1 Abstract

Globally, India's population is among the most severely impacted by nutrient deficiency, yet 2 3 millions of tonnes of food are lost before reaching consumers. This study quantifies energy input to reduce grain storage losses across India. In doing so we identify and explore links 4 5 between SDG2, SDG7, and SDG12, and provide insight for development of joined up agriculture and health policy in the country. Across food groups, grains represent the largest 6 share of daily calories and overall losses by mass in India. Analysing rice, wheat, maize, 7 8 bajra, and sorghum, we quantify one route to reduce losses in supply chains, by modelling 9 the energy input to maintain favourable climatic conditions in modern silo storage. We quantify key nutrients (calories, protein, zinc, iron, vitamin A) contained within these 10 losses, and calculate roughly how much deficiency in these dietary components could be 11 12 reduced if grain losses were eliminated. In India, a quarter of losses occur in the storage stage of the supply chain. Our modelling indicates, with appropriate uncertainty, maize has 13 the highest energy input intensity for storage, at 110 kWh per tonne of grain (kWh/t), and 14 wheat the lowest (72 kWh/t). This represents 8%-16% of the energy input required in grain 15 production. We estimate if grain losses across the supply chain were saved and targeted to 16 17 India's nutritionally deficient population, average protein deficiency could reduce by 46%, 18 calorie by 27%, zinc by 26% and iron by 11%.

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24 Keywords:

25 Food loss; SDG; energy; hunger; grain storage; India

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27 **1 Introduction**28

India has among the highest rates of child protein-energy malnutrition in the
world (Bhutia, 2014). This nutritional challenge extends to micronutrients – i.e.
vitamins and minerals – where deficiency in India's population, termed hidden
hunger, is among the most severe globally (Muthayya et al., 2013). And yet, an
estimated 65 million tonnes (Mt) of food is lost annually before reaching
consumers, at an economic cost of approximately US \$15 billion in 2014 prices

(Jha et al., 2015). Here, we follow the FAO's definition of food loss, which refers to food intended for consumption, but which is lost during the supply chain from harvest up to the point of retail. This is distinct from food waste, which is the reduction in food due to the actions and practices of retailers, service providers and consumers.

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Food loss and waste are not confined to countries such as India, but rather, pose a 41 universal problem. Globally, food that is lost or wasted amounts to 25-30% of all 42 production, and is responsible for 8-10% of global emissions (IPCC, 2019). There 43 is also substantial impact on biodiversity, water and land use (FAO, 2014). Direct 44 economic costs of food loss and waste are an estimated \$1 trillion annually, even 45 before accounting for associated social and environmental costs (FAO, 2014). For 46 context, the World Bank puts the total value of the global food system at roughly 47 \$8 trillion (World Bank, 2019). 48

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Food loss results in an unproductive allocation of resources that could otherwise
help ensure the population receives adequate nutrition and improve food
security. However, the relationship between food loss, nutrition and their
solutions, including energy, is not fully understood.

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Focusing on five major types of grain (rice, wheat, maize, bajra, sorghum), the 56 largest consumption per capita food group in Indian diets (Alae-Carew et al., 57 2019), we examine one route to realise this loss reduction, looking at energy input 58 to control ambient conditions for effective storage of grain in modern silos. We 59 then briefly look at how grain losses may evolve under different Shared 60 Socioeconomic Scenarios (SSPs) in the absence of measures to reduce them. 61 Finally, we quantify the embedded nutrition (calories/energy, protein, iron, zinc, 62 and vitamin A) contained within these losses and, to a rough approximation, 63

estimate how eliminating grain losses across the supply chain may reduce averagepopulation deficiencies.

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Formally we ask: What role does energy have in the storage component of grain 67 losses? How substantial is this compared to the energy to grow grain crops? To 68 what extent can eliminating food grain loss in India address nutrient deficiency 69 among its population? We provide new insights on previously understudied 70 relationships, and draw conclusions for policy, informed by a systems approach to 71 food, health, and energy. This study contributes to the understanding of 72 interactions between the Sustainable Development Goals (SDG), specifically links 73 between 'zero hunger' (SDG2), 'affordable and clean energy' (SDG7), and 74 'responsible production and consumption' (SDG12) in the context of food loss. In 75 doing so, this study offers empirical evidence for energy input to reduce grain 76 storage losses for the case of India, and with it, a method applicable to other 77 geographies where food loss is prevalent. Further, it quantifies food loss by way of 78 79 its potential to address population nutrient deficiencies, thereby highlighting the 80 challenge in human relatable terms.

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83 **1.1 Food loss**

A recent Food and Agricultural Organization (FAO) report into food loss and 84 waste reduction found that total losses can be over 20% across the supply chain in 85 Central and Southern Asia (FAO, 2019). Within this region, grain supply chain 86 losses in India are often far lower than this on a per cent basis (around 5% is 87 typical). However, we focus on this country for two reasons. Firstly, Indian food 88 loss data is more comprehensive and of better quality than many other 89 economies, for illustration, 55% of all data observations in the FAO's latest food 90 loss and waste meta-analysis are from India. Secondly, with India's population 91

92 size, the absolute magnitude of losses far outweighs many other countries which93 may have higher per cent losses.

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Sources of food loss vary by country and crop or produce, but broadly speaking, for grains this includes pests, rodents, mould and respiration. The main drivers that enable these sources to proliferate are insufficient or non-existent equipment and infrastructure and a lack of training and education on best practice. Energy has been identified as one solution to tackle these drivers and sources of loss, for example, allowing grain to be dried and cooled in climate-controlled silos rather than outside, open to the elements (FAO, 2016).

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Within the food loss and waste literature, studies have explored conceptual 104 synergies between reducing food loss and waste and improving public health, 105 including nutrition (Lindgren et al., 2018; Neff et al., 2015). Other studies have 106 quantified this explicitly, for example, from the perspective of food waste and 107 108 dietary nutrition in the USA (Conrad et al., 2018; Spiker et al., 2017), or seafood loss and the potential recovered nutrition, also focusing on the USA (Love et al., 109 2015). These studies point to the importance of tackling the dual challenges of 110 malnutrition while minimizing the environmental impacts of diets and food 111 systems. Reducing food loss and waste has a key role to play, but realizing the 112 potential requires interventions that cut across technological, economic, social 113 and behavioral domains. 114

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116 1.2 Energy in reducing food grain losses

We focus on one such reduction strategy, the role of energy in the storage
component of food grain loss. Although not considered perishable in the same
way as fruit, vegetables and animal produce, grains are nonetheless highly
susceptible to ambient conditions. Food grain loss in storage is in large part

driven by unfavorable (normally too high) humidity and temperature (Kumar and 121 Kalita, 2017). This encourages mould, insect propagation, and grain respiration, 122 which all lead to quantitative loss. Controlling these conditions via energy input 123 can mitigate or eliminate these drivers of quantitative storage loss. Another type 124 of loss, termed "qualitative losses" can also occur during storage. These losses 125 include physical damage and a deterioration in taste and appearance, but the 126 grain may still be deemed fit for consumption. We do not consider the latter type 127 of losses in the analysis. 128

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In India, up to 25% of total grain losses can occur during storage (Jha et al. 2015). 130 Most grains are either stored on-farm using small-scale traditional methods, or in 131 centralised depots where it is bagged and kept in outdoor 'cover and plinth' (CAP) 132 structures, or indoor warehouses known as *godowns* (Sharon et al., 2014). 133 Currently, very little grain is stored in modern silos which can minimise losses 134 but require energy input to operate effectively. Recognising the need to improve 135 the supply and distribution of grain, there are efforts by the Indian government 136 and the private sector to develop modern silo infrastructure (10 Mt of new 137 capacity over 2016-2020). Focusing on energy input, we estimate the energy 138 required to reduce losses related to drying and storage of major grains in India. 139 Specifically, we modelled the useful energy per tonne of grain to initially dry and 140 cool produce after harvest, and then maintain necessary storage conditions over a 141 range of likely timeframes. 142

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Energy input is determined by many factors including temperature, humidity and the biophysical properties of different grains. A key factor is the moisture content of grain, i.e. the proportion by mass of water. The energy required to remove a unit mass of water increases as moisture content decreases. That is, as grain dries it becomes progressively more energy intensive to further remove water. To prevent losses, grain must be stored at moisture levels as low as 12% (Directorate of Marketing & Inspection, 2005) but field maturity is far higher than this,

- 151 commonly harvested at levels of 18% to 30% (see Table 1 and Table A.1 in 6
- 152 Appendix). Alongside this drying component, we construct a model of the cooling
- and maintenance requirements of grain for storage, using data from empirical
- and industry literature to parameterise the model (see section 2.1 Modelling
- 155 energy in grain storage losses and 6 Appendix).
- 156 157

Parameter	Rice	Wheat	Maize	Sorghum	Bajra
Harvest mc high (%)	28	22	30	25	22
Harvest mc low (%)	20	18	23	20	18
Storage mc high (%)	14	14	14	14	14
Storage mc low (%)	12	12	12	12	12
Storage temp. high (°C)	15	15	15	15	15
Storage temp. low (°C)	10	10	10	10	10

158 Table 1: Moisture and temperature parameters for the energy model. See Table A.1 in

159 Appendix for sources.

- 160 * mc = moisture content
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163 **1.3 Nutrition**

Addressing grain loss in principle enables additional nutrient supply to be 164 directed toward India's population. The importance of the five nutrients 165 quantified in this study are briefly detailed. Protein is an key dietary component, 166 and deficiency contributes to severe health outcomes, including limiting growth, 167 stunting among children, and immune system complication (Wu et al., 2016). In 168 169 extreme cases, energy-protein deficiency can progress to energy-protein malnutrition which is associated with severe immune deficiency, muscle atrophy 170 and early death (Müller and Krawinkel, 2005). Within micronutrients, iron, zinc 171 and vitamin A are among the most prevalent micronutrient deficiencies globally 172 (Muthayya et al., 2013). Iron deficiency reduces cognitive function and increases 173 risk of anemia during pregnancy (Lynch, 2011), zinc deficiency impairs growth 174 and the efficacy of several vital organ systems (Roohani et al., 2013), while a 175 severe lack of vitamin A is a leading cause of blindness among children (Akhtar et 176

- al., 2013). Given the prevalence of these deficiencies in India, we build on
 previous work from Rao et al. (2018) and quantify the potential increase in the
 supply of these nutrients should losses of rice, wheat, maize, bajra and sorghum
 be eliminated.
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A recent study looking at the availability of macronutrients (calories, digestible protein and fat) found that the nutrient supply gap could be narrowed, but not resolved, under scenarios of reduced food loss and improved yield (Ritchie et al., 2018). We investigate a similar problem area, extending to micronutrients but limiting the analysis to grain losses. The main thrust of this study is to understand the role of energy in grain losses, however, given some similarity in the food loss-nutrition component, we now briefly discuss the methods.

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Ritchie et al. (2018) use FAO nationally aggregated production and loss statistics 190 across major crops and commodities, and apply regional (South Asia) estimates 191 192 of supply chain stage losses to India. Lost macronutrients of interest are quantified across each stage and apply a log-normal distribution to quantify the 193 proportion of the population that could receive the recommended share. We take 194 a bottom-up approach, using district level production and disaggregated, sub-195 national food loss survey data. Total potential additional supply is calculated on a 196 district basis and aggregated at state and national level. We assume that all the 197 nutrition within the lost grain, factoring in the proportion that is not directly 198 consumed, is distributed to the population. Rather than assuming a log-normal 199 distribution, we contextualise the increased nutrition achieved through saving 200 lost grain by comparing against estimated population nutrient deficiencies 201 derived from National Sample Survey data. 202

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204 2 Materials and Methods

205 **2.1 Modelling energy in grain storage losses**

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A physical modelling approach was used to quantify the energy required to dry and cool food grain from harvest, and then maintain conditions for storage. Total energy was modelled as the sum of these three processes. The model makes use of equations for sensible heat (for cooling) [Eq. 1] and latent heat (for drying) [Eq. 2] and biophysical and agricultural properties of the different grains.

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$$E_{sensible} = m \cdot c \cdot \Delta T (J), \qquad [Eq. 1]$$

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where *m* is the mass of grain (kg), *c* is specific heat capacity (J kg⁻¹ °C ⁻¹), and ΔT (°C) is the difference between harvest and grain storage temperature.

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 $E_{latent} = m \cdot \Delta MC \cdot h_s (J), \qquad [Eq. 2]$

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where *m* is mass of grain (kg), ΔMC is the difference between the moisture content of grain at harvest and that during storage, and h_s is the differential heat of sorption (kJ/kg), which is the energy to remove a unit mass of water (see Figure A.1). This component is comprised of the sum of the latent heat of free water and differential heat of wetting, which is unique for each grain type and changes as a function of moisture.

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Literature shows that different types of grain have heterogeneity across moisture content at harvest, and differ in the energy required to remove a unit mass of water from the grain (see Figure A.1). The bulk property of cereals makes them good insulators, and properly stored grain retains its temperature and moisture content once cooled and dried. However, possible extended storage duration and India's climate necessitate maintenance of temperature and moisture conditions. This requires additional energy input which we model based on parameters

- 234 developed from a stylised duty cycle from Indian industry literature
- 235 (Graintechnik, 2019, 2018). Simply, this takes power output and a coefficient of
- 236 performance of a grain silo chiller operated under typical Indian conditions and
- 237 models the energy requirements based on the intensity of use (hours per day, day
- 238 per year) given by the industry specific duty cycle.
- 239

A Monte-Carlo analysis was performed to sample parameter values under a 240 uniform probability distribution. Parameter values were drawn from scientific 241 studies or government standards specific to India, and not constructed with 242 directly observed and spatially resolved data. Upper and lower bounds of all the 243 main parameter values and their sources are given in Table 1, Table A.1 and Table 244 A.2 in the Appendix. Where no range of values could be found, we varied a single 245 parameter value to give plausible upper and lower bounds - see Appendix Table 246 A.1 and Table A.2 for details. 247

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Safe grain storage temperatures to minimise losses from insects, mites and fungi
(including mycotoxins) are in the region of 15 °C (HGCA, 2011) to 10 °C (Sawant
et al., 2012). Common insect species found in stored grain cease reproduction
around 13 °C (Manandhar et al., 2018). Here, we set an upper bound at 15°C and
lower bound at 10°C.

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Values for storage moisture content of grains were sourced from post-harvest
grain profiles of India's Ministry of Agriculture and Farmers Welfare, Directorate
of Marketing & Inspection (DMI) (2005). These profiles outline best practice and
standards for the quality, storage parameters and nutritional profile of India's
marketed grain. Values for bajra were sourced from a recent report by the Indian
Institute of Millets Research (Chapke et al., 2018).

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For grain, the latent energy (reducing moisture content) component is typically larger than sensible energy (reducing temperature), and, as has been described, the model uses grain specific data for harvest conditions and differential heat of
sorption. Some additional effort is given to describing how these elements are
characterised in the analysis.

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268 Energy-moisture content relationships are different for each grain type, and is 269 typically determined experimentally (e.g. through static or dynamic gravimetric 270 methods). Two studies were selected from literature that provided differential 271 heat of sorption data for the five grains of interest. Four (rice, wheat, corn/maize, 272 sorghum) came from a study by Cenkowski et al. (1992). Data for pearl millet 273 (bajra) was obtained from Singh et al. (2011). The former was selected as a source 274 as it had the most comprehensive coverage of required grain data of the studies 275 assessed. The latter was selected for being specific to India. The differential heat 276 of sorption curves for each grain are given in Figure A.1. In the model, a sampled 277 harvest moisture content (from bounded upper/lower values) and storage 278 279 moisture content form a definite integral which is then evaluated.

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282 2.2 Grain losses

Average district level crop production data were calculated for the period 2010-283 2014, from published Indian government statistics (Directorate of Economics & 284 Statistics, n.d.). We are concerned with grain production for human consumption 285 and the losses involved in this portion of supply, not that which is diverted for 286 animal feed or as residual produce (broken and damaged grains, i.e. qualitative 287 losses). Therefore, district level production statistics were modified by a factor for 288 the proportion of each grain type that are consumed by humans directly, using 289 data from the US Dept. Agriculture (USDA) annual grain assessment on India 290 (USDA, 2019). The USDA does not disaggregate between feed and residual in the 291 data, so it was not possible to see their individual split. For wheat, sorghum and 292 bajra, animal feed and residual typically make up $\sim 5\%$ of total supply (USDA, 293

294 2019). Maize is different, in that a substantial proportion of production (~50%) is 295 used as animal feed and for use in industrial processes (USDA, 2019). No 296 adequate data for rice could be found, and so was assumed to have zero supply 297 diverted. Excluding these components is necessary for two reasons. Nutritionally, 298 feed and residual grain are not directly consumed by humans, and secondly, we 299 are concerned with the quantitative not qualitive loss i.e. the measurable 200 reduction in mass of grain. Finally, it was assumed that 100% of grain was edible. 301

2.3 Nutrition

Nutrient composition of grains and recommended daily allowance (RDA) were 303 sourced from the National Institute of Nutrition, India – see Table 2 and Table 3. 304 Values for RDA were standardised to one equivalent consumer unit (CU), a 305 metric developed by the Indian Council of Medical Research to equate the 306 nutritional needs of women, men and children. One CU represents the daily 307 nutritional need of a sedentary 60kg man. Women, children and other profile 308 types for men are adjusted by a coefficient that reflects a greater or lesser daily 309 requirement. 310

Grain	Calorie (kCal/kg)	Protein (g/kg)	Iron (mg/kg)	Zinc (mg/kg)	Vitamin A (mcg/kg)
Rice	3564	4 79.4	6.5	12.1	80
Bajra	3480	0 109.6	64.2	27.6	330
Maize	334	1 88.0	24.9	22.7	80
Sorghum	334	1 99.7	39.5	19.6	118
Wheat	320	3 105.7	41.0	28.5	73

Table 2: Nutrient composition of major grains. Source: (Indian Council of Medical

312 Research, 2017)

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Nutrient	Quantity	Unit
Calories	2320	kCal/day
Protein	60	g/day
Iron	17	mg/day
Zinc	12	mg/day
Vitamin A	600	mcg/day

- 315 Table 3: Recommended daily allowance (RDA) of one equivalent Consumption Unit (a
- 316 sedentary 60kg man). Source: (Indian Council of Medical Research, 2009)
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The primary source of Indian food loss data in this analysis was a study by the

319 Indian Council of Agricultural Research (ICAR), see Jha et al. (2015) for details

- 320 on the survey methods and analysis. Survey results were compared with
- 321 aggregate FAO statistics (see 3 Results and Discussion).
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Each district's grain production was mapped to one of 15 agroclimatic zones in India, the resolution of the food loss survey data. All districts in a given zone were assigned the same loss proportions for crops and supply chain stages, but varied in their production data. Where loss data of a given grain and region was absent, but data showed production exists, that district was assigned a loss rate at the national level from the survey.

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With RDA and food nutrition values, we calculated, at the state and national level, 330 the calories, protein, vitamin A, iron and zinc contained within lost grain, giving 331 an upper limit on possible increased supply should losses be eliminated. These 332 are then attributed to estimated average nutrient intake of India's nutrient 333 deficient population to approximate the potential improvement in population 334 nutrition. These estimates are as calculated in Defries et al. (2018) and Rao et al. 335 (2018) from consumption expenditures in India's National Sample Survey (2011– 336 12). Nutrient deficiency is a useful way to contextualise grain losses, but the 337 analysis employed here must be caveated to make clear its limitations. Foremost, 338 population deficiency calculations are state-level averages derived from 339 household food expenditure survey data, that masks granularity and 340 heterogeneity in dietary intake. See Defries et al. (2018) for detailed discussion on 341 the limitations of this method. 342

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A high-level analysis was conducted to examine the possible scale of grain loss if
rates remained constant but production increased alongside population. This was

calculated by applying Indian population projections in the Shared Socio-346 economic Pathways (SSPs). The SSPs are a set of five pathways that model how 347 key socioeconomic factors may impact energy demand and CO2 emission 348 trajectories over this century (see Riahi et al., 2017). They are based on five 349 narratives of global socioeconomic trends, of which three are used here. These are 350 SSP1 - spanning 'the green road' of a shift to a sustainable world, SSP2 - 'middle 351 of the road' representing business as usual, and SSP3 - 'a rocky road' of regional 352 rivalry (SSP3). See O'Neill et al., (2017) for further details. 353

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356 **3 Results and Discussion**

357 **3.1** The role of energy in reducing grain storage losses

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We find that the energy to provide suitable storage conditions is largely 359 dependent on the harvest moisture content and intrinsic biophysical properties of 360 the grain. Figure 1 shows a probability density distribution of energy input 361 intensity for each grain to deliver favourable climatic conditions for safe (minimal 362 loss) storage. The distribution was generated by Monte Carlo analysis of sampled 363 (n=100,000) model parameter values. Sample number was determined by 364 looking at the convergence of the mean value of rice over a logarithmic range 365 from 10 to 1,000,000 model runs. Mean value gave adequate convergence 366 (0.001% difference) between 100,000 and 1,000,000, and so we use the former 367 number. 368



Figure 1: Probability density distribution of energy input intensity required to enable
climatic conditions for effective grain storage.

The distribution for wheat (orange-yellow curve) has the lowest mean energy intensity-standard deviation in parenthesis-at 72 (14) kWh t⁻¹ (furthest to the left in Figure 1), followed by bajra (red) at 78 (14) kWh t⁻¹ and sorghum (blue) at 88 (15) kWh t⁻¹. Maize (green) has the highest mean energy intensity - 110 (18) kWh t⁻¹ - followed by rice (purple) at 96 (19) kWh t⁻¹. These two grains also display the largest distributional variance, reflecting the broader range of literature values for harvested moisture content than for the other grains.

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To put this into context, we compare against previous work that looked at energy input intensities to grow and produce the same set of grains in India (see Rao et al., 2019 for details). Figure 2 shows these two energy components for each grain. Values modelled in this study are given in orange with error bars at the 10th and 90th percentiles from the Monte-Carlo analysis shown in Figure 1. Depending on the grain, the mean useful energy for storage constitutes an additional 8% - 16% of input on top of that used in production.



Figure 2: The additional energy required to minimize grain storage losses compared to
energy input demands in producing a tonne of grain. Energy input values for production
are sourced from Rao et al., 2019. Storage energy input shows modelled mean values with
10th and 90th percentiles as error bars.

This finding highlights the possible energy trade-off in reducing India's grain 395 losses. Previous studies also investigate a similar trade-off, looking at 396 397 environmental impacts of developing cold supply chains to reduce food losses (Heard and Miller, 2019, 2016). The increased energy requirement could be 398 399 compensated by improving production efficiency, or as studies have explored, shifting some production of rice to less energy intensive cereals (Davis et al., 400 2019; Rao et al., 2019, 2018). Further, some of this input is mitigated by resulting 401 reduced grain losses, which ultimately manifests in more grain supply and the 402 energy and nutrients therein. 403

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Energy for grain storage is not the only 'cost' involved in tackling supply chain

- 406 losses, another being the economic investment required, for example, in
- 407 developing silo infrastructure, and operation and maintenance costs thereafter.
- 408 Capital costs for a typical 50,000 tonne silo (normally 4 x 12.5 kt silos) in India

have been estimated at Rs. 31 crore (approximately \$4 million) (World Bank, 409 2013). More recently, evidence on completed and ongoing projects from India's 410 Department of Economic Affairs suggests costs of around Rs. 35 crore. Running 411 costs of energy input are determined by a number of factors, tariff structure, 412 geography, prevailing climate conditions and so have not been explicitly 413 considered here. However, indicatively, average power prices across India over 414 2017-18 were Rs. 7.54/kWh and Rs. 8.69/kWh for industrial and commercial 415 consumers respectively (Power Finance Corporation, 2020). Nonetheless, it is 416 insightful from a scientific and policy perspective to develop our understanding of 417 interlinkages within food systems, even if constrained, as here, to a single main 418 food type. 419

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421 **3.2 Food grain loss data**

Table 4 summarises the annual production, average loss rate and annual loss 422 quantity for each grain studied. Rice and wheat dominate production for direct 423 human consumption, with a combined 176 Mt or 88% of total production of the 424 five grains considered. Through the supply chain, this translates to 4.1 Mt and 4.6 425 Mt of losses for rice and wheat respectively. Across grains, sorghum has the 426 highest national average loss rates (6.0%), followed by rice (5.5%) and bajra 427 (5.2%). Wheat and maize have the lowest loss rates on average from the survey, at 428 4.9% and 4.7%. 429

Grain	Annual production (Mt)*	National av. loss rate (%)	Annual loss (Mt) [†]
Rice	87.5	5.5	4.1
Wheat	88.6	4.9	4.6
Maize	9.7	4.7	0.4
Bajra	9.4	5.2	0.5

Sorghum	5.0	6.0	
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Table 4: Average food loss rates and annual tonnage of major grains from harvest to preretail. Source: production – Ministry of Agriculture and Farmers Welfare, loss rates – Jha
et al., 2015. *average production over 2010-2014 and excludes production not for direct
human consumption. † annual loss reflects regional rather than national average loss
rates and accounts for adjusted production figures.

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Variation in loss rates presented in Table 4 can be attributed to two main reasons. 437 Grain production tends to be regionally concentrated, with rice focused in the 438 east and coastal south, and wheat in the north west. Bajra is grown chiefly in the 439 west and parts of the south, while maize and sorghum are produced in the central 440 regions. Punjab and Harvana, two north-western states, have experienced 441 agricultural mechanisation, which is one possible reason why total loss rates for 442 wheat are lower than some other grains. Another reason is the monsoon and 443 general climate variability and the effect on losses. An early or late monsoon, and 444 445 its magnitude, plays a role in grain losses across harvest, processing and storage.

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Looking across all the major food groups and crops surveyed, Eastern Plateau and 447 Hills (Chhattisgarh, Jharkhand, Odisha), and Central Plateau and Hills 448 (predominantly Madhya Pradesh) are two regions had, on average, the highest 449 450 loss rates. Reasons for this are unclear, but predominant crop type and poverty prevalence are two possible factors. The latter as these two regions encompass 451 some of India's most deprived areas. At the other end, the Western Dry Region 452 (most of Rajasthan and some neighbouring areas) and Trans Gangetic Plain 453 (Punjab and Harvana) had the lowest loss rates. Local climate is one likely factor 454 here, but the aforementioned mechanisation could also be helping to lower losses. 455 456

The survey data (Jha et al. 2015) reported here was conducted over a single year
and so the data is susceptible to annual climate variation on the Indian subcontinent. Indeed, an initial 2012 benchmark survey produced different loss rates
among grains to this more recent data, but still within the range of 4%-6%

461 (Nanda et al., 2012).

The distribution of grain losses at each major supply chain stage (harvest, farm operations, storage) and a combined total is shown in Figure 3. Figure 3a gives a distribution of loss rates for survey observations across all grains. Figure 3b shows box plots of the distribution of percentage losses for each grain within each stage. Harvest and farm operation stages account for the bulk of losses for all five grain types, but also display high variance in loss rates (Figure 3a). Storage accounts for a smaller proportion of grain losses and there is less variability across grains and regions.



Figure 3: The distribution of grain losses at each major supply chain stage. The top panel

477 (a) gives a combined distribution of loss rates for all the grains. The bottom panel (b) gives 478 box plots of each of grain studied. Each observation (wheat -n=11, rice -n=10, maize -

- n=5, bajra -n=7, sorghum -n=5) represents a mean value from one of the 14 mainland
- 480 agro-climatic zones of India. Source data from Jha et al., 2015.

481 There is little empirical and robust data on food losses among different groups, 482 regions and supply chain stages. Data for this analysis was sourced from the most 483 comprehensive survey of food losses in India to date. However, some survey data 484 were self-reported by farmers rather than directly observed, and aggregate loss 485 rates did not wholly align with FAO national figures. We used the ICAR survey 486 (Jha et al. 2015) rather than FAO figures due to the granularity and heterogeneity 487 of data, and its largely observed rather than modelled component. This highlights 488 a general issue of the availability and efficacy of data, indeed, globally only 4.4% 489 of FAO food loss factors are actually reported rather than estimated or modelled 490 (FAO, 2018). The data gap within food loss and waste, especially for emerging 491 492 economies, has been identified as a barrier to effective policy formation (Xue et al., 2017). More broadly, data challenges across food systems have been 493 recognised in the IPCC's report on climate change and land (IPCC, 2019). 494

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496 **3.3 Framing future grain loss trajectories**

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Section 3.2 looked at the current state of food grain losses in India, and section
3.4 extends this to understand the scale of additional nutrient supply should
these losses be addressed. To frame this, we first explore, in simple terms, how
grain losses could develop in the absence of concerted action. In particular,
highlighting possible trajectories out to 2030, the delivery year for many
Sustainable Development Goals.

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There is limited quantified, spatially resolved data on projected changes to India's
agricultural production. Nonetheless, it is valuable to understand how grain
losses may evolve in the future. We explore simple scenarios of future grain losses
under different population projections taken from three Shared Socioeconomic
Pathways (SSP1, SSP2, SSP3) (see Figure A.2 in the Appendix).

By 2030, under a trajectory with no significant measures to address the problem, 511 losses from grain intended for human consumption could increase by about 10% -512 20% to reach 11.2-11.8 million tonnes per year, under the assumption of 513 population driven production increases. Correspondingly, relevant Sustainable 514 Development Goals target a 50% reduction in food waste and efforts to reduce 515 food losses (SDG12.3) and aim to eliminate malnutrition and enable all people to 516 access nutritious foods (SDG2.1-2.2). In India achieving these goals will require a 517 host of technical, socio-economic and policy actions, many of them non-trivial. 518 Reform of the country's food subsidy programme is one element of this and is 519 often the subject of debate. A joint report by the ICRIER and OECD discussed 520 measures to overhaul of the Public Distribution System (PDS), that would deliver 521 better health, wellbeing and economic outcomes (OECD, 2018). The nature of 522 these issues leads their politicization, especially in regards to the PDS. We opt to 523 explore an adaptive solution, modernisation of silo infrastructure, a step that will 524 not fundamentally alter, but could improve, India's food system. 525

526

These simple loss projections are caveated in that dietary shift and changes to the import/export balance are not considered. However, a recent review of future diet projections in India, found per capita rice and wheat consumption is forecast to remain fairly stable, meaning total consumption may broadly increase with population (Alae-Carew et al., 2019).

532

3.4 Improved supply from eliminating grain losses – addressing nutrient deficiency

535

536

537 In addressing grain losses, the benefit is realised either in reducing resource

538 inputs to provide the same level of net nutrition, or, the same level of resource

539 input for additional nutrient supply. We study the latter case, owing to known

deficiency in key nutrients within Indian populations. However, we briefly
contextualise these losses for the prior case of reducing resource inputs and
environmental costs. Applying data from previous studies on Indian grain

543 production to that of the calculated losses for each grain type and each district

544 (Rao et al, 2019). The estimated 12 million tonnes of grain lost annually, equates

to 9.6 TWh of energy for production, and a GHG cost of 13 MtCO2e.

546

This would be the case if addressing losses lead to a corresponding reduction in net production, and hence, resource inputs. This study looks at a different case, whereby the benefits from tackling grain losses accrue on the demand side, i.e. additional nutrient supply.

551

In an absolute sense, diverting the nutrients from lost grain to additional supply could provide $93 \pm 8 \times 10^9$ kCal of calories, $25 \pm 2 \times 10^8$ g of protein, $69 \pm 6 \times 10^7$ mg of iron, $56 \pm 5 \times 10^7$ mg of zinc, and $25 \pm 2 \times 10^8$ mcg of vitamin A per day. More informatively, when applying RDA's of these nutrients for India (using one Consumption Unit as a typical consumer), we find that around 40 million people could have their daily calorie, protein, iron and zinc needs met. Additional supply of Vitamin A is much less substantial, covering the needs of 4 million people.

559

560 Moving beyond absolute estimates of additional nutrients, we now look to 561 quantify the relative impact on nutrient deficiency within Indian populations.

562 Figure 4 compares the contribution each type of grain could make, if losses were

63 eliminated and supply increased, to reducing the average gap in intake for those

564 with some kind of nutrient deficiency. It shows that, at a national level,

65 eliminating losses from the five major grains studied could have a large impact on66 average deficiencies of some key nutrients.

567

The largest improvement comes by way of protein intake, with the potential to

569 deliver 46% of total deficiency should losses be eliminated and grain supply

increased. In absolute terms, this aggregated potential is equal to around 2.5 kt of 570 protein per day, against an estimated total protein deficiency of around 5.5 kt per 571 day. This appears to be a significant proportion, but must be viewed in the wider 572 context and the deficiency estimate caveats previously detailed. Cereals constitute 573 the largest source, around 57%, of protein in Indian diets (Minocha et al., 2017). 574 Moreover, protein deficiency in India, although a problem, is less prevalent than 575 other nutrients. This is shown by Harinarayan et al. (2019), who present data 576 from India's National Nutrition Monitoring Bureau (NNMB, 2017, 2012) that 577 puts average household protein intake at ~90% of RDA in urban areas and ~82% 578 of RDA in rural areas. This is in comparison to less than 80% of RDA for calories, 579 and ~50% for both iron and vitamin A of average rural household intake. 580



582 Figure 4: The potential contribution of eliminating food losses of major grains (rice, wheat, maize, sorghum, bajra) in improving

average nutrient intake among India's nutrient deficient population. The y-axis shows nutrient consumption per day, on a per 583 person basis for the top panel (a), and on an absolute measure for the bottom panel (b). Note the exponent and its value that 584

accompany the y-axis units on the bottom panel. Red lines give estimated current average daily intake for those with some kind

585 of deficiency, black lines give the RDA for one Consumption Unit in India, pink lines are a summation of the individual 586

contributions from each grain, giving a potential improved average daily intake from increased supply. 587

Calories and zinc, and to a lesser degree iron, also showed sizeable improvement, with the potential to supply, should grain losses be eliminated, 27%, 26% and 11% of total deficiency respectively. Vitamin A deficiency showed negligible potential for improvement. Eliminating grain losses across the supply chain would only reduce deficiency in this nutrient by ~1%. The result is largely explained by India having the highest prevalence of vitamin A deficiency in South Asia (Akhtar et al., 2013), and the low amounts contained in rice and wheat.

596

Within grains, rice and wheat dominate recovered nutrition from supply chain 597 losses. This is due to their overwhelming share of grain production for human 598 consumption, ~88Mt each, compared with ~24Mt for maize, bajra and sorghum 599 combined. However, different nutritional profiles and loss rates of grains do have 600 an impact. This can be seen in the relative larger contribution of wheat to rice in 601 improving protein, iron and zinc, despite very similar consumption. In particular, 602 rice and wheat account for 40% and 47% of the additional protein supply, but 603 12% and 67% of iron. Indeed, iron contained within potential increased bajra 604 605 supply has an equal contribution (~12%) to that of rice, owing to its comparatively rich nutrient profile, despite radically less production. 606

607

Between 60%-70% of grain production is retained by farmers, with the remainder
marketed (Sharon et al., 2014). It is not generally known how much of marketed
production is consumed within states. Here we assume that all of marketed
production does indeed remain in state along with that retained by farmers. We
explore heterogeneity (both spatially and between urban/rural populations) of
potential reductions in nutrient deficiency by eliminating grain losses during
supply.

615

Figure 5 shows how deficiency varies across India's 35 states and territories for

617 urban (Figure 5a and Figure 5b) and rural populations (Figure 5c and Figure 5d).

618 For comparison, we assume all potential nutrient benefit from increased supply is

diverted to either urban or rural populations. Note, the top two panels of Figure 5 619 have units in the range $10^8 - 10^{10}$, the lower two panels, with units in the range 620 $10^{7} - 10^{9}$, are separated to make these results visible. Intrastate distribution (i.e. 621 net importers or exporters) of rice and wheat is not accounted for, as it is 622 problematic to attribute these losses to specific states, and the nutrients they 623 could supply to their local population. For context, this means that 66% of rice 624 and 78% of wheat is accounted for, along with 100% of maize, baira and sorghum 625 for human consumption. The latter three grains are unaffected here as they tend 626 to be far more limited in their distribution. There is a significant state imbalance 627 in the potential to address nutrient deficiency from eliminating grain losses. Uttar 628 Pradesh (UP) has the largest potential increased supply of calories, protein, iron, 629 and zinc should grain losses from its domestic production by eliminated. 630 However, it also has comparatively large nutrient deficiency. Across urban and 631 rural populations, Punjab (PB), Madhya Pradesh (MP), Rajasthan (RJ) and 632 Harvana (HR) are states that, if losses were eliminated, could deliver the largest 633 proportional benefit in addressing nutrient deficiency. This extra supply could 634 deliver around four times the respective protein deficiency in each of these states. 635



637 *Figure 5: Sub-national distribution of current deficiency and potential improved supply of* five nutrients across Indian. Y-axes show states and union territories and x-axes show the 638 magnitude per day of each nutrient. Estimated total daily deficiency for each area is given 639 640 by light blue bars, and the increased nutrient supply in eliminating losses is given by dark blue bars. Figure 5a and Figure 5b give this for urban populations, Figure 5c and Figure 641 5d for rural populations. Top and bottom panels are separated to allow visual inspection 642 of small potential supply increases in eliminating losses. State and union territory codes 643 are given in Table A.3 of the Appendix. 644 645

- 646 This contrasts with urban populations in Kerala (KL), Jharkhand (JH) and
- 647 Odisha (OR), which have comparatively large nutrient deficiency versus
- negligible potential for increased nutrient supply. As shown in Figure 4, and now
- 649 clear at a sub-national level from Figure 5, substantial vitamin A deficiency
- 650 particularly among urban populations, cannot be addressed by eliminating grain
- losses and diverting the increased nutrient supply from grains. Any measures to
- 652 reduce food grain losses should be aware of local context but also look to
- 653 maximise inter-state opportunities.
- 654

658

657 **4 Conclusion**

Cereal grains constitute a major part of Indian diets, but around 10Mt are lost in 659 supply chains each year that could have otherwise been consumed. Unaddressed, 660 population led demand could see this increase to 11.2-11.8 Mt by 2030 under 661 future SSP scenarios. The fact that India's grain losses are, on a per cent basis, 662 comparatively low may raise questions of whether they should receive any 663 particular policy interest. Aside from the sheer magnitude of grain production 664 and consumption, we have shown that addressing these losses could reduce key 665 nutrient deficiencies and quantified, for the first time, the role of energy in one 666 part of the grain supply chain. 667

668

Modern silo infrastructure can minimise grain losses in the storage component of 669 supply chains, however, this requires energy input to create and maintain 670 favorable ambient conditions. We find that energy to provide suitable storage 671 conditions is in the range 72 to 110 kWh per tonne of grain, and is largely 672 dependent on the harvest and storage moisture content and intrinsic biophysical 673 properties. This corresponds to a relatively small proportion – 8% to 16% – of the 674 energy input to produce the grain, but represents a clear trade-off and should be 675 considered alongside the benefits of additional supply from minimising grain 676 losses in storage. Reducing food grain losses contributes to achieving SDG12.3, 677 but also has synergy with health and diet targets under SDG2. We explore the 678 679 interaction between eliminating supply chain grain losses and the resulting increased supply of five key nutrients in India. We estimate that across rice, 680 wheat, maize, bajra and sorghum, increased daily supply from eliminated losses 681 could provide 93 x 109 kCal of calories, 2.5 x 109 g of protein, 6.9 x 108 mg of iron, 682 5.6 x 10⁸ mg of zinc and 2.5 x 10⁹ mcg of vitamin A. Accepting caveats, we 683 684 estimate that for deficient populations, this equates to addressing 27%, 46%, 11%, 26%, and 1% respectively of average daily deficiency. 685

The contribution to the scientific community is twofold. One, we have presented a method to analyse energy requirements for effective grain storage, that is generalisable to other countries and regions and applicable to multiple grain types. Second, we have applied this method to derive empirical insight on India as a case study and couple this with an assessment of the nutrients associated with grain loss, and in do so contextualising the quantification of losses in tangible human terms.

694

Generally, there is a need for more systematic and empirical data on losses to 695 support analysis and policy formation on food systems in India and globally. 696 From a policy perspective, this study gives evidence for an adaptive solution to 697 the significant issue of food loss and food security in India. It provides policy 698 makers with a quantified assessment, with appropriate uncertainty, for the 699 storage energy demands of India's predominant food grains. This is a valuable 700 adjunct, and arguably necessary preliminary analysis, to the roll out and scale up 701 702 of India's modernised silo programme. Specifically, it supports prioritisation of energy resources in any constrained geographies and provides baseline empirical 703 modelling to feed into a more detailed whole system engineering assessment of 704 grain supply chain, storage and losses. 705

706 4.1 Limitations

A limitation of the nutrient deficiency analysis, previously identified in Rao et al. 707 (2018), is that the RDA for India reflects a typical diet, which masks expected 708 heterogeneity in different population groups' nutritional needs. Moreover, we use 709 a single representative value for each nutrient of each grain. In reality, people 710 consume different varieties of grain species (e.g. brown vs white rice) which have 711 different nutrient profiles. Moreover, we do not consider how these nutrient 712 profiles may change under climate change. Another element that is not 713 considered, due to its complexity, is the bio-availability of nutrients. This refers to 714

the proportion of nutrients that are actually absorbed and utilised by a person 715 and is dependent on a number of food-related and physiological factors. Data 716 from the food loss survey were only collected over a one year period and, although 717 in line with other assessments (Nanda et al., 2012), confidence in loss rates would 718 increase with multi-year observation. Finally, we assume that all nutrients can be 719 recovered from all grain losses along the supply chain. In practice this will not be 720 the case, and so this analysis should be seen as an upper limit that can help guide 721 policy discussion. 722

723 **4.2 Opportunities for future research**

Different food groups have different loss rates, total production, and nutritional 724 profiles, which should be examined to understand the potential magnitude of 725 recovered nutrition. This is important, as Indian diets are expected to shift over 726 the coming decades due to urbanisation, per capita income and preference 727 changes, to greater consumption of fruit, vegetables and dairy (Alae-Carew et al., 728 2019). Moreover, many food items in these groups are perishable and so require 729 more energy input through the supply chain to minimise losses. Future research 730 could extend this work by assessing the full lifecycle energy inputs from 731 production through supply chains to consumers, and the energy 'investment' 732 required to minimize the losses through this system e.g. in farm operations and 733 transport. 734

735

Should data become available, future studies should investigate the flows of food 736 grain supply through India. The spatial distribution of loss could then be 737 examined to understand the potential increase in nutritional supply with regards 738 to local population and their dietary habits, preferences and needs. In terms of 739 projecting losses, research should look at how sub-national differences in loss 740 rates may change or diverge under future development scenarios. Behavioral 741 aspects of diet present a major challenge and more research should be 742 undertaken to help bound and inform the feasibility and robustness of studies 743

- such as this. Beyond India, future work should extend to countries in East and
 South Asia, and Sub-Saharan Africa regions with high levels of food loss.
- 746
- 747
- 748

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912 6 Appendix

Upper	Lower
FAO's Agricultural engineering in development, section on physiological maturity at harvest (FAO, 1994)	Sourced from India's Directorate of Marketing and Inspection profile on rice (Directorate of Marketing & Inspection, 2005), and the International Rice Research Institute's (IRRI) rice knowledge bank (IRRI, 2019)
20% given in agricultural marketing grain profiles of India's DMI (2005). Authors assign upper value at 20% + 2%, i.e. 22%.	20% given in agricultural marketing grain profiles of India's DMI (2005). Authors assign upper value at 20% - 2%, i.e. 18%.
Sourced from India's Directorate of Marketing and Inspection profile on maize. (Directorate of Marketing & Inspection, 2005)	FAO's Agricultural engineering in development, section on physiological maturity at harvest (FAO, 1994)
FAO's Agricultural engineering in development, section on physiological maturity at harvest (FAO, 1994)	FAO's Agricultural engineering in development, section on physiological maturity at harvest (FAO, 1994)
Moisture content of 20% given by India's Directorate of Millet Development. Authors assign upper value at 20% + 2%, i.e. 22%. (Directorate of Millets Development, 2017).	Moisture content of 20% given by India's Directorate of Millet Development. Authors assign upper value at 20% - 2%, i.e. 18%. (Directorate of Millets Development, 2017)
	Upper FAO's Agricultural engineering in development, section on physiological maturity at harvest (FAO, 1994) 20% given in agricultural marketing grain profiles of India's DMI (2005). Authors assign upper value at 20% + 2%, i.e. 22%. Sourced from India's Directorate of Marketing and Inspection profile on maize. (Directorate of Marketing & Inspection, 2005) FAO's Agricultural engineering in development, section on physiological maturity at harvest (FAO, 1994) Moisture content of 20% given by India's Directorate of Millet Development. Authors assign upper value at 20% + 2%, i.e. 22%. (Directorate of Millets Development, 2017).

ParameterUpperLowerDescription and sourceSpecific heat capacity
(kJkg⁻¹K⁻¹)3.51Specific heat capacity is a function of
moisture content and to a lesser degree
temperature. However, due to lack of
available data, here, unlike for specific heat
enthalpy, which is modelled explicitly, we
take literature values of grain specific heats to
parameterise the energy model. See Arku et
al., 2012; Cao et al., 2010; Iguaz et al., 2003.

Storage duration (months)	12	3	
No. 'ON' days per month	8	1	Values parametrised using industry literature
No. run hours per 'ON' day Coefficient of	24	12	of grain chiller manufacturer for the Indian market (Graintechnik, 2019, 2018). Upper
Performance (COP)	3	2	and lower determined by authors.
(kW)	85.8	70.2	
Field temperature (°C)	35	25	

Table A.2: Parameters and sources of values for the energy model of grain storage.

State/Union	Code	State/Union	Code
Territory		Territory	
Andaman and Nicobar Islands	AN	Lakshadweep	LD
Andhra Pradesh	AP	Madhya Pradesh	MP
Arunachal Pradesh	AR	Maharashtra	MH
Assam	AS	Manipur	MN
Bihar	BR	Meghalaya	ML
Chandigarh	СН	Mizoram	MZ
Chhattisgarh	СТ	Nagaland	NL
Dadra and Nagar Haveli	DN	Odisha	OR
Daman and Diu	DD	Puducherry	PY
Delhi	DL	Punjab	PB
Goa	GA	Rajasthan	RJ
Gujarat	GJ	Sikkim	SK
Haryana	HR	Tamil Nadu	TN
Himachal Pradesh	HP	Telangana*	TG
Jammu and Kashmir	JK	Tripura	TR
Jharkhand	JH	Uttar Pradesh	UP
Karnataka	KA	Uttarakhand	UT
Kerala	KL	West Bengal	WB

925 *Nutrition data precedes formation of Telangana state. Districts within this state are captured in

926 Andhra Pradesh.

927 Table A.3: Two-letter codes for Indian states and union territories.



931

Figure A.1: Curves showing the relationship between differential heat of sorption and moisture content curves for the five grains studied. Rice, wheat, maize, and sorghum curves are sourced from Cenkowski et al. (1992), the bajra curve is from Singh et al. (2011).



936





938 Figure A.2: Scenarios for losses from grain intended for human consumption in India out

to 2050, based on population projections from three Shared Socioeconomic Pathways

940 (SSPs). Population data from Wittgenstein Centre for Demography and Global Human

941 Capital (Lutz et al., 2018). Wittgenstein Centre Data Explorer Version 2.0.