Title: A Review of the Use of 3D Printing Technology in Treatment of Scaphoid Fractures Authors: Lily X Li FRCS<sup>1</sup>, Angela E Kedgley PhD<sup>2</sup>, Maxim D Horwitz FRCS<sup>3</sup>

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# ABSTRACT

Background: Three-dimensional (3D) printing technology is increasingly commercially viable for pre-surgical planning, intra-operative templating, jig creation, and customised implant manufacture. The challenging nature of scaphoid fracture and non-union surgery make it an obvious target. The aim of this review is to determine the use of 3D printed technologies in the treatment of scaphoid fractures.

Methods: This is a review of the Medline, Embase and Cochrane Library databases looking at studies looking at therapeutic use of 3D printing, rapid prototyping, or additive technology in the treatment of scaphoid fractures. All studies published up to and including November 2020 were included in the search. Relevant data extracted included modality of use (as template/model/guide/prosthesis), operative time, accuracy of reduction, radiation exposure, follow-up duration, time to union, complications, and study quality.

Results: 649 articles were identified, of which 12 met the full inclusion criteria. Analysis of the articles showed that 3D printing techniques can be utilised in myriad ways to aid planning and delivery of scaphoid surgery. Percutaneous guides for Kirschner-wire (K-wire) fixation of non-displaced fractures can be created; custom guides can be printed to aid reduction of displaced or nonunited fractures; patient-specific total-prostheses may recreate near-normal carpal biomechanics, and a simple model may help graft harvesting and positioning.

Conclusions: This review found that the use of 3D printed patient-specific models and templates in scaphoid surgery can improve accuracy and speed and reduce radiation exposure. 3D printed prostheses may also restore near-normal carpal biomechanics without burning bridges for potential future procedures.

Key words: Scaphoid; 3D printing; additive manufacturing; rapid prototyping

Level of evidence: Level III (Therapeutic)

## Introduction

Three-dimensional (3D) printing, also referred to as "additive manufacturing" or "rapid prototyping", is a new technology that can be used for pre-operative planning and intraoperative templating (such as in colorectal, pelvic, maxillofacial, renal, cardiac, and spinal surgery).<sup>1-6)</sup> One-to-one sized models may help with visualisation, pre-operative planning and patient communication. Patient-specific templates and jigs may aid intra-operative accuracy and efficiency. Implants can be entirely 3D printed to ensure a custom-fit, particularly when there is abnormal anatomy.<sup>7)</sup> 3D models may also aid teaching in a safe environment, for example it is possible to create abnormal embryological models without raising ethical concerns.8) The commercialisation of 3D printing has made it easier and cheaper to produce these anatomical models for everyday practice. $9$ 

Within hand surgery too, 3D printing may be used to create patient-specific models, templates or jigs to help surgeons tackle complex distal radius fractures, non-unions or deformities.<sup>10-11)</sup> For example, a recent systematic review and meta-analysis by Zhu et al found that "3D printing-assisted surgery was better than routine surgery and led to a decrease in operation time, frequency of intraoperative fluoroscopy, and blood  $loss$ ".<sup>11</sup>) Much less attention, however, has been devoted to research into the use of 3D printing technology in non-radius hand surgery. When operating on the scaphoid, precise hardware placement can be challenging due to the scaphoid's complex three-dimensional shape and size.<sup>9)</sup> Repeated passes of Kirshner (K) wires are often required, increasing operative time and risking injury to small bony fragments. This can lead to compromise of the already tenuous blood supply or bony fragmentation, and increase the need to convert any percutaneous attempt to open surgery. Repeated use of fluoroscopy to view multiple guidewires passes also increases radiation exposure for the patient and surgical team.<sup>12)</sup> Furthermore, in scaphoid nonunion,

restoration of normal anatomy can be especially challenging.13) The challenging nature of scaphoid surgery makes it an obvious target for 3D printing technology.

The aim of this review is to determine the use of 3D printed technologies in the treatment of scaphoid fractures. The review aims to answer the question: in patients requiring scaphoid surgery (participants), do 3D printed technologies (e.g. jigs, templates, models and/or implants) (intervention) confer additional benefits (e.g. speed of surgery, outcomes, radiation exposure) (outcomes) over standard techniques (comparator)?

# Methods

The Preferred Reporting Items for Systematic review and Meta-Analysis Protocols (PRISMA-P) checklist was used as the reporting standard throughout.<sup>14)</sup> There was no existing review protocol and so a custom literature search was devised and performed using the Medline (OVID interface, 1946 onwards), Embase (OVID interface, 1947 onwards) and Cochrane Library (Wiley interface, current issue) databases in November 2020. Screening, eligibility, and inclusion were initially performed by the lead author and subsequently reviewed by the senior author (for strategies see Supplementary Materials section). Papers were selected based on title and abstract review. Studies were included if they contained scaphoid surgery, use of 3D printing, rapid prototyping, or additive technology. Exclusions were studies focused solely on education or training rather than therapeutic intent, studies focused only on bracing, orthoses or rehabilitation, studies with non-human subjects, and those not written in English. Duplicates and non-full papers (e.g. conference abstracts or letters to the editor) were removed. All studies published up to and including November 2020 were included in the search. Studies were screened for inclusion by the lead author. Relevant data extracted included modality of use (as template/model/guide/prosthesis), operative time,

accuracy of reduction, radiation exposure, follow-up duration, time to union, complications, and study quality (Tables 1 and 2).

The Methodological Index for Non-Randomised Studies (MINORS) tool was chosen to critically appraise the quality of the studies as it is a validated and surgery-specific tool.<sup>15)</sup> MINORS is composed of eight methodological items applicable to all non-randomised studies and four additional items applied for comparative studies. Each item scores 2 for reported and adequate, 1 for reported but inadequate, or 0 for not reported, giving a maximum score of 16 for noncomparative studies or 24 for comparative studies.<sup>16)</sup> There were no relevant randomised controlled trials in this review. Ethical approval was not required.

# Results

A total of 649 titles were returned from the initial database search. Papers were screened using the inclusion and exclusion criteria. 112 titles remained after paper review and removal of duplicates. Assessment of eligibility and elimination of non-full text articles resulted in 12 papers (Figure 1; Table 2).

The twelve included studies, were divided into four groups based on study purpose (Table 3): five described the creation of 3D printed guides to aid percutaneous K-wire passage for nondisplaced or minimally displaced scaphoid fractures which did not need fracture reduction.12,17-19,25) Two papers described the creation of 3D printed templates that aided the reduction of displaced fractures or nonunions<sup>13,20)</sup> Three papers described the creation of 3D true-to-size models to aid bone graft retrieval.<sup>21,22)</sup> or implant positioning.<sup>9)</sup> The final two papers described the creation of 3D printed whole-bone prostheses for scaphoid replacement.23,24)



Figure 1. Flowchart showing study selection

All five studies for percutaneous K-wire templates required a pre-procedure CT. The researchers used proprietary software to manipulate the CT data to calculate optimum wire trajectory, depth and screw length using a mould that would be affixed to the patient's skin. In all five studies, the patient-specific resin mould was 3D printed based on the computeraided design model. The mould was then sterilised by conventional techniques for use intraoperatively. All studies in this group reporting results were cadaveric studies.12,17-19) All studies found that it was possible to achieve accurate percutaneous K-wire passage using this technique. The fifth paper in this group by Yin et al merely reported their technique but did not include any results.<sup>20</sup>

Out of this group of five papers, two compared the printed template against the conventional freehand technique: Salabi et al found that the use of the template gave better accuracy of Kwire trajectory relative to the scaphoid mid-axis, fewer wire passes ("single-shot"), and

reduced fluoroscopy exposure, all reaching statistically significant levels ( $p<0.05$ ). Operative times were the same.<sup>19)</sup> DeWolf et al found that their 3D printed patient-specific targeting device provided similar accuracy to the freehand technique whilst significantly reducing intraoperative radiation exposure and procedure time.<sup>18)</sup> In both Guo and Wan's papers where there was no comparator to the templated technique, single-shot wire passage resulted in accurate wire trajectory (within the central one-third of the scaphoid on post-procedure CT scanning)<sup>12,17)</sup> Four out of five papers mentioned hand volume potentially adversely affecting the accuracy of the K-wire trajectory by disrupting the seal at the template-skin interface.<sup>12,18,19,25)</sup> A technical pearl to mitigate this problem suggested by Yin's group was for the guides to be made as soon as possible after CT to avoid post-traumatic skin interface changes. $^{25)}$ 

The second group of papers reported results of using 3D printed templates to correct nonunion or displaced fracture.<sup>13,21</sup> Out of this group of two studies, Haefeli et al used the contralateral uninjured side to base a CT template which was mirrored. A 3D printed titanium template was temporarily affixed to the proximal and distal poles of the scaphoid to reduce and hold the scaphoid in the anatomically corrected position and bone graft placed in the defect. A headless compression screw was then inserted through the mid-axis of the scaphoid using the standard freehand technique. Results in their one illustrative clinical case demonstrated painless union at three months and good range of motion.<sup>13)</sup> In Schweizer's paper a similar technique was used to plan and 3D print a template to aid reduction (made of polyamide instead of titanium), with the addition of an extra guidewire hole to ensure accurate passage of the K-wire for the compression screw. Compared with the freehand technique, Schweizer's group found that reconstructions were significantly more anatomic when the 3D printed guides were used. The guide group also had a significantly shorter

operative time although planning time before surgery was longer. Faster union was observed, although the authors do not mention if statistical significance was calculated or achieved.<sup>20)</sup> The third group of studies by Jew, Schmidt and Taylor all describe using CT images to 3D print a 1:1 sized model.<sup>9,21,22)</sup> Intraoperatively, Schmidt's group found the model useful in determining the precise osteotomy plane for scaphoid nonunion. Their model was also used to plan the most suitable harvest site, allowing the exact size, shape and curvature to be marked intraoperatively.<sup>21)</sup> Jew et al used their 3D printed model to plan their approach and fixation modality in the office rather than in the operating theatre.<sup>9)</sup> Taylor's paper described how open source, instead of proprietary, imaging software could be used to convert CT images to 3D models and printed easily in the outpatient setting.<sup>22)</sup> No quantitative results were presented in these papers.

The fourth group of studies by Honigmann et al. and Rosello both report individual cases of 3D printed scaphoid replacement. Honigmann et al. used alumina in a cadaveric model with flexor carpi radialis tendon suspension; Rosello used titanium in a 34-year-old patient with labral tape suspension.<sup>23,24)</sup> Honigmann et al. aimed to overcome the problems encountered by historical implants which were unstable and not anatomically contoured to the patient, causing abnormal wear on the adjacent carpus. Honigmann's group found that both the patient-specific 3D printed prosthesis and the scapholunate interval remained stable during physiological range of movement, although the prosthesis extended excessively in extension and ulnar abduction.24) Rosello's 3D printed prosthesis was distally wedged into a hole made in the trapezium and was proximally secured with labral tape to recreate the scapholunate ligament. Results at one year showed near-normal carpal biomechanics and significant improvement in pain and function.<sup>23)</sup>

#### Discussion

Level III and below evidence from this review has shown that 3D printing techniques can be utilised in myriad ways to improve the outcome of scaphoid surgery, in particular regarding operative time, accuracy of reduction, radiation exposure, and complications. Additive technology can be used to create percutaneous guides for K-wire fixation of non-displaced fractures.12,17,19,20) Custom guides can also be printed to facilitate more accurate reduction of displaced or nonunited fractures.<sup>13,20)</sup> Patient-specific total-prostheses can recreate nearnormal carpal biomechanics,  $2^{3,24}$  and even a simple 1:1 sized model can help graft harvesting and positioning.<sup>9,21)</sup> The use of 3D printed augments use has been shown to improve fixation accuracy, increase operative speed, and reduce radiation exposure. 3D printed patient-specific hardware, in particular arthroplasty, reduces the surgical challenge of anatomic variability between patients, resulting in significantly more accurate reconstructions.<sup>23</sup> This may lead to reduced stresses through the joint during load transmission, resulting in better functional outcomes and possibly greater reconstructive longevity.

It can be difficult to assess the complex three-dimensional shape of the scaphoid using standard two-dimensional radiographic techniques. Indirect measurements are possible, such as using the position of the lunate relative to the radius and capitate, but rotational deformity cannot be assessed by these means.<sup>13)</sup> A pre-operative CT not only permits 3D printing, it also enhances surgical planning in all dimensions.<sup>26)</sup> The additional radiation exposure from the pre-operative CT scan required for 3D printing only becomes an issue if the surgeon has not already ordered one for planning purposes. In fact, in current practice, many hand surgeons choose to obtain a pre-operative planning CT regardless of the use of 3D printing technology. The post-procedure CT to confirm screw trajectory for research purposes included in the studies of this review may be omitted in routine clinical practice.

The use of the uninjured, contralateral side as the base for a CT template is a long-established technique in Trauma & Orthopaedic surgery.<sup>27)</sup> For a small bone such as the scaphoid, Haefeli et al found that congruence between the left and right sides was within 1 mm,  $^{13)}$ although Letta et al found surface-to-surface size differences between right and left scaphoids in individuals to be between 0.73 and 1.9 mm, and bigger in men than women. This must therefore be considered when using the mirrored contralateral bone as a template.  $24,28$ ) A challenge to the wider use of 3D printing technology is the need for specialist equipment and/or software. The small size of components required for scaphoid surgery, as compared with larger joints, has both positive and negative impacts. Less material translates to cheaper manufacturing costs, but smaller sizes require greater manufacturing precision. Until manufacturing processes are simplified, surgeons would expect to commission complex prostheses from external companies, rather than manufacturing their own custom-made implants in the office. For example, a temperature-controlled print bed is required to create the titanium template as used by Haefeli et al, highly impractical for the average orthopaedic office.<sup>13)</sup> Planning and production costs of  $\epsilon$ 1800 (US\$1760) were reported by Haefeli et al for their custom-made, 3D printed, titanium whole-scaphoid replacement.<sup>13</sup> Although this figure appears large at first glance, it is comparable to implants commonly used in orthopaedic surgery: the cost of a total hip replacement stem was estimated to be between £750 and £3000 (US\$1189-4756) in  $2012$ <sup>29)</sup> The use of resin templates by other investigators is much more clinically-applicable. A 1:1 sized resin model can be relatively easily and cheaply printed in the outpatient setting. As described in Taylor's paper, the cost of officebased printing would consist of initial purchase of the machine (US\$350) and printing filament (US\$14-18/spool) plus the cost of any proprietary computer software and sterilisation of the template/model. Thereafter running costs would be minimal in replacing the printing filament.<sup>22)</sup>

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The strengths of this review article lie in its comprehensiveness and its topic. As outlined above, there is growing interest in the use of 3D printing techniques to support distal radius surgery, but there have been few publications on its use in the rest of the hand and carpus. This review collated and scrutinises the current state of the art in these techniques as they apply to scaphoid surgery. There are several limitations to this review. Firstly, it was based on twelve papers of level III evidence or lower, and of varied quality (MINORS score range: 1/16 – 19/24). The included studies were significantly heterogenous in their study technique, methods of analysis and outcome measures. Sample sizes for each technique were therefore small, with some studies merely describing the technique without offering any results, which would have impacted the reliability of the conclusions drawn. Secondly, the literature search was limited to electronic databases only. In the interests of time, although the lead author searched multiple databases to maximise the number of studies, she did not perform the other recommended supplementary search methods such as hand searching references in the identified studies, key journals, or regulatory agency websites. $34,30$  Thirdly, this review is at risk of publication bias due to its exclusion of non-English studies. Although the lead author identified a significant minority of relevant studies from the Far East, her lack of fluency in academic written Chinese meant that this review was limited to English studies only. Fourthly, this review did not include all recent relevant work as all non-full text publications were excluded (e.g. letters to the editor and conference abstracts), as they had not passed the rigorous process of peer-review. The lead author identified a handful of conference abstracts from 2020 which were deliberately excluded from this review. It is hoped that the work presented in these abstracts would be incorporated into peer-reviewed papers in due course. 3D printing technology is increasingly commercially viable. The challenging nature of scaphoid fracture and nonunion surgery make it an obvious target. This review has found that by using 3D printing technology to create patient-specific models and templates in scaphoid

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surgery, accuracy, speed, and radiation exposure may be improved. Furthermore, 3D printed prostheses may restore near-normal carpal biomechanics without burning bridges for potential future procedures.

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# Table 1. Quality Assessment of Studies



Score 0 (not reported), 1 (reported but inadequate) or 2 (reported and adequate).

# Table 2. Summary of Included Studies









