

1 **Crystallography and elastic anisotropy in fatigue crack nucleation at nickel alloy**  
2 **twin boundaries**

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7  
8 **Abstract**

9 Fatigue crack nucleation at annealing twin boundaries (TBs) within polycrystal nickel-  
10 based superalloy *René 88DT* is investigated with a microstructure-sensitive crystal  
11 plasticity (CP) model, digital image correlation strain measurements and experimental  
12 SEM crack nucleation observations. Strong slip localizations at TBs were experimentally  
13 observed and predicted by the CP model, which also showed high predicted geometrically  
14 necessary dislocation and corresponding stored energy densities, capturing experimental  
15 observations of crack nucleation. A systematic study of elastic anisotropy was found to  
16 drive local elastic constraint and hence resolved shear stress, slip activation, GND density  
17 and stored energy density, demonstrating for this reason that TBs are preferential sites for  
18 crack nucleation in this alloy. The parent grain / twin pair crystallographic orientation  
19 with respect to remote loading was also demonstrated to be key to slip activation parallel  
20 to TBs and hence to stored energy density and fatigue crack nucleation, and the range of  
21 most damaging parent grain orientations has been identified.

## 1 **1. Introduction**

2 Crack nucleation is a key aspect of fatigue fracture in metal alloys and is preceded  
3 by irreversible slip and strain localization, as commonly observed in experiments.  
4 Dislocation structures form as a result of the forward and backward cyclic loading,  
5 observed as persistent slip bands (PSBs) with distinctive structures [1]. Sauzay et al. have  
6 assessed the role of PSBs in fatigue crack nucleation in some detail [2][3]. Mura et al.  
7 [4][5] investigated the driving forces for fatigue crack nucleation and suggested a theory  
8 based on strain energy, in which dislocation dipoles are annihilated to form a micro-void  
9 (crack) when the stored elastic strain energy reaches a critical value. A related theory was  
10 developed by Dunne et al. at the mesoscale which considers the elastic energy stored by  
11 lattice curvature, and hence related to geometrically necessary dislocation density, which  
12 has been coupled with crystal plasticity finite element (CPFE) methods to predict  
13 microstructure-sensitive fatigue crack nucleation sites, and shows good agreement with  
14 experiments [6][7][8].

15 Annealing twin boundaries are well known to be preferential fatigue crack  
16 nucleation sites in polycrystal alloys with low stacking fault energy [9][10]. Neumann et  
17 al. argue that this phenomenon is caused by the elastic incompatibility stress, which  
18 facilitates slip at the twin boundary (TB), and subsequently triggers fatigue crack  
19 nucleation. Fatigue cracks at TBs are always linked with slip localization [11][12].  
20 Stinville et al. investigated two typical slip configurations at TBs using high resolution  
21 digital image correlation (HR-DIC) strain measurement techniques, and showed that

1 parallel slip systems play an important role in plastic strain localization and slip  
2 irreversibility [10][13]. In addition, a statistical study showed three key factors  
3 (global/nominal Schmid factor, elastic anisotropy and TB length) contributed to the  
4 probability of fatigue crack nucleation at TBs [12]. In addition, Li et al. argued the fatigue  
5 crack mechanism depends on the local stress state [14][15].

6 Wei et al. used a 3D discrete dislocation modelling approach to investigate the  
7 hardening effect of TBs which was found to be minimised when the loading axis is  
8 arranged to be  $45^\circ$  to the TB; for this configuration, dislocation glide along the slip plane  
9 parallel to the TB was found to occur without strong resistance [16]. Sangid et al. used  
10 molecular dynamics to calculate the energy required for dislocation penetration through  
11 a TB, and showed that  $\Sigma 3$  boundaries have the highest values compared with other grain-  
12 boundary types [17][18]. Recently, high elastic strain gradients local to TBs have been  
13 observed using dark-field X-ray diffraction characterization [19]. More recently in an  
14 integrated experimental and computational investigation, it has been demonstrated that  
15 elastic strain localization at TBs is strongly dependent on the crystal elastic anisotropy  
16 and morphology of the grains at the TB, but less so on the neighboring grains [20]. Hence  
17 the local stress state, which drives slip activation and is strongly influenced by elastic  
18 anisotropy and grain boundary constraint, together with highly anisotropic slip, are key  
19 factors in fatigue crack nucleation at TBs.

20 This paper investigates the mechanistic link between crystallographic orientation  
21 (and corresponding elastic anisotropy) of annealing twins at TBs and the local stored

1 energy density in order to establish cause and effect for observed fatigue crack nucleation  
2 at TBs. While the role of dislocation density on stored energy is recognized, we do not  
3 include explicit representation of discrete dislocation events (for example, through  
4 interactions with twin [16] and grain boundaries [17][18]), which other researchers have  
5 addressed. Rather, crystal plasticity modelling studies are integrated with experimental  
6 HR-DIC strain measurement local to TBs by generating faithfully-representative model  
7 microstructures from quantitative characterization of sample microstructures. With the  
8 model established, systematic studies of crystal elastic anisotropy (Zener coefficient) and  
9 twin crystallographic orientation on local stored energy densities, and their relationship  
10 to observed crack nucleation events, are presented to understand the mechanistic basis of  
11 twins in nickel alloy fatigue crack nucleation.

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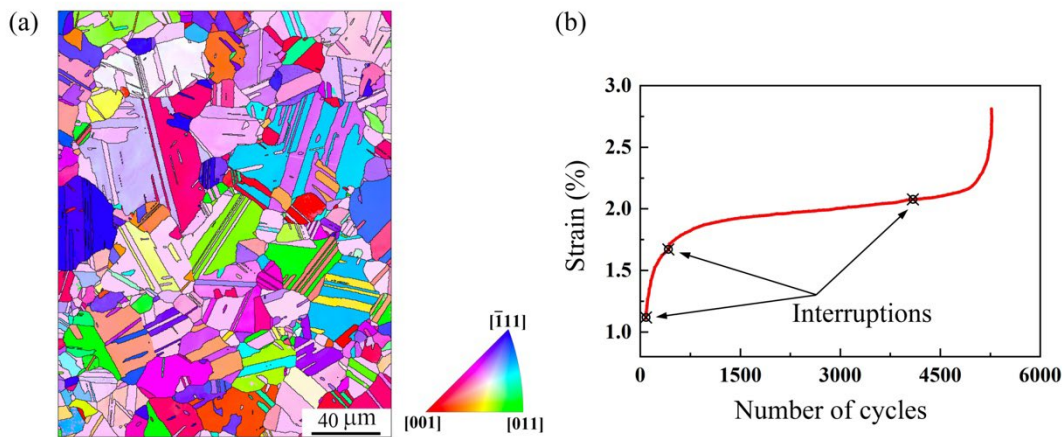
## 13 **2. Methods**

### 14 **2.1 Material, fatigue test and SEM, EBSD and HR-DIC characterization**

15 Polycrystal nickel-based superalloy *René 88DT* produced by powder metallurgy is  
16 used in this study. This alloy has nominal chemical composition: 13%Co, 16%Cr, 4%Mo,  
17 4%W, 2.1%Al, 3.7%Ti, 0.7%Nb, 0.03%C, 0.015%B (wt%). There are two precipitate  
18 phases in the matrix of this alloy, namely a secondary  $\gamma'$  with a 100 nm averaged size  
19 and tertiary  $\gamma'$  with a 10 nm averaged size. Both are coherent with the FCC  $\gamma$  matrix. A  
20 large population of annealing twins can be observed from the 2D EBSD cross-section  
21 map shown in Fig. 1(a). This alloy has a 0.2% yield strength of 1080MPa and Young's

1 modulus of 217GPa in monotonic tensile testing. Fatigue tests were performed in an air,  
2 room temperature environment with uniaxial symmetric push-pull loading under stress  
3 control with R-ratio of -1, frequency 1Hz, and the maximum applied stress of 1140MPa.  
4 Cylindrical dog-bone samples were employed with two parallel flat areas of 2.5mm x  
5 8mm forming the sample gauge which is machined in to the cylindrical sample of  
6 diameter 6mm. More details can be found in [21].

7 Prior to fatigue testing, samples were processed by SiC papers and chemo-  
8 mechanically polished. Before deformation, reference EBSD maps were obtained with an  
9 EDAX OIM-Hikari XM4 EBSD detector, with scanning step size 0.8 $\mu$ m. During the  
10 fatigue testing, cyclic loading was interrupted after the 1<sup>st</sup> half cycle, 1<sup>st</sup> full cycle, 400<sup>th</sup>  
11 and 5000<sup>th</sup> cycles, and corresponding SEM and HR-DIC characterizations were  
12 performed during these interruptions as shown in Fig. 1(b). More details on the low cycle  
13 fatigue behavior of the investigated alloy are presented elsewhere [13].



14 Figure 1. (a) EBSD map of a region contains a large number of annealing twin boundaries  
15 of René 88DT; (b) Macroscopic strain evolution during cyclic loading [13];

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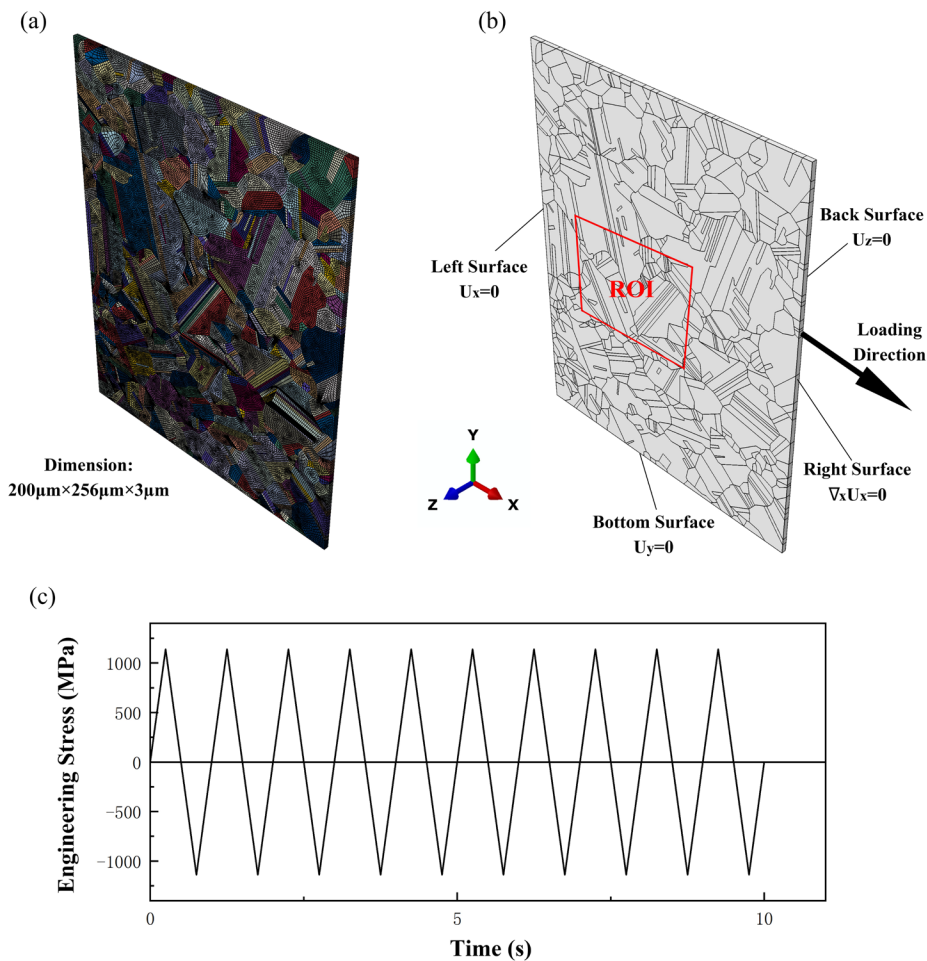
1 HR-DIC maps were obtained with horizontal field width of  $138\ \mu\text{m}$ , with spatial  
2 resolution of  $\sim 101\ \text{nm}$ . The Heaviside-DIC method is used to quantitatively characterize  
3 the local displacement induced by slip. This method integrates a jump/step vector by the  
4 use of a Heaviside function in the conventional DIC shape function for measurements of  
5 discontinuous displacements [13]. The resulting maps display the local in-plane slip  
6 amplitude at the scale of nanometers that corresponds to the in-plane displacement  
7 induced along slip events. Previous studies indicate a sensitivity of  $\sim 10\ \text{nm}$  and a  
8 displacement resolution of few nanometer [13]. EBSD and HR-DIC maps are merged  
9 using distortion and data merging algorithms [13].

## 11 2.2 Computational crystal plasticity methodology

12 Microstructure-faithful finite element models were constructed based on the  
13 crystallographic orientation and morphological information obtained from the EBSD scan  
14 in Fig. 1(a), such that the finite twin thicknesses along with the parent/twin crystal  
15 orientation relationship (twist  $60^\circ$  along their common  $\langle 111 \rangle$ ) have been considered in  
16 the CPFE modelling.

17 The sub-model was developed using  $\sim 140,000$  three-dimensional C3D20R elements  
18 shown in Fig. 2(a). The average element size is  $\sim 1.2\ \mu\text{m}$ , relative to an average grain size  
19 of  $20\ \mu\text{m}$ . While discrete slip traces can't be explicitly predicted by CPFE due to its  
20 continuum nature. This paper aims to use CPFE to predict the local intragranular plastic  
21 field at the TBs but not seek explicit comparison with Heaviside DIC slip trace analysis.

1 The grains, and their crystallographic orientations, were assumed to be prismatic  
 2 through a small thickness normal to the free surface, in the absence of data on sub-surface  
 3 microstructure. Previous work [6][22] has shown this 2.5D (extrusion) assumption can  
 4 affect the predicted surface plastic strains. To minimize this effect, the particular ROI  
 5 selected is shown to give good agreement between model and experimentally observed  
 6 slip activation, as discussed in Section 3 (with respect to Figure 7). In addition, in order  
 7 to ensure that the computational results on the free surface show limited dependence on  
 8 (z-direction) thickness, a sensitivity analysis has been conducted and is included in  
 9 Appendix 1, which demonstrates the effect of the model thickness is not strong for the  
 10 microstructure shown in figure 2.



1

2 Figure 2. (a) Finite element sub-model reconstructed from EBSD scans; (b) Loading  
3 boundary condition and region of interest (ROI); (c) The applied cyclic loading (shown  
4 for 10 cycles)

5

6 To represent the stress state in the uniaxial push-pull mode experiments, boundary  
7 and loading conditions were imposed on the model microstructure as shown in Fig. 2(b).

8 Uniaxial stress control loading was imposed on the right surface, such that the averaged  
9 peak xx-stress on this surface was 1140MPa reflecting that applied in the experiments.

10 The right, loaded surface was constrained to remain planar during the loading and other

11 boundary conditions applied were as shown in the figure. Note that all the TB regions of

12 interest (ROI) within the microstructure were chosen to be well removed from the

13 boundaries to eliminate far field effects as shown by the red rectangle in Fig. 2(b). The

14 corresponding loading history is plotted in Fig. 2(c). While only ten cycles of loading are

15 modelled, it has been established both experimentally and in CP polycrystal modelling

16 that the strongest evolution of key microstructural quantities occurs within the first few

17 loading cycles which is then typically followed by a stable (and slow) cyclic rate of

18 increase. Hence modelling over ten cycles gives good insight into the role of critical

19 features of the microstructure (such as twin boundaries) compared to other (non-critical)

20 regions.

21 A gradient enhanced crystal plasticity model coupled with elastic anisotropy is



1 utilized for the nickel crystal behaviour. The plastic velocity gradient  $\mathbf{L}_p$  is given in terms  
 2 of slip directions and plane normals by

$$3 \quad \mathbf{L}_p = \sum_{i=1}^{12} \dot{\gamma}^i \mathbf{s}^i \otimes \mathbf{n}^i \quad (5)$$

4 where  $\mathbf{s}^i$  is the slip direction, and  $\mathbf{n}^i$  the plane normal of the  $i^{\text{th}}$  slip system.  $\dot{\gamma}^i$  is slip  
 5 rate, which is determined based on thermally activated dislocation escape and glide  
 6 [23][24] from

$$7 \quad \dot{\gamma}^i = \rho_{SSD}^m b^2 \nu \exp\left(-\frac{\Delta F}{kT}\right) \sinh\left(\frac{|\tau^i - \tau_C^i| \Delta V}{kT}\right) \quad (6)$$

8 where  $\rho_{SSD}^m$  is the density of mobile dislocations,  $b$  the Burgers vector, and  $\nu$  the  
 9 frequency of attempts of dislocations to jump the energy barrier;  $\Delta F$  is the activation  
 10 energy and  $\Delta V$  the corresponding volume.  $k$  is the Boltzmann constant and  $T$  the  
 11 temperature.  $\tau^i$  is the resolved shear stress on the  $i^{\text{th}}$  slip system, and  $\tau_C^i$  the slip strength,  
 12 which is updated with accumulated slip following an dislocation based hardening law

$$13 \quad \tau_C = \tau_0 + \alpha G b \sqrt{\rho_{SSD}^s + \rho_{GND}} \quad (7)$$

14 where  $\tau_0$  is the initial slip strength without strain hardening,  $G$  the shear modulus,  $\alpha$  the  
 15 Taylor hardening coefficient. Note that the slip system critical resolved shear stress  
 16 (CRSS) is therefore the slip strength (as defined above) plus any contribution which  
 17 comes from thermally activated events as reflected in eqn (6). The density of sessile  
 18 statistically stored dislocations  $\rho_{SSD}^s$  is determined from the isotropic hardening law

$$19 \quad \dot{\rho}_{SSD}^s = \lambda \dot{p} \quad (8)$$

20 where  $\lambda$  is a hardening coefficient, and  $p$  the effective plastic strain, defined as

$$21 \quad p = \left(\frac{2}{3} \boldsymbol{\varepsilon}^p : \boldsymbol{\varepsilon}^p\right)^{\frac{1}{2}} = \left[\frac{2}{3} \left((\varepsilon_{xx}^p)^2 + (\varepsilon_{yy}^p)^2 + (\varepsilon_{zz}^p)^2 + 2(\varepsilon_{xy}^p)^2 + 2(\varepsilon_{yz}^p)^2 + 2(\varepsilon_{zx}^p)^2\right)\right]^{\frac{1}{2}} \quad (9)$$

1 The SSD hardening behaviour described by eqn (8) would normally be anticipated to  
 2 include a recovery term but in the alloy considered, the average polycrystal stress-strain  
 3 response (see later) shows really rather little isotropic hardening. This results in very  
 4 low SSD hardening over and above that from crystallographic effects and GND evolution,  
 5 thus negating the need for a recovery term.

6 The density of geometrically necessary dislocations (GND),  $\rho_{GND}$ , is that which  
 7 accommodates the lattice curvature and is determined from Nye's dislocation tensor  $\mathbf{A}$   
 8 [25] given by

$$9 \quad \mathbf{A} = \text{curl}(\mathbf{F}_p) = \sum_{i=1}^{12} \rho_{Gs}^i \mathbf{b}^i \otimes \mathbf{s}^i + \rho_{Gen}^i \mathbf{b}^i \otimes \mathbf{n}^i + \rho_{Get}^i \mathbf{b}^i \otimes \mathbf{t}^i \quad (11)$$

10 where  $\mathbf{s}^i, \mathbf{n}^i$  and  $\mathbf{t}^i$  are the three orthogonal unit vector set, and  $\rho_{Gs}^i$  is the density of  
 11 screw dislocations parallel to  $\mathbf{s}^i$ ,  $\rho_{Gen}^i$  the density of edge dislocations parallel to  $\mathbf{n}^i$  and  
 12  $\rho_{Get}^i$  the density of edge dislocation parallel to  $\mathbf{t}^i$ . Because there are 12 slip systems in  
 13 FCC nickel crystals, the GND tensor comprises 36 independent variables (12 screw  
 14 branches, 12 edge branches along  $\mathbf{n}^i$ , 12 edge branches along  $\mathbf{t}^i$ ), which need to be  
 15 solved from nine equations. These can be rewritten in matrix form

$$16 \quad \widehat{\mathbf{A}} = \mathbf{A} \rho_{GND} \quad (12)$$

17 where  $\widehat{\mathbf{A}}$  is a  $9 \times 1$  column vector of Nye's dislocation tensor, and  $\mathbf{A}$  a  $9 \times 36$  matrix  
 18 containing the basis tensors  $\mathbf{b}^i \otimes \mathbf{s}^i, \mathbf{b}^i \otimes \mathbf{n}^i, \mathbf{b}^i \otimes \mathbf{t}^i$ .  $\rho_{GND}$  is a  $36 \times 1$  column  
 19 vector of GND components. However, the 36 independent GND components may give  
 20 rise to a non-uniqueness problem through the above nine equations, hence an  $L_2$  norm of  
 21 GND density minimization is used to determine the local GND density [26] and an overall

1 density determined as

$$2 \quad \rho_{GND} = \sqrt{\sum_{i=1}^{12} (\rho_{Gs}^i)^2 + (\rho_{Gen}^i)^2 + (\rho_{Get}^i)^2} \quad (13)$$

3 Elastic stored energy density is investigated as the primary driving force for fatigue  
4 crack nucleation, which has been reported for several alloys [6][27][28][29], and shows  
5 good agreement with experimental observation. The evolution of elastic stored energy  
6 density can be calculated from

$$7 \quad G = \int \dot{G} dN \quad (14)$$

8 where  $\dot{G}$  is the cyclic rate of elastic stored energy density, which can be obtained from

$$9 \quad \dot{G} = \int \frac{\xi \sigma \cdot d\epsilon^p}{\sqrt{\rho_{SSD} + \rho_{GND}}} \quad (15)$$

10 where  $\xi$  is the coefficient which specifies the fraction of dissipated energy which is  
11 stored in the material as dislocation structure. Here  $\xi$  is chosen to be 0.05.

12

### 13 **2.2.1 Material properties**

14 Tensile tests were carried out on *René 88DT* samples with strain rates of  $10^{-3}$  and  
15  $10^{-4} \text{s}^{-1}$ . The corresponding macroscopic stress-strain curves are plotted in Fig. 3. The sub-  
16 FE model of the primary region of interest shown in Fig. 2, together with one additional  
17 representative microstructure, are utilized to calibrate the material properties which are  
18 given in Table 1.

19

Table 1 Material properties for *René 88DT*

$C_{11}$ (GPa)	$C_{12}$ (GPa)	$C_{44}$ (GPa)	$\tau_{c0}$ (MPa)	$b$ ( $\mu\text{m}$ ) 20
273.6	170.7	125.8	420	$2.54 \times 10^{-4}$

1

2

$\lambda(\mu\text{m}^{-2})$	$\nu(\text{s}^{-1})$	$\Delta F(\text{J/atom})$	$\rho_{ssdm}(\mu\text{m}^{-2})$	$\Delta V(\mu\text{m}^3)$
12	$1.0 \times 10^{11}$	$8.2 \times 10^{-20}$	0.02	$22b^3$

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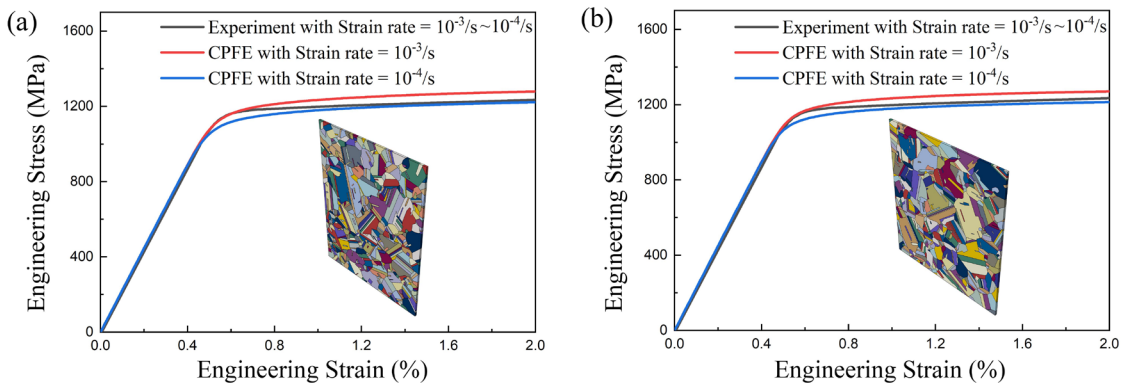
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The three independent stiffness constants were determined based on the experimental measurements recognizing the elastic anisotropic with a Zener ratio of 2.44 [30]. The activation energy  $\Delta F$  may be estimated as  $\Delta F = \omega G b^3$ , where  $\omega$  is a constant associated with dislocation obstacles, which depends on the energy barrier to dislocation motion [31]. It is chosen to be 0.04 for a non-strain rate sensitive response at room temperature. Correspondingly, the activation volume  $\Delta V = \zeta b^3$  is determined to generate a small strain rate sensitivity at room temperature over the loading rates considered subsequently such that  $\zeta$  is chosen to be 22.0. The initial density of mobile dislocations  $\rho_{ssdm}$  is estimated as  $0.02 \mu\text{m}^{-2}$  [32]. Finally,  $\nu$  is the dislocation jump attempt frequency, which can be estimated as  $1 \times 10^{11}$  [33].



13

Figure 3. Engineering CPFE stress-strain responses and experiment results obtained for

14

two differing regions of interest selected from the sample gauge region and explicitly

1 modelled [34]. (a) primary region of interest (as in Fig. 1). (b) A second region from the  
2 same gauge area.

3  
4 The crystal plasticity sub-FE model (presented in Fig. 2 but inset in Fig. 3(a))  
5 average stress versus strain response is shown in Fig. 3(a) for the two strain rates applied  
6 together with the experimental data. A second region of interest selected (arbitrarily) from  
7 the sample gauge area with different microstructure (and shown inset in Fig. 3(b)) was  
8 chosen and also modelled in order to perform a validation test; the CPFEM results obtained  
9 against the experimental data are shown in Fig. 3(b) also showing good agreement. In  
10 addition, a comparison of strain and localization in the ROI including twin was carried  
11 out with the measurements averaged from the Heaviside DIC [13] and the CP calculated  
12 results, which are shown in Appendix 2. In the results section which follows, the CPFEM  
13 models are utilized to investigate slip behaviour local to TBs which has also been captured  
14 from experiments using HR-DIC. These studies are then followed by presentation of the  
15 detailed assessments of local crystal elastic anisotropy and twin crystallographic  
16 orientation with respect to remote loading direction.

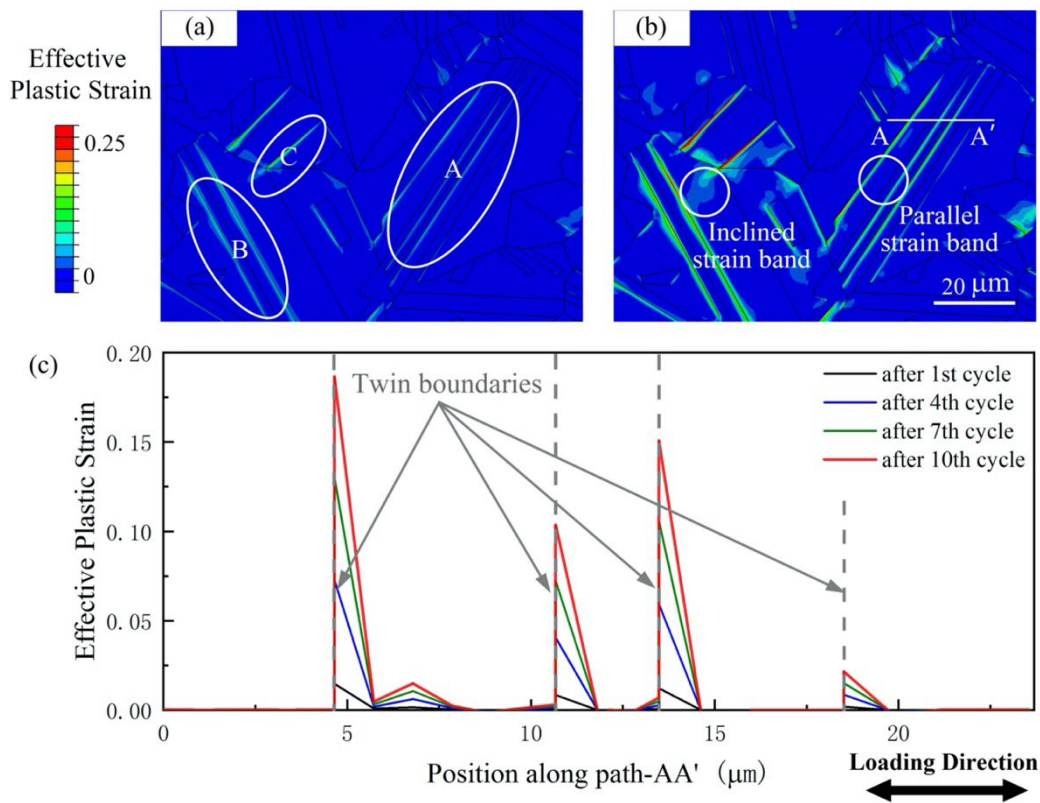
### 17 18 **3. Results & Discussion**

#### 19 **3.1 Strain, GND density, slip and stress state at TBs**

20 CPFEM results show that plastic strain localizes near TBs early in the stress-controlled  
21 cyclic loading (defined in Fig. 2(c)), which is shown within the ROI of the microstructure

1 (introduced in Fig. 2(b)), in Fig. 4(a). Further cycling leads to its continued evolution near  
2 TBs in the form of distinct bands directly associated with TB surface orientation and  
3 underlying slip system activations. Three typical strain localizations marked by white  
4 ellipses are shown in Fig. 4(a), and the bands in A form only on one side of respective  
5 TBs. However, those in B develop on both sides of the TBs. In C, local strain  
6 accumulation initiates from a triple junction and develops at TBs in the subsequent  
7 loading cycles (Fig. 4(b)). Most of the strain bands are found to be parallel to TBs  
8 (morphologically) except for one example in B. Here, parallel strain bands form initially  
9 (Fig. 4(a)), but subsequently, an inclined strain band develops to the TB in B, shown in  
10 Fig. 4(b). The heterogeneous strain field developed is clearly associated with  
11 microstructure involving both morphology and crystallography, as shown in Fig. 4(b).  
12 Finally, Fig. 4(c) shows the strain along path A-A' (in Fig. 4(b)) demonstrating the  
13 establishment of very clear strain peaks at the TBs which evolve cyclically.

14 Slip activation within the CP formulation is controlled solely by the slip system  
15 resolved shear stress (RSS) exceeding the current slip strength (see eqn. 6). The latter  
16 evolves with SSD and GND hardening (softening can also occur as plastic strain fields  
17 evolve to decrease strain gradients and hence GND density). As a consequence, it is found  
18 that the peak strains (see Fig. 4) are found often to be directly adjacent to TBs, and this  
19 results because of the anisotropic elastic mismatch generating local constraint and hence  
20 high RSSs, and the differing slip system orientations either side of the TB leading to  
21 preferred slip on one side only of the TB.

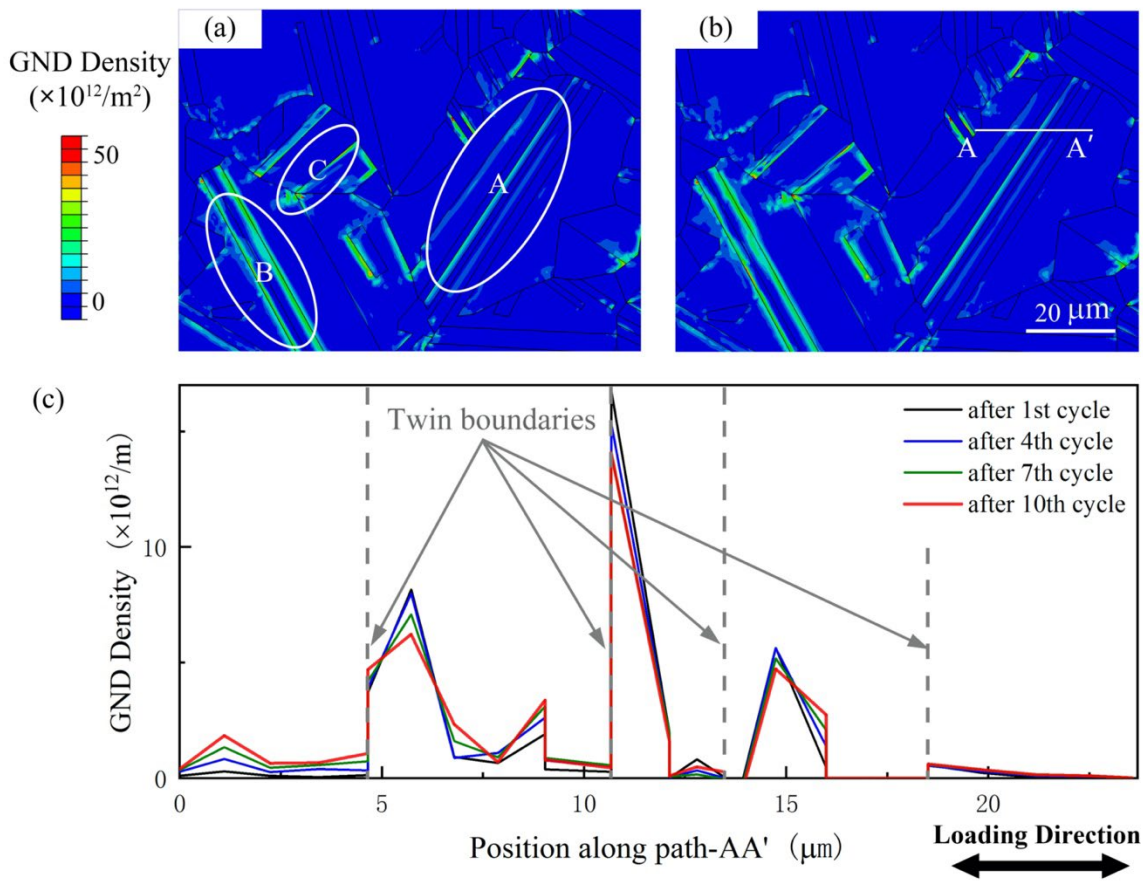


1 Figure 4. Effective plastic strain fields (a) ROI at 4<sup>th</sup> cycle, (b) ROI at 10<sup>th</sup> cycle, and (c)  
 2 along path A-A' (shown in (b)) at cycles shown.

3

4 The corresponding CPFE-computed GND density distributions are shown in Fig. 5  
 5 and make clear that strong plastic strain gradients develop at TBs leading to high GND  
 6 densities, reflecting lattice curvature, and in turn leading to the hardening of local slip  
 7 strengths resulting from the higher sessile dislocation densities at these locations  
 8 associated with TBs. Fig. 5(c) shows the GND densities along path A-A', and their cyclic  
 9 evolution, in which both cyclic decreases and increases can be observed in the GND peaks,  
 10 depending upon location. This occurs because the heterogeneous plastic strains initially  
 11 established redistribute locally at the TBs during cycling, and this leads in some cases to

1 a more homogeneous strain field in turn resulting in reducing GND density with cycles  
 2 as shown in Fig. 5(c). However, at other locations away from TBs, stronger strain  
 3 gradients develop leading to increasing GND densities with cycles. Plasticity and the  
 4 resulting establishment of residual stress can lead to a local non-zero mean stress state  
 5 which drives local plastic ratcheting and hence the locally progressive cyclic hardening  
 6 [13]. In addition, the peaks of GND density offset from the TBs in Fig. 5(c) result from  
 7 the narrow strain bands which develop and align with TBs. These form from slip system  
 8 activation parallel to the TBs, which is discussed later, and have also been observed in  
 9 experiments and reported in [11].



10 Figure 5. GND density fields (a) ROI at 4<sup>th</sup> cycle, (b) ROI at 10<sup>th</sup> cycle, and (c) along path

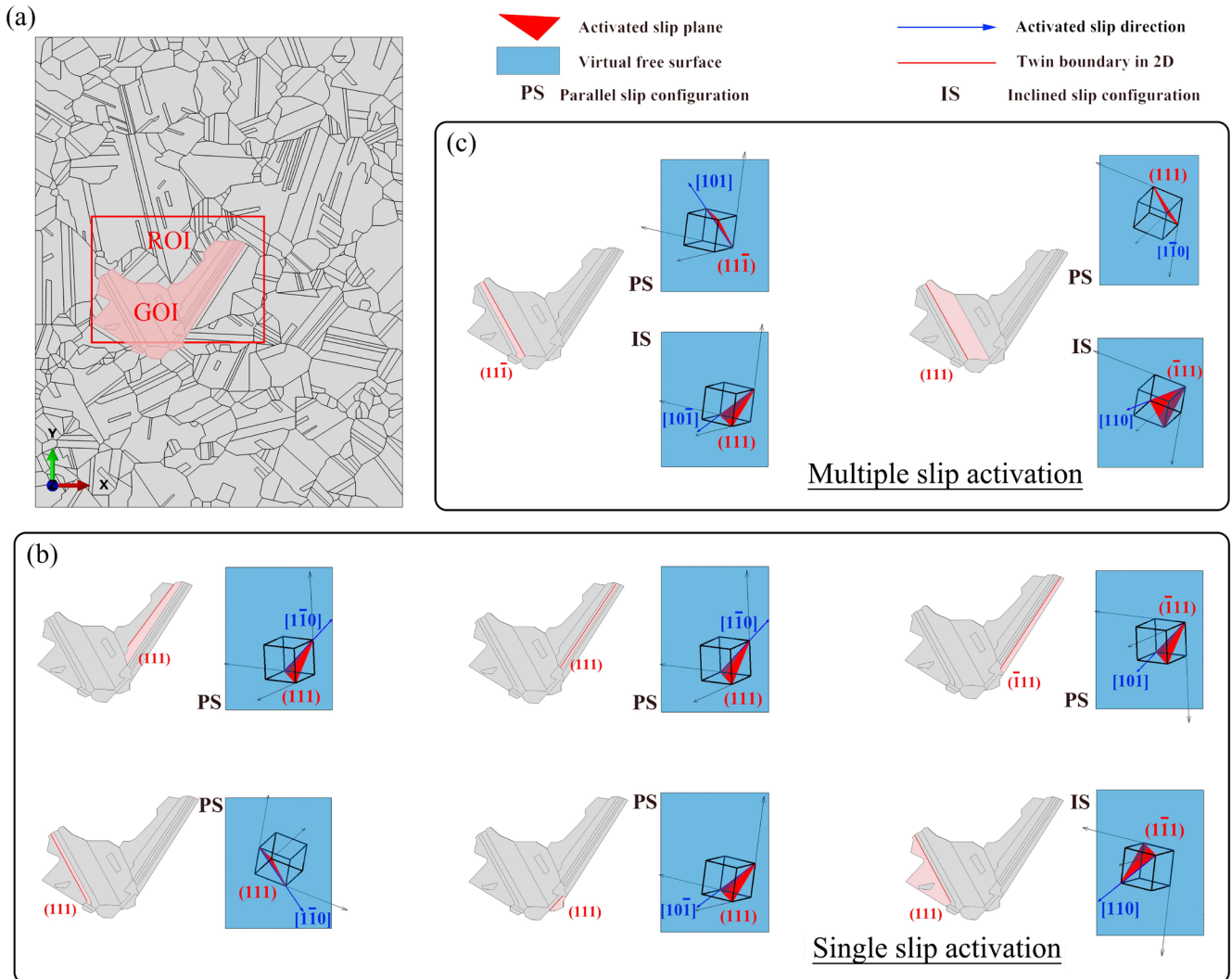


1 A-A' (shown in (b)) at cycles shown;

2

3 The CPFE-calculated crystal slip activations are investigated for particular grains of  
4 interest (GOIs) within the ROI (Fig. 2(a) and Fig. 4(a)), where slip system shear rate is  
5 given by eq. (6), and is activated once the resolved shear stress **exceeds the slip system**  
6 **strength**. The GOIs are shown within the ROI in Fig. 6(a), and in more detail for those  
7 within which single slip (Fig. 6(b)) and multislip (Fig. 6(c)) is activated respectively and  
8 which exhibit strong slip activations before cycle ten, all associated with the neighboring  
9 twins and the TBs. These groups of grains are highlighted in light red colour in Fig. 6.  
10 The activated slip systems can be classified as either parallel (PS) or inclined (IS) slip  
11 configurations with respect to the TBs [11], and these are detailed in Fig. 6 which shows  
12 the grain (and orientation) of interest, the relevant TB and its Miller indices, and the model  
13 predicted activated slip planes (in red) and directions (blue lines).

1 The Miller indices of the TB planes of interest, and the lattice cubes, are determined  
 2 from knowledge of crystallographic orientations, obtained from EBSD scans before  
 3 deformation. The anticipated free-surface slip traces are determined by obtaining the  
 4 intersecting line between the red activated slip planes and the light blue surface rectangles.

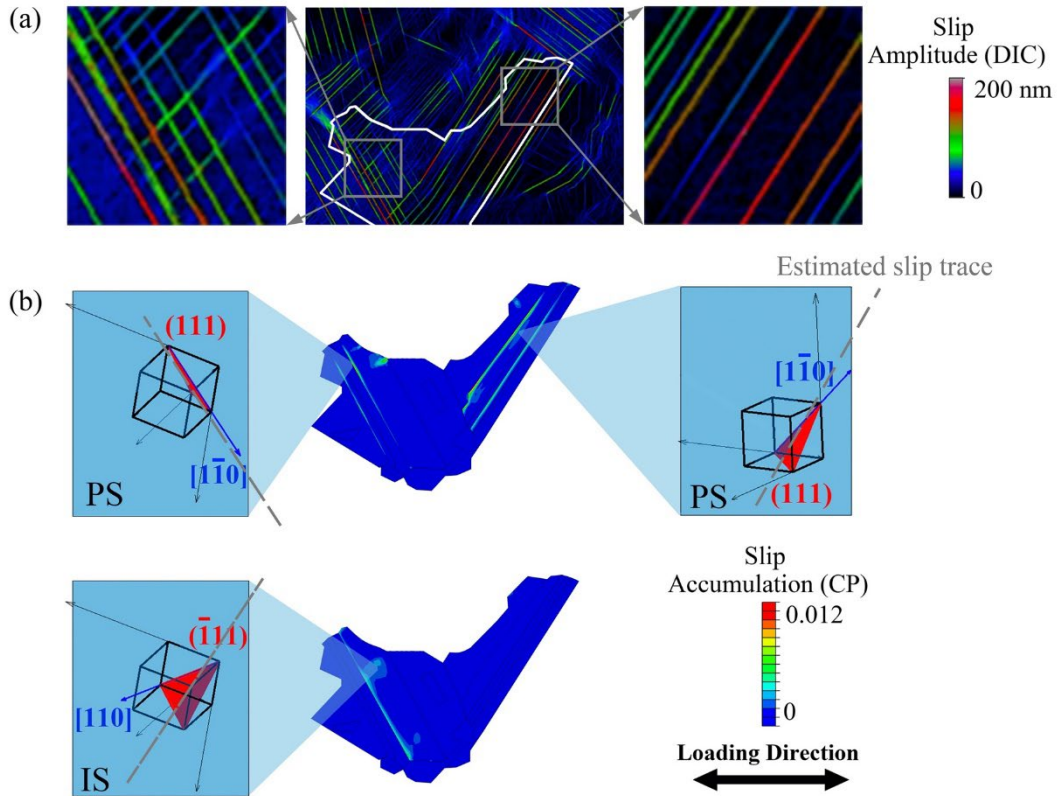


5 **Figure 6.** Slip activation in grains of interest within the ROI showing (a) the GOIs  
 6 within the ROI, (b) grains giving rise to single slip activation, and (c) grains giving rise  
 7 to multislip (both parallel [PS] and inclined [IS] to TBs) activation.

8

1 CPFE results show that single slip dominates within the GOIs in the early loading  
2 cycles, with six (of eight) grains exhibiting single slip activation (Fig. 6(b)), which  
3 include five which are parallel (PS) to the TB and only one with inclined (IS) orientation.  
4 These single slip activations are localized in the vicinity of the TB and lead to the plastic  
5 strain localizations observed in Fig. 4. The PS configurations comprise a series of slip  
6 planes that are parallel to the TB without impingement of the TB, resulting in the narrow  
7 plastic strain bands seen in Fig. 4(b). The high plastic strain gradient near the TBs can  
8 also be rationalized by the localized planar parallel slip. Compared with the PS  
9 configuration, the only IS configuration shows quite low slip accumulation; that is, there  
10 is no clear and discrete inclined plastic strain band observed in the ellipse B of Fig. 4(b)  
11 resulting from inclined slip. Hence, as reported in the independent experimental studies  
12 in [12][34][35], the CP model results also indicate that the PS configuration tends to  
13 dominate the slip localization. In addition to the single slip occurrences, multiple slip is  
14 observed in two grains (Fig. 6(c)), which develop two relatively uniform strain bands  
15 indicated by ellipse B in Fig. 4(a).

16



1

2 Figure 7. Slip localizations within GOIs after the 1<sup>st</sup> cycle (tensile part). (a) Slip amplitude  
 3 measured from Heaviside DIC after the 1<sup>st</sup> cycle; (b) CPFPE calculated slip activations  
 4 after the 1<sup>st</sup> cycle.

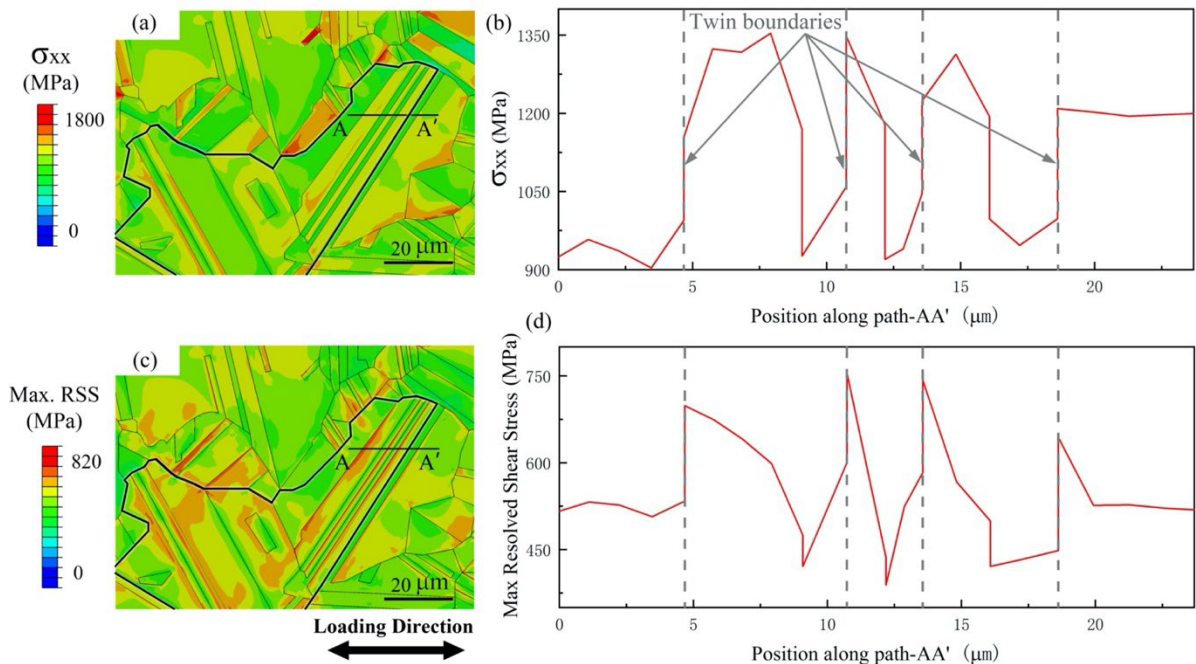
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6 The CPFPE-calculated slip activations discussed above are compared with the  
 7 Heaviside-DIC measurements reported in [13] to test the model prediction. The  
 8 magnitude of slip accumulation on an individual slip system  $\alpha$  is quantified in CPFPE by

$$9 \quad \gamma^\alpha = \int_0^t |\dot{\gamma}^\alpha| dt \quad (16)$$

10 In the HR-DIC measurement, the contributions from individual slip activations are

1 processed using a Heaviside function methodology giving rise to both slip amplitude and  
 2 direction [13]. A qualitative comparison between the two methodologies is therefore  
 3 possible. Fig. 7(a) shows the HR-DIC slip displacement amplitudes of the ROI with  
 4 enlargements of the two sub-regions, demonstrating double slip and single slip. The  
 5 corresponding CPFE results are shown in Fig. 7(b). The CP-computed slip traces (marked  
 6 by grey dashed lines) firstly show good agreement with the experimental observations in  
 7 these two selected local regions, and the single and double slip system activations shown  
 8 at the two sub-regions in Fig. 7(a) respectively are also well captured.



9 Figure 8. Stresses in the ROI extracted at the peak loading of the 10<sup>th</sup> cycle. (a) Loading  
 10 direction stress field, (b) Loading direction stress along path A-A', (c) maximum slip  
 11 system resolved shear stress field, and (d) resolved slip system resolved shear stress along  
 12 path A-A'.

13

1 A potential key factor related to fatigue crack nucleation is the local stress field at the  
2 TB (since this, along with plastic strain and GND density) is important in determining the  
3 local stored energy density. The loading direction stress ( $\sigma_{xx}$ ) and slip system resolved  
4 shear stresses within the ROI at the peak of loading cycle 10 are hence extracted.

5 Fig. 8(a) shows the  $\sigma_{xx}$  field corresponding to the ROI (Fig. 6(a)) where there is  
6 indication of strong concentrations at the two TBs. Fig. 8(b) reinforces this point showing  
7 the peak stress achieved at cycle 10 along the path A-A'. The local  $\sigma_{xx}$  (loading direction)  
8 component at the TBs are higher than the macroscale yield strength (1080MPa) such that  
9 plasticity is anticipated in these locations at the peak loading. The corresponding plots of  
10 maximum resolved shear stress (RSS) are given in Figs. 8(c) and (d), which show a  
11 stronger correlation with respect to the strain/slip localization at the TB, and Fig. 8(d)  
12 shows that the maximum RSS peaks align with the TB sites, and their magnitudes along  
13 path A-A' at the TBs are substantially higher than the critical resolved shear stress  
14 (420MPa), such that local slip activation, as observed in Fig. 7, is anticipated. The  
15 mechanistic explanation for the concentration of RSS at the TBs is that (i) strain  
16 compatibility at the TB with the constraint imposed from orientation and stiffness  
17 mismatch at the TB drives high elastic strains (influenced by the special crystallography  
18 of twins and the corresponding elastic stiffness anisotropy) and (ii) the high GND density  
19 arising because of the plastic strain gradients at the TBs (see Fig. 5) causing substantial  
20 initial (first cycle) slip system hardening of the respective SLIP STRENGTHs. Constraint  
21 effects develop at (e.g. twin) boundaries when the elasticity of the two grains is different,

1 and in this context, this arises easily because of the difference of the crystallographic  
2 orientations across the TB and the corresponding crystal elastic anisotropy. This also has  
3 been acknowledged in previous work [35][36]. Figs. 8(a) and (b) show  $\sigma_{xx}$  concentration  
4 near the TBs, reflecting to some extent the constraint effect caused by elastic stiffness  
5 difference, and this concentration is also apparent for the slip system RSSs (Figs. 8(c) and  
6 (d)). Hence the special crystallography of the twins is argued to be a primary reason  
7 leading to slip localization at TBs. The two key features, namely elastic difference (from  
8 stiffness anisotropy) and crystallographic orientation, with respect to loading direction,  
9 are quantitatively investigated in more detail in later sections.

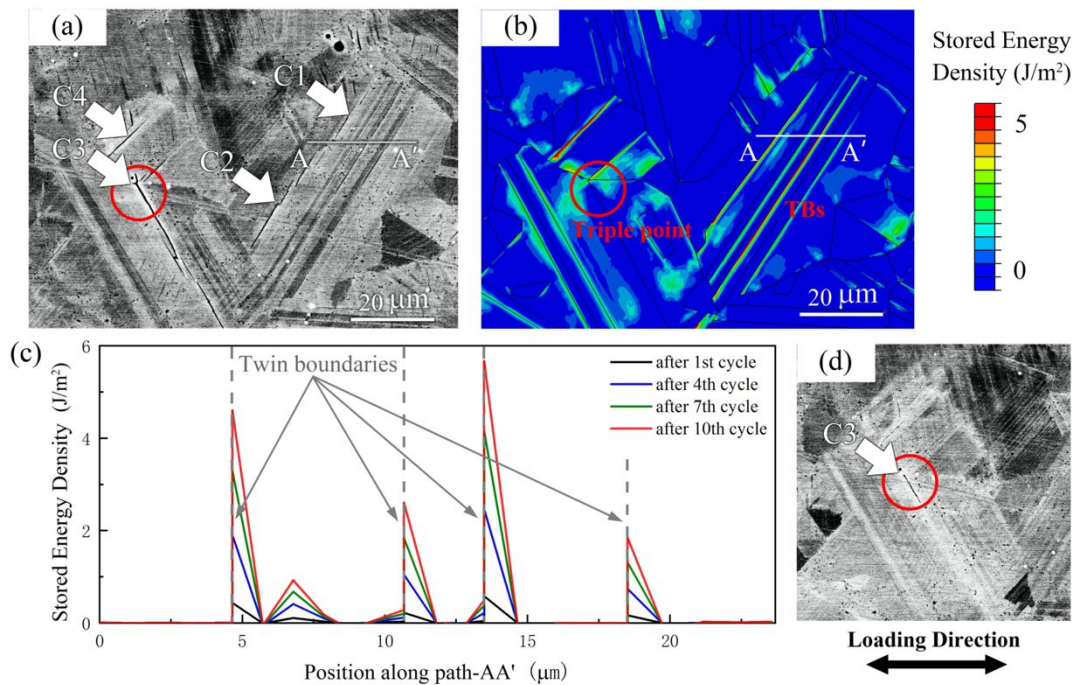
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### 11 **3.2 Fatigue crack nucleation: observations and stored energy density**

12 The slip and strain localization, together with stress concentration and GND density,  
13 have been quantified at TBs using CPFE, and where possible, compared directly with  
14 experimental observation. The stored (elastic) energy density has been argued to be a  
15 mechanistic driver of fatigue crack nucleation reflecting the establishment of dislocation  
16 structures and consequent configurational energy [8][36]. The latter is mostly stored as  
17 lattice curvature in GND structures [8][36] and the release of this energy per unit area is  
18 equated to new surface energy established with crack nucleation in fatigue. As a criterion  
19 to predict both the site of fatigue crack nucleation, and the cycles required to drive it, it  
20 has been demonstrated in single crystal, oligo crystal, and polycrystal nickel containing  
21 agglomerates [6][27][28]. Recent work [38] has demonstrated its non-singular nature at

1 crack tips, its relationship to classical fracture mechanics ( $K$ ), and that it is a mechanistic  
 2 driver of microstructurally-sensitive crack growth. It is utilized in this paper to investigate  
 3 the experimental observations for crack nucleation at the TBs discussed above.

4 The stored energy density, defined in eqs. (14) and (15), is calculated for the  
 5 microstructural ROI introduced above using the CPFEE sub-model. Results are shown for  
 6 the 10<sup>th</sup> fatigue cycle in Fig. 9, together with the experimental imaging to show the  
 7 locations of observed fatigue cracking, notably but not exclusively, at TBs.



8 Figure 9. (a) SEM figure of ROI captured at the step end to failure; (b) Stored energy  
 9 density field of ROI, calculated by CPFEE after 10<sup>th</sup> cycle; (c) Stored energy density  
 10 evolution along the path-AA'; (d) SEM figure of the early crack nucleation within the  
 11 ROI.

12

13



1        The stored energy density path distribution in Fig. 9(c) may be compared with the  
2        corresponding distributions of strain (Fig. 4), GND density (Fig. 5) and stress (Fig. 8). As  
3        has been observed before [12], sites of high stored energy density are often, but not  
4        exclusively, associated with high strain, GND density and stress. However, it is now  
5        recognized that local plastic strain, generated by slip, is a necessary but not sufficient  
6        requirement for fatigue crack nucleation. The role of GND density and stress is to  
7        moderate the stored energy density and as a consequence, the locations of the highest  
8        peaks of stored energy do not necessarily correlate directly with peaks of GND density  
9        (Fig. 5) or stress (Fig. 8). Whereas the stored energy density has been shown to match  
10       unambiguously experimental observations of fatigue crack nucleation site, slip, GND  
11       density and stress have not [6]. The particular aspects of stored energy, including its  
12       microstructural sensitivity, its relationship to classical stress intensity,  $K$ , and its non-  
13       singular nature at crack tips are discussed in [38].

14       A number of fatigue cracks can be observed in Fig. 9(a), and most of them are  
15       associated with the TBs, and the stored energy density captures all observed cracks within  
16       the ROI. For the cracks which develop parallel to TBs (cracks C1 and C2 in Fig. 9(a)),  
17       they nucleate and grow at the twin lamellar as shown in Fig. 9(a). This is reflected in the  
18       stored energy density shown in the contour plot in (b) and in more detail along the path  
19       A-A' in (c). An additional fatigue crack type (C3) is observed to nucleate from a triple  
20       junction and subsequently grow along the associated TB as shown by the red circles in  
21       Fig. 9(a), (b); the early nucleation of this crack is shown in Fig. 9(d) confirming its origin

1 as the triple junction, as predicted by stored energy.

2 Outside of the ROI shown in Fig. 9, other crack nucleation sites are observed in the  
3 experiment. The primary focus of this study is the understanding of mechanistic drivers  
4 of preferential fatigue crack nucleation at annealing twins, and TBs, in the nickel alloy  
5 considered. Hence we confine our study to the ROI. However, we note the simplifications  
6 made in the modelling in which edge effects may be introduced by selection of model  
7 boundary conditions that do not perfectly align with those which apply in the  
8 experimental microstructures. In addition, the simplification of considering only free  
9 surface grain and twin morphology and crystallography is known to have adverse  
10 consequences for identifying all fatigue crack locations in the modelling. However, the  
11 microstructural ROI contains persuasive experimental evidence for fatigue crack  
12 nucleation at TBs, and a further crack in the same ROI nucleating at a triple junction; the  
13 TB cracks develop unequivocally on a particular side of the twin lamellae. All of these  
14 features are correctly captured by the stored energy density. It is argued, therefore, that  
15 this is sufficiently persuasive to warrant more detailed model investigations of the roles  
16 of both local twin elastic anisotropy and crystallographic orientation in fatigue crack  
17 nucleation at TBs, since both have been hypothesized as mechanistic drivers of  
18 preferential crack nucleation [10][12][13][35].

19

20

21

### 3.3 Elastic anisotropy in TB crack nucleation

Nickel has intrinsically high anisotropic elasticity, such that the Young's modulus parallel to  $\langle 111 \rangle$  is higher than that for  $\langle 100 \rangle$ , leading to strong anisotropic mechanical behavior in single crystals. Several experimental and modelling studies have demonstrated that quantitative fatigue failure criteria cannot be rigorously established without consideration of elastic anisotropy [35][39][40]. In this section, a systematic study based on the CPFE ROI model is performed to understand the role of elastic anisotropy in driving fatigue crack nucleation with an emphasis on annealing TBs.

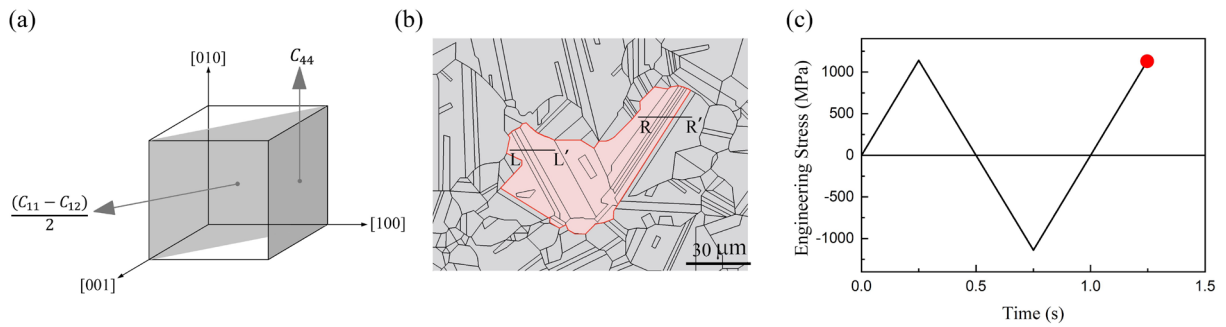


Figure 10. (a) Physical meaning of the three independent stiffness constants; (b) The grains of interest for which elastic anisotropy is studied; (c) The point of peak loading considered.

At the continuum level, the elastic properties of an FCC material can be fully determined by three independent stiffness constants  $C_{11}$ ,  $C_{12}$  and  $C_{44}$ . The Zener ratio, given in eq. (17), is employed to quantitatively characterize the magnitude of the elastic anisotropy in this study. The physical meaning of Zener ratio may be considered by reference to Fig. 10(a) where  $C_{44}$  is the modulus of  $\{100\}$  planes elastically shearing

1 along  $\langle 010 \rangle$ , and  $(C_{11} - C_{12})/2$  the modulus of  $\{110\}$  planes elastically shearing along  
2  $\langle \bar{1}10 \rangle$  [41]. If  $C_{44} = (C_{11} - C_{12})/2$ , that is Zener ratio = 1, then the elastic stiffness  
3 becomes isotropic.

$$4 \quad \text{Zener ratio} = \frac{2C_{44}}{C_{11} - C_{12}} \quad (17)$$

5 Sangid et al. [42] have reported that the normal stress with respect to the TB plane  
6 is important in plastic strain development (and hence potentially for crack nucleation).  
7 Despite the heterogeneous stress field at the TB, the normal stress may play a significant  
8 role because  $E_{\langle 111 \rangle}$  is higher than for other crystal directions (eg.  $E_{\langle 100 \rangle}$ ), and this  
9 elastic difference is coupled with the Zener ratio. Hence, this stress state may encourage  
10 mixed-mode failure at the TB, consisting of a slip-driven shearing nucleation in mode II  
11 and mode I crack opening resulting from the high normal stress. Considering the  
12 interesting reports of the effect of  $(111)$  normal stress in stress concentration [42], and the  
13 twin relationship to its parent grain through the orientation relationship, Two approaches  
14 are chosen to investigate the effect of elastic anisotropy by considering particularly  $E_{\langle 111 \rangle}$   
15 and  $E_{\langle 100 \rangle}$  in turn, for the parent grain/twin region shown highlighted in red in Fig. 10(b).  
16 In the first approach,  $E_{\langle 100 \rangle}$  is fixed and  $E_{\langle 111 \rangle}$  varied to give a range of Zener ratios  
17 (including that corresponding to elastic isotropy). In the second,  $E_{\langle 111 \rangle}$  is fixed and  $E_{\langle 100 \rangle}$   
18 varied. Note that both approaches correspond to changing the Zener ratio, with higher  
19 ratio indicating stronger elastic anisotropy. However, each approach corresponds to a  
20 different form of anisotropy. In each approach, four differing Zener ratios from 1 to 2.44  
21 are generated, and full elastic parameters are shown by a 3D surface graph of respective

1 Young's moduli in Fig. 11(a) and Fig.12(a). The anisotropic conditions which apply to  
 2 the crystal modelling above are indicated as 'actual'. The surfaces are plotted to show  
 3 Young's modulus as a function of orientation, where the Young's modulus for a specified  
 4 direction is given by

$$5 \frac{1}{E_{\langle hkl \rangle}} = C_{11} - 2 \left[ (C_{11} - C_{12}) - \frac{1}{2} C_{44} \right] (l_x^2 l_y^2 + l_y^2 l_z^2 + l_x^2 l_z^2) \quad (18)$$

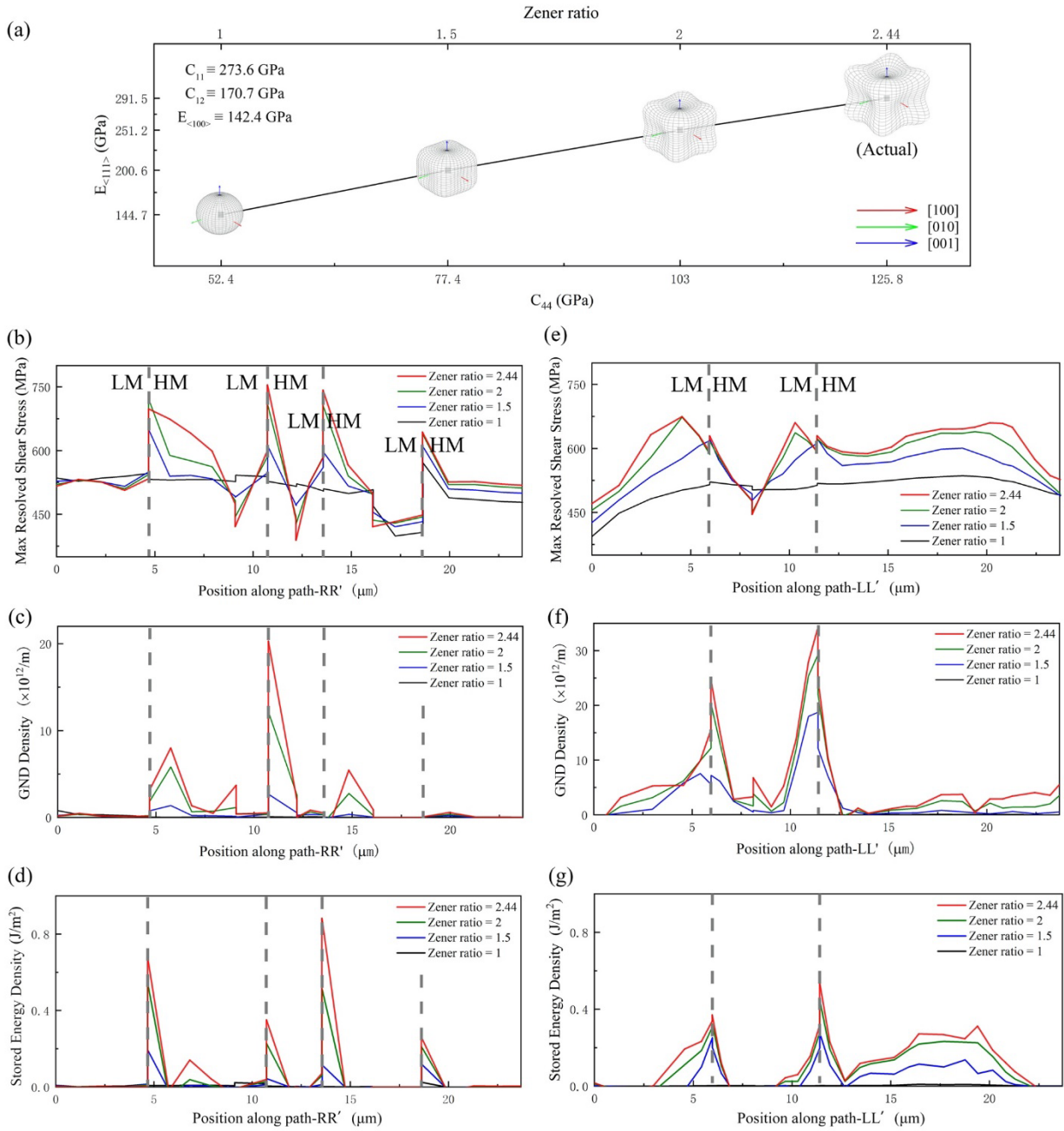
6 where  $l_x$ ,  $l_y$  and  $l_z$  are the direction cosines for crystal directions  $\langle hkl \rangle$  with respect to  
 7 global xyz axis. Fig 10(b) shows highlighted (in red) the two twinned regions within the  
 8 ROI considered in the analysis and the two paths (R-R' and L-L') examined. The path R-  
 9 R' considers the single parallel slip configuration (PS) that is observed in the right  
 10 twinned region. Path L-L' presents the multislip configuration (PS+IS) observed in the  
 11 left twinned region. The elastic properties of the respective twin-parent regions (red  
 12 region in Fig. 10(b)) are varied, maintaining the twin – parent grain crystallographic  
 13 orientations, whilst keeping all other grain elastic properties unchanged (grey region in  
 14 Fig. 10(b)). The loading shown in Fig. 10(c) is imposed to carry out independent CPFE  
 15 simulations with each set of elastic constants (including those which accurately reflect  
 16 the 'actual' elastic anisotropy in the earlier sections of the paper) for both the right-hand  
 17 and left-hand twin-parent sets. The results of these studies are shown in Fig. 11 and Fig.  
 18 12 respectively, which are extracted at the peak loading point shown in Fig. 10(c).

19 The maximum resolved shear stresses corresponding to the two different elastically  
 20 anisotropic approaches are shown in Figs. 11(b) and 12(b) respectively for path R-R'. Fig.  
 21 11(b) and 12(b) show that higher maximum RSSs are developed at the TBs with

1 increasing  $E_{\langle 111 \rangle}$  and decreasing  $E_{\langle 100 \rangle}$  respectively, both corresponding to higher Zener  
2 ratio. However, increasing  $E_{\langle 111 \rangle}$  is found to give much higher TB RSSs than an  
3 equivalent level of elastic anisotropy from decreasing  $E_{\langle 100 \rangle}$ . Comparing the two isotropic  
4 cases (Zener ratio=1) shows that much more uniform RSS fields along path R-R' are  
5 developed, suggesting similarly more homogeneous, and less localized, slip activation.  
6 In addition, the higher (isotropic) modulus which results from the set of isotropic elastic  
7 constants selected in the second approach (Fig. 12) naturally gives rise to higher average  
8 stresses, even if the concentrations at TBs are reduced compared to the first approach (Fig.  
9 11).

10 The corresponding GND densities along path R-R' are shown in Fig. 11(c) and Fig.  
11 12(c). In both cases, GND densities are developed at TBs and increase with elastic  
12 anisotropy (Zener ratio). As in the earlier analysis, this results from the heterogeneous  
13 plastic strain field developed by the discrete parallel slip aligned with the TBs. Higher  
14 elastic anisotropy (Zener ratio) drives this heterogeneity to a sharp gradient around the  
15 TBs, reflected by the peaks in Fig. 11(c) and Fig. 12(c), in which some of the peaks are  
16 seen to be shift away from the TBs (grey lines).

17



1 Figure 11. Varying elastic anisotropy Zener ratio with fixed  $E_{\langle 100 \rangle}$  showing (a) elastic  
 2 properties for four differing Zener ratios; (b) maximum RSS along path R-R'; (c) GND  
 3 density along path R-R'; (d) stored energy density along path R-R'; (e) maximum RSS  
 4 along path L-L'; (f) GND density along path L-L'; (g) stored energy density along path  
 5 L-L'. Dashed lines indicate locations of TB. HM and LM indicate high and low uniaxial

1 moduli respectively with respect to each TB.

2

3 The corresponding stored energy densities along path R-R' are shown in Figs. 11(d)  
4 and 12(d). In both cases, the stored energy density peaks at the TBs for high Zener ratios  
5 (ie higher elastic anisotropy). Interestingly, for the first anisotropic approach, the peaks  
6 in stored energy are unambiguously only associated with TBs. While the peaks at TBs are  
7 retained for the second form of anisotropy, the stored energy is more uniformly distributed,  
8 even removed from the TBs. For the case of isotropic elasticity, the stored energy density  
9 effectively vanishes at the TBs for the first anisotropic approach but does not do so for  
10 the second approach but remains more uniform and uninfluenced by the TBs.

11 Finally, we consider the resolved shear stresses and the stored energy densities which  
12 result from the two elastic anisotropy approaches but now for the different location in the  
13 microstructure corresponding to path L-L' in Fig. 10(b). Recall that in this region, multiple  
14 slip system activation occurs, including slip inclined (IS) to the TBs as well as parallel  
15 (PS) to them in the twinned region. Figs. 11(e) and 12(e) show much more uniform  
16 distributions of resolved shear stress for both anisotropic approaches, though both show  
17 that increasing elastic anisotropy (increasing Zener ratio) leads to more localisation at the  
18 TBs. The stronger uniformity reflects more slip activations and hence more uniform slip  
19 and strain fields. Interestingly, contrary to the parallel slip behaviour at R-R', the peaks  
20 of the GND density along L-L' are located at the TBs without offset as shown in Fig. 11(f)  
21 and Fig. 12(f). This results because of the parallel and inclined slip interaction with the



1 TBs. Local peaks in stored energy density, shown in Figs. 11(g) and 12(g), develop in  
2 both elastic anisotropies, very much strengthened by increasing Zener ratio. However,  
3 Fig 12(g) shows that even for highest levels of anisotropy (Zener ratio of 2.44), there exist  
4 potential states of elastic anisotropy for which stored energy density is higher at locations  
5 away from TBs. However, the combination of elastic stiffnesses giving rise to this are, of  
6 course, artificial (for the purposes of this systematic study) and do not reflect the true  
7 anisotropic properties which are representative of *René 88DT*.

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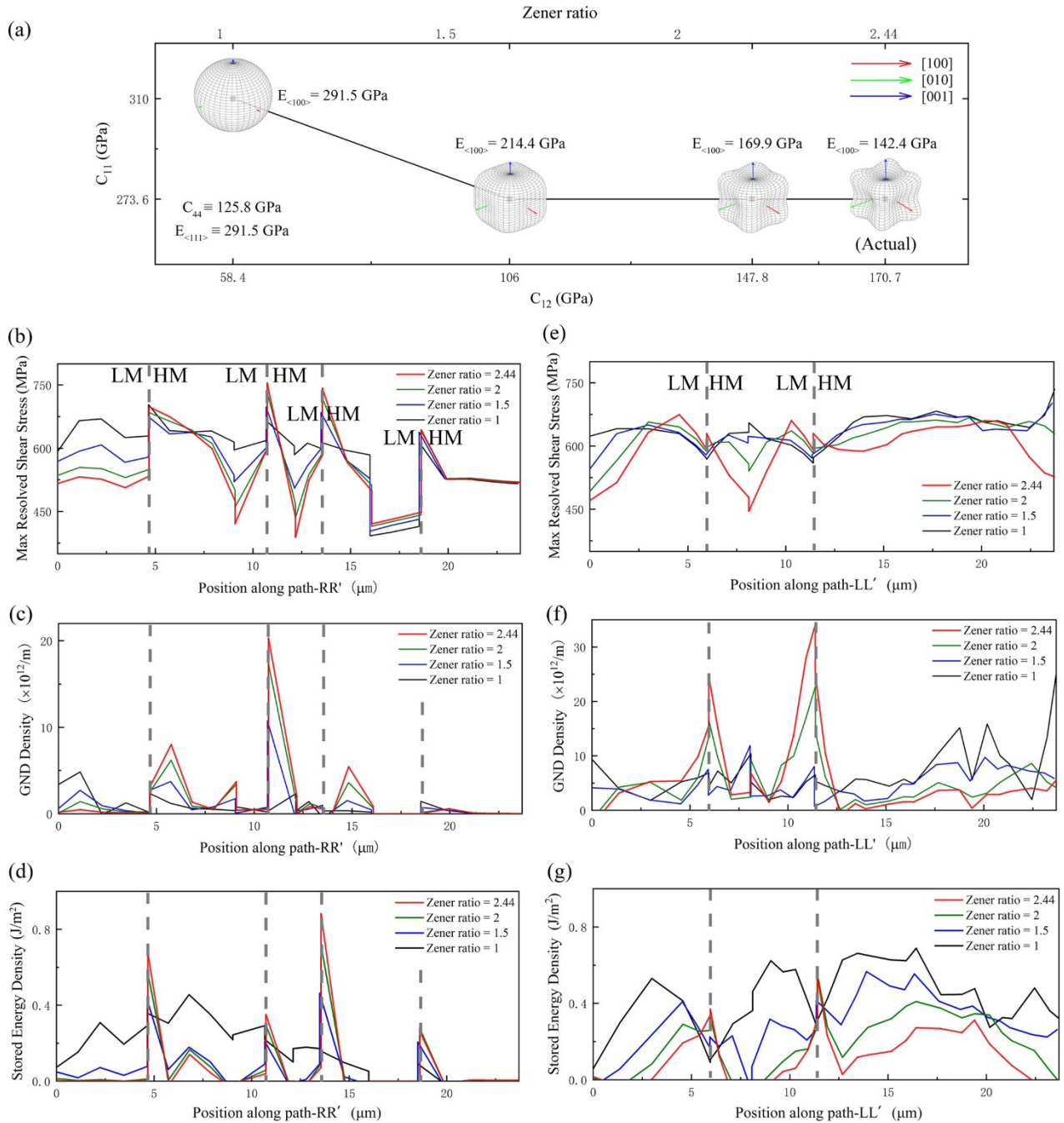
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1 Figure 12. Varying elastic anisotropy Zener ratio with fixed  $E_{\langle 100 \rangle}$  showing (a) elastic  
 2 properties for four differing Zener ratios; (b) maximum RSS along path R-R'; (c) GND  
 3 density along path R-R'; (d) stored energy density along path R-R'; (e) maximum RSS  
 4 along path L-L'; (f) GND density along path L-L'; (g) stored energy density along path  
 5 L-L'. Dashed lines indicate locations of locations of TBs

### 3.4 Twin crystallographic orientation sensitivity

Nickel single crystal behaviour is both elastically and plastically anisotropic such that crystallographic orientation with respect to remote loading is important to the resulting material response. This holds also for annealing twins and their parent grains within a loaded polycrystal. An important constraint is that of the orientation relationship which holds between parent grain and twin. In nickel with FCC structure, this important constraint can be expressed as a  $60^\circ$  twist angle along their common  $\langle 111 \rangle$  crystal direction. In addition, previous studies have demonstrated that fatigue cracks preferentially nucleate at TBs when the TB trace holds a  $45^\circ$  angle with respect to remote loading direction [16][35]. This “morphological worst case” is known so that it becomes useful to investigate the overall crystal orientation of the parent grain-twin couple with respect to remote loading in order to establish if a worst case crystallographic orientation exists which mechanistically favours fatigue crack nucleation. If this were to be the case, it would be anticipated that a higher proportion of crack nucleations at TBs in polycrystals would be found to be associated with a given (parent grain) crystal orientation when the surface TB traces are inclined at  $45^\circ$  to the remote loading direction. Hence a systematic study has been carried out utilizing the same microstructural CPFEM model ROI presented above, properly capturing the parent-twin orientation relationship, and examining stored energy density (and other important quantities) developing at TBs as a consequence of parent grain orientation with respect to loading.

1           The microstructural ROI 2 shown in Fig 13(a) is considered, for which the TB traces  
2 are at or near  $45^\circ$  to the remote loading direction, corresponding to the morphological  
3 worst case. In ROI 2, the parent grain and twin, designated Grain A and Grain B  
4 respectively, are assigned systematically a range of orientations with respect to loading  
5 direction, and each case is analyzed with the CPFÉ model. All other grain orientations  
6 and morphologies within the polycrystal model remain unchanged. The crystal  
7 orientations considered are those which result from the grain-twin crystal orientations  
8 rotated about their common  $\langle 111 \rangle$  direction (maintaining the parent-twin crystal  
9 orientation relationship) in steps of  $10^\circ$  without change to the parent-twin morphology.  
10 Hence this is a purely crystallographic study. Twelve differing crystal orientations are  
11 generated, such that all of the crystal configurations considered have their (111) planes  
12 parallel to the TB and the rotation axis [111] is parallel to the normal to the TB plane.  
13 CPFÉ modelling is performed using these twelve crystal orientations independently  
14 subject to the same loading introduced in the previous section. Due to the high symmetry  
15 in FCC, there is a  $120^\circ$  periodicity about  $\langle 111 \rangle$  so that orientations are varied from  $-60^\circ$   
16 to  $+60^\circ$ . The computational results are extracted at the peak loading point following the  
17 first cycle as shown in Fig.10(c).

18  
19           Fig.13 shows the four investigated quantities (effective plastic strain, maximum RSS,  
20 GND density, and stored energy density) and how they vary as the crystal orientation is  
21 changed about the [111] direction. The  $-60^\circ$  and  $+60^\circ$  orientations show identical results,

1 confirming the  $120^\circ$  periodicity about the  $\langle 111 \rangle$  crystal direction in FCCs. The peak  
2 values of all these quantities can be observed to occur for the parent-twin configuration  
3 with an orientation of about  $-20^\circ$  with respect to the original, unrotated crystal orientation  
4 (i.e. that obtained from the experimental characterization, and designated as the  $0^\circ$   
5 orientation in the figures). All the quantities observed are localized on the elastically less  
6 stiff side of the TB in a range of orientations from  $-10^\circ$  to  $-30^\circ$  except for the actual case  
7 of  $0^\circ$ , and those which depend on slip activation effectively vanish when the orientation  
8 angle diverges outside of this range (eg  $+20^\circ$  orientation in Fig. 13) for which the  
9 orientation is unfavourable for slip. However, high plastic strains and corresponding  
10 stress concentration (resulting from hardening) can be developed for particular crystal  
11 orientations; these conditions lead to local high stored energy densities which, as  
12 demonstrated earlier, progressively increase with cyclic loading, favouring fatigue crack  
13 nucleation at the TB for very particular parent-twin crystallographic orientations.

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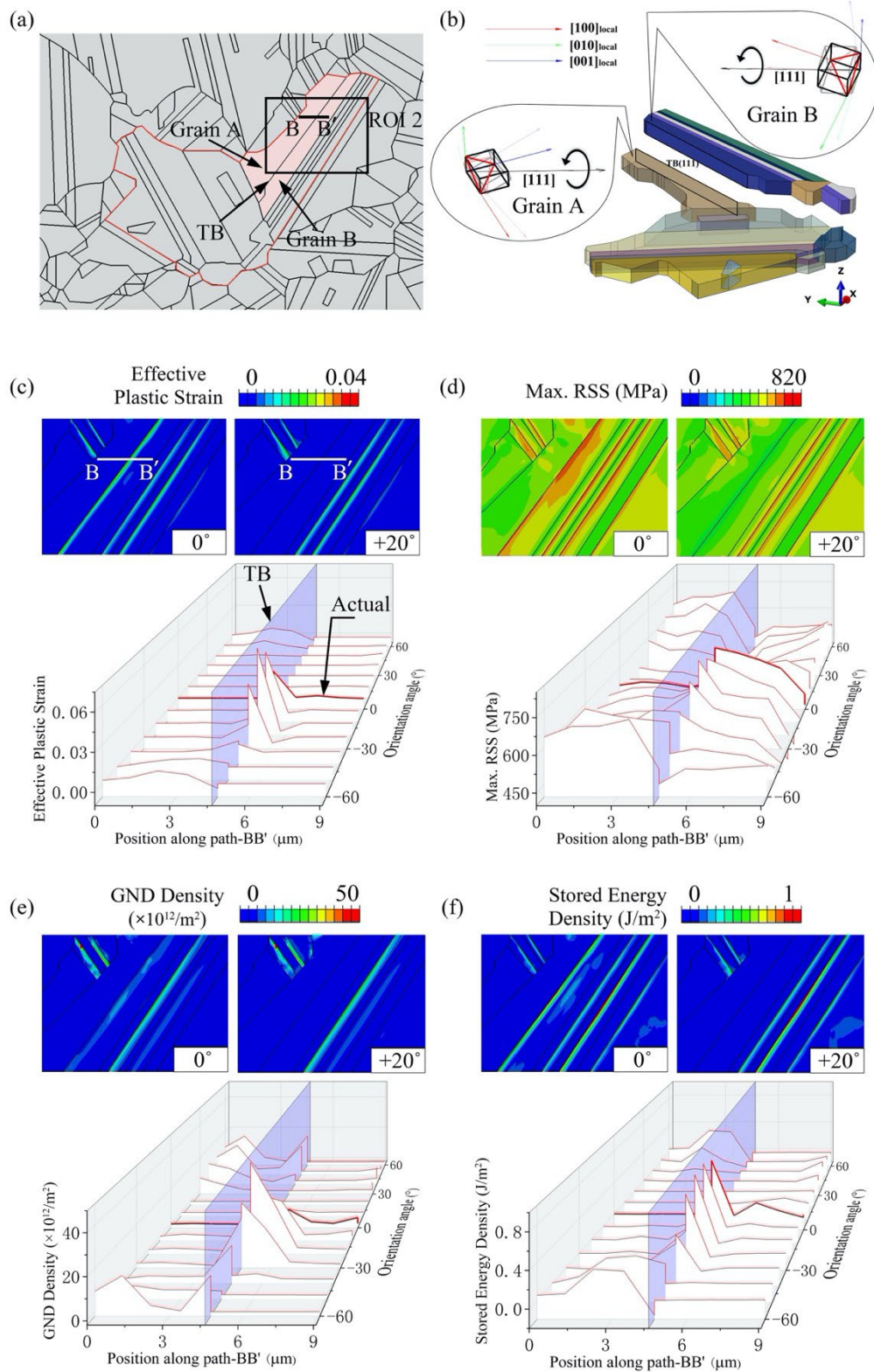
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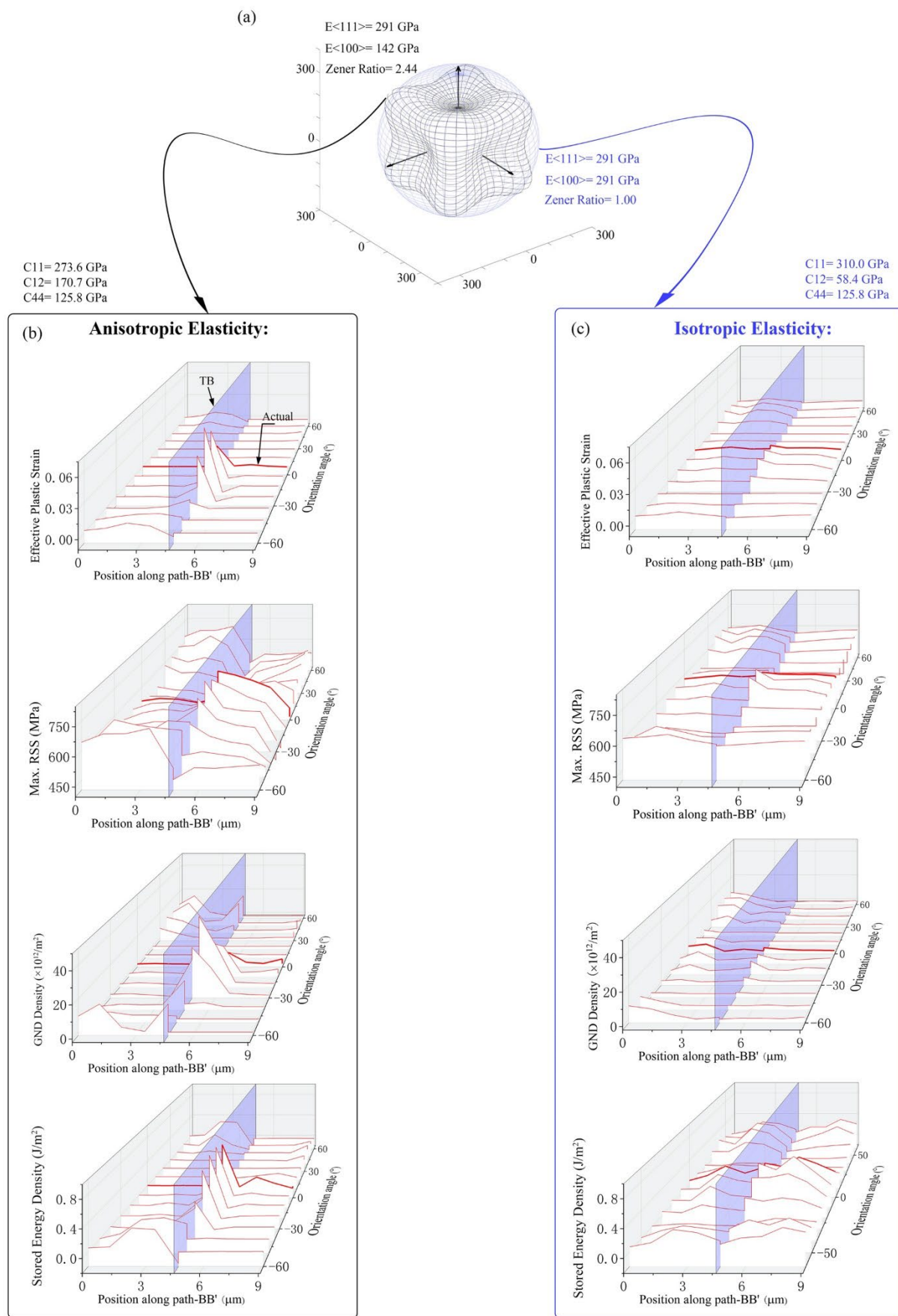
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5 Figure 13. Sensitivity study of TB quantities to crystallographic orientation showing (a)  
6 The grains highlighted by red are studied for crystallographic orientation; (b) Schematic

1 diagram showing crystallographic rotation; (c) Effective plastic strain; (d) Maximum  
2 resolved shear stress; (e) GND density; (f) Stored energy density; the 3D path plots  
3 correspond to B-B' in Fig. 13(a). The 'actual' crystal orientation has been marked by  
4 bold red lines. The location of TB is shown as a violet plane.



1 Figure 14. Sensitivity study of TB quantities to crystallographic orientation showing



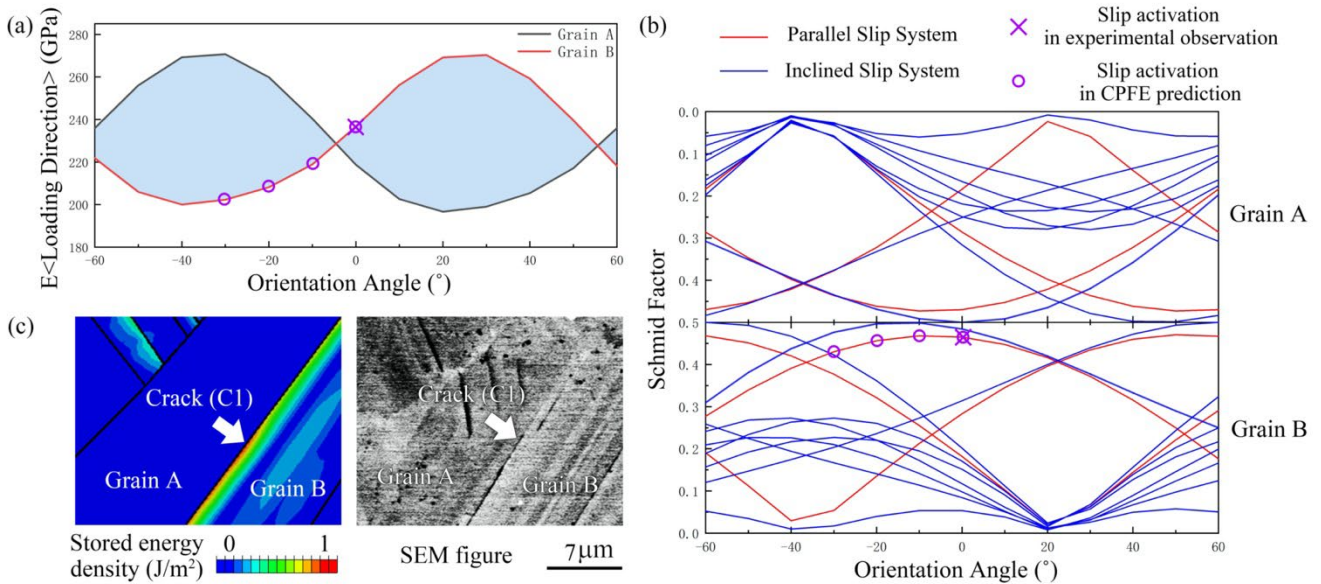
1 results for the particular cases of anisotropic and isotropic elastic twin-parent grain  
2 properties shown in (a), where (b) shows results for anisotropic elasticity and (c) results  
3 for isotropic elasticity. The 3D path plots correspond to B-B' in Fig. 13(a). The 'actual'  
4 crystal orientation has been marked by bold red lines. The location of the TB is shown as  
5 a violet plane.

6  
7 Fig. 13 shows sensitivity of fatigue crack nucleation to TB crystallographic  
8 orientation, in terms of high local stored energy. Fig. 11 and Fig. 12 quantitatively  
9 demonstrate the importance of elastic anisotropy in fatigue crack nucleation but only for  
10 the original, unrotated crystal orientation case. Here, we compare the sensitivity of key  
11 results to crystallographic orientation for the cases of elastic anisotropy and isotropy  
12 across the TB along path BB' (shown in Fig 13(a)), for the worst-case TB morphological  
13 orientation of about  $45^\circ$ . The isotropic elastic properties considered, together with the  
14 original anisotropic elastic properties, are shown in Fig. 14(a) in blue and black  
15 respectively. These two elastic cases have identical  $\langle 111 \rangle$  Young's and shear moduli, but  
16 their  $\langle 100 \rangle$  moduli are different. The loading conditions applied are identical to those  
17 used in generating Fig. 13. Fig. 14 shows the resulting plastic strain, maximum resolved  
18 shear stress, GND density and stored energy density for the two cases. Fig. 14(c)  
19 demonstrates that elastic isotropy leads to much more uniform (and low magnitude)  
20 quantities across the TB compared with the case of elastic anisotropy, and that contrary  
21 to the case of anisotropic elasticity, no clear peaks can be observed for the twelve crystal

1 orientations considered. Hence, the elastic anisotropy drives not only the preferential  
2 localization of plasticity and fatigue crack nucleation at the TB, but also facilitates the  
3 sensitivity to crystal orientation. Fig. 14 reinforces the importance of anisotropic elasticity  
4 in fatigue crack nucleation at annealing twins in polycrystal nickel-based superalloy.

5 Finally in this section, an analysis of the effect of parent grain-twin orientation on  
6 slip system activation, in terms of the elastic anisotropy and crystal orientation is provided  
7 in the context of independent experimental observations of crack nucleation compared  
8 with CPFE model predictions from the current work. Young's modulus along the loading  
9 direction ( $E_{LD}$ ) has been reported as a significant factor in fatigue crack nucleation at TBs.  
10 Larger differences between parent grain and twin  $E_{LD}$  have been reported to encourage  
11 the triggering of fatigue crack nucleation [12]. Here, a parent grain - twin pair (Grain A  
12 and Grain B in Fig. 13(a)) which has been observed to nucleate a fatigue crack (see C1 in  
13 Fig. 9(a)) is chosen to investigate the effect of crystal orientation in slip localization and  
14 fatigue crack nucleation. The calculated loading direction moduli  $E_{LD}$  of both parent and  
15 twin as a function of orientation with respect to loading are shown in Fig. 15(a), and the  
16 corresponding slip system (global) Schmid factors for the twelve independent slip  
17 systems for both parent and twin are shown in Fig. 15(b) with orientation. Of all the  
18 crystal orientation configurations considered, four configurations with strong slip  
19 localization and fatigue crack probability are marked by violet circles in both Fig. 15 (a)  
20 and (b). The particular case of the experimental sample detailed in section 3.2 above for  
21 which fatigue crack nucleation is observed to occur in experiment is highlighted by a

1 cross, both in the modulus and Schmid factor plots. The stored energy density in the local  
 2 region of Grains A and B is shown in Fig. 15(c) with the corresponding SEM crack  
 3 observation.



4 Figure 15. (a) Variation of Young's moduli (loading direction) of parent grain and twin  
 5 with crystal orientation. (b) Schmid factors of twelve slip systems with orientation; (c)  
 6 Stored energy density at the TB after 10<sup>th</sup> cycle for orientation of 0° (ie the experimental  
 7 sample reported in this paper).

8  
 9 The E<sub>LD</sub> difference between the parent grain and twin is apparent from the respective  
 10 moduli shown in Fig. 15(a). Fatigue crack nucleation is identified as marked in Fig 15(c)  
 11 and Grain B has a slightly higher E<sub>LD</sub> than that of Grain A for the reference orientation  
 12 (ie 0° orientation corresponding to the experimental observations presented, marked by a  
 13 cross-circle in Fig. 15(a) and (b)). The CPFEE predicts crack nucleation in the range of

1 orientations from  $-10^\circ$  to  $-30^\circ$  (marked by the circles in Fig. 15(a) and (b)). Unlike the  
2 sample orientation, these three parent/twin pair orientations show that the crack site is  
3 located within Grain B, which has lower  $E_{LD}$  than that of Grain A. This is in agreement  
4 with previous independent experimental observations [12] (though it is described as being  
5 the elastically ‘softer’ side). Note that both this paper and [12] consider the elastic  
6 stiffness (or ‘softness’),  $E_{LD}$ , to be determined by assuming a uniaxial stress state resulting  
7 from the remote loading direction. Fig. 15(a) shows this assumption is reasonable but is  
8 not completely accurate due to the heterogeneous stress field.

9       With respect to slip system activation, parallel slip is predicted to be activated in all  
10 of the four locations where cracks are observed to nucleate. However, an interesting point  
11 is the global Schmid factors of these activated slip system are high but not the highest.  
12 Hence global Schmid factors can identify slip activation but due to local elastic constraint  
13 effects, are not always accurate. No inclined slip systems are activated in any of the  
14 alternative crystal orientations, which means the parallel slip configuration must  
15 dominate and crack nucleation in these cases.

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#### 1 **4. Conclusions**

2 Integrated experiments, characterization and CP modelling of annealing twins in  
3 *René 88DT* under cyclic loading have been studied in order to obtain mechanistic  
4 understanding of fatigue crack nucleation and why this is driven to occur preferentially  
5 at TBs. Specifically, the effects of elastic anisotropy and parent grain / twin  
6 crystallographic orientation with respect to loading have been investigated for a given  
7 fixed microstructure. The key conclusions are:

8  
9 1. Strong slip localization, and correspond plastic strain gradients, occur at TBs driven by  
10 elevated resolved shear stresses during cyclic loading. Slip parallel to TBs was found to  
11 dominate and good agreement was obtained between SEM Heaviside-DIC strain  
12 measurement and CPFEM modelling at TBs. As a consequence, TBs were found to lead to  
13 strongly elevated local stored energy densities reflecting experimental observations of  
14 preferential TB fatigue crack nucleation.

15  
16 2. Elastic anisotropy was found to be key to developing elastic constraint at TBs, in turn  
17 affecting resolved shear stresses and hence slip system activation, which was not always  
18 correctly identified by global Schmid factors. Systematic variations of the Zener  
19 anisotropy factor demonstrated that increasing elastic anisotropy drove higher resolved  
20 shear stresses, more highly localized slip activation, and consequent GND density and  
21 stored energy density, thus explaining the preference for crack nucleation at TBs.

1

2 3. Parent and twin pair crystallographic orientations with respect to remote loading  
3 direction were found to be very important in influencing TB slip activation and the  
4 elevation of local stored energy density and hence the likelihood of fatigue crack  
5 nucleation. The elastic anisotropy and resulting constraint affect the resolved shear  
6 stresses so that global Schmid factors are not necessarily good indicators of crack  
7 nucleation. Stored energy, which accounts for local stresses and strains provides better  
8 predictive capability. The simulations showed that the range of the most damaging parent  
9 grain orientation was over  $\sim 30^\circ$  about the grain  $\langle 111 \rangle$  direction leading to the highest  
10 stored energy densities, and hence likelihood and preference for TB fatigue crack  
11 nucleation.

12

### 13 **Declaration of Competing Interest**

14 The authors declare that they have no known competing financial interests or personal  
15 relationships that could have appeared to influence the work reported in this paper.

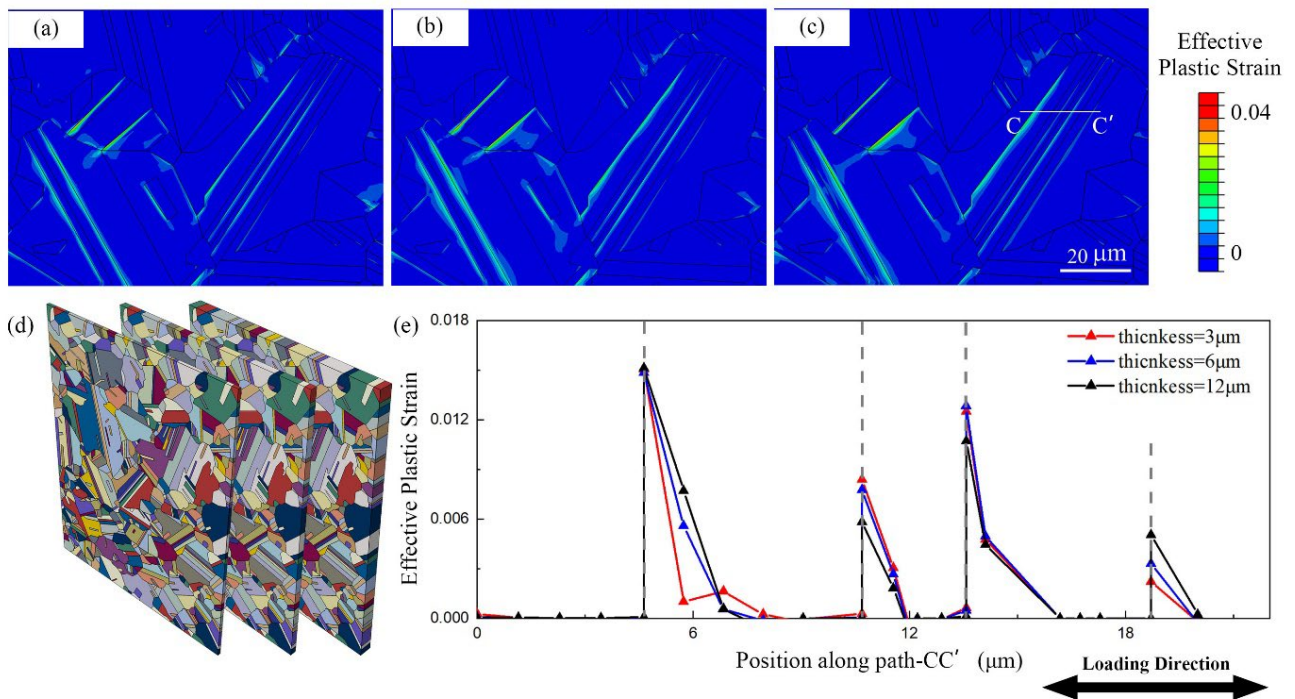
16

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1 **Appendix. 1**

2 To examine the effect of the model (z-direction) thickness on free-surface results, a  
3 systematic analysis with different thicknesses (3 $\mu\text{m}$ , 6 $\mu\text{m}$ , 12 $\mu\text{m}$ ) was conducted.  
4 Compared with the thickness of 3 $\mu\text{m}$ , higher thicknesses (two and four times this) show  
5 close results in strain distributions (Figure A1. (a)(b)(c)) and their magnitudes (Figure A1.  
6 (e)). Based on these results, it's reasonable to argue that the thickness effect is quite weak  
7 in this microstructure ROI, such that a thickness of 3 $\mu\text{m}$  is satisfactory.

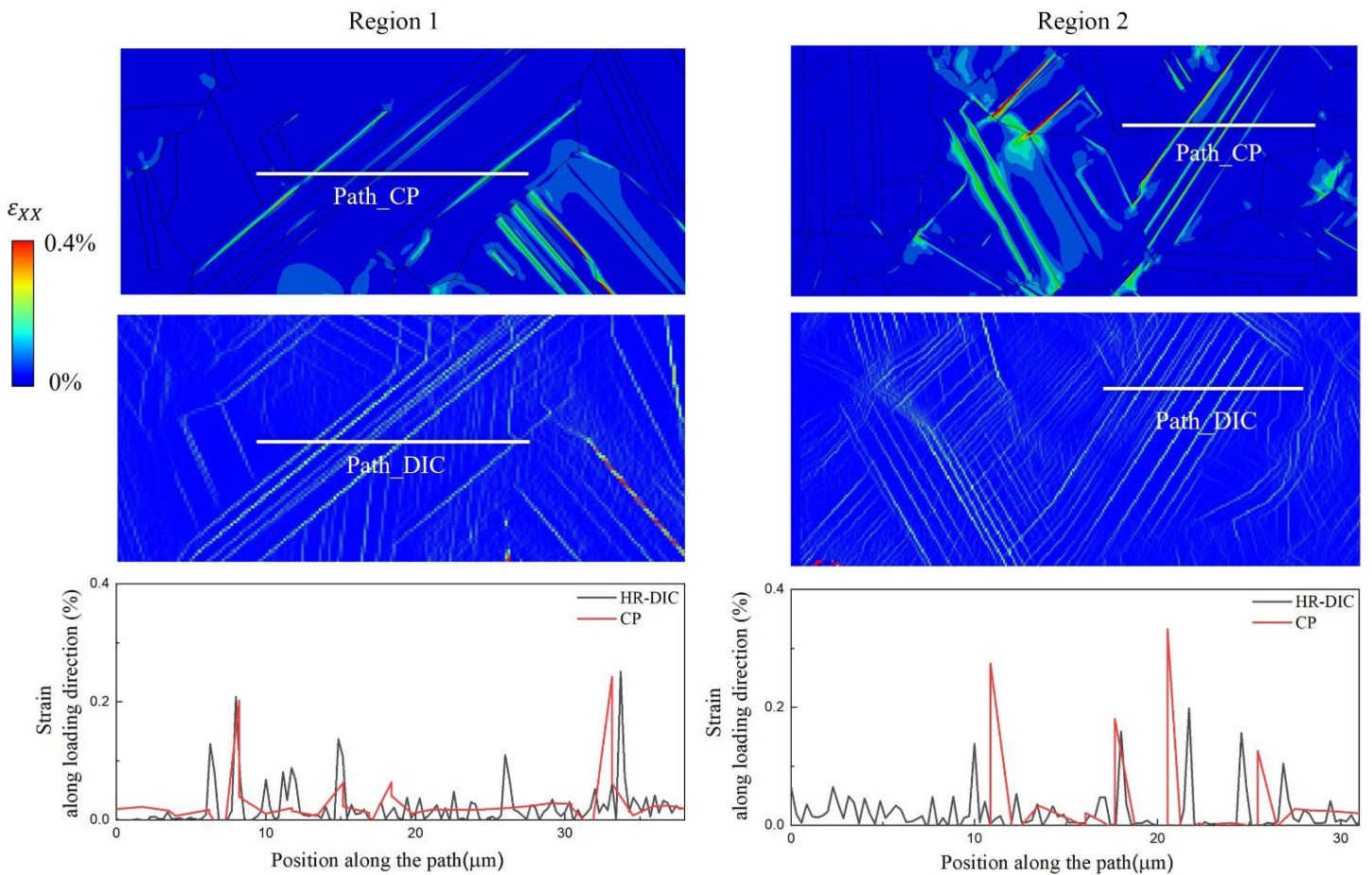


8 Figure A1 Effective plastic strain field after 1st cycle of the microstructure with (a)  
9 thickness=3 $\mu\text{m}$ , (b) thickness=6 $\mu\text{m}$ , (c) thickness=12 $\mu\text{m}$ . (d) Figures showing the  
10 microstructure with 3, 6, 12 $\mu\text{m}$  thickness and (e) Effective plastic strain along the path-  
11 CC' for the three thicknesses.

12

1 **Appendix. 2**

2 A statistical analysis based on the experimental data has been provided in [12] and  
3 provides the observational statistical link between the parallel slip at twins (with  
4 appropriate orientations) and the fatigue crack nucleation. In this study, we explicitly  
5 model two regions of the experimental microstructures which reproduce the conditions  
6 driving parallel slip and compare the results of the CP calculations with those obtained  
7 by averaging the DIC strain measurements. The comparisons are shown in Figure A2.



8 Figure A2. Contour plot distributions of DIC measured and CP calculated strains together  
9 with line graph comparisons of averaged DIC and CP calculated strains along the paths  
10 shown (along the loading direction) after the tensile phase of the 1st half cycle (unloaded).



1  
2 **References**  
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