

Baryonic Conversion Tree: The global assembly of stars and dark matter in galaxies from the SDSS

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2 February 2008

ABSTRACT

Using the spectroscopic sample of the SDSS DR1 we measure how gas was transformed into stars as a function of time and stellar mass: the baryonic conversion tree (BCT). There is a clear correlation between early star formation activity and present-day stellar mass: the more massive galaxies have formed about 80% of their stars at $z > 1$, while for the less massive ones the value is only about 20%. By comparing the BCT to the dark matter merger tree, we find indications that star formation efficiency at $z > 1$ had to be about a factor of two higher than today ($\sim 10\%$) in galaxies with present-day stellar mass larger than $2 \times 10^{11} M_{\odot}$, if this early star formation occurred in the main progenitor. Therefore, the Λ CDM paradigm can accommodate a large number of red objects. On the other hand, in galaxies with present-day stellar mass less than $10^{11} M_{\odot}$, efficient star formation seems to have been triggered at $z \sim 0.2$. We show that there is a characteristic mass ($M_* \sim 10^{10} M_{\odot}$) for feedback efficiency (or lack of star formation). For galaxies with masses lower than this, feedback (or star formation suppression) is very efficient while for higher masses it is not. The BCT, determined here for the first time, should be an important observable with which to confront theoretical models of galaxy formation.

Key words: galaxies: fundamental parameters, galaxies: statistics, galaxies: stellar content

1 INTRODUCTION

In the current paradigm for galaxy formation, galaxies form in cold dark matter halos, which evolve from small, primordial, Gaussian fluctuations, by gravitational instability. This mechanism fits well in the successful Λ CDM picture which correctly describes the Universe from the Cosmic Microwave Background at $z = 1088$ to local galaxy clustering (Spergel et al. 2003; Percival et al. 2001). One of the strong predictions of this galaxy formation paradigm is the typical redshift of dark matter halo formation (i.e. virialization) as a function of halo mass and cosmological parameters (e.g., Sheth & Tormen (2004)).

Given that cosmological parameters have been tightly constrained (e.g., Spergel et al. (2003)), we can reconstruct the average dark halo formation history as a function of mass (e.g., Press & Schechter (1974); Sheth & Tormen (1999, 2004)). Naively, the dark matter halo collapse, i.e. virialization, should trigger baryonic gas transformation into stars; in addition, subsequent dark matter mergers should produce star formation episodes. We will show that this simple model is not in agreement with observations.

All we can observe is the integrated light of galaxies' stellar population; thus, to compare the theory prediction for the dark matter halos with observations, the process of how baryons are transformed into stars needs to be simulated, either through semi-

analytical recipes or by means of hydro-dynamic N-body simulations. Since no theory of star formation has been yet established, we do not have a fundamental theory that allows us to compute from basic principles the star formation efficiency. Further, complications arise from other phenomena, such as feedback by stars and AGN that prevent the formation of giant molecular clouds and therefore reduce star formation efficiency. Given the complexity of the task of learning about galaxy formation from numerical simulations, here we take the complementary approach of placing new observational constraints on the stellar assembly history as a function of galaxy mass.

In this paper we use about 10^5 galaxies from the Sloan Digital Sky Survey Data Release 1 (SDSS DR1) to determine, for the first time, the amount of baryons that have been transformed into stars as a function of total stellar mass and time. This allows us to build the baryonic conversion tree (BCT), which can then be compared with the dark matter merger tree. We present such comparison and show how it can be used to compute the star formation efficiency and the relative importance of feedback (or lack of star formation).

Our main findings are:

- (i) There is a clear correlation between total stellar mass of the galaxy and the fraction of gas transformed into stars at $z \geq 1$. The larger the mass the larger the fraction of gas transformed into stars at high redshift.

(ii) If large galaxies need to be formed by $z \sim 1$ in a “mono-lithic” fashion, as observations suggest (e.g., Bower et al. (1992); Peacock et al. (1998); Lilly et al. (1998); Brinchmann & Ellis (2000); Im et al. (2002); Renzini (2003); Gao et al. (2003); Glazebrook et al. (2004)), high-redshift star formation efficiency needs to be much higher (about 10%) in massive galaxies than in less massive ones. This high star formation activity at early times means the build-up of stellar mass does not follow the hierarchical build-up of total mass. Stars could form in smaller objects (not in the main progenitor only) with lower efficiency, provided that the galaxy formation process has some way to synchronise star formation in disparate pieces. The reason being that the above mentioned galaxies at $z \sim 1-2$ have stellar populations with almost no spread in their stellar ages and derived star formation histories consistent with very short times (< 0.1 Gyr, see Macarthy et al 2004).

(iii) There is an indication that major mergers are not the principal drivers of star formation.

(iv) We propose that a threshold for star formation for galaxies with masses $\sim 10^{10} M_{\odot}$ can explain the findings above. The existence of such a threshold at low redshift is well documented in the literature (Martin & Kennicutt 2001), and can be linked to feedback efficiency. Feedback, i.e. suppression of star formation activity, is expected to be very inefficient in massive galaxies, while efficient in less massive galaxies.

2 BARYON CONVERSION TREE FROM SDSS

The large size of the spectroscopic sample of the SDSS DR1 provides the means to analyse statistically properties of galaxies inferred from their spectra. Further, SDSS spectra have two important characteristics: a large wavelength coverage ($\sim 3500 \text{ \AA} - 8500 \text{ \AA}$) and a relatively high signal-to-noise (S/N 6 to 10 per resolution element of 2 \AA). Previous work (Kauffmann et al. 2003; Panter et al. 2003; Padmanabhan et al. 2003; Kauffmann et al. 2004) accurately determined the total stellar mass of SDSS galaxies at the galaxy observed redshift.

The observed spectrum of a galaxy contains (in principle) the “fossil record” (Panter et al. 2003) of the galaxy’s star formation history, that is information on the stellar mass as a function of redshift; but no work has so far been done to determine the stellar mass formation history (the BCT). Here we attempt for the first time to do this.

One important limitation is imposed by the fact that the old stellar populations are orders of magnitude dimmer than young ones, thus they have a sub-dominant effect in the galaxy spectrum. As a consequence, it is not possible to determine, from the observed spectrum, the BCT with arbitrary time resolution, especially at high redshift. On the other hand, we find that one can accurately determine the BCT for time bins that are logarithmically spaced in look-back time.

2.1 Method

The SDSS DR1 main sample has apparent magnitude limits $15 \leq m_R \leq 17.77$ and covers a redshift range $0.005 < z < 0.34$, with a median redshift of 0.1. We place an additional cut on surface brightness of $\mu_R < 23.0$ to avoid spurious background contamination (see Shen et al. (2003)), leaving 96,545

galaxies for this study¹. Full details of the SDSS are available at <http://www.sdss.org>. The spectra are top-hat smoothed to 20 \AA resolution for comparison with the stellar population models of Jimenez et al. (2003) and emission-line regions are removed² since these have a complicated dependence on the geometry of the ionising region and do not carry much information about the underlying stellar population. The principal strength of MOPED is that instead of depending on a few, possibly contaminated, lines indices, it uses the whole of the rest of the spectrum in an optimally-weighted way, which extracts essentially all of the star formation history information.

We recover the mass of stars created in 10 time bins ($\delta M_*(t_i)$ where $i = 1, \dots, 10$), which are equally spaced logarithmically in look-back time, separated by factors of 2.07. Table 1 shows the centre and boundaries of the bins both in look-back time and redshift. For each time bin we also recover the average metallicity of the stars ($Z_*(t_i)$). The final parameter is an overall dust parameter for each galaxy at the observed redshift (D_{z_o}); we assume an extinction curve as the one determined for the LMC (Gordon et al. 2003). However we are not too sensitive to the particular extinction curve as we have experimented with a variety of dust screens and found little variation in the shape of the recovered star formation. We use a Salpeter initial mass function, with a power-law exponent of $X = -1.35$. To model the galaxy spectra as a function of these parameters, we use the stellar population models³ of Jimenez et al. (2003). We should point out here that, although in principle a maximum likelihood analysis could recover the star formation history of individual galaxies, the parameters for a single galaxy are not tightly constrained. In practice we statistically recover the *average* stellar assembly history from the full DR1. To do this, galaxies are weighted inversely with V_{max} , the volume in which they could be found and still satisfy the selection criteria for the survey. For this, the evolution of the stellar population and spectrum are included, but no size evolution is assumed. Concerns have been raised that the calibration of the spectra is done by using photometry with a larger aperture; this issue has been addressed by Glazebrook et al. (2003), who found that, on average, the colours from the fibres and from the photometry are consistent (on average), so this should not be a concern for the sample as a whole. To support this further, we show in fig. 1 the fraction of stellar mass contributed by the oldest three bins, for galaxies selected to be in a fixed mass range, as a function of the redshift of the galaxy. Encouragingly, there is no evidence of any significant trend towards low-redshift, where the aperture effects might be expected to be a problem.

In addition to this test, we have performed further checks on the MOPED technique. In Heavens et al. (2004), we showed (in the supplementary information on the Nature web-site) that MOPED could recover the star formation correctly, given an input SFR which matched the SFR we claimed. To test this more thoroughly, we have generated synthetic spectra for 500 galaxies which have a SFR peaking at $z = 1$, corresponding to the broad conclusions of SFR studies pre-Heavens et al. (2004). We also include wavelength-dependent relative noise, characteristic of typical SDSS galaxies, and a systematic calibration offset at the blue end.

¹ The calibration of the continuum blue wards of 4500 \AA has been improved in DR2. We will check the effect of this by analysing the DR2 sample in a forthcoming paper.

² i.e., ignored in the likelihood fitting procedure. In particular the regions excluded are: $3700-3760 \text{ \AA}$ $4840-5200 \text{ \AA}$ and $6500-6800 \text{ \AA}$.

³ Available at <http://www.physics.upenn.edu/~raulj>.

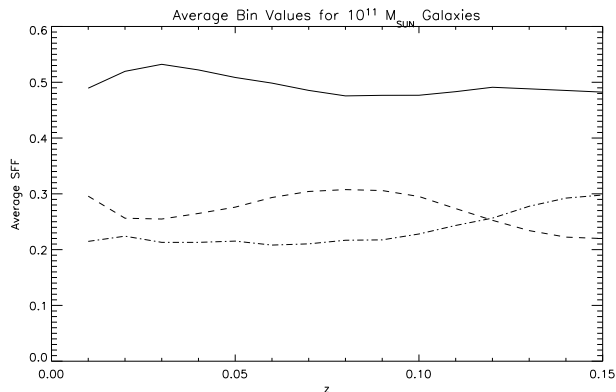


Figure 1. Recovered average stellar mass fractions in the oldest three bins, for galaxies with masses in the range $1 - 3 \times 10^{11} M_{\odot}$. Notice that there is no significant trend at low redshift, which might indicate a problem arising from differences between the small fibre aperture and the larger aperture used for the photometry.

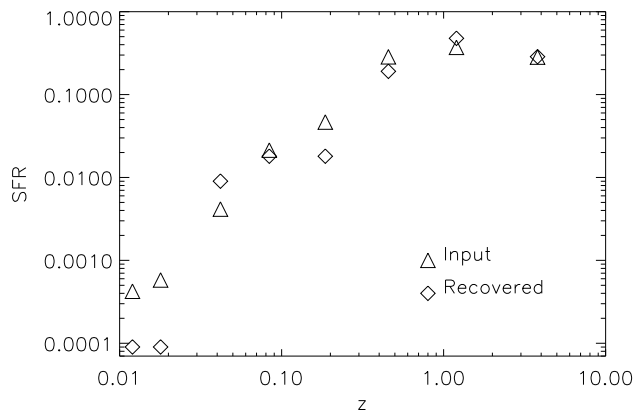


Figure 2. Input (diamonds) and average recovered (triangles) SFR for a sample of 500 synthetic galaxies with wavelength-dependent relative noise properties typical of the SDSS, a calibration uncertainty (15% at the blue end, tapering to zero at 4000 Angstroms), and a variable amount of line-filling of the $H\delta$ line (mean 0.5 of the model depth, rms 0.2).

We also allow the $H\delta$ line to be randomly partially filled with emission. This should be a rather thorough test that the results are not biased by noise, calibration or line-filling. The input and average recovered star formation rates are shown in Fig. 2 which illustrates that indeed MOPED does recover the input star formation history without any biases.

Although we are mostly interested in the $10 \delta M_*(t_i)$, in total we have 21 parameters which we want to constrain from 96,545 SDSS spectra (each of which has about 2000 elements) using a maximum likelihood approach. This is an extremely computationally expensive task, which we can handle by resorting to 1) a data compression algorithm and 2) the now widely used Markov Chain Monte Carlo (MCMC) technique.

The data compression algorithm MOPED (Heavens, Jimenez & Lahav 2000) enables us to explore efficiently the parameter space and place error bars on the recovered parameters. The algorithm linearly combines the 2000 flux elements in each spectrum in 21 MOPED coefficients, one for

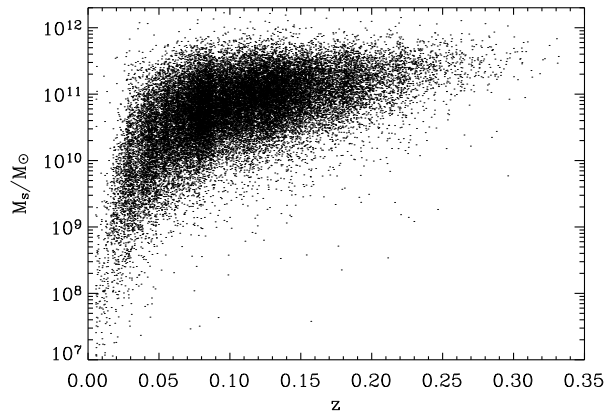


Figure 3. Recovered total mass in stars as a function of redshift for the whole sample.

each parameter, that contain all the relevant information (i.e. the method is lossless). For further details about MOPED see Heavens, Jimenez & Lahav (2000). We have already demonstrated the usefulness of MOPED and the MCMC implementation to recover galaxy physical properties from different galaxy spectroscopic samples, including the SDSS EDR and DR1 (Reichardt, Jimenez & Heavens 2001; Panter, Heavens & Jimenez 2003; Heavens, Panter, Jimenez & Dunlop 2004). Essentially, it works by weighting the flux data in a way which preserves the information inherent in the complete spectrum, so basically no information is lost and the procedure is almost equivalent to using all of the flux values in the spectrum. For an individual galaxy, there may still be residual degeneracies in the solution, but we have demonstrated with tests that for a very large sample, such as in SDSS, the average solution recovers the input extremely well.

The SDSS survey is a magnitude-limited survey and here we aim at deriving *average* properties, i.e. the stellar assembly history, of galaxies as a function of their present-day stellar mass. In principle, this could introduce a bias since for a given mass, galaxies with young stellar population, tend to be brighter than galaxies with an old stellar population; thus blue galaxies which form stars recently, would be preferred over passively evolving ones. However this does not matter for our purposes for the following reasons: *a)* galaxies have been effectively selected by mass from a complete redshift and magnitude-limited sample. This can be seen in Fig. 3 where the recovered total mass is plotted as a function of the observed redshift. Note that as expected, for a mass-selected sample, less massive galaxies are at low redshifts while most massive galaxies occupy the whole redshift range; *b)* we will determine galaxy properties averaged in mass-bins; *c)* the recovered mass fractions for each time bin for each galaxy are properly shifted according to the observed redshift of the galaxy and assuming that the size of galaxies does not evolve over the period of time covered by the observed redshift range. Note that the results on stellar mass fractions are insensitive to the redshift of the galaxies used - see Fig. 3. To determine average properties as a function of mass we average our results in mass with mass bins equally-spaced logarithmically by factors of ten.

Table 1. Centre and boundaries of the bins in redshift (z) and look-back time t_{lookback} in Gyr

bin #	lower z	centre z	upper z	lower t_{lookback} (Gyr)	centre t_{lookback} (Gyr)	upper t_{lookback} (Gyr)
1	0.0007	0.001	0.00145	0.00966	0.014	0.0200
2	0.00145	0.0021	0.003	0.0200	0.029	0.0414
3	0.003	0.006	0.0063	0.0414	0.06	0.0857
4	0.0063	0.012	0.013	0.0857	0.12	0.1776
5	0.013	0.0179	0.027	0.1776	0.26	0.3677
6	0.027	0.0419	0.057	0.3677	0.53	0.7614
7	0.057	0.0839	0.125	0.7614	1.10	1.5767
8	0.125	0.186	0.287	1.5767	2.27	3.2650
9	0.287	0.456	0.786	3.2650	4.70	6.7609
10	0.786	1.755	5.000	6.7609	9.7	12.000

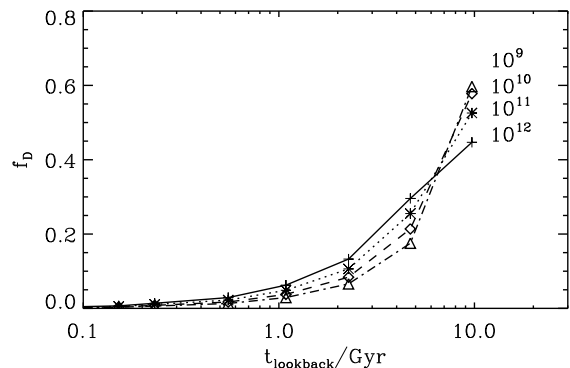
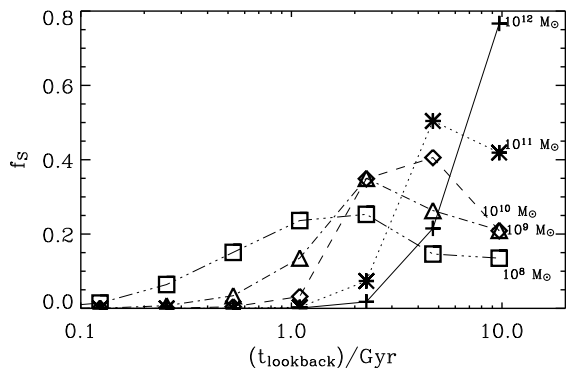
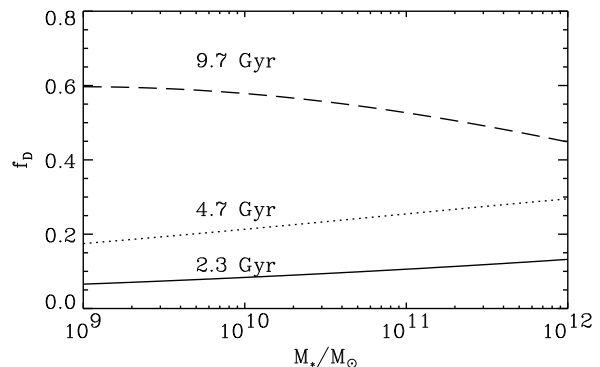
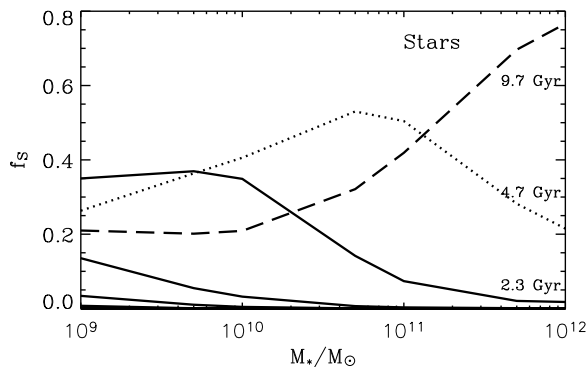


Figure 4. Top panel: fraction of stellar mass of the galaxy formed in different time bins as a function of total stellar mass. Dashed line corresponds to the oldest bin (9.7 Gyr), dotted line to the second oldest (4.7 Gyr) and solid line to the third oldest (2.3 Gyr). The other solid lines correspond to younger time bins (1.1, 0.53, 0.26). Bottom panel: fraction of the observed total stellar mass of the galaxy created as a function of time for different galaxy stellar masses (determined at the observed redshift).

Figure 5. Top panel: fraction of the final dark matter mass that has been virialised in the most massive progenitor, as a function of the total stellar mass of the galaxy (at the observed redshift). The different lines correspond to the amount of dark matter that is virialised in the given time bin. Bottom panel: fraction of the final dark matter mass that has been virialised in the most massive progenitor as a function of t_{lookback} . The different lines correspond to different total stellar masses of the galaxy at the observed redshift.

2.2 Results

We find that more massive galaxies transform their gas into stars *earlier* than less massive ones. The top panel of Fig. 4 shows for each time bin how many baryons (as a fraction of the observed total stellar mass) were converted into stars, f_s , as a function of observed stellar mass $M_* = M_*(t=0)$. The different lines correspond to different time bins: dash oldest, dots second-oldest and continuous lines denote younger bins. The bottom panel shows the stellar mass assembly history $\delta M_*(t_i)$ for different observed stellar masses.

There is a clear correlation between baryon conversion efficiency and present-day stellar mass. This can be seen from Fig. 4. In galaxies with M_* larger than $3 \times 10^{11} M_\odot$, more than 60% of their present stellar mass was already in place at redshift 1.7. In terms of look-back time it means that the stars of massive galaxies ($M_* \sim 10^{12} M_\odot$) were essentially formed (if not in place) more than 9 Gyr ago, or just ~ 4 Gyr after the big bang. Conversely, for $M_* < 10^9 M_\odot$, more than half of their stellar mass remains unformed at $z = 0.2$ or ~ 3 Gyr ago.

3 DARK MATTER AND BARYON ASSEMBLY HISTORY

We now compare the stellar formation history we just recovered, with the dark matter assembly history. To compute the mass of dark matter halos as a function of time we use two approaches: first, we generate multiple realizations (10^4) of the merger history of dark matter halos of several masses in the range 10^9 to $10^{13} M_\odot$. This is done using the algorithm described in Somerville & Kolatt (1999) for the standard LCDM cosmology ($\Omega_0 = 0.27$, $\Lambda_0 = 0.73$, $h = 0.71$, Spergel et al. (2003)). This algorithm reproduces the merger histories of halos seen in N-body simulations of structure formation (Somerville et al. 2000; Wechsler et al. 2002), especially at low redshift, which is the range of interest. One free parameter in the algorithm is the value of the mass that is considered accreted instead of merged. Agreement with CDM simulations is achieved when everything below 1% of the final halo mass is considered accreted and this is the value we use. Second, we use the fitting formula for the mass accretion history from Wechsler et al. (2002). This is obtained from numerical N-body simulations performed with the ART code (Kravtsov et al. 1997). We find that both approaches show the same qualitative behaviour illustrated in Fig. 5, however since the Extended Press-Schechter approach, at the base of the Somerville & Kolatt (1999) algorithm, is not a perfect fit to N-body simulations, especially at high-redshift and for very massive halos, we will present here only results obtained using the second approach.

We compute the dark matter mass of the most massive progenitor that is virialised in each redshift bin as a function of the total mass of the dark halo. The top panel of Fig. 5 shows the fraction of the final dark matter mass that has been virialised in the most massive progenitor, f_D , in a given redshift bin (line styles same as in Fig. 4) as a function of the final stellar mass in the dark halo. The stellar mass of the dark halo is obtained from the dark one assuming the universal baryon fraction f_b as determined by WMAP ($\Omega_{\text{CDM}}/\Omega_b = 4.8 \equiv f_{\text{DM}/b}$; Spergel et al. (2003)), and that at $z \sim 0$ only about 6% of the baryons are in stars (Fukugita 2003).

The bottom panel of Fig. 5 shows the mass assembly history of the dark halo.

A comparison of Fig. 4 and Fig. 5 indicates that dark matter assembly and formation of stars do not follow each other. For example, for $M_* > 10^{12} M_\odot$ less than 50% of the dark matter is assembled in the main progenitor at $z > 1.7$ ($t_{\text{lookback}} \sim 9.7$ Gyr), while more than 75% of the stellar mass is already formed. On the other hand, for stellar masses smaller than $10^{11} M_\odot$, 20% of the stellar mass is formed in the same time bin while already 60% of the dark matter is in place. This hints at a role of early stellar feedback in these halos as we discuss later in §4.

While in the hierarchical LCDM model for structure formation the more massive CDM structures form late, we find observational evidence for early star formation of giant galaxies. This can happen because of two reasons: *a*) massive galaxies are formed by mergers with smaller ones, each carrying an evolved stellar population *b*) these massive galaxies are already in place at high-redshift and the dark matter halo has been assembled at the same time as the stellar population. If the dark matter halo collapse triggers star formation then one would expect *a*) to be the case; however observations of e.g., old elliptical galaxies at high redshift (e.g. Dunlop et al. (1996); Nolan et al. (2003); Daddi et al. (2004); Saracco et al. (2003)) seem to support the second scenario, at least in some cases.

3.1 The number of progenitors of galaxies

It is clear that since massive dark halos are predicted, *on average*, to assemble later than the stellar population they contain, the stars may naturally have formed previously in smaller dark halos that subsequently merged. By considering the (dark) mass accretion history of the most massive progenitor of a halo, and the star-formation rate, we can constrain the minimum number of progenitors forming a halo, and the star formation efficiency as outlined below. In other words, if the most massive progenitor at a given redshift z carries enough baryons to form all the stars that should be in place by z then only one progenitor (the most massive one) is needed. If the minimum number of progenitors forming a halo is larger than 1, massive galaxies must have formed by mergers with smaller ones, each carrying an evolved stellar population. However, if the minimum number of progenitors is 1, then the LCDM paradigm can accommodate old elliptical galaxies at high redshift.

For example, consider a galaxy with stellar mass $M_* = 10^{12} M_\odot$ (see Fig. 4 and Fig. 5). In the oldest time bin 76% of the stellar mass is already in place ($M_*(t_{10}) = 0.76M_*$), yet the virialised fraction of the dark matter halo is less than 50%. If we assume case a) (that is star formation happens uniformly in all halos and sub-halos that ultimately end up forming the final galaxy, and that 100% of the dark matter is virialised at all times) then the fraction of the total baryonic mass converted into stars was 4.6%. On the other hand, observations of old elliptical galaxies at high redshift can be explained within the LCDM paradigm if we assume that the main virialised progenitor harboured all the stars observed, in this case the fraction of mass converted to stars in the dark matter halo must have been $\sim 10\%$. This requires only a modest enhancement in star formation efficiency at high redshift, but close to the maximum efficiency observed in giant molecular clouds today, which is $< 10\%$ (e.g., Padoan & Nordlund (2002)).

More specifically, we can assume that the stellar mass $M_*(t_{10})$, was in progenitors (the most massive progenitor and possibly other sub halos), whose cumulative dark matter must have been at least $M_*(t_{10}) \times f_{\text{DM}/b}/f$, where $f \leq 1$ parameterises the star formation efficiency, and the fraction of baryons that gets turned into stars, and depends on the mass of the sub-halos. We approximate $f(M_{\text{dark}})$ as $f_s(M_{\text{dark}}/f_{\text{DM}/b} \times 0.1)$ (as 0.1 is the minimum efficiency in the oldest time bin).

The minimal number of progenitors can thus be obtained by minimisation as a function of the sub halo dark mass M_{SH} :

$$N(M_{\text{SH}}) = 1 + \max \left\{ \frac{f_{\text{DM}/b} M_*(t_{10}) / f(M_{\text{SH}}) - M_{\text{dark}}(t_{10})}{M_{\text{SH}}}, 0 \right\} \quad (1)$$

We obtain a minimum number of 1 and $f \sim 10\%$, in agreement with the more heuristic argument above. If the main progenitor did not have enough baryons to accommodate $M_*(t_{10})$, then the minimum number of progenitors would be greater than one. If the minimum number of progenitors is one and the star formation efficiency is constrained to be reasonable, then all the old stellar population could have been formed in the main progenitor.

Thus this suggests that in the LCDM paradigm, the massive old galaxies that we see today could have been made of mergers of few progenitors, each carrying an old stellar population, in agreement with other indications that elliptical galaxies are already formed at $z > 1$ (e.g. Bower et al. (1992); Peacock et al. (1998); Lilly et al. (1998); Brinchmann & Ellis (2000); Im et al. (2002); Renzini (2003); Gao et al. (2003); Saracco et al. (2003); Glazebrook et al. (2004)). A small number of mergers can also nat-

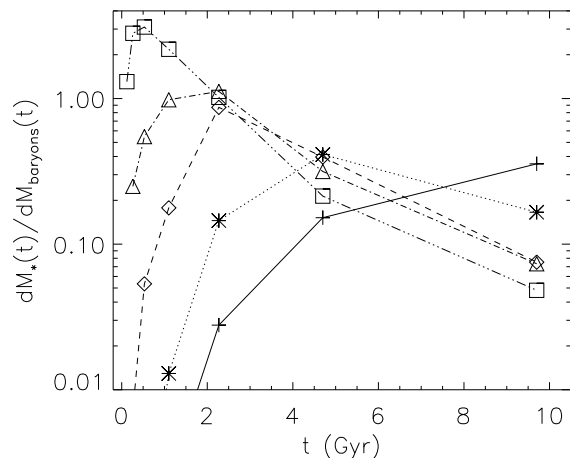


Figure 6. Ratio of mass transformed into stars in a galaxy to the baryons that are accreted onto the main progenitor, which typically contains $>$ half the mass. Crosses correspond to a stellar mass of 10^{12} , asterisks to 10^{11} , diamonds to 10^{10} , triangles to 10^9 and squares to $10^8 M_{\odot}$. A value for $dM_*(t)/dM_{\text{baryons}}(t)$ smaller than 1 means that not all the baryons (the nucleosynthesis value as recently constrained by WMAP) have been transformed into stars, while a value larger than 1 indicates that gas previously available in the galaxy has been turned into stars: either the recent accretion has triggered star formation in the main progenitor, or it is going on elsewhere. Note that for stellar masses below $10^{10} M_{\odot}$, the star formation efficiency peaks at $t_{\text{lookback}} \sim 1 - 2$ Gyr.

urally explain the tightness of the observed colour-magnitude relation (Bower et al. 1992).

4 TIME EVOLUTION OF STAR FORMATION EFFICIENCY

For each of the time bins we compute the ratio of the newly-formed stellar mass to the baryonic mass added to the main progenitor, assuming the nucleosynthesis baryon fraction. Fig. 6 shows the above ratio as a function of look-back time (t_{lookback}) for different masses: crosses correspond to a stellar mass of $10^{12} M_{\odot}$, asterisks to $10^{11} M_{\odot}$, diamonds to $10^{10} M_{\odot}$, triangles to $10^9 M_{\odot}$ and squares to $10^8 M_{\odot}$. This is a measurement of how much gas is transformed into stars as a function of the newly-added baryons. A value of 1 indicates that the mass of baryons accreted to the main progenitor matches the mass converted into stars. A value higher than 1 shows that the accretion or merger was accompanied by a greater mass of triggered star formation somewhere in the galaxy. Fig. 6 clearly shows that for stellar masses above $10^{10} M_{\odot}$ this ratio is never greater than 1. Clearly we are comparing mass accreted on to the main progenitor with stars created in any of the progenitors, and this should be borne in mind in interpreting Fig. 6. However, since the main progenitor contains $\sim 50\%$ of the final mass even at a lookback time of 10 Gyr, and this fraction is weakly dependent on mass, the efficiency of conversion of baryons to stars in the galaxy as a whole is unlikely to alter the main conclusions of Fig. 6, where the differences between objects of different present-day masses typically far exceed a factor of 2.

For the most massive galaxies at early times this measure of star formation efficiency is close to 40%. For stellar masses below

$10^{10} M_{\odot}$, the efficiency is only of about 6-8% at $t_{\text{lookback}} = 10$ Gyr, but grows to 100% at $t_{\text{lookback}} = 2$ Gyr, and then decreases.

For galaxies with stellar mass smaller than $10^9 M_{\odot}$, this increase in star formation efficiency rises until $t_{\text{lookback}} \sim 0.5$ Gyr, at which point it reaches 300% efficiency, which means that more gas is transformed into stars than the baryons brought into the parent dark halo by accretion. This points toward a picture where these low-mass gas-rich galaxies see a lot of their gas reservoir transformed into stars due, for example, to a merger or accretion event. Another possibility is that star formation is proceeding rapidly in other sub-halos, which subsequently merge with the parent. This scenario is not supported by the merger histories of low mass halos (e.g. Sheth & Tormen 2004; Wechsler et al. 2002) since small halos at present time have almost no merging from smaller subhalos.

Thus massive galaxies have a high star-formation efficiency at early times and then evolve “passively”, with fresh infall of gas being suppressed or turned into stars with low efficiency, possibly because it is likely to be too hot. Small galaxies seem to accrete mass passively at early times and form stars very efficiently later.

Conversely, the probability distribution for dark halo merger events peaks at higher redshift for small halos and at lower redshift for large halos. In a Λ -dominated Universe, merger probability is suppressed at $z < 1$ especially in low density regions, where small galaxies are most likely to be. Thus there seems to be no correlation between halo virialization or dark matter merger events and star formation efficiency.

However, one could imagine explaining this trend, for example, by postulating the existence of a “threshold” for star formation: once this threshold is crossed, all available baryons are turned into stars (as in an “infall model”), then afterward galaxies evolve passively (as in a “closed box model”). In this toy model, if this threshold is crossed at very early times in the progenitors of massive galaxies, one would expect these galaxies to form stars very efficiently early on then evolve passively. For progressively smaller galaxies this threshold is met at increasingly later times.

An alternative explanation is that stellar feedback is the responsible for the lack of star formation in small galaxies at early times. Since the escape velocity in these systems is smaller than in more massive galaxies, gas can leave the dark matter halo more easily. Only the very massive systems are able to retain their gas and convert a majority of their gas into stars. If so we find that $M_* \sim 10^{10} M_{\odot}$ is the characteristic mass that defines the border between efficient and inefficient feedback.

5 CONCLUSIONS

We have determined for the first time the baryonic conversion tree for galaxies. We were able to do this with observations at $z < 0.3$, using 96545 galaxies from the SDSS DR1 spectroscopic sample.

In the hierarchical structure formation model, massive *dark halos* form (i.e. virialize) later than smaller halos, from mergers of smaller units (e.g. Lacey & Cole (1993, 1994); Lin et al. (2003)). This model has been thoroughly tested against numerical cold dark matter simulations. Naively one could expect that the dark matter halo collapse should trigger baryonic gas transformation into stars; in addition subsequent dark matter mergers should produce star formation episodes.

Instead, for the the stellar assembly history we find that: the more massive galaxies have old stellar population and massive, old elliptical galaxies are already in place at $z \sim 1$. This has been known for a long time and sometimes it is referred to as “down-

sizing”, (Cowie et al. 1996). We find that massive galaxies have transformed more gas into stars at higher redshift (in agreement with high z observations e.g., Kodama et al. (2004)), and then star formation was suppressed, while less massive galaxies transform more gas into stars at low redshift.

So one should not be surprised to see abundant red objects at high redshift in the LCDM paradigm, these objects can form in virialised halos if star formation efficiency is high. Indeed, Jimenez et al. (1999) have shown that single-halo hydrodynamical models would require an increased star formation efficiency for more massive galaxies, higher than the few percent found today in giant molecular clouds, in agreement with the value determined from the “fossil record” in the present work. Our findings, based only on observations at $z < 0.35$ (the “fossil record”), are in agreement with a suite of independent, $z > 1$, observations: observations of old elliptical galaxies at high redshift (Dunlop et al. 1996; Spinrad et al. 1997; Nolan et al. 2003), indications that elliptical galaxies are already formed at $z > 1$ (e.g. Bower et al. (1992); Peacock et al. (1998); Lilly et al. (1998); Brinchmann & Ellis (2000); Im et al. (2002); Renzini (2003); Gao et al. (2003); Glazebrook et al. (2004)). It also nicely explains the tightness of the colour-magnitude relation (Bower et al. 1992).

On the other hand, we find that small galaxies seem to accrete mass passively at early times and see a lot of their gas reservoir transformed into stars at late times. Since the probability distribution for dark halo mergers peaks at low redshift for massive halos and high redshift for small halos, we conclude that dark matter mergers and star formation are not correlated. We speculate that one possibility to explain the apparent and illusory anti-hierarchical nature of the stellar assembly history is the existence of a threshold for star formation: once the threshold is crossed all available baryons are turned into stars (“infall model”) and afterward galaxies approximatively evolve passively. The threshold is met at very early time for massive galaxies and a later time for less massive ones (see e.g. Heavens & Jimenez (1999)).

A star formation threshold has been observed in disk galaxies by Martin & Kennicutt (2001) and there has been some recent additional evidence from the formation of dust lanes in disk galaxies (Dalcanton et al. 2004) that this threshold may take place at $V_c \sim 100 \text{ km s}^{-1}$, in agreement with the findings of Verde et al. (2002) and Kannappan et al. (2004) who also found a transition at about 100 km s^{-1} for star formation efficiency. This V_c value corresponds to the characteristic mass found here ($M_* \sim 10^{10} M_\odot$), that defines the border between efficient and inefficient star formation. This characteristic mass has been related to feedback efficiency threshold (e.g., Dekel & Silk 1986, Dekel & Woo 2003 and references therein).

As we do not yet have a fundamental theory for galaxy formation and given the complexity involved in studying the process with hydrodynamic-N body simulations, we hope that this new determination of the baryonic conversion history will be a useful observable to gauge galaxy formation models against.

ACKNOWLEDGMENTS

LV and RJ thank the “Centro de Investigaciones de Astronomia” (CIDA), where part of this work was carried out, for hospitality. LV and RJ thank Sheila Kannappan for stimulating discussions and

the anonymous referee for insightful comments. The work of RJ is partially supported by NSF grant AST-0206031.

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