

1 **A meta-model of socio-hydrological phenomena for sustainable water management**

2 A. Mijic^{1*}, L. Liu¹, J. O’Keeffe^{2,3}, B. Dobson¹, K.P. Chun⁴

3 ¹Department of Civil and Environmental Engineering, Imperial College London, London,
4 UK.

5 ²School of History and Geography, Dublin City University, Dublin, Republic of Ireland

6 ³Centre for Environmental Policy, Imperial College London, London, UK.

7 ⁴Department of Geography and Environmental Management, University of the West of
8 England, Bristol, UK.

9 *Correspondence to: ana.mijic@imperial.ac.uk

10 *Overemphasizing technological solutions in water management without considering the*
11 *broader systems perspective can result in unintended consequences. For example,*
12 *infrastructure interventions for drought adaptation may inadvertently increase flood risk,*
13 *illustrating a socio-hydrological phenomenon. We propose a systems meta-model that reveals*
14 *the complex mechanisms and feedback loops underlying the critical human-water interactions.*
15 *We show that the unintended outcomes of water management decisions result from the lack of*
16 *integration and coordination of the feedback loops. The insights highlight the importance of*
17 *considering environmental capacity in water management, as well as the necessity for*
18 *integrated assessment and coordinated solutions for long-term sustainability.*

19 **Main**

20 The global community faces substantial challenges in achieving water-related Sustainable
21 Development Goals (e.g., clean water and sanitation, sustainable cities, etc.), including the need
22 for considerable infrastructure investments¹ and cooperation on climate change². These
23 challenges may be caused by the complex socio-hydrological phenomena that describe

24 interactions in coupled human-water systems (CHWS)³. The socio-hydrological phenomena
25 provide a conceptual framework to understand how water management interventions can result
26 in various unintended outcomes (Table 1). These outcomes include trade-offs between
27 management goals, such as socio-economic development and environmental health⁴ and
28 different water uses, for example, urban-rural water use trade-offs⁵. This highlights the
29 necessity for a comprehensive understanding of water management decisions, policies, and
30 interventions by multiple stakeholders. We take a systems perspective on socio-hydrological
31 phenomena to challenge conventional water management decisions and plans, which have
32 often been developed using linear thinking and a goal-focused approach that may overlook
33 system-wide interactions⁶.

34 To comprehensively address socio-hydrological phenomena from a systems perspective, it is
35 essential to understand their underlying mechanisms, including the ways in which human
36 interventions impact the state of the environment. The concept of archetypes, which represent
37 common structures that produce a characteristic system behaviour⁶, can enhance our
38 understanding of complex socio-environmental interactions. Many CHWS problems have been
39 analysed through a range of well-known archetypes. For example, the ‘limits to growth’
40 archetype describes the overuse of common natural resources impairing sustainable and
41 equitable development⁷ while the ‘green-red loop’ approach illustrates how different resource
42 dependence on the environment to support social development may lead to environmental
43 degradation and potential system collapse⁸. Conceptual and systems dynamic models have
44 been developed to explore socio-hydrological phenomena as forms of archetypes, focusing on
45 specific water management aspects such as groundwater depletion⁹, flood protection¹⁰ and
46 wetland degradation⁴. However, a generalisable representation that encompasses the drivers
47 and feedback mechanisms defining multiple socio-hydrological phenomena is currently

48 lacking. This creates a need for a framework to analyse and inform integrated solutions for
49 complex human-water system interactions.

50 To explore the potential for a unified framework we select the six phenomena included in Table
51 1 that are described by eight selected case studies explaining the drivers and dynamics of
52 complex socio-hydrological interactions in CHWS. The case studies show that socio-
53 hydrological phenomena can be observed in all aspects of water management (floods, droughts,
54 water infrastructure, technology, and governance) and across multiple spatial scales (local,
55 catchment to regional) from the Global North to South. We hypothesise that socio-hydrological
56 phenomena are ultimately unintended outcomes of water management caused by external
57 driving forces (e.g., climate change) and internal feedback mechanisms (e.g., social
58 development drives water infrastructure development, which in return provides water supply
59 for further social development), which are inconsistent with what water managers would expect
60 and their own planning and allocation decisions. The focus is on man-made changes to the
61 natural environment and their consequences, and how systems-level analysis can support
62 stakeholders in water management decisions and planning. To test the hypothesis, we develop
63 a unified systems framework that generalises the socio-hydrological phenomena. We refer to
64 this framework as a CHWS meta-model, which we first describe and then use to show how
65 complex socio-hydrological phenomena could be better analysed from a systems perspective.
66 The meta-model application has the potential to provide insights that will enable the
67 anticipation of unintended outcomes and the development of more robust and sustainable water
68 management plans.

69 **Coupled human-water system meta-model**

70 To conceptualise the CHWS meta-model, we analyse example case studies in Table 1 to reveal
71 *components* and feedback loops that describe the socio-hydrological phenomena. Components
72 are defined as high-level elements of the meta-model that can be evaluated through a set of

73 indicators. The meta-model feedback loops are conceptualised as causal (positive or negative)
74 links between the components informed by the socio-hydrological phenomena mechanisms
75 (Fig. 1). We recognise that real-world systems involve a range of complex interactions. The
76 meta-model can serve as a high-level representation of key CHWS mechanisms that, once
77 mapped, can be further expanded to capture context- and problem-specific water management
78 decisions, and select relevant indicators that can be used for water management systems-level
79 analysis.

80 *CHWS meta-model components*

81 Case studies in Table 1 reveal a reliance on *water infrastructure* to support development by
82 managing the *environmental state* and balancing the *water resources demand-supply*. In the
83 adaptation effect phenomenon, perpetual development enabled by water infrastructure (e.g.,
84 flood defences¹¹ and water supply reservoirs¹²) eventually negatively impacts the *quality of life*
85 (e.g., increased damages from subsequent flood and drought events). The economically driven
86 growth manifested through the *level of development* is characteristic of the safe development,
87 supply-demand cycle and rebound effect phenomena, which define the human system's reliance
88 on natural resources without considering long-term impacts on the environmental state. This
89 can result in increased social vulnerability due to the perceived security provided by flood
90 protection¹³ and increased water use enabled by water transfers¹⁴ and water-saving
91 technologies¹⁵. The notion of limits to growth within the pendulum swing phenomena describes
92 how the environmental system's ability to provide resources and *ecosystem services provision*
93 is impacted by human activities' *environmental footprint*. If environmental protection becomes
94 the priority for decision-makers, economic activities may be shifted from, for example,
95 reducing the water used for food production towards mitigating riparian environment
96 degradation⁴. Finally, examples of the aggregation effect phenomenon reveal undesirable
97 outcomes of water management decisions across different spatial scales, such as causing

98 environmental degradation due to over-abstraction of common groundwater resources between
99 countries¹⁶ and prioritising urban water use while reducing irrigation supply within a
100 catchment⁵ In Table 2 we generalise the description of seven CHWS meta-model components
101 and give examples of potential evaluation indicators. We note that each component can be
102 described by a set of indicators, which should be defined based on the meta-model application
103 and selected method of analysis.

104 *Feedback loops in the CHWS meta-model*

105 The CHWS meta-model proposes that the quality of life, as a measure of societal priorities for
106 prosperity and wellbeing¹⁷ is a function of both the level of development and the ecosystem
107 services provided directly (e.g., land use for agriculture) and indirectly through water
108 infrastructure (e.g., water supply for irrigation). The meta-model is designed to help us
109 understand how to coordinate water infrastructure management, development, and
110 environmental protection. We achieve this goal by creating three hypothetical feedback loops
111 which show how these components interact. (Fig. 1).

112 For the level of development to improve the quality of life, there must be a sufficient supply of
113 commodities, disposable household income and accessible public services¹⁸, which can be
114 measured by socioeconomic indicators (e.g., GDP per capita). However, improved quality of
115 life also leads to increased demand¹⁹, use of local natural resources, and dependence on distant
116 ecosystems²⁰. In the CHWS meta-model, the perception of increasing quality of life through
117 water intensive level of development creates a resources dependence (RD) loop. The RD loop
118 creates a disconnect between water services and the environment, as can be seen through
119 urbanisation where cities create virtual and actual water footprints that exceed urban
120 boundaries²¹.

121 Exploiting natural resources to support development reduces the integrity of ecosystems and
122 diminishes their ability to provide services²². In the CHWS meta-model, the natural
123 environment's role in increasing quality of life is defined by the environmental capacity (EC)
124 loop. A wide range of human activities (e.g., food production and land management) can
125 damage the environmental state, which can be quantified through an environmental footprint²³.
126 The EC links are caused by dependence on water and land resources, e.g., land degradation
127 caused by irrigation-induced salinity leading to decreased agricultural production and
128 livelihood²⁴. However, the damage to the ecosystem services delivered by the EC links can be
129 potentially reduced via proactive environmental management (e.g., nature-based solutions to
130 manage floods and water pollution²⁵). Therefore, ecosystem services provision is needed to
131 evaluate the quality of life, and the environmental state is a critical indicator for socio-economic
132 system performance in the RD loop. Changes in the environmental footprint should be used to
133 manage and adjust this RD loop.

134 Understanding the role of water infrastructure as a link between the RD and EC loops is key to
135 aligning development with the level of environmental change. Economic growth and
136 population-dense urban environments require water infrastructure to deliver water supply,
137 surface water management and wastewater treatment, while agricultural areas expansion with
138 increasing irrigation demand requires intensive canal and well systems. By interacting with the
139 water systems, society has become detached from the local environment. Water infrastructure
140 fulfils a dual role, serving as a provider of services like water supply and as well as performing
141 environmental management functions such as river protection from the wastewater pollution²⁶.
142 Water management decisions, such as wastewater treatment plant operations and flood
143 protection, are designed to create a positive link between the water infrastructure and
144 environmental state components. However, they also create an infrastructure management (IM)
145 loop that buffers the signals of environmental degradation. Water infrastructure systems are

146 necessary to support development, but their buffering effect enables the EC loop mechanisms
147 to be either ignored, allowing development in the RD loop to continue despite pressures on and
148 from the natural environment.

149 **Meta-model and socio-hydrological phenomena**

150 By understanding key drivers and feedback mechanisms in the meta-model, we can explain the
151 socio-hydrological phenomena described in Table 1. We argue that the phenomena are
152 influenced by feedback loops in the meta-model, and can be grouped into three distinct CHWS
153 archetypes based on whether these loops are considered, integrated, or coordinated (Fig. 2).
154 Detailed explanations of the socio-hydrological phenomena mechanisms informed by the meta-
155 model, which create feedback loops in case study examples, is provided in Table 3.

156 Within the CHWS meta-model, two socio-hydrological phenomena can be described as a
157 process when the EC loop is not fully considered in water management analysis, which we
158 refer to as an ‘environmental capacity ignorance’. The adaptation effect occurs when, to
159 mitigate extremes (e.g., floods and drought management) by an enthusiastic investment into
160 infrastructure, technology or efficiency, interventions can have unintended outcomes caused
161 by increased resource use¹² and socio-economic development²⁷ within CHWS. When this form
162 of infrastructure investment is mapped onto the CHWS meta-model, it creates an unstable,
163 perpetually increasing IM loop (Fig. 2A). This perpetual loop highlights that it is not possible
164 to expect infrastructure investment to continually improve the quality of life without also
165 considering the environmental footprint and development impacts on the system.
166 Environmental capacity ignorance also occurs when only IM and RD loops are considered. Fig.
167 2B maps safe development, supply-demand cycle and rebound effect phenomena. The mapping
168 highlights that these phenomena do not properly consider the EC loop by failing to account for
169 the environmental footprint arising from e.g., increased urbanisation incentivised by water

170 infrastructure service provision. Again, ignoring the environmental footprint in this manner
171 results in persistent deterioration of the environmental state and ecosystem service provision,
172 which typically accumulates and becomes evident over time. This formulates a perpetual
173 feedback loop that is unstable in the long term.

174 The second archetype characterises systems where the environmental capacity is taken into
175 consideration, but proposed development and water management options cannot support
176 continuous growth, which we define as a ‘water systems segregation’. As an example, Fig. 2C
177 maps the pendulum swing phenomenon onto the meta-model. The phenomenon occurs when,
178 over time, priorities swing between the EC and the IM loops to pursue better living standards.
179 Viewed in the context of the meta-model, activating the IM and RD loops only results in the
180 same perpetual loop as in Fig. 2B. Meanwhile, activating just the EC and RD loops results in
181 a coordinated system but potentially with low economic growth, since any increase in local
182 resource use will decrease ecosystem service provision²². This highlights why it is necessary
183 to consider the entire meta-model in development planning to improve quality of life.

184 Finally, socio-hydrological phenomena occur when all meta-model loops are activated, but the
185 systems that they represent are not properly coordinated, resulting in a ‘water management
186 discord’ archetype. Two instances of the aggregation effect phenomenon across different
187 spatial scales fit this process and cause undesirable outcomes without adequate systems
188 coordination. In Fig. 2D, we depict an example of the water management discord, commonly
189 referred to as a tragedy of the commons³. The conceptualisation shows how two stakeholders,
190 for example, water utilities drawing groundwater from the same regional aquifer¹⁶ have
191 separate infrastructure management and resource dependence loops but a shared environmental
192 capacity loop. For two individual stakeholders who consider their EC loop in isolation, their

193 impacts may appear negligible. However, this setup will only function in a stable manner if
194 stakeholders understand that their EC loop is shared.

195 In Fig. 2E, we depict how the environmental footprint of catchments, regions or countries can
196 be exported to other parts of the system via the CHWS meta-model²⁰. This exportation enables
197 what appears to be a perpetual loop of increasing quality of life, from the perspective of the
198 system that exports impact. For example, at a catchment scale, such exportation of the
199 environmental footprint can happen when urban systems obtain benefits through expansion and
200 increased resource use, with less water and land availability and more pollution taken by rural
201 systems⁵. However, this is not a fair situation and one that is unfavourable for the impact-
202 receiving region when the environmental capacity loop is considered. Viewing this
203 phenomenon in the context of the meta-model also reveals how, if proper infrastructure were
204 installed and feedback into a level of development were enabled, this setup could be made to
205 work in a stable manner. Examples of sustainable footprint export show that cities imposing
206 their environmental capacity loop onto rural areas in developed nations could be an acceptable
207 situation provided that the rural areas receive support for sufficient infrastructure and
208 development²⁸.

209 **Principles for water system sustainability**

210 The insights from socio-hydrological phenomena analysis using the CHWS meta-model can
211 be used to define guiding principles for sustainable water management that aims to prevent
212 future unintended outcomes and utilise ecosystem services in supporting quality of life. We
213 propose three principles that need to be included in the water management system
214 conceptualisation and integrated into the qualitative and quantitative analysis of CHWS, which
215 we support with examples from recent studies on water systems integration.

216 ***Develop within the environmental capacity***

217 The environmental capacity ignorance implies that solutions relying on water infrastructure
218 expansion and operation (IM loop), and more broadly any technology to support development
219 (RD loop) need to include analysis of maximal allowed resource use in an environmental
220 system to minimise the environmental footprint and prevent environmental state decline (EC
221 loop). Within the CHWS meta-model, we suggest that future development and water
222 infrastructure systems should be designed and operated to achieve the goal of water neutrality.
223 The water neutrality concept sets targets for the environmental state component (e.g., river flow
224 and pollutant concentrations) to guide design and options for land planning and water
225 management²⁹. By defining the water neutrality targets based on either the current or desired
226 environmental state (EC loop), the impact caused by development decisions linked to the RD
227 and IM loops can be quantified and explicitly accounted for in future planning. This will enable
228 answering three questions: (i) how far the current environmental state is from the desired
229 targets, (ii) how ambitious we want to be in achieving these targets, and (iii) how achieving
230 water neutrality could impact our development decisions. An example study applied the water
231 neutrality concept to London, UK and found that to offset the impacts of the proposed new
232 housing and maintain the current state of the environment, almost the same number of existing
233 homes should be retrofitted with water-efficient and green infrastructure solutions²⁹. The water
234 neutrality concept highlights the need to monitor and regulate the environmental impacts,
235 which will help to prevent the system from approaching the maximal limit of resource use and
236 pollution and avoid potential significant damage from unintended outcomes caused by
237 environmental capacity ignorance.

238 ***Provide evidence for integrated planning***

239 The CHWS meta-model highlights the importance of an integrated assessment of
240 environmental state indicators to address the trade-offs between resources-intensive
241 development (RD loop) supported by water infrastructure (IM loop) and environmental
242 protection (EC loop), identified by the water systems segregation. We propose that for water
243 planning, environmental state indicators should be defined across three key aspects of water
244 management: water supply, quality, and flood protection, for which integrated water
245 management models are needed to capture interactions between system components and
246 indicators. A study that implemented integrated modelling to a regional rural-urban water
247 system demonstrated the value of quantifying systems-level objectives for water planning
248 analysis³⁰. An integrated model was coupled with multi-objective optimisation algorithms and
249 the results showed potentially significant trade-offs between water availability, water quality,
250 and flood management objectives when developing a set of optimal portfolios of nature-based
251 solutions. Water planning analysis could also expand the set of indicators by accounting for
252 the link between, for example, water systems and ecology. Applying regression modelling on
253 land use and water quality data to predict the presence/absence of species showed a great
254 potential to use ecological indicators to inform water planning decisions that promote
255 biodiversity protection³¹.

256 *Coordinate solutions for long-term sustainability*

257 The CHWS meta-model can highlight the connections among multiple systems to support
258 holistic decisions. A water management discord showed that integration of water infrastructure
259 and environmental footprint analysis to support socio-economic development within one
260 system (e.g., urban, or rural) may not be sufficient if coordination has not been achieved across
261 the water planning decisions that can result in aggregated impacts on the environment and,
262 consequently, on quality of life. Two examples showcase the value of coordination for the long-
263 term planning of water systems. An example of catchment coordination can be found in the

264 application of the integrated water system analysis to reveal how pollution management can be
265 designed to specifically target periods of low water quality by combining fertiliser reduction
266 (rural environmental footprint) and wastewater treatment upgrade (urban water infrastructure)
267 interventions³². These interventions are efficient at improving water quality because urban
268 measures target dry seasons (when wastewater concentration in rivers is high) while rural
269 measures are designed for wet seasons (when erosion and other hydrological processes which
270 mobilise pollutants are strong), enabling the natural system to maximise the regulating
271 ecosystem services provision potential. Another example of water infrastructure coordination,
272 in an urban metropolis setting (London), demonstrates that reducing abstractions (supply
273 infrastructure) during intense rainfall events increases the in-river dilution capacity of
274 combined sewer overflow spills (wastewater environmental footprint), ultimately improving
275 river water quality at levels comparable to expensive hard infrastructure solutions³³.

276 Given the ever-increasing complexity of water systems and the urgency of moving onto a
277 sustainable development path, the CHWS meta-model provides a systems-level perspective on
278 integrated water planning that includes resources development, environmental capacity, and
279 infrastructure management feedback loops and uses environmental state indicators to guide
280 land and water infrastructure planning. As such, this approach can be used to inform the
281 framing and modelling of CHWS. This in turn will lead to the creation of an evidence base,
282 through case studies, addressing socio-hydrological phenomena from a systems perspective.
283 By considering the three feedback loops of the CHWS meta-model and using integrated
284 modelling approaches, we can move towards the planning and design of water systems that
285 enable long-term sustainable development in the face of an uncertain future.

286 **Acknowledgements**

287 We thank the reviewers for detailed and constructive comments that greatly improved the
288 manuscript. Thanks also to J. Giambona for improving the readability of the paper. This

289 research was funded by the CASYWat (Systems Water Management Framework for
 290 Catchment Scale Processes) UK Natural Environment Research Council (NERC) project (grant
 291 NE/S009248/1) awarded to A.M. The President’s PhD scholarships provided by the Imperial
 292 College London funded L.L. B.D. acknowledges financial support from the CAMELLIA
 293 (Community Water Management for a Liveable London) NERC-funded project
 294 (NE/S003495/1).

295 **Author contribution**

296 A.M. conceived the idea and designed the meta-model. A.M., L.L., J.O.K, B.D and K.P.C.
 297 designed and carried out analysis and developed proposed principles. A.M. and L.L. wrote the
 298 paper. All authors discussed the findings and contributed to the manuscript.

299 **Competing interests**

300 The authors declare no competing interests.

301 **Manuscript tables**

302 **Table 1. Selected socio-hydrological phenomena with example case studies from the**
 303 **literature**

Socio- hydrological phenomena	Description	Example case study with a reference
Adaptation effect	Frequent extreme events increase coping capacities thereby reducing social vulnerability	The reinforced flood defenses reduce protection failures and 50% monetary damage in 2013 after the 2002 flood event in Elbe and Danube, Germany ¹¹

	Adaptation to drought can worsen flood losses, and vice versa	Long-term droughts affect reservoir operations for more water storage, which enhances the severity of the 2011 Brisbane flood ¹²
Safe development paradox	Protection measures generate a false sense of security that reduces coping capacities thereby increasing social vulnerability	Raising levees over decades to protect a growing urban area in New Orleans has led to low probability but catastrophic flooding ¹³
Supply-demand cycle	Increasing supply enables growth that in turn generates higher demands	Inter-basin water transfer projects increased water demand in the Zayandeh-Rud River Basin, Iran ¹⁴
Rebound effect	Increasing the efficiency leads to higher consumptions	The application of water-saving technology increased total water consumption in Xinjiang province, China ¹⁵
Pendulum swing	Changing priorities from pursuing economic prosperity or environmental protection	Shift from water use for food production into mitigating riparian environment degradation in the Murrumbidgee River basin, Australia ⁴
Aggregation effect	Undesirable outcomes at the system scale from aggregated optimal decisions at the individual scale	Unprecedented regional groundwater level decline in Disi aquifer shared by Jordan and Saudi Arabia ¹⁶
	Desirable outcomes at the system scale from aggregated inequalities at the individual scale	At a catchment scale, urbanisation in Hyderabad, India, drives more water from a reservoir allocated to urban use so that

farmers' access to canal water for irrigation is
reduced⁵

304 Examples of socio-hydrological phenomena reported are from Di Baldassarre et al.³

305 **Table 2. CHWS meta-model components and potential indicators**

Component (abbreviation)	General description with relevant references	Examples of potential indicators
Water Infrastructure (WI)	The status of infrastructure that is specifically designed, engineered, and operated for water management purposes, including water supply (e.g., reservoirs), water quality (e.g., wastewater treatment plants), and flood mitigation (e.g., levees and dikes) ³⁴	Access to safe drinking water ³⁵
Environmental State (ES)	The physical conditions of ecosystem components (e.g., soil, atmosphere, water, species) as well as their interactions (e.g., hydrological processes and nutrient cycles) ³⁶	Environmental Performance Index ³⁷
Resource Demand-Supply (RDS)	The quantity of natural resources that is demanded and supplied for supporting socio-economic development and human wellbeing, including water, food, and land ²⁰	Food production and consumption ³⁸
Quality of Life (QoL)	The degree to which human basic needs for well-being are satisfied. Such needs include physical needs (e.g., natural resources demand) but also a	Poverty headcount ratio ³⁹

	mental health support (e.g., safety when facing hazards) ¹⁷	
Level of Development (LoD)	The degree to which a society can provide public goods (e.g., commodities) and services (e.g., land development) as socio-economic benefits ¹⁸	GDP per capita ⁴⁰
Ecosystem Services Provision (ESP)	The benefits that ecosystems can provide for human well-being, such as resources provision, pollution purification and aesthetic values ²²	Biocapacity ⁴¹
Environmental Footprint (EF)	The impacts on natural environment by human activities, such as land cover change, resource extraction and pollution ²³	Ecological and water ⁴² footprint

Table 3. Socio-hydrological phenomena examples informed by the CHWS meta-model.

Phenomenon	Case study location	Meta-model informed mechanisms driving unintended outcomes
Adaptation effect	Elbe and Danube, Germany ¹¹ (Successful adaptation)	IM loop: After significant monetary damage and fatalities (QoL) by a flood event in 2002, the local community demanded better regulation of water resources (RDS) during high rainfall events, which triggered construction of new flood defences (WI). This increased the carrying capacity of the rivers (ES), which enhanced the flood mitigation ecosystem services (ESP). As a result, the next flood event in 2013 affected fewer people and significantly reduced the damage (QoL).
	Brisbane, Australia ¹² (Unsuccessful adaptation)	IM loop: Prolonged drought conditions had threatened the residential water use (QoL), who demanded sufficient water supply (RDS). This resulted in the operation of the reservoir (WI) to store more water, which increased the water availability for supply (ES). Water provision ecosystem services (ESP) were thus improved, but the ability for flood mitigation (ESP) was decreased. Consequently, the system failed to cope with the flood in 2011 which caused remarkable monetary damage and fatalities to the local community (QoL).

Safe development paradox	New Orleans, USA ¹³	<p>IM loop: To reduce monetary damage and fatalities (QoL) caused by frequent flood events, local society demands better regulation of river flows (RDS), which results in levees' rising (WI). This increases the carrying capacity of the river (ES) and enhances the flood mitigation ability (ESP), which reduces the frequency of flooding and the damage caused to the society (QoL).</p> <p>RD loop: With the enhanced flood security and population growth (QoL), more land resources are needed (RDS) for urban area expansion that supports socio-economic development (LoD). Such development in return generates more benefits for improving well-being (QoL) such as an increased income.</p> <p>EC loop (neglected): However, the expanded urban land (RDS) influences local hydrological processes (ES) by increasing stormwater generation and occupying low areas (EF), which undermined the regional flood mitigation ability (ESP) and increased flood exposure. Without fully recognising these impacts, more catastrophic fatalities (QoL) were caused when low-frequency flood events happened that overtopped the raised levees.</p>
Supply-demand cycle	Zayandeh-Rud River Basin, Iran ¹⁴	<p>IM loop: Increased population in the basin (QoL) generated more water demand for domestic use and production (RDS). To satisfy increased demand, canals, and tunnels (WI) were built and operated for inter-basin river transfer, which increased the water resources within the basin (ES) and enhanced the water provision (ESP). The increased water provision helped to secure residents' daily water use (QoL).</p>

RD loop: Securing the supply of water resources (RDS) supported economic development in agriculture and industry sectors (LoD), which generated social benefits such as more job opportunities (QoL).

EC loop (neglected): However, the increased population (QoL) demanded more water (RDS) that was satisfied via increased water abstraction (EF), which decreased the quantity of water resources in the local water bodies (ES) and the water provision (ESP). Ignoring this loop could potentially lead to a water supply reduction, with direct effect on the local quality of life.

Rebound effect Xinjiang province, China¹⁵

IM loop: To increase the crop yield that generates better income (QoL), farmers in the dry Tarim River Basin demanded sufficient water resources for irrigation and better soil conditions (RDS). They installed water-saving irrigation appliances (WI), which managed groundwater levels and reduced soil salination (ES). Soil health then favoured crop growth and increased crop yield (ESP), which generated more profits for farmers (QoL).

RD loop: With the increased income and subsidies from the government (QoL), farmers demanded more water and land (RDS) for expanding the agricultural activities (LoD) to generate increase their income (QoL).

EC loop (neglected): However, the expanded total water demand for irrigation (RDS) increased the total amount of water abstractions (EF), which decreased groundwater storage within the region as a whole (ES)

and undermined the overall water provision (ESP). Neglecting this loop caused the total water abstraction for irrigation to rebound and constrain farmers' crop yield and income (QoL).

**Pendulum
swing**

Murrumbidgee
River basin,
Australia⁴

Early eras:

RD loop: With the population growth (QoL), more water and land resources (RDS) were needed to expand the agricultural activities (LoD), which enhanced food security and income via crop sales for the local community (QoL).

IM loop: To increase the water supply for irrigation (RDS), new dams were constructed (WI) to increase water storage capacity (ES), which enhanced the water provision (ESP) and increased water security for domestic use and irrigation (QoL).

EC loop (neglected): Increased supply of water resources (RDS) encouraged a wide expansion of irrigation (EF), which caused soil salinity and reduction in environmental flows and wetlands degradation (ES). The overall ecosystem services for ecological maintenance were reduced (ESP), which a negative impact on the local QoL.

Late eras:

RD loop: After recognising the role of environmental degradation for local well-being (QoL), communities' attitudes shifted more towards environmental protection and demanded better regulation of water resources

for ecological maintenance (RDS). As a result, the ‘green lobby’ and the diminishing role of agriculture changed the Australian economy, and water markets were built (LoD). Rice growers then diversified their income sources such as creating profit (QoL) by selling water during dry periods.

IM loop (neglected): To better regulate water resources for ecological protection (RDS), water infrastructure for irrigation (e.g., farm dams) (WI) was restricted through licensing. The development of this loop previously for irrigation water abstraction was suspended in this era.

EC loop: Demand for regulating water resources for ecological protection (RDS) resulted in the implementation of measures to reduce the water allocation for agriculture (EF). This helped to restore environmental flows and improve ecological conditions (ES), which enhanced the ecological maintenance (ESP) that benefited social well-being (QoL).

Aggregation effect	Disi aquifer shared by Jordan and Saudi Arabia ¹⁶	IM loop: To enhance water security (QoL), satisfying water demand for domestic use and irrigation (RDS) drove new borehole construction (WI) in both countries, which initially changed the hydraulic conductivity of groundwater bodies (ES) and increased the water availability for both countries (ESP). RD loop: Enhanced water supply (RDS) increased socio-economic development, especially in agricultural sector (LoD), which generated benefits (e.g., more income via cereals export) for improving well-being in both countries (QoL).
---------------------------	--	---

EC loop: However, increased demand (RDS) increased water abstraction (EF) across the whole regional system, which decreased the groundwater levels (ES) and endangered overall water provision (ESP). A lack of coordination between the three loops in both countries may further deteriorate groundwater resources and lead to a collapse of a shared water resources system.

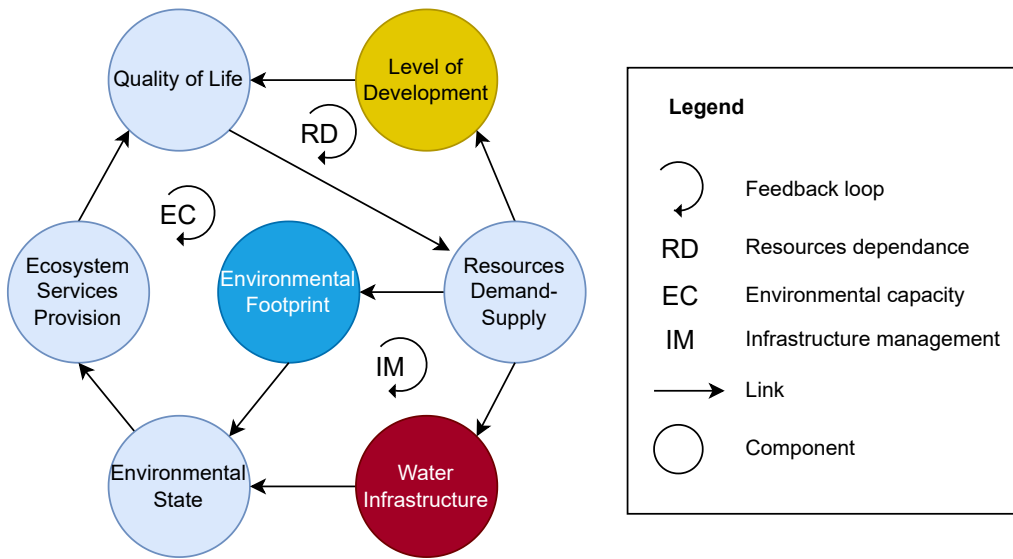
Hyderabad,
India⁵

IM loop: Increased urban population (QoL) generated more water demand (RDS), which changed reservoir operation (WI) to allocate more water for urban use. The reservoir water storage capacity was increased (ES) and the water provision for urban residents (ESP) was enhanced.

RD loop: Increased water supply (RDS) stimulated urbanisation and boosted economic development (LoD), which generated benefits for urban residents such as a growth in income (QoL).

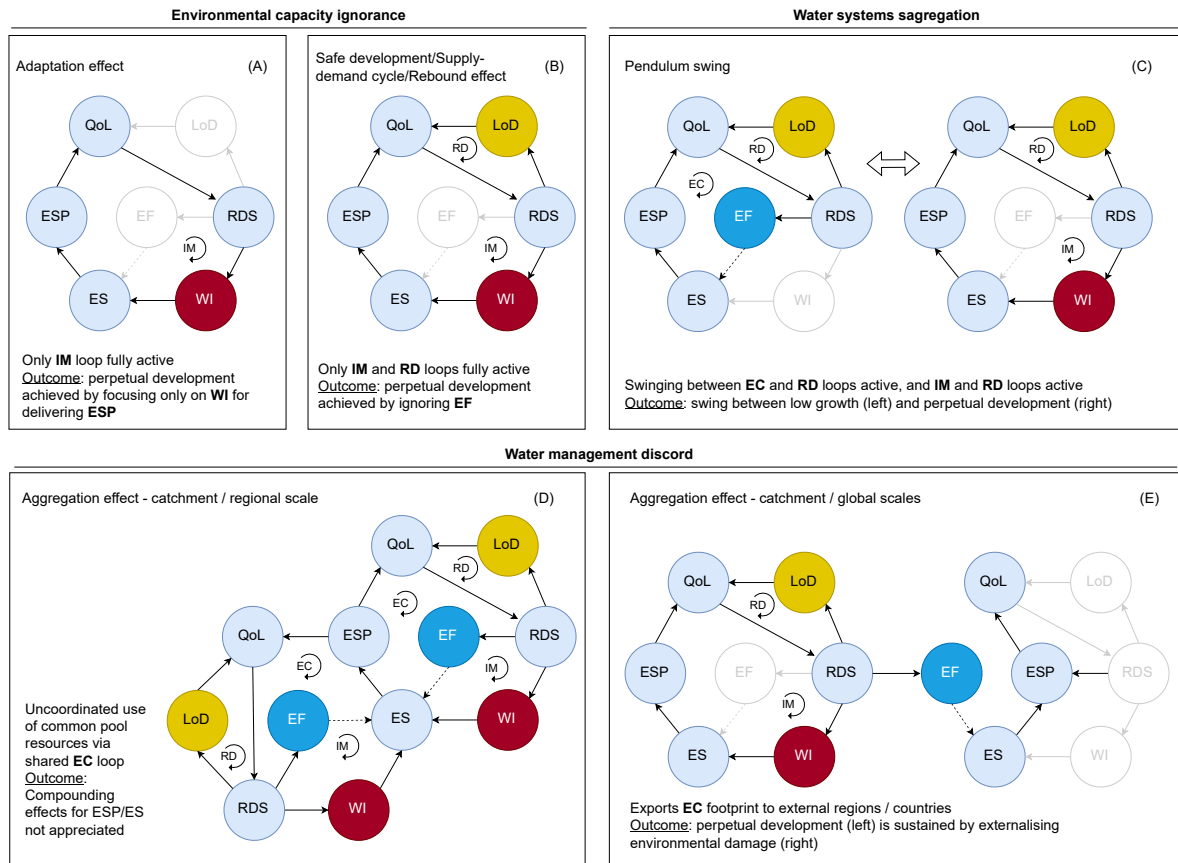
EC loop: However, increased urban water abstractions (EF) decreased the available water resources in the catchment (ES) and water provision for irrigation (ESP), while the increase in impervious land (EF) reduced the agricultural area available for farmers (ES) and land provision for agriculture (ESP). Prioritising urban development significantly impacted the rural system and farmers' well-being (QoL). A lack of coordination between these urban and rural systems has a potential to accelerate the water use inequity.

309 **Figure captions**



310

311 **Fig. 1. Coupled human-water system (CHWS) meta-model.** The meta-model includes seven
 312 components, whose interactions are conceptualised as causal (positive or negative) links
 313 informed by the socio-hydrological phenomena case studies (Table 1). The components
 314 interact at a systems level via three feedback loops. Human reliance on the natural environment
 315 (e.g., water and land) to support quality of life through a level of development is driven by the
 316 **resources dependence (RD) loop**. The environmental state is defined by two loops; (i) an
 317 **environmental capacity (EC) loop** that depicts a functioning natural environment and
 318 associated footprint and (ii) an **infrastructure management (IM) loop** that includes the role
 319 of water infrastructure in managing supply, pollution, and flooding. The meta-model suggests
 320 that the proposed level of development (yellow component) should be coordinated with water
 321 infrastructure management (red) so that impacts on the environment via footprint are
 322 minimised (blue), leading to the long-term sustainability of integrated water management
 323 systems.



324

325 **Fig. 2. Analysis of socio-hydrological phenomena with CHWS meta-model.** Phenomena
 326 are identified to occur as a different combination of CHWS meta-model components and links
 327 (positive – solid line or negative – dashed line) that create feedback loops. Environmental
 328 capacity ignorance is assumed when either IM (A - adaptation effect) or IM and RD loops (B
 329 - safe development, supply-demand cycle and rebound effect) are considered, thus ignoring
 330 feedback mechanisms that affect the long-term environmental state. Water systems segregation
 331 (C - pendulum swing) can occur when two loops are considered, but not a third, causing an
 332 inability to develop integrated water management plans. Water management discord (D, E -
 333 aggregation effect) can happen when all loops are considered, but not coordinated, causing
 334 impacts beyond the system in question. For components notation please refer to Table 2.

335 References

336 1. Dodson, J. The global infrastructure turn and urban practice. *Urban Policy Res.* **35**,

- 337 87–92 (2017).
- 338 2. Leck, H. & Simon, D. Fostering multiscalar collaboration and co-operation for
339 effective governance of climate change adaptation. *Urban Stud.* **50**, 1221–1238 (2013).
- 340 3. Di Baldassarre, G. *et al.* Sociohydrology: Scientific challenges in addressing the
341 sustainable development goals. *Water Resour. Res.* (2019).
- 342 4. Kandasamy, J. *et al.* Socio-hydrologic drivers of the pendulum swing between
343 agricultural development and environmental health: a case study from Murrumbidgee
344 River basin, Australia. *Hydrol. Earth Syst. Sci.* **18**, 1027–1041 (2014).
- 345 5. Celio, M., Scott, C. A. & Giordano, M. Urban–agricultural water appropriation: the
346 Hyderabad, India case. *Geogr. J.* **176**, 39–57 (2010).
- 347 6. Meadows, D. H. *Thinking in systems: A primer.* (chelsea green publishing, 2008).
- 348 7. Bahaddin, B. *et al.* System archetypes in water resource management. in *World
349 Environmental and Water Resources Congress 2018: Watershed Management,
350 Irrigation and Drainage, and Water Resources Planning and Management* 130–140
351 (American Society of Civil Engineers Reston, VA, 2018).
- 352 8. Cumming, G. S. *et al.* Implications of agricultural transitions and urbanization for
353 ecosystem services. *Nature* **515**, 50–57 (2014).
- 354 9. Han, S., Tian, F., Liu, Y. & Duan, X. Socio-hydrological perspectives of the co-
355 evolution of humans and groundwater in Cangzhou, North China Plain. *Hydrol. Earth
356 Syst. Sci.* **21**, 3619–3633 (2017).
- 357 10. Di Baldassarre, G., Kooy, M., Kemerink, J. S. & Brandimarte, L. Towards
358 understanding the dynamic behaviour of floodplains as human-water systems. *Hydrol.
359 Earth Syst. Sci.* **17**, 3235–3244 (2013).

- 360 11. Kreibich, H. *et al.* Adaptation to flood risk: Results of international paired flood event
361 studies. *Earth's Futur.* **5**, 953–965 (2017).
- 362 12. Di Baldassarre, G., Martinez, F., Kalantari, Z. & Viglione, A. Drought and flood in the
363 Anthropocene: feedback mechanisms in reservoir operation. *Earth Syst. Dyn.* **8**, 225–
364 233 (2017).
- 365 13. Kates, R. W., Colten, C. E., Laska, S., Leatherman, S. P. & Clark, W. C.
366 Reconstruction of New Orleans after Hurricane Katrina: a research perspective.
367 *Cityscape* 5–22 (2007).
- 368 14. Gohari, A. *et al.* Water transfer as a solution to water shortage: a fix that can backfire.
369 *J. Hydrol.* **491**, 23–39 (2013).
- 370 15. Zhang, Z., Hu, H., Tian, F., Yao, X. & Sivapalan, M. Groundwater dynamics under
371 water-saving irrigation and implications for sustainable water management in an oasis:
372 Tarim River basin of western China. *Hydrol. Earth Syst. Sci.* **18**, 3951–3967 (2014).
- 373 16. Müller, M. F., Müller-Itten, M. C. & Gorelick, S. M. How Jordan and Saudi Arabia are
374 avoiding a tragedy of the commons over shared groundwater. *Water Resour. Res.* **53**,
375 5451–5468 (2017).
- 376 17. Costanza, R. *et al.* Quality of life: An approach integrating opportunities, human
377 needs, and subjective well-being. *Ecol. Econ.* **61**, 267–276 (2007).
- 378 18. Jaffee, D. *Levels of socio-economic development theory.* (Greenwood Publishing
379 Group, 1998).
- 380 19. Seppelt, R. & Cumming, G. S. Humanity's distance to nature: time for environmental
381 austerity? *Landsc. Ecol.* **31**, 1645–1651 (2016).
- 382 20. Cumming, G. S. & von Cramon-Taubadel, S. Linking economic growth pathways and

- 383 environmental sustainability by understanding development as alternate social–
384 ecological regimes. *Proc. Natl. Acad. Sci.* **115**, 9533–9538 (2018).
- 385 21. Garrick, D. *et al.* Rural water for thirsty cities: A systematic review of water
386 reallocation from rural to urban regions. *Environ. Res. Lett.* **14**, 43003 (2019).
- 387 22. Collados, C. & Duane, T. P. Natural capital and quality of life: a model for evaluating
388 the sustainability of alternative regional development paths. *Ecol. Econ.* **30**, 441–460
389 (1999).
- 390 23. Hoekstra, A. Y. & Wiedmann, T. O. Humanity’s unsustainable environmental
391 footprint. *Science (80-.)*. **344**, 1114–1117 (2014).
- 392 24. Foster, S. *et al.* Impact of irrigated agriculture on groundwater-recharge salinity: a
393 major sustainability concern in semi-arid regions. *Hydrogeol. J.* **26**, 2781–2791
394 (2018).
- 395 25. Keesstra, S. *et al.* The superior effect of nature based solutions in land management for
396 enhancing ecosystem services. *Sci. Total Environ.* **610**, 997–1009 (2018).
- 397 26. Whyte, J. *et al.* A Research Agenda on Systems Approaches to Infrastructure. *Civ.*
398 *Eng. Environ. Syst.* (2020) doi:10.1080/10286608.2020.1827396.
- 399 27. Di Baldassarre, G. *et al.* An interdisciplinary research agenda to explore the
400 unintended consequences of structural flood protection. *Hydrol. Earth Syst. Sci.* **2018**,
401 *vol. 22, num. 11, p. 5629-5637* (2018).
- 402 28. Hamann, M., Biggs, R. & Reyers, B. Mapping social–ecological systems: Identifying
403 ‘green-loop’ and ‘red-loop’ dynamics based on characteristic bundles of ecosystem
404 service use. *Glob. Environ. Chang.* **34**, 218–226 (2015).
- 405 29. Puchol-Salort, P., Boskovic, S., Dobson, B., van Reeuwijk, M. & Mijic, A. Water

- 406 Neutrality Framework for Systemic Design of New Urban Developments. *Water Res.*
407 118583 (2022).
- 408 30. Liu, L., Dobson, B. & Mijic, A. Optimisation of urban-rural nature-based solutions for
409 integrated catchment water management. *J. Environ. Manage.* **329**, 117045 (2023).
- 410 31. Dobson, B. *et al.* Predicting catchment suitability for biodiversity at national scales.
411 *Water Res.* 118764 (2022).
- 412 32. Liu, L., Dobson, B. & Mijic, A. Hierarchical systems integration for coordinated
413 urban-rural water quality management at a catchment scale. *Sci. Total Environ.* **806**,
414 150642 (2022).
- 415 33. Dobson, B. & Mijic, A. Protecting rivers by integrating supply-wastewater
416 infrastructure planning and coordinating operational decisions. *Environ. Res. Lett.* **15**,
417 (2020).
- 418 34. Stip, C., Mao, Z., Bonzanigo, L., Browder, G. & Tracy, J. Water Infrastructure
419 Resilience. (2019).
- 420 35. Cassivi, A., Johnston, R., Waygood, E. O. D. & Dorea, C. C. Access to drinking water:
421 time matters. *J. Water Health* **16**, 661–666 (2018).
- 422 36. Dickens, C. *et al.* Evaluating the global state of ecosystems and natural resources:
423 within and beyond the SDGs. *Sustainability* **12**, 7381 (2020).
- 424 37. Rogge, N. Undesirable specialization in the construction of composite policy
425 indicators: The Environmental Performance Index. *Ecol. Indic.* **23**, 143–154 (2012).
- 426 38. Seekell, D. *et al.* Resilience in the global food system. *Environ. Res. Lett.* **12**, 25010
427 (2017).

- 428 39. Freistein, K. Effects of indicator use: A comparison of poverty measuring instruments
429 at the World Bank. *J. Comp. Policy Anal. Res. Pract.* **18**, 366–381 (2016).
- 430 40. James, S. L., Gubbins, P., Murray, C. J. L. & Gakidou, E. Developing a
431 comprehensive time series of GDP per capita for 210 countries from 1950 to 2015.
432 *Popul. Health Metr.* **10**, 1–12 (2012).
- 433 41. Wackernagel, M., Lin, D., Evans, M., Hanscom, L. & Raven, P. Defying the footprint
434 oracle: implications of country resource trends. *Sustainability* **11**, 2164 (2019).
- 435 42. Mekonnen, M. M. & Hoekstra, A. Y. The green, blue and grey water footprint of crops
436 and derived crop products. *Hydrol. Earth Syst. Sci.* **15**, 1577–1600 (2011).
- 437
- 438
- 439
- 440
- 441