links with the PETSc library which contains a variety of linear solvers and preconditioners (Balay et al., 2006), and has proven itself in facilitating geoscientific model development (Katz et al., 2007). For the simulations presented here, the GMRES linear solver (Saad and Schultz, 1986) is chosen and used in conjunction with the fieldsplit preconditioner (Brown et al., 2012) which is especially suited to block-coupled systems such as the one considered here.

### 3.4 Setup and execution

Firedrake-Fluids uses an XML-based configuration file, normally edited with the Diamond graphical user interface (GUI) (Ham et al., 2009), to set up simulations. Users can enter options concerning the simulation's name, the path to any input files (e.g. mesh files), the fields to be solved, discretisation options, and also the inclusion of auxiliary models such as the Smagorinsky LES model (Smagorinsky, 1963). In addition, initial and boundary conditions for each field can be specified either as a constant value, or as a C++ expression for time-varying or spatially-varying conditions. An example

of the GUI is shown in Fig. 5. In the case of the shallow water model, all simulation configuration files have the extension.swml (Shallow Water Markup Language).

All UFL model code is stored in the `models` directory of Firedrake-Fluids. Execution of, for example, the shallow water model is performed by calling the Python interpreter and providing the path to the simulation configuration file; an example for the test case involving flow past a square cylinder (discussed in Sect. 4) would be:

```
python models/shallow_water.py
tests/swe_flow_past_a_square/
swe_flow_past_a_square.swml
```

Solution fields are written to files in VTK format for visualisation.

## 4 Verification and validation

The following subsections describe some of the key verification and validation test cases included in Firedrake-Fluids. These tests are executed using the Buildbot automated testing framework whenever a change is made to the software (Farrell et al., 2011) to ensure that any bugs introduced during the development of Firedrake-Fluids (or through the development of Firedrake itself and other dependencies such as PETSc) are detected and promptly resolved by the developers.

### 4.1 Convergence analysis

Since no general analytical solution to the shallow water equations exists, the Method of Manufactured Solutions (MMS) (Roache, 2002) was used to perform a convergence analysis and verify the correctness of the model implementation. The first step of MMS involves inventing or “manufacturing” a function and modifying the original equation such that this manufactured function is the analytical solution of the modified equation. Substituting this function into the shallow water equations will generate a non-zero

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source term which can then be placed on the right-hand side, such that the manufactured/invented solution is now the analytical solution to this modified set of equations.

A two-dimensional domain with dimensions  $0 \leq x \leq 1$  m and  $0 \leq y \leq 1$  m was used for the MMS simulations. Simulations were run with three different structured meshes with characteristic element lengths  $\Delta x = 0.2, 0.1$  and  $0.05$  m. The time-steps were set to  $\Delta t = 0.01, 0.005, 0.0025$  s respectively, to enforce a near-constant bound on the Courant number. A zero initial condition was used for both the velocity and free surface fields, and Dirichlet boundary conditions which agreed with the analytical/manufactured solutions for the velocity and free surface were enforced along all walls of the domain.

Both the P2-P1 and P0-P1 element pairs were considered. The manufactured solutions were  $h = \sin(x)\sin(y)$  and  $\mathbf{u} = [\cos(x)\sin(y), \sin(x^2) + \cos(y)]^T$ . The physical parameters, given in Table 1, were chosen arbitrarily and used across all the simulations.

The P2-P1 element pair was first considered to check the Galerkin method with continuous basis functions. As shown in Fig. 6a and b, this exhibited second-order convergence for both the velocity field and the free surface field which gave confidence in the correctness of the implementation. While third-order convergence may have been expected for the velocity field because of the use of a P2 function space, the reduced order of convergence was the result of the coupling between the velocity and free surface fields such that the lower order of convergence in the free surface field dominated. A similar effect can be seen with the P0-P1 where both the velocity and free surface perturbation fields exhibited only first order convergence; it was the error in the velocity field that dominated here.

## 4.2 Dam failure

Dam failure (also known as dam break) problems are commonly used to test the performance of shallow water models. The presence of a discontinuity in the initial condition makes them particularly difficult to accurately solve. Both one-dimensional and two-dimensional results are presented.

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The one-dimensional case considers a channel  $0 \leq x \leq 2000$  m. A dam wall is located at  $x = 1000$  m which holds back the water contained in the upstream reservoir. The water in the reservoir has a total depth of 10 m, while downstream the total water depth is set to 5 m. The water is initially at rest. At  $t = 0$  the dam is instantaneously removed, thereby simulating its failure, allowing water to rush into the downstream section. Typical shock characteristics for the velocity and free surface perturbation fields were observed and compared well with the semi-analytical solutions of the corresponding one-dimensional Riemann problem shown in Fig. 7 at  $t = 60$  s. Note that the simulation used an element length of  $\Delta x = 5$  m and a time-step of 0.25 s, as per the simulations of Liang et al. (2008) which consider the same scenario. The kinematic viscosity was set to  $1 \text{ m}^2 \text{ s}^{-1}$ , and the drag coefficient was set to zero.

The two-dimensional case considers a square domain with dimensions  $0 \leq x \leq 200$  m and  $0 \leq y \leq 200$  m. A 10 m-thick dam is placed in the centre of the domain as shown in Fig. 8. In this scenario, only a partial failure of the dam is simulated; water rushes into the downstream area through a 75 m-long breach in the dam wall. As before, the water is initially at rest. The upstream reservoir contains water with a total height of 10 m, while the downstream section contains water with a total height of 5 m. No-normal flow boundary conditions are applied along all walls (including those of the dam). Once again, the time-step ( $\Delta t = 0.2$  s) and the characteristic element length ( $\Delta x = 5$  m) were the same as those chosen by Liang et al. (2008). The kinematic viscosity was set to  $1 \text{ m}^2 \text{ s}^{-1}$ , and the drag coefficient was set to zero.

The results at  $t = 7.2$  s are shown in Fig. 9. The water that rushed into the downstream area formed a tidal bore wave which has started to spread out laterally, while a depression/rarefaction wave has started to propagate upstream. Furthermore, small vortices are visible where the flow has separated from the dam wall immediately downstream of the breach, resulting in a total free surface height of less than 5 m (the initial mean height downstream). These qualitative results closely agree with those from the numerical simulations by Liang et al. (2008) and Mingham and Causon (1998).

### 4.3 Tidal flow over a regular bed

The test case described by Bermudez and Vazquez (1994) considers tidal flow in a one-dimensional domain of length  $L = 14\,000$  m. The mean water height (and hence the topography of the bed) is defined by

$$H(x) = 50.5 - \frac{40x}{L} - 10 \sin \left[ \pi \left( \frac{4x}{L} - \frac{1}{2} \right) \right]. \quad (10)$$

The initial conditions  $h(x, 0) = 0$  and  $u(x, 0) = 0$  are applied along with the following boundary conditions for the free surface and velocity:

$$h(0, t) = 4 - 4 \sin \left[ \pi \left( \frac{4t}{86400} + \frac{1}{2} \right) \right], \quad (11)$$

to simulate an incoming sinusoidally-varying tidal wave, and

$$u(L, t) = 0, \quad (12)$$

at the outflow boundary.

This simulation was performed with a mesh element length of  $\Delta x = 14$  m. The time-step  $\Delta t$  was set to 2.5 s and the simulation finished at  $t = 9117.5$  s (the same time considered by Zhou, 2004). The kinematic viscosity was set to  $1 \text{ m}^2 \text{ s}^{-1}$ , and the drag coefficient was set to zero. The results in Fig. 10 illustrate how the velocity of the flow increases in deeper regions of the body of water as expected. The numerical results also display good accuracy with the analytical solutions given by Bermudez and Vazquez (1994), thereby further validating the numerical model.

### 4.4 Tidal flow over an irregular bed

A second version of the tidal flow test case considered previously is one that involves an *irregular* bed topology, with sharp peaks and troughs which can be a challenge to represent accurately. This test case is described by Zhou (2004).

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The test case considers a one-dimensional domain of length  $L = 1500$  m. The irregular topography of the bed  $B(x)$  is defined in Table 2, and the mean water height is given by  $H(x) = 20 - B(x)$ . The initial conditions  $h(x, 0) = -4$  and  $u(x, 0) = 0$  are applied along with the following boundary conditions for the free surface and velocity:

$$h(0, t) = -4 \sin \left[ \pi \left( \frac{4t}{86400} + \frac{1}{2} \right) \right], \quad (13)$$

$$u(L, t) = 0, \quad (14)$$

The element length  $\Delta x = 7.5$  m and the time-step  $\Delta t = 0.3$  s, as per the setup of Zhou (2004). The simulation was performed until  $t = 10\,800$  s. All remaining components of the setup were the same as the regular bed test case described in Sect. 4.3.

Figure 11 once again demonstrates a good match between the numerical results and the analytical solution, and demonstrates the robustness of the numerical model in accurately representing more rapidly varying areas of the solution.

## 4.5 Flow past a square cylinder

Simulations of laboratory-scale flow past solid objects are commonly used to validate turbulence models due to the vast amount of available experimental data at high Reynolds numbers. In this work, the Smagorinsky LES model in Firedrake-Fluids was employed to evaluate its ability to parameterise the effects of turbulent flow past a square cylinder. The setup used in the experiments by Lyn and Rodi (1994) and Lyn et al. (1995) (and the numerical simulations by Rodi et al., 1997) was considered.

The dimensions of the domain are given in terms of the width/length of the square  $d = 0.04$  m in Fig. 12. An unstructured mesh with a characteristic element length  $\Delta x = d/15$ , generated with Gmsh (Geuzaine and Remacle, 2009), was used; this value of  $\Delta x$  is comparable to the minimum element lengths used in the numerical simulations presented in the paper by Rodi et al. (1997). The free surface mean height was set to  $H = 4d$  (the depth of the experimental flow tank). The physical kinematic viscosity of

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the fluid was set to  $10^{-6} \text{ m}^2 \text{ s}^{-1}$ , which corresponded to a Reynolds number of 21 400 when using  $d$  as the length scale. The Smagorinsky LES model parameterised the turbulence via an eddy viscosity (Smagorinsky, 1963; Deardorff, 1970)

$$v' = (C_s \Delta_e)^2 |S|. \quad (15)$$

where  $C_s$  is the Smagorinsky coefficient (set to 0.164 here, within the typical range of  $C_s$  values (Deardorff, 1971)), and  $\Delta_e$  is an estimate of the local mesh size which is defined here as the square root of the area of each element.  $|S|$  is the modulus of the strain rate tensor defined by

$$S = \frac{1}{2} (\nabla \mathbf{u} + \nabla \mathbf{u}^T). \quad (16)$$

This eddy viscosity, which models the dissipating effects of small-scale turbulent eddies on the resolved flow, is added to the physical viscosity in the stress term of the momentum equation.

Initially the velocity and free surface perturbation fields were set to zero. At the inlet, a constant velocity boundary condition of  $0.535 \text{ m s}^{-1}$  was enforced; the inflow was laminar and no turbulent eddies were seeded along the boundary. No-normal flow boundary conditions were applied along the side walls, while no-slip boundary conditions were applied along all walls of the square. At the outflow, a Flather boundary condition (Flather, 1976) (specifying an external velocity equal to that at the inlet, and a free surface perturbation of zero) was used to allow flow out of the domain whilst minimising reflections. A time-step of  $\Delta t = 5 \times 10^{-4} \text{ s}$  was chosen, and the simulation was performed until  $t = 15 \text{ s}$ .

Soon after the flow began to enter the domain through the inlet, boundary layers began to form around the sides of the square where the transition to turbulence took place. A strong recirculating region formed immediately behind the square, followed by continuous turbulent vortex shedding which commenced after approximately 4 s of simulation time. The vortex street is clearly visible in Fig. 13 which shows the  $x$  component of the velocity field at  $t = 10 \text{ s}$ .

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The stream-wise velocity along the centreline, time-averaged over a period of 15 s from the start of the simulation, was compared with the experimental data presented by Lyn et al. (1995) and Rodi et al. (1997); the results in Fig. 14 show a good match with the experimental data behind the square cylinder in the recirculating region where turbulent vortex shedding occurs, thereby illustrating the benefits of using the Smagorinsky LES model to accurately capture the turbulent flow characteristics. However, the wake recovery region was poorly represented; the unfortunate lack of accuracy in this region has also been observed in other numerical models (Rodi et al., 1997), and additional parameterisations and the full three-dimensionality of the problem may need to be considered to properly represent the wake.

## 5 Roadmap

The long-term aim is to extend Firedrake-Fluids into a *suite* of numerical models which encompass a much wider range of flow types, as well as additional equation sets (e.g. the full Navier–Stokes equations) and constitutive equations (e.g. for describing Darcy’s law in porous media). Essentially, Firedrake-Fluids seeks to facilitate a complete re-engineering of the Fluidity CFD code, whilst maintaining the mature modelling functionality that Fluidity offers.

One of the first application areas that Firedrake-Fluids will focus on, using the shallow water model described in this paper, is flow around tidal turbines. This will contribute to an on-going effort towards understanding the potential of renewable energy systems. The multi-scale nature of the application will necessitate the use of high-performance computing, and Firedrake’s ability to target code towards more modern hardware architectures such as GPU clusters will be utilised. Regarding the application area itself, the integration of adjoint optimisation models is of particular related interest. For example, recent progress in the optimisation of the layout of a tidal turbine farm using the FEniCS automated solution framework has proven to be a successful technique for maximising the theoretical amount of generated power (Funke et al., 2014). The DOLFIN-adjoint

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library (Farrell et al., 2013) was used for this purpose. Although FEniCS and Firedrake both expect UFL statements as input, not all of the UFL interfaces are compatible with each other at present; a similar adjoint library for Firedrake (Firedrake-adjoint) is therefore under development by the authors of DOLFIN-adjoint, and its use in the shallow water model is one of the shorter-term goals of the Firedrake-Fluids project. The issue of compatibility is being addressed by the developers of Firedrake.

Realistic tidal and atmospheric modelling simulations will require boundary values to be read in from forcing files. Popular formats include NetCDF and ERA-40/GRIB, for which robust data readers will be required. Therefore, another short-term item on the roadmap is the evaluation and integration of existing readers into the Firedrake-Fluids framework (or their development in-house, should no suitable reader exist).

Further to the existing Smagorinsky (1963) LES turbulence model, the roadmap features support for additional turbulence parameterisations including RANS-type models, such as those considered by Mortensen et al. (2011) for the FEniCS framework. Alternative discretisation schemes, including control volume methods which have desirable boundedness and conservativeness properties (Wilson, 2009), and high-order slope limiters for the existing discontinuous Galerkin method, will also be implemented. It is expected that a large proportion of this work will need to be undertaken within the Firedrake and PyOP2 frameworks, in addition to Firedrake-Fluids, in order to correctly describe the mesh topology (including that of the dual mesh in the case of control volume methods).

## 6 Conclusions

This model description paper has introduced a new open-source finite element model, Firedrake-Fluids, for the simulation of shallow water flows. The model is written in the high-level, near-mathematical Unified Form Language and uses the Firedrake framework (coupled with the PyOP2 library) to automate the solution process and provide performance-portability across different hardware platforms. The automated solution

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approach allows the focus to be on the equations that are solved and the numerical results, and removes the requirement for model developers to be experts in parallel programming and software engineering. Furthermore, the high-level specification of the problem facilitates better maintainability of the Firedrake-Fluids code base; in comparison with the shallow water model in the Fluidity CFD code, which features static hand-written Fortran, the Firedrake-Fluids source code is considerably shorter and more intuitive. Firedrake-Fluids uses approximately 400 lines (excluding comments and blank lines), compared to many thousands to perform the same task in Fluidity. Note that the 400 lines include code to obtain user settings, initial conditions, etc from the simulation configuration file, and to make the model as generic as possible; if the model were to be written for a specific setup, the number of lines could potentially be further minimised to just a few dozen.

At run-time, the high-level model specification defined in Firedrake-Fluids is converted by Firedrake (and the PyOP2 framework) into optimised, low-level C code. This is then compiled with a back-end compiler appropriate for the target architecture (e.g. the Intel compiler for CPUs, the CUDA C compiler for NVIDIA GPUs, or OpenCL for AMD GPUs). As new high-performance architectures are introduced in the future, only the PyOP2 layer which deals with code targetting needs to be modified; model developers are not burdened with the task of specialising the model code itself, which is presently a common problem even in modern finite element models.

Several verification and validation test cases were performed to ensure the correctness of Firedrake-Fluids and its ability to accurately simulate physical problems. These included a convergence analysis with different finite element pairs, a simulation of dam breaching, and tidal flow dynamics over different seabed topologies. Overall, the numerical results were highly satisfactory and displayed good agreement with analytical solutions, experimental data and observations.

## Code availability

Firedrake-Fluids is an open-source software package that has been released under the GNU General Public License (Version 3). The codebase is hosted by GitHub in a public repository and can be obtained at the following URL: <https://github.com/firedrakeproject/firedrake-fluids>. The particular version of Firedrake-Fluids considered in this paper (version 0.1) is available from the releases page.

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**Table 1.** Parameters used in the MMS test cases.

Parameter	Description	Value
$C_D$	Drag coefficient	0.0025
$\nu$	Kinematic viscosity	$0.6 \text{ m}^2 \text{ s}^{-1}$
$H$	Mean free surface height	20 m

**Table 2.** Bed heights along the seabed from Zhou (2004).

$x$ (m)	Bed height $B(x)$ (m)
0	0
50	0
100	2.5
150	5
250	5
300	3
350	5
400	5
425	7.5
435	8
450	9
475	9
500	9.1
505	9
530	9
550	6
565	5.5
575	5.5
600	5
650	4
700	3
750	3
800	2.3
820	2
900	1.2
950	0.4
1000	0
1500	0

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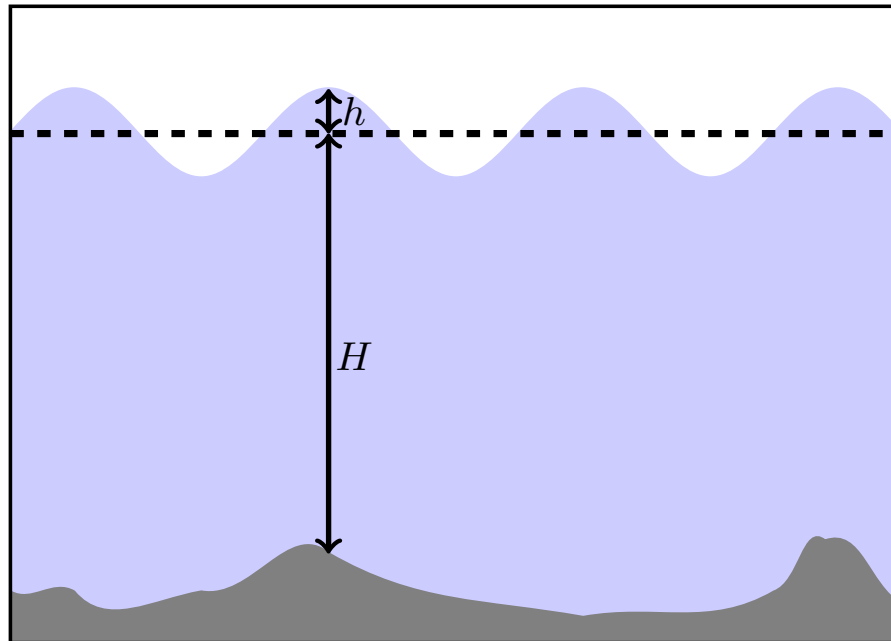
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**Figure 1.** Diagram showing the mean free surface height  $H$  (also known as the depth or the distance to the seabed, shaded gray) and the free surface perturbation  $h$ , within the shallow water model.

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```

from firedrake import *

# The mesh (a unit square containing 10 x 10 vertices)
mesh = UnitSquareMesh(50, 50)

# Function spaces (simply piecewise continuous linear basis functions here)
fs = FunctionSpace(mesh, "Lagrange", 1)
vfs = VectorFunctionSpace(mesh, "Lagrange", 1)

# Test and trial functions in the variational formulation
w = TestFunction(fs)
c = TrialFunction(fs)

# Initial condition for c (a Gaussian situated in the centre of the domain)
c_initial = Expression(''exp(-( pow(x[0]-x0, 2)/(2*pow(spread_x, 2)) +
                               pow(x[1]-y0, 2)/(2*pow(spread_y, 2)) ))'',
                       x0=0.5, y0=0.5, spread_x=0.075, spread_y=0.075)

# c_old is the old solution of the field 'c'.
# This holds the initial condition at time t = 0.
# Here we evaluate the expression c_initial and interpolate the values
# onto the solution nodes for c_old.
c_old = Function(fs).interpolate(c_initial)

# A constant velocity field to advect c with.
u_initial = Expression(("0.1", "0.0"))
# Interpolate the values of u_initial onto the nodes in the mesh.
u = Function(vfs).interpolate(u_initial)

# Time-step size
dt = 0.1

# Diffusion coefficient
k = 1e-3

# The variational/weak form of the advection-diffusion equation
F = (1.0/dt)*(inner(w, c) - inner(w, c_old))*dx + inner(w*u, grad(c))*dx \
    + k*inner(grad(w), grad(c))*dx

# Output file containing the solution for 'c'
out = File("gaussian.pvd")
solution = Function(fs)

# Time-stepping loop
t = 0; T = 5.0 # Current time and finish time
while t <= T:
    t += dt; print t

    # Solve the system of equations
    solve(lhs(F) == rhs(F), solution)
    out << solution

    # Update c_old
    c_old.assign(solution)

```

**Figure 2.** Sample Python code which uses the high-level Unified Form Language (UFL) to solve the advection–diffusion equation with the finite element method. The solution field  $c$  has a Gaussian profile at  $t = 0$ , which is then advected with a prescribed velocity field  $\mathbf{u} = [0.1, 0]^T$ .

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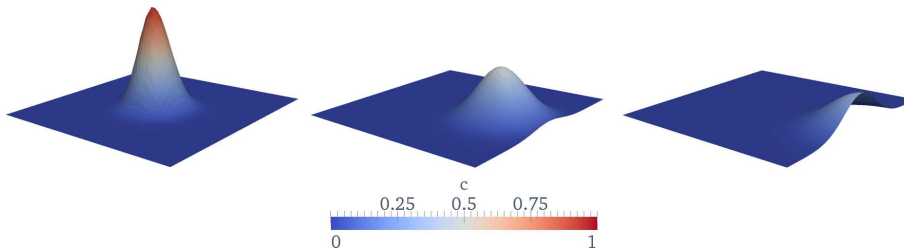
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**Figure 3.** Visualisation of the solution field  $c$  at  $t = 0, 2.5,$  and  $5$  s from the advection–diffusion problem defined in Fig. 2. The initial Gaussian profile is advected from left-to-right, out of the domain, and slowly diffuses over time. The field has been warped in the  $z$  direction.

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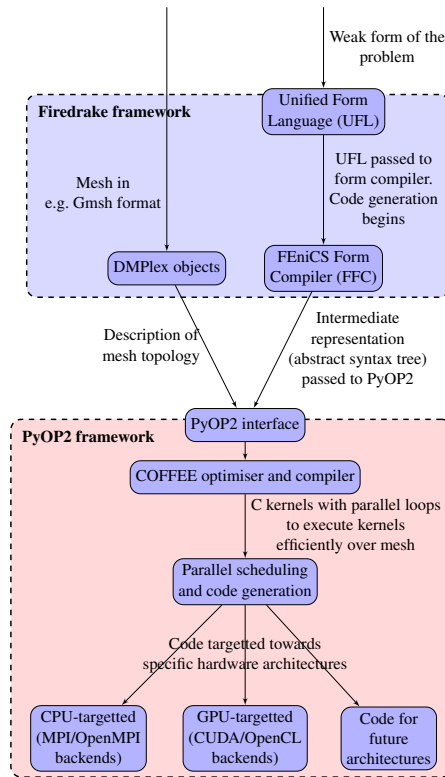
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**Figure 4.** Overview of the key components of the Firedrake and PyOP2 frameworks (Rathgeber, 2014).

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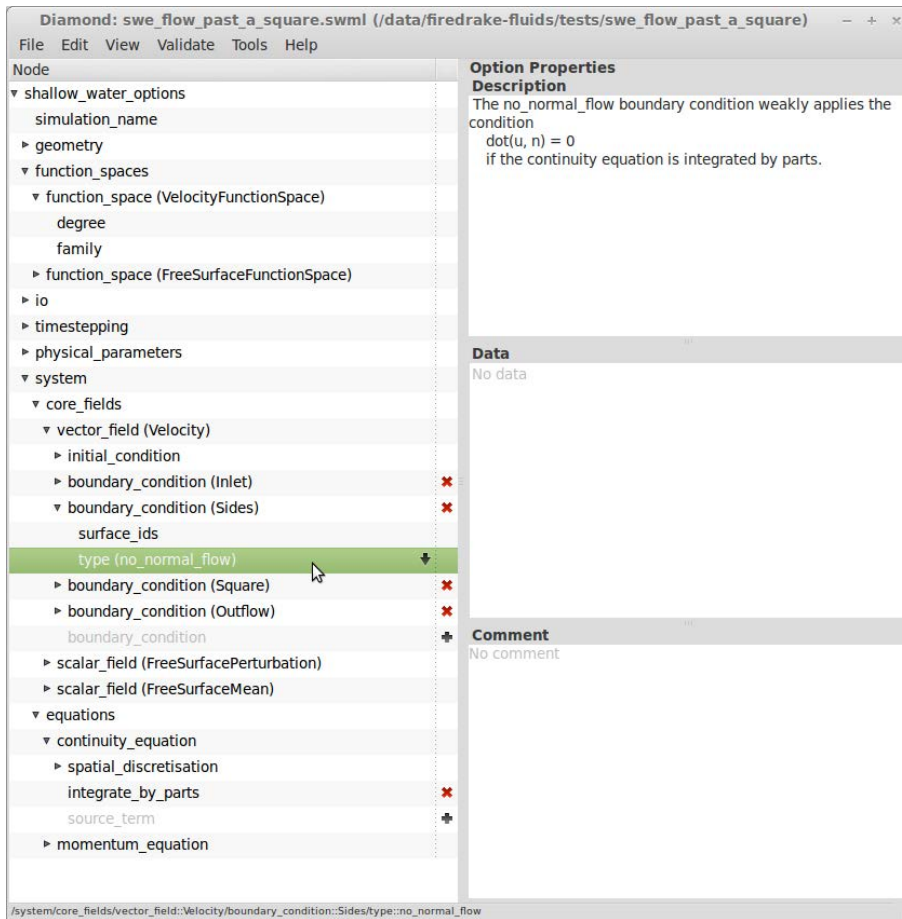
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**Figure 5.** The Diamond (Ham et al., 2009) graphical user interface for editing Firedrake-Fluids simulation configuration files.

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## Firedrake-Fluids (v0.1)

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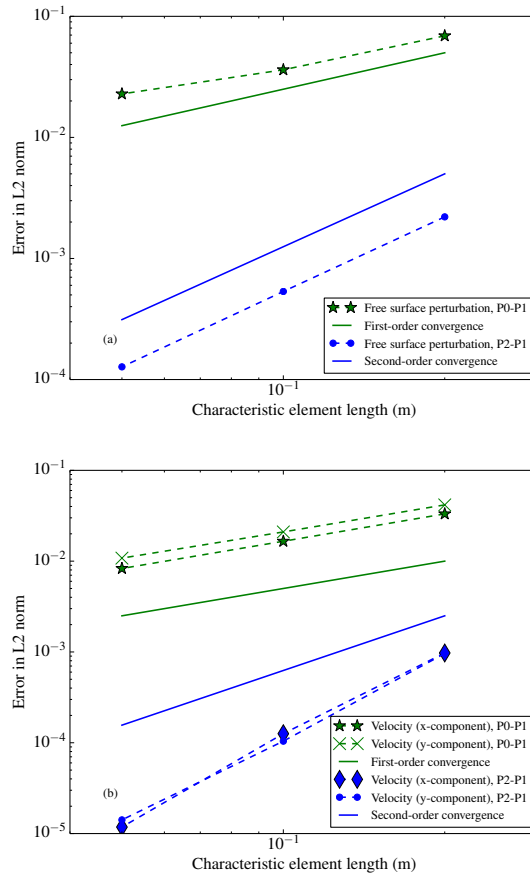
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**Figure 6.** The orders of convergence for **(a)** the free surface field and **(b)** the velocity field, in the P2-P1 and P0-P1 MMS test cases.

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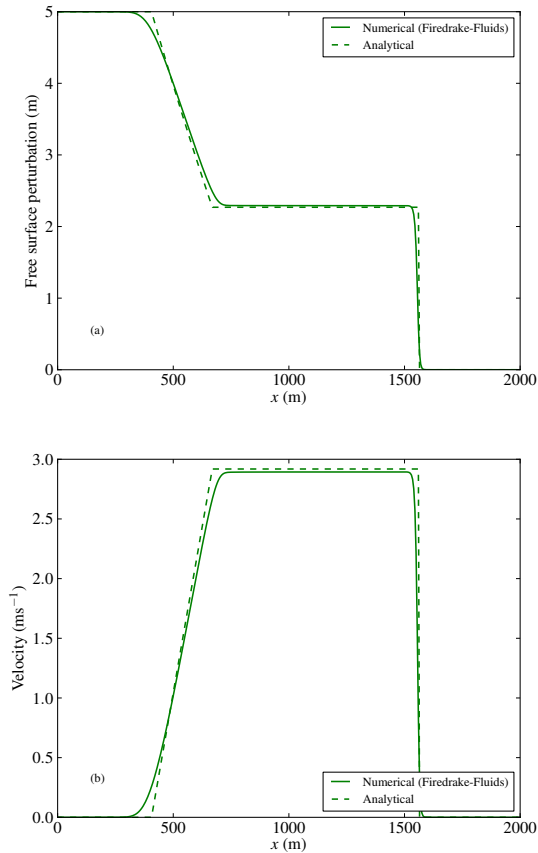
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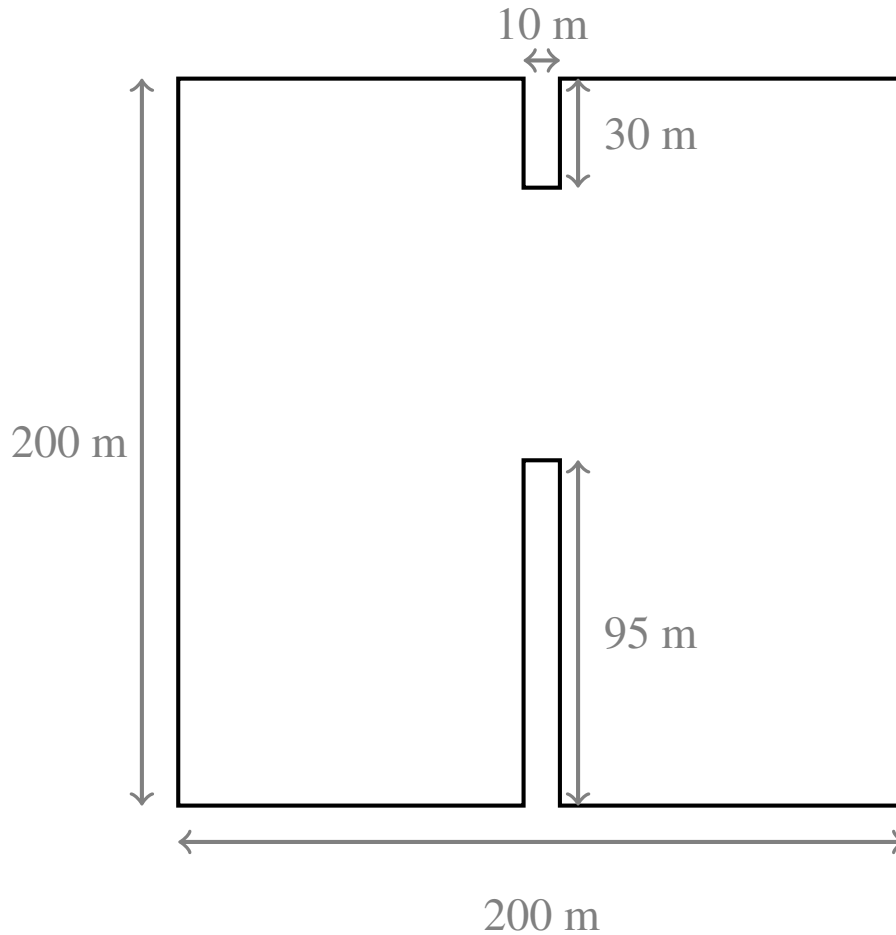
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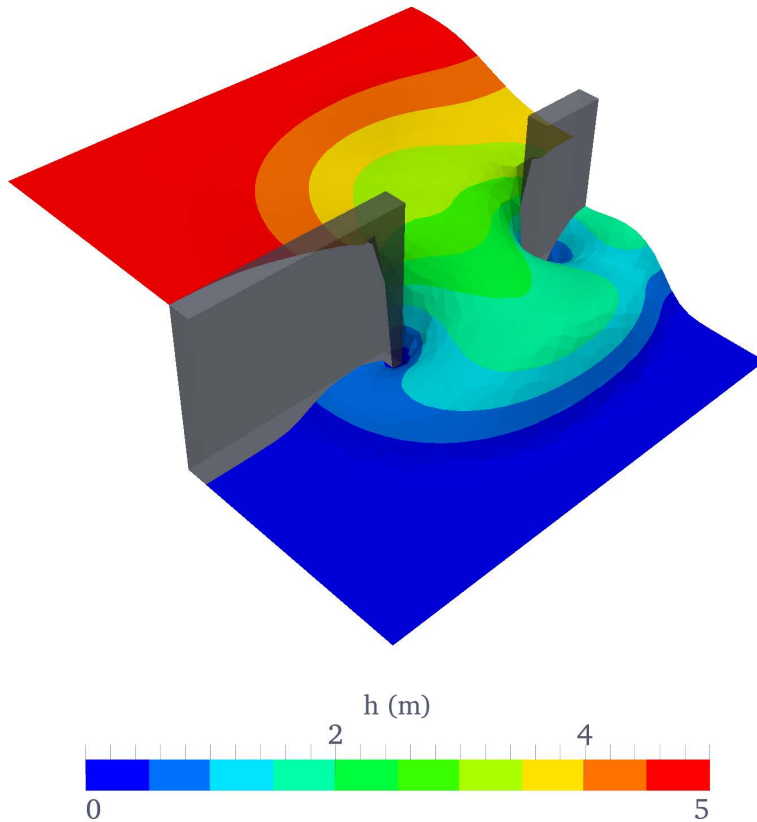




**Figure 7.** Numerical solutions of the 1-D dam failure problem. The semi-analytical solutions, found by solving a set of equations defined in the book by Trangenstein (2009), are also plotted.



**Figure 8.** Dimensions of the domain for the 2-D dam failure problem. The dam (with a 75 m-wide breach) is situated in the centre.



**Figure 9.** Free surface perturbation  $h$  at time  $t = 7.2$  s, from the 2-D dam failure simulation. The field has been warped in the  $z$  direction to emphasise the collapse of the water column.

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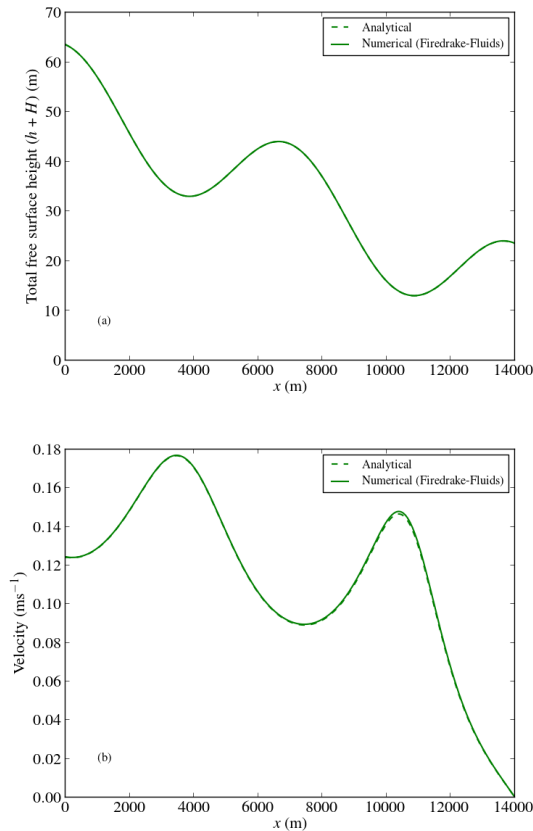
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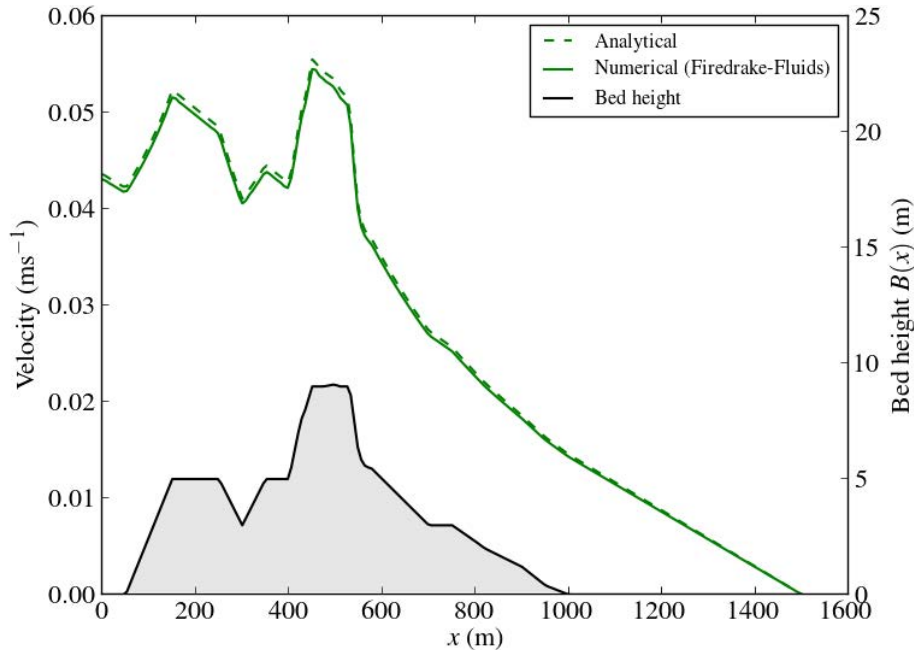
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**Figure 10.** Numerical solutions from the tidal flow simulation over a regular bed, at  $t = 9117.5$  s. The analytical solutions are given by Bermudez and Vazquez (1994) and almost completely overlap the numerical solutions. Note that the free surface plot (a) includes the mean free surface height, such that the y axis represents  $h + H$ .

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**Figure 11.** Numerical solutions from the tidal flow simulation with an irregular bed topography. The analytical solutions (Zhou, 2004; Bermudez and Vazquez, 1994) agree very well with the numerical solutions from Firedrake-Fluids.

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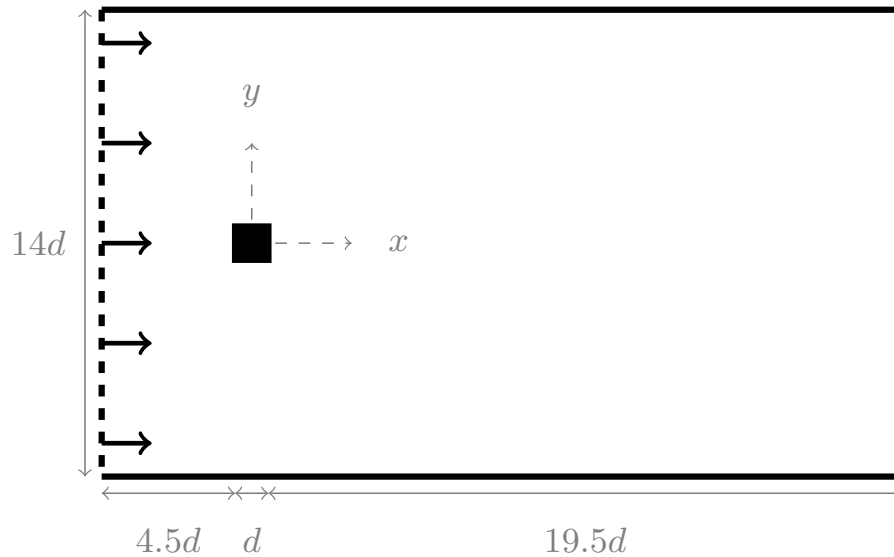
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**Figure 12.** The dimensions of the two-dimensional domain containing a square cylinder (filled black) of length/width  $d$ . The incoming flow is from the left boundary, as denoted by the black arrows.

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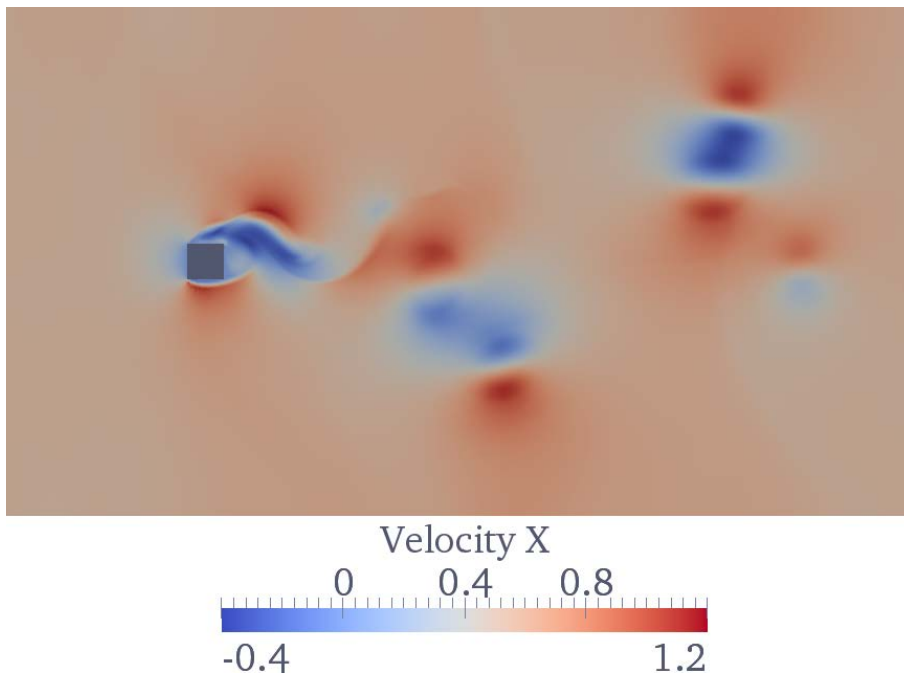
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**Figure 13.** Visualisation of the  $x$  component of the velocity field, from the simulation of flow past a square at  $t = 10$  s.

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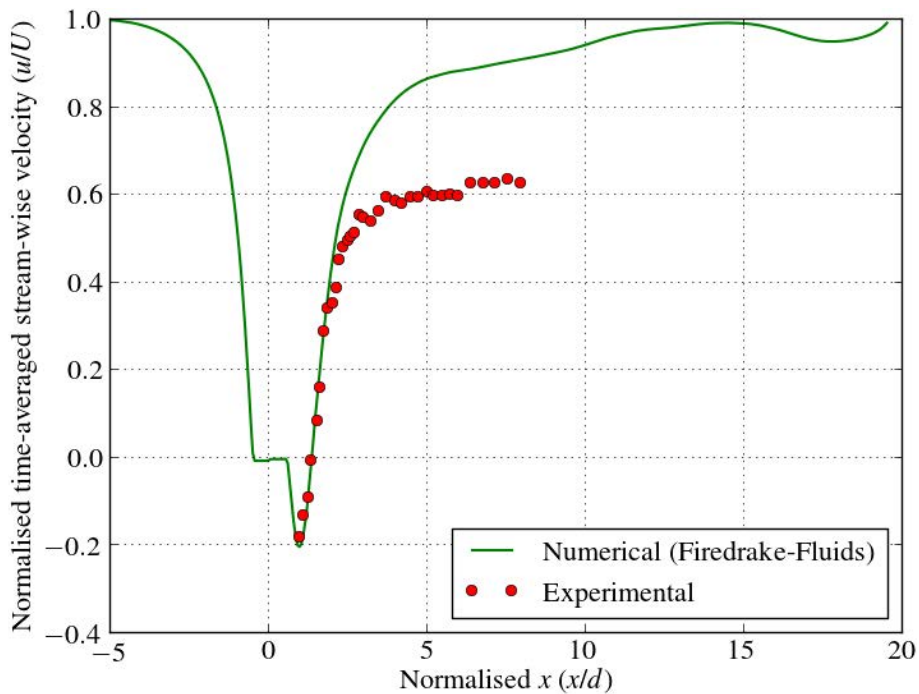
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**Figure 14.** Time-averaged stream-wise velocity along the centreline from the simulation of flow past a square. Note that the velocity has been normalised by the inlet velocity  $U = 0.535 \text{ m s}^{-1}$ .

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