Copy-number signatures and mutational

² processes in ovarian carcinoma

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47 Abstract

The genomic complexity of profound copy-number aberration has prevented effective molecular stratification of ovarian cancers. To decode this complexity, we derived copy-number signatures from shallow whole genome sequencing of 117 high-grade serous ovarian cancer (HGSOC) cases, which were validated on 527 independent cases. We show that HGSOC comprises a continuum of genomes shaped by multiple mutational processes that result in known patterns of genomic aberration. Copy-number signature exposures at diagnosis predict both overall survival and the probability of platinum-resistant relapse. Measuring signature exposures provides a rational framework to choose combination treatments that target multiple mutational processes.

64 Introduction

The discrete mutational processes that drive copy-number change in human cancers are not

66 readily identifiable from genome-wide sequence data. This presents a major challenge for the

67 development of precision medicine for cancers that are strongly dominated by copy-number

68 changes, including high-grade serous ovarian (HGSOC), esophageal, non-small-cell lung and

69 triple negative breast cancers¹. These tumors have low frequency of recurrent oncogenic

70 mutations, few recurrent copy number alterations, and highly complex genomic profiles².

71 HGSOCs are poor prognosis carcinomas with ubiquitous *TP53* mutation³. Despite efforts to

72 discover new molecular subtypes and targeted therapies, overall survival has not improved over

- two decades⁴. Current genomic stratification is limited to defining homologous recombination-
- 74 deficient (HRD) tumors⁵⁻⁷ with approximately 20% HGSOC cases having a germline or somatic

75 mutation in *BRCA1/2* with smaller contributions from mutation or epigenetic silencing of other HR

76 genes⁸. Classification using gene expression predominantly reflects the tumor microenvironment

and is reliable in only a subset of patients⁹⁻¹¹. Detailed genomic analysis using whole genome

78 sequencing has shown frequent loss of *RB1, NF1* and *PTEN* by gene breakage events¹² and

renrichment of amplification associated fold-back inversions in non-HRD tumors¹³. However, none

of these approaches has provided a broad mechanistic understanding of HGSOC, reflecting the

81 challenges of detecting classifiers in extreme genomic complexity.

82 Recent algorithmic advances have enabled interpretation of complex genomic changes by

83 identifying mutational signatures — genomic patterns that are the imprint of mutagenic processes

84 accumulated over the lifetime of a cancer cell¹⁴. For example, UV exposure or mismatch repair

85 defects induce distinct, detectable single nucleotide variant (SNV) signatures¹⁴. The clinical utility

86 of these signatures has recently been demonstrated through a combination of structural variant

87 (SV) and SNV signatures to improve the prediction of HRD¹⁵. Importantly, these studies show that

tumor genomes are shaped by multiple mutational processes and novel computational approaches

89 are needed to identify coexistent signatures. We hypothesized that specific features of copy-

number abnormalities could represent the imprints of distinct mutational processes, and developed
 methods to identify signatures from copy-number features in HGSOC.

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95 Results

96 Experimental design and data collection

97 We generated absolute copy number profiles from 253 primary and relapsed HGSOC samples from 132 patients in the BriTROC-1 cohort¹⁶ using low-cost shallow whole-genome sequencing 98 99 (sWGS; 0.1x) and targeted amplicon sequencing of TP53 (Supplementary Figure 1). These 100 samples formed the basis of our copy-number signature identification. A subset of 56 of these 101 cases had deep whole-genome sequencing (dWGS) performed for mutation analysis and 102 comparison with sWGS data. Independent data sets for validation included 112 dWGS HGSOC cases from PCAWG¹⁷ and 415 HGSOC cases with SNP array and whole exome sequence from 103 104 TCGA⁸. Supplementary Figure 1a shows the REMARK diagram for selection of BriTROC-1 105 patients. Supplementary Figure 1b outlines which samples were used in each analysis across the 106 three cohorts. Clinical data for the BriTROC-1 cohort are summarized in Supplementary Table 1 107 and Supplementary Figure 2. Detailed information on experimental design is provided in the Life 108 Sciences Reporting Summary.

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110 Identification and validation of copy-number signatures

111 To identify copy-number (CN) signatures, we computed the genome-wide distributions of six

- 112 fundamental CN features for each sample: the breakpoint count per 10MB, the copy-number of
- 113 segments, the difference in CN between adjacent segments, the breakpoint count per
- 114 chromosome arm, the lengths of oscillating CN segment chains and the size of segments. These

115 features were selected as hallmarks of previously reported genomic aberrations, including

breakage-fusion-bridge cycles¹⁸, chromothripsis¹⁹ and tandem duplication^{20,21}.

- 117 We applied mixture modelling to separate the copy-number feature distributions from 91 BriTROC-
- 118 1 samples with high quality CN profiles into mixtures of Poisson or Gaussian distributions. This
- resulted in a total of 36 mixture components (Figure 1a). For each sample, the posterior probability
- 120 of copy-number events arising from these components was computed and summed. These sum-
- 121 of-posterior vectors were then combined to form a sample-by-component sum-of-posteriors matrix.
- 122 To identify copy-number signatures, this matrix was subjected to non-negative matrix factorization
- 123 $(NMF)^{22}$, a method previously used for deriving SNV signatures¹⁴.
- 124 NMF identified seven CN signatures (Figure 1a), as well as their defining features and exposures
- 125 in each sample. The optimal number of signatures was chosen using a consensus from 1000
- 126 initializations of the algorithm and 1000 random permutations of the data combining four model
- 127 selection measures (Supplementary Figure 3). We found highly similar component weights for the
- 128 signatures in the two independent cohorts (PCAWG-OV and TCGA), demonstrating the robustness

- 129 of both the methodology and the copy-number features (Figure 1b, P<9e-05, median r=0.86.
- 130 Supplementary Table 2), despite a significant difference in exposures to CN signatures 2, 3, 4 and
- 131 5 between the cohorts (P<0.05, two-sided Wilcoxon rank sum test, Supplementary Figure 4).

132 Mutational processes underlying copy-number signatures

133 The majority of cases analysed exhibited multiple signature exposures suggesting that HGSOC 134 genomes are shaped by more than one mutational process. As our signature analysis reduced this 135 genomic complexity into its constituent components, we were able to link the individual copy-136 number signatures to their underlying mutational processes. To do this, we used the component 137 weights identified by NMF to determine which pattern of global or local copy-number change 138 defined each signature. For example, for CN signature 1, the highest weights were observed for 139 components representing low numbers of breakpoints per 10MB, long genomic segments and two 140 breaks occurring per chromosome arm (Figure 2a, Supplementary Figure 5). Two breaks per 141 chromosome arm suggested that the mutational process underlying this signature might be 142 breakage-fusion-bridge (BFB) events¹⁸.

- 143 To test this hypothesis, we correlated CN signature 1 exposures with mutation data, SNV
- signatures, and other measures derived from deep WGS and exome sequencing (Figure 2b-e,
- 145 Supplementary Figures 6, 7, 8 and 9, Supplementary Tables 3, 4, 5, 6, 7 and 8). CN signature 1
- 146 was anti-correlated with sequencing estimates of telomere length (r=-0.32, P=0.009), consistent
- 147 with BFB events. In addition, CN signature 1 was positively correlated with amplification-
- 148 associated fold-back inversion structural variants (r=0.36, P=0.02), which have been strongly
- 149 implicated in BFB events²³ and have also been associated with inferior survival in HGSOC¹³. CN
- 150 signature 1 was also enriched in cases with oncogenic RAS signaling, including NF1 loss and
- 151 mutated *KRAS* (p=5e-06, Mann-Whitney test), which has previously been shown to induce
- 152 chromosomal instability as a result of aberrant G2 and mitotic checkpoint controls and
- 153 missegregation^{24,25}. Taken together, these data provide independent evidence for BFB arising as a
- result of oncogenic RAS signaling and telomere shortening as the underlying mechanism for CN
- 155 signature 1.
- 156 We applied these approaches to the remaining signatures to identify statistically significant
- 157 genomic associations using a false discovery rate <0.05 (Figure 2b-e, Figure 3, Supplementary
- 158 Figures 5, 6, 7, 8 and 9, Supplementary Tables 3, 4, 5, 6, 7 and 8).
- 159 CN signature 2 showed frequent breakpoints per 10MB, single changes in copy-number (resulting
- 160 in 3 copies), chains of oscillating copy-number, and was significantly correlated with tandem
- 161 duplicator phenotype scores (r=0.3, P=0.004) and SNV signature 5 (r=0.26, P=0.02). In addition,
- this signature was enriched in patients with mutations in CDK12 (P=0.02, Mann-Whitney test,
- 163 Supplementary Table 6), in keeping with previous studies that have demonstrated large tandem
- 164 duplication in cases with inactivating CDK12 mutations²⁶.

- 165 CN signature 4 was characterised by high copy-number states (4-8 copies) and predominant copy-
- 166 number change-points of size 2. This pattern indicates a mutational process of late whole-genome
- 167 duplication (WGD)²⁷. Significantly increased signature 4 exposure in cases with aberrant PI3K/AKT
- 168 signaling provided further support for late WGD as oncogenic PIK3CA induces tolerance to
- 169 genome doubling²⁸ (P=2e-22, Mann-Whitney test, mutation of *PIK3CA* or amplification of *AKT*,
- 170 EGFR, MET, FGFR3 and ERBB2). Signature 4 was also seen at higher levels in cases with
- 171 mutations in genes encoding proteins from Toll-like receptor signaling cascades (P=2e-07),
- 172 interleukin signaling pathways (P=3e-24) and CDK12 (P=0.0009), as well as those with amplified
- 173 CCNE1 (P=2e-10) and MYC (P=9e-12). It was also significantly correlated with telomere length
- 174 (r=0.46, P=4e-05).
- 175 CN signature 6 showed extremely high copy-number states and high copy-number change-points
- 176 for small segments interspersed among larger, lower-copy segments. This suggests a mutational
- 177 process resulting in focal amplification. Increased signature 6 exposure was associated with
- 178 mutations in genes encoding proteins across diverse pathways, including aberrant G1/S cell cycle
- 179 checkpoint control (through either amplification of CCNE1, CCND1, CDK2, CDK4 or MYC,
- 180 deletion/inactivation of *RB1* or mutation in *CDK12*), Toll-like receptor signaling cascades and
- 181 PI3K/AKT signaling (P<0.05). However, as many of these statistical associations are marked by
- 182 gene amplification, it is difficult to determine whether the copy number states represent causal
- 183 events or are simply a consequence of focal amplification. Exposure to CN signature 6 was also
- positively correlated with age at diagnosis (r=0.31, P=6e-12) and age-related SNV signature 1^{14}
- 185 (r=0.43, P=3e-06).
- 186 CN signature 5 was significantly associated with predicted chromothriptic-like events using the
- 187 Shatterproof algorithm²⁹ (r=0.44, P=2e-03). Chromothripsis is considered rare in HGSOC^{12,27,30}.
- 188 However, the key component of this signature—the presence of copy-number change points
- 189 centered at 0.5 copies—suggests that the events are subclonal. This implies that chromothripsis
- may be an underestimated oncogenic mechanism in HGSOC that could reflect ongoing formation
 and rupture of micronuclei³¹.
- 192 CN signature 3 was characterized by an even distribution of breaks across all chromosomes, and
- 193 copy number changes from diploid to single copy (LOH). CN signature 3 was significantly enriched
- in cases with mutations in *BRCA1* and *BRCA2*, and other HR genes including *BARD1*, *PALB2* and
- 195 *ATR* (P=0.002, Mann-Whitney test). It was also correlated with the HRD-related SNV signature 3
- 196 (r=0.32, P=0.002) and anti-correlated with age at diagnosis and age-related SNV signature 1
- 197 (P<0.05). CN signature 3 was also enriched in cases with loss of function mutations in *PTEN*
- 198 (P=0.002, Mann-Whitney test). Taken together, these data suggest that CN signature 3 is driven
- 199 by BRCA1/2-related HRD mechanisms.
- 200 CN signature 7, like CN signature 3, also demonstrated an even distribution of breaks across all
- 201 chromosomes. By contrast with CN signature 3, single copy-number changes were observed from

- a tetraploid rather than a diploid state (Figure 3). Although there was correlation with the HRD-
- related SNV signature 3, there was no enrichment with *BRCA1/2* mutation, suggesting alternative
- 204 HRD mechanisms as potential mutational processes.
- 205 We also investigated relationships between CN signatures. BRCA1 dysfunction and CCNE1
- amplification have been shown to be mutually exclusive in HGSOC³², and we observed that CN
- signature 3 (*BRCA1/2* HRD) and CN signature 6 (marked by aberrant G1/S cell cycle checkpoint
- 208 control) showed mutually exclusive associations (Figure 2b-e). Loss of *BRCA1* and *BRCA2* are
- 209 early driver events in HGSOC, and to investigate acquisition of additional mutational processes,
- 210 we studied four BriTROC-1 cases with deleterious germline *BRCA2* mutations and confirmed
- 211 somatic loss of heterozygosity at BRCA2 (Figure 4). A diverse and variable number of CN
- 212 signatures was seen in these cases, including substantial exposures to CN signature 1 (RAS
- 213 signaling) in three of the four cases.
- 214 Copy-number signatures predict overall survival
- 215 We next explored the association between individual CN signature exposures and overall survival
- using a combined dataset of 575 diagnostic samples with clinical outcomes. We trained a
- 217 multivariate Cox proportional hazards model on 417 cases and tested this on the remaining 158
- cases (Figure 5, Supplementary Table 9). CN signature exposure was significantly predictive of
- survival (Training: P=0.002, log-rank test; stratified by age and cohort; Test: P=0.05, C-index=0.56,
- 220 95% CI:0.50-0.62; Entire cohort: P=0.002, log-rank test; stratified by age and cohort). Across the
- 221 entire cohort, poor outcome was significantly predicted by CN signature 1 (P=0.0008) and CN
- signature 2 exposures (P=0.03), whilst good outcome was significantly predicted by exposures to
- 223 CN signatures 3 (P=0.05) and 7 (P=0.006).
- 224 Unsupervised hierarchical clustering of samples by signature exposures identified three clusters
- 225 (Figure 5). Despite showing significant survival differences (P=0.004, log-rank test; stratified by
- age and cohort), these clusters did not provide any prognostic information in addition to that
- identified from the Cox proportional hazards model; cluster 2 was dominated by patients with high
- signature 1 exposures (poor prognosis), cluster 3 showed high signature 3 exposures (good
- prognosis) and cluster 1 had mixed signature exposures (Supplementary Figure 10).
- 230 Copy-number signatures indicate relapse following chemotherapy
- 231 Using a generalised linear model, we investigated whether copy-number signatures could be used
- to predict outcome following chemotherapy across 36 patients from the BriTROC-1 study with
- paired diagnostic and relapse samples¹⁶. The model showed CN signature 1 exposures at the time
- 234 of diagnosis to be significantly predictive of platinum-resistant relapse (P=0.02, z-test,
- 235 Supplementary Table 10).

- Using the same 36 sample pairs, we also investigated whether chemotherapy treatment changed
- 237 CN signature exposures. No significant effects on exposures were observed following
- 238 chemotherapy treatment using a linear model that accounted for signature exposure at time of
- diagnosis, number of lines of chemotherapy and patient age (P>0.05, F-test, Supplementary Table
- 10). The only variable showing a significant association with exposure at relapse was signature
- 241 exposure at diagnosis (P<0.01, F-test, Supplementary Table 11).

242 Discussion

243 Copy-number signatures provide a framework that is able to rederive the major defining elements 244 of HGSOC genomes, including defective HR⁸, amplification of CCNE1⁹ and amplificationassociated fold-back inversions¹³. In addition, the CN signatures show significant associations with 245 246 known driver gene mutations in HGSOC and provide the ability to detect novel associations with 247 gene mutations. We derived signatures using inexpensive shallow whole genome sequencing of 248 DNA from core biopsies. These approaches are rapid and cost effective, thus providing a clear 249 path to clinical implementation. Copy-number signatures open new avenues for clinical trial design 250 by highlighting contributions from underlying mutational processes that depend on oncogenic RAS 251 and PI3K/AKT signaling.

252 We found that almost all patients with HGSOC demonstrated a mixture of signatures indicative of

253 combinations of mutational processes. These results suggest that early TP53 mutation, the

254 ubiquitous initiating event in HGSOC, may permit multiple mutational processes to co-evolve,

255 potentially simultaneously. Although further work is needed to define the precise timing of

signature exposures, early driver events such as *BRCA2* mutation still permit a diverse and

variable number of CN signatures in addition to an HRD signature (Figure 4). These additional

signature exposures may alter the risk of developing therapeutic resistance, particularly when only

a single mutational process such as HRD is targeted.

260 High exposure to CN signature 3, characterised by BRCA1/2-related HRD, is associated with 261 improved overall survival, confirming prior data showing that BRCA1/2 mutation is associated with long survival in HGSOC^{33,34}. Conversely, high exposure to signature 1, which is characterised by 262 263 oncogenic RAS signaling (including NF1, KRAS and NRAS mutation), predicts subsequent 264 platinum-resistant relapse and poor survival. This suggests that powerful intrinsic resistance 265 mechanisms are present at the time of diagnosis and can be readily identified using CN signature 266 analysis. This hypothesis is supported by the presence of exposure to CN signature 1 in germline 267 BRCA2-mutated cases (Figure 4) as well as our previous work demonstrating the expansion of a resistant subclonal NF1-deleted population following chemotherapy treatment in HGSOC³⁵ and 268 269 poor outcomes in *Nf1*-deleted murine models of HGSOC³⁶. Our CN signature analysis of *BRCA2*-270 mutated cases also concurs with PCAWG/ICGC data showing that over half (9/16) of NF1-mutated 271 cases also harboured mutations in BRCA1 or BRCA2¹². These data suggest a complex interplay 272 between RAS signaling and HRD. Thus, RAS signaling may be an important target, especially in 273 first line treatment, to prevent emergence of platinum-resistant disease.

274 We found that CN signature exposures were not significantly altered between diagnosis and

disease relapse in 36 sample pairs with a median interval of 30.6 months¹⁶. This suggests that the

- 276 underlying mutational processes in HGSOC are relatively stable and that genome-wide patterns of
- 277 copy-number change mainly reflect historic alterations to the genome acquired during

- tumorigenesis³⁷. Relative invariant genomic changes were also observed in the ARIEL2 trial,
- where genome-wide loss-of-heterozygosity was used to predict HRD, and only 14.5% (17/117)
- 280 cases changed LOH status between diagnosis and relapse⁷.

281 Larger association studies will be required to further refine CN signature definitions and 282 interpretation. The application of our approach to other tumour types is likely to extend the set of 283 signatures beyond the robust core set identified here. Basal-like breast cancers, squamous cell 284 and small cell lung carcinoma, which all have high rates of TP53 mutation and genomic instability², 285 are promising next targets. Although it is likely that the strong associations have identified the 286 driver mutational processes for CN signatures 1 and 3, functional studies will be required to 287 establish causal links for the remaining signatures. For example, CN signature 6 was significantly 288 associated with multiple mutated pathways, and this association was primarily driven by 289 amplification of target genes. As this signature represented focal amplification events, it is difficult 290 to determine whether amplification of specific genes drives the underlying mutational process or 291 the amplifications emerge as a consequence of strong selection of advantageous phenotypes. Our 292 data does not provide timing information for exposures and there is the real possibility that one 293 mutational process may well drive the emergence of other mutational processes. For example, the 294 association between signature 6 and PI3K signalling is also shared with signature 4.

295 Other limitations of this work are technical: we integrated data from three sources, using three 296 different pre-processing pipelines, and the ploidy determined by different pipelines can have a 297 significant effect on the derived signatures. For example, high-ploidy CN signature 4 was 298 predominantly found in the sequenced samples that underwent careful manual curation to identify 299 whole-genome duplication events. When extending to larger sample sets, a unified processing 300 strategy with correct ploidy determination is likely to produce improved signature definitions. 301 Another technical limitation is the resolution of copy-number calling from sWGS (limited to 30kb 302 bins) and future application to large cohorts of deeply sequenced samples will be needed to 303 improve the resolution of the CN signatures.

304

Efforts to identify discrete, clinically relevant subtypes of disease have been successful in many cancer types³⁸⁻⁴⁰. However, HGSOC lacks clinically-relevant patient stratification, which is reflected in continued poor survival. We show that HGSOC genomes are shaped by multiple mutational processes that preclude simple subtyping. Thus, our results suggest that HGSOC is a continuum of genomes. By dissecting the mutational forces shaping HGSOC genomes, our study paves the way to understanding extreme genomic complexity, as well as revealing the evolution of tumors as they relapse and acquire resistance to chemotherapy.

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329 Author contributions

G.M., T.E.G., F.M., I.McN., J.D.B. conceptualized the study; S.D., R.M.G., M.L., E.B., A.M., A.W.,
S.S., R.E., G.D.H., A.C., C.G., M.H., C.F., H.G., D.M., A.Ho., G.B., I.McN., J.D.B. conducted
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A.S., J.P. performed experiments; G.M., T.E.G., D.D.S., M.E., D.S., B.Y., O.H., F.M. performed
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438 Figure Legends

Figure 1 | Copy-number signature identification from shallow whole genome sequence data and validation in independent cohorts

441 **a.** Step 1: Absolute copy-numbers are derived from sWGS data; Step 2: genome-wide distributions

- 442 of six fundamental copy-number features are computed; Step 3: Gaussian or Poisson mixture
- 443 models (depending on data type) are fitted to each distribution and the optimal number of
- components is determined (ranging from 3–10); Step 4: the data are represented as a matrix with
- 445 36 mixture component counts per tumor. Step 5: Non-negative matrix factorization is applied to the
- 446 components-by-tumor matrix to derive the tumor-by-signature matrix and the signature-by-
- 447 components matrix.
- 448 **b.** Heat maps show component weights for copy number signatures in two independent cohorts of
- 449 HGSOC samples profiled using WGS and SNP array. Correlation coefficients are provided in
- 450 Supplementary Table 2.

451 Figure 2 | Linking copy-number signatures with mutational processes

- a Component weights for copy number signature 1. Barplots (upper panel) are grouped by copy
 number feature and show weights for each of the 36 components. The middle panel shows the
 mixture model distributions which are shaded by the component weight solid colours have a high
- weight and transparent have low weight (contrasting colours are randomly assigned). Lower panel
- shows genome-wide distribution (histogram or density) of each copy number feature, across the
- 457 BriTROC-1 cohort, with coloured plots indicating important distributions (> 0.1 component weight).
- 458 (Note: similar plots for other CN signatures are shown in Figure 3 and Supplementary Figure 5).
- 459 **b** Associations between CN signature exposures and other features. Purple indicates positive
- 460 correlation and orange negative correlation (see also Supplementary Figure 6). Numbers at the
- right of the panel indicate cases included in each analysis. Only significant correlations are shown(P<0.05).
- 463 **c** Associations between CN signature exposures and SNV signatures. Purple indicates positive
- 464 correlation and orange negative correlation (see also Supplementary Figure 6). The number at the
- 465 right of the panel indicates cases included in the analysis.
- 466 **d and e** Difference in CN signature exposures between cases with mutations in specific genes (**d**)
- 467 and mutated/wildtype reactome pathways (e). The absolute difference in mean signature
- 468 exposures was calculated for cases with and without mutations. Colors in filled circles indicate
- 469 extent of difference. Only differences with FDR P<0.05 (Mann-Whitney test) are shown (see also
- 470 Supplementary Figure 7).
- 471 Numbers at the right of the panel indicate cases with mutations (SNVs, amplifications or deletions)
- 472 in each gene/pathway.

473 Figure 3 | The seven copy-number signatures in HGSOC

- 474 Description of the defining component weights, key associations and proposed mechanisms for the
- 475 seven copy number signatures.
- 476 *only the top three mutated genes for each of the pathways associated with CN signatures 4, 6
- 477 and 7 are shown (the list of all significant genes is provided in Supplementary Tables 7 and 8).

478 Figure 4 | CN signature exposures of four BriTROC-1 patients with germline *BRCA2*

479 mutations and somatic loss of heterozygosity

- 480 Stacked bar plots show copy-number signature exposures for four BriTROC-1 cases with
- 481 pathogenic germline BRCA2 mutations and confirmed somatic loss of heterozygosity (LOH) at the
- 482 BRCA2 locus.

483 Figure 5 | Association of survival with copy-number signatures

484 Upper panel: Stacked barplots show CN signature exposures for each patient. Patients were

485 ranked by risk of death estimated by a multivariate Cox proportional hazards model stratified by

- 486 age and cohort, with CN signature exposures as covariates.
- 487 Middle panel: The matrix indicates group for each patient assigned by unsupervised clustering of
- 488 CN signature 1, 2, 3 and 7 exposures (see also Supplementary Figure 10).
- 489 Lower panel: Linear fit of signature exposures ordered by risk predicted by the Cox proportional490 hazards model.

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514 Online Methods

515 Patients and samples

516 The BriTROC-1 study has been described previously¹⁶. Characteristics of the 142 patients

517 included in this study are given in Supplementary Table 1. The study is sponsored by NHS Greater

518 Glasgow and Clyde and ethics/IRB approval was given by Cambridge Central Research Ethics

519 Committee (Reference 12/EE/0349). The study enrolled patients with recurrent ovarian high-grade

520 serous or grade 3 endometrioid carcinoma who had relapsed following at least one line of

521 platinum-based chemotherapy and whose disease was amenable either to image-guided biopsy or

522 secondary debulking surgery. At study entry, patients were classified as having either platinum-

523 sensitive relapse (i.e. relapse six months or more following last platinum chemotherapy) or

524 platinum-resistant relapse (i.e. relapse less than six months following prior platinum chemotherapy)

525 (Supplementary Figure 2). All patients provided written informed consent. Access to archival

526 diagnostic formalin-fixed tumor was also required. Survival was calculated from the date of

527 enrolment to the date of death or the last clinical assessment, with data cutoff at 1 December

528 2016. At subsequent relapse or progression after chemotherapy following study entry, patients

529 could optionally have a second biopsy under separate consent.

530 DNA was extracted from 300 samples of 142 patients - 158 methanol-fixed relapse biopsies and

531 142 FFPE archival diagnostic tissues. Germline DNA was extracted from blood samples of 137

532 patients.

533 Tagged-amplicon sequencing

534 Mutation screening of *TP53, PTEN, EGFR, PIK3CA, KRAS* and *BRAF* was performed on all 300

535 samples using tagged-amplicon sequencing as previously described¹⁶. DNA extracted from blood

536 was analyzed by tagged-amplicon sequencing for *BRCA1* and *BRCA2* germline mutations.

537 Shallow whole genome sequencing (sWGS)

Libraries for sWGS were prepared from 100ng DNA using modified TruSeq Nano DNA LT Sample Prep Kit (Illumina) protocol⁴¹. Quality and quantity of the libraries were assessed with DNA-7500 kit

on 2100 Bioanalyzer (Agilent Technologies) and with Kapa Library Quantification kit (Kapa

541 Biosystems) according to the manufacturer's protocols. Sixteen to twenty barcoded libraries were

pooled together in equimolar amounts and each pool was sequenced on HiSeq4000 in SE-50bpmode.

544 Prior to sequencing we estimated the required sequencing depth by adapting calculations made in

545 previous work that explored the relationship between sequencing depth (reads per sample) and

546 copy number calling accuracy⁴². Based on these analyses, we devised a power calculator for

547 sWGS copy number analysis (see URL 1, described in ⁴³). We estimated that with an average

- 548 ploidy of 3 and purity of 0.65, a sequencing depth of at least 2.7 million reads is required to detect
- 549 single, clonal copy-number changes (minimum 60kb) at 90% power and alpha 0.05. After analysis
- we determined that BritROC 3-star samples had an average purity of 0.66, ploidy of 2.7, and were
- 551 sequenced to an average depth of 8.6 million reads. This allowed us to detect single copy-number
- 552 changes with 90% power, and alpha 0.05 down to subclonal frequencies of 55%.

553 Deep whole genome sequencing

554 Deep whole-genome sequencing was performed on 56 tumors with confirmed *TP53* mutations and

- 555 matched normal samples, of which 48 passed quality control. Libraries were constructed with
- 556 ~350-bp insert length using the TruSeq Nano DNA Library prep kit (Illumina) and sequenced on an
- 557 Illumina HiSeq X Ten System in paired-end 150-bp reads mode. The average depth was 60×
- 558 (range 40-101x) in tumors and 40x (range 24-73x) in matched blood samples.

559 Variant calling

- 560 Read alignment and variant calling of tagged-amplicon sequencing data were processed as
- described⁴¹. Deep WGS samples were processed with bcbio-nextgen⁴⁴ using Ensemble somatic
- 562 variants called by two methods out of VarDict⁴⁵, Varscan⁴⁶ and FreeBayes⁴⁷. Somatic SNV calls
- 563 were further filtered based on mapping quality, base quality, position in read, and strand bias as
- 564 described⁴⁰. In addition, the blacklisted SNVs from the Sanger Cancer Genomics Project pipeline
- 565 derived from a panel of unmatched normal samples were used for filtering 48 .

566 Data download

- 567 PCAWG-OV: Consensus SNVs and INDELs (October 2016 release), consensus structural variants
- 568 (v 1.6), consensus copy-number calls (January 2017 release), donor clinical (August 2016 v7-2)
- and donor histology information (August 2016 v7) for 112 ovarian cancer samples were
- 570 downloaded from the PCAWG data portal. ABSOLUTE⁴⁹ copy-number calls were used for 571 analysis.
- 572 TCGA: ABSOLUTE⁴⁹ copy-number profiles from Zack et al²⁷ for 415 ovarian cancer TCGA
- 573 samples were downloaded from Synapse⁵⁰. SNVs for these samples were downloaded from the
- 574 Broad Institute TCGA Genome Data Analysis Center (Broad Institute TCGA Genome Data
- 575 Analysis Center: Firehose stddata_2016_01_28 run. doi:10.7908/C11G0KM9, Broad Institute of
- 576 MIT and Harvard). Donor clinical data were downloaded from the TCGA data portal.

577 Absolute copy-number calling from sWGS

578 Segmentation: sWGS reads were aligned and relative copy-number called as described⁴¹. After

- 579 inspection of the *TP53* mutation status and relative copy-number profiles of the 300 sequenced
- 580 BriTROC-1 samples, 47 were excluded from downstream analysis for the following reasons: low

- 581 purity (24), mislabeled (7), pathology re-review revealed sample was not HGSOC (3), no
- 582 detectable *TP53* mutation (13). Of the 253 BriTROC-1 samples analysed, 111 were FFPE-fixed.
- 583 Fifty seven out of 253 showed an over segmentation artefact (likely due to fixation). A more strict
- segmentation was subsequently applied to these samples to yield a usable copy-number profile.
- 586 Absolute copy number: We combined relative copy-number profiles generated by QDNAseq⁴² with 587 mutant allele frequency identified using tagged amplicon sequencing in a probabilistic graphical 588 modelling approach to infer absolute copy-number profiles. Using Expectation-Maximisation, the 589 model generated a posterior over a range of TP53 copy-number states, using the TP53 mutant 590 allele frequency to estimate purity for each state. The TP53 copy-number state that provided the 591 highest likelihood of generating a clonal absolute copy-number profile was used to determine the 592 final absolute copy-number profile. To test the validity of this approach, we compared purity and 593 ploidy estimates derived from sWGS to those derived from 60x WGS using the Battenberg algorithm for copy-number calling⁵¹. Pearson correlation coefficients were computed for both ploidy 594 595 and purity estimates using 34 3-star (see Quality rating) BriTROC-1 samples with matched sWGS 596 and WGS (Supplementary Figure 11).
- 597
- 598 *Quality rating:* Following absolute copy-number fitting, samples were rated using a 1-3 star system.
- 1-star samples (n=54) showed a noisy copy-number profile and were considered likely to have
- 600 incorrect segments and missing calls. These were excluded from further analysis. 2-star samples
- 601 (n=52) showed a reasonable copy-number profile with only a small number of miscalled segments.
- 602 These samples were used (with caution) for some subsequent analyses. 3-star samples (n=147)
- showed a high-quality copy-number profile that was used in all downstream analyses. The
- maximum star rating observed per patient was 1-star in 15 patients, 2-star in 26, and 3-star in 91
- patients. Seventy-two out of 111 FFPE-fixed samples (64%) were amenable to signature analysis.
- 606 This is consistent with typical sequencing success rates for archival material⁵².
- 607 Copy-number signature identification
- 608 *Preprocessing:* 91 3-star BriTROC-1 absolute copy-number profiles were summarized using the 609 genome-wide distribution of six different features (outlined in Figure 1):
- 610 1. Segment size the length of each genome segment;
- 611 2. Breakpoint count per 10MB the number of genome breaks appearing in 10MB sliding
 612 windows across the genome;
- 613 3. Change-point copy-number the absolute difference in CN between adjacent segments614 across the genome;
- 615 4. Segment copy-number the observed absolute copy-number state of each segment;
- 5. Breakpoint count per chromosome arm the number of breaks occurring per chromosome
- 617 arm;

6. Length of segments with oscillating copy-number - a traversal of the genome counting the
number of contiguous CN segments alternating between two copy-number states, rounded to
the nearest integer copy-number state.

621

622 *Mixture modelling:* For each of the feature density distributions, we applied mixture modelling to 623 identify its distinct components. For distributions representing segment-size, change-point copy-624 number, and segment copy-number we employed mixtures of Gaussians. For distributions 625 representing breakpoint count per 10MB, length of segments with oscillating copy-number, and 626 breakpoint count per chromosome arm we employed mixtures of Poissons. Mixture modelling was 627 performed using the FlexMix V2 package in R⁵³. The algorithm was run for each distribution with 628 the number of components ranging from 2-10. The optimal number of components was selected as 629 the run showing the lowest Bayesian Information Criterion, resulting in a total of 36 components 630 (see Figure 1 and Supplementary Table 3 for breakdown). Next, for each copy-number event, we 631 computed the posterior probability of belonging to a component. For each sample, these posterior 632 event vectors were summed resulting in a sum-of-posterior probabilities vector. All sum-of-633 posterior vectors were combined in a patient-by-component sum-of-posterior probabilities matrix. 634 Signature identification: The NMF Package in R⁵⁴, with the Brunet algorithm specification⁵⁵ was 635

636 used to deconvolute the patient-by-component sum-of-posteriors matrix into a patient-by-signature 637 matrix and a signature-by-component matrix. A signature search interval of 3-12 was used, running 638 the NMF 1000 times with different random seeds for each signature number. As provided by the 639 NMF Package⁵⁴, the cophenetic, dispersion, silhouette, and sparseness coefficients were 640 computed for the signature-by-component matrix (basis), patient-by-signature matrix (coefficients) 641 and connectivity matrix (consensus, representing patients clustered by their dominant signature 642 across the 1000 runs). 1000 random shuffles of the input matrix were performed to get a null 643 estimate of each of the scores (Supplementary Figure 3). We sought the minimum signature 644 number that yielded stability in the cophenetic, dispersion and silhouette coefficients, and that 645 yielded the maximum sparsity which could be achieved without exceeding that which was 646 observed in the randomly permuted matrices. As a result, 7 signatures were deemed optimal under 647 these constraints and were chosen for the remaining analysis.

648

Signature assignment: For the remaining 26 2-star patient samples, and the 82 secondary patient
 samples (from patients with 2- or 3-star profiles from additional tumor samples), the LCD function
 in the YAPSA package in Bioconductor⁵⁶ was used to assign signature exposures.

652 Copy-number signature validation

The signature identification procedure described above was applied to copy-number profiles from

two independent datasets: 112 whole-genome sequenced (approximately 40x) HGSOC samples

- 655 processed as part of ICGC Pan-Cancer Analysis of Whole Genomes Project¹⁷, (denoted here as
- 656 PCAWG-OV) and 415 SNParray profiling of HGSOC cases as part of TCGA²⁷. The number of
- 657 signatures was fixed at 7 for matrix decomposition with NMF. Pearson correlation was computed
- 658 between the BriTROC-1 signature-by-component weight matrix and each of the PCAWG-OV and
- 659 TCGA signature-by-component matrices, signature by signature (Supplementary Table 2).

660 Association of copy-number signature exposures with other features

- 661 Association of signature exposures with other features was performed using one of two
- 662 procedures: for a continuous association variable, correlation was performed; for a binary
- association variable, patients were divided into two groups and a Mann-Whitney test was
- 664 performed to test for differences in signature exposure medians between the two groups. A more
- detailed explanation of each of these association calculations is given below. (Note: of the 48 deep
- 666 WGS BriTROC-1 samples that passed QC, only 44 had matched 2- and 3-star sWGS copy-
- number profiles. As signature exposures from sWGS were used for BriTROC-1 sample
- 668 associations, only these 44 samples could be used).
- 669
- *Age at diagnosis.* Patient age at diagnosis for 112 PCAWG-OV samples and 415 TCGA samples
 was used to compute Pearson correlation with signature exposures.
- 672

673 Amplification associated fold-back inversions. For 111 PCAWG-OV samples, the fraction of

- amplification associated fold-back inversion events per sample was calculated as the proportion of
- head-to-head inversions (h2hINVs) within a 100kb window amplified region (copy number ≥5)
- relative to the total number of SV calls per sample. 94 samples had at least 1 h2hINV event out of
- 677 which 58 had h2hINV events in amplified regions. On average they accounted for 4% of SV calls.
- As these are rare events, only samples showing a non-zero fraction of fold-back inversions (n=67)
- 679 were used to compute Pearson correlation with signature exposures.
- 680
- 681 *Telomere length.* Telomere lengths of 44 deep WGS tumor samples from the BriTROC-1 cohort
- 682 were estimated using the Telomerecat algorithm⁵⁷. Telomere length estimates ranged from 1.5kb -
- 683 11kb with an average of 4kb. Correlation between telomere length and copy-number signature
- 684 exposures was calculated with age and tumor purity as covariates using the ppcor package in R^{58} . 685
- 686 *Chromothripsis.* Copy-number and translocation information from 111 PCAWG-OV samples were 687 used to detect chromothripsis-like events using the Shatterproof software with default

parameters²⁹. Shatterproof, a state-of-the-art software, incorporates a wide range of hallmarks of 688 689 chromothripsis in its detection algorithm as a precise definition of chromothripsis remains elusive. 690 Govind et al. recommend a threshold of 0.37 based on their observations that normal samples 691 produced a low number of calls with low scores (maximum 0.37) while prostate, colorectal and 692 small cell lung cancer samples that were known to have chromothriptic events, produced the highest scores ²⁹. Previous studies have reported a low incidence of chromothriptic events in 693 HGSOC ^{12,27,30}. The number of calls per sample in the PCAWG-OV samples ranged from 5 to 47 694 695 with an average of 23. The score per call ranged from 0.15-0.62 with a median of 0.38. Therefore, a conservative threshold was set at the 95th percentile of our distribution of scores to minimise 696 697 false positives and calls with scores greater than 0.48 were used to obtain a count of 698 chromothriptic events per sample. As chromothriptic events are rare in HGSOC, only samples 699 showing a non-zero number of events (n=61) were used to compute Pearson correlation with 700 signature exposures. Of 61 samples with scores above the threshold, 49 (80.3%) had 1-2 events, 701 11 samples (18%) had 3-6 events and 1 sample (1.6%) had 10 events.

702

Tandem duplicator phenotypes. Tandem duplicator phenotype (TDP) scores were calculated for
 111 PCAWG-OV samples using the method described in Menghi et al²¹. The number of duplication
 events per chromosome normalized by chromosome length per sample was used to calculate a
 score relative to the expected number of duplication events per chromosome per sample. The
 scores ranged from -1.11 to 0.53 with an average score of 0.02.

708

Mutational signatures. Motif matrices were extracted using the SomaticSignatures R package⁵⁹ and the weights of all known COSMIC signatures were determined using the deconstructSigs R package⁶⁰ for 44 deep WGS BriTROC-1 samples and 109 PCAWG-OV samples. SNV signatures showing an exposure >0 for at least one sample were retained. The rcorr function in the Hmisc R package⁶¹ was used to calculate the correlation matrix between the remaining SNV and CN signature exposures.

715

The significance of all observed correlations was estimated from a t-distribution where the null
hypothesis was that the true correlation was 0. All reported p-values have been adjusted for
multiple testing with Benjamini & Hochberg (BH) method⁶². Comparison plots can be found in
Supplementary Figure 6.

720

Mutated pathways: A combined set of 479 samples (44 deep WGS BriTROC-1, 112 PCAWG-OV
 and 323 TCGA) showing at least one driver mutation was used for mutated pathway enrichment
 analysis. We focused on 765 driver genes reported by Cancer Genome Interpreter (CGI)⁶³. SNVs,
 INDELs, amplifications (CN>5) or deletions (CN<0.4) affecting these genes were considered *bona fide* driver mutations if CGI predicted them as TIER1 or TIER2 (Supplementary Tables 4 and 5,

726 see URL 2, run date: 2018-01-13). 320 of the 765 genes were mutated in a least one case. These 727 genes were used to test for enriched pathways in the Reactome database using the ReactomePA 728 R package⁶⁴ with a p-value cutoff of 0.05 and q-value cutoff of 0.05. Pathways mutated in at least 729 5% of the cohort ($n \ge 24$) were retained. For each pathway, patients were split into two groups: 730 those with mutated genes in the pathways, and those with wild-type genes in the pathways. A one-731 sided Mann-Whitney was carried out for each signature to determine if the exposure was 732 significantly higher in mutated cases versus wild-type cases. After multiple testing correction using 733 the Benjamini & Hochberg method (thresholding the p-value <0.005 and the median difference in 734 exposures ≥ 0.1), 186 pathways were significantly enriched. Visual inspection revealed significant 735 redundancy in the list and 9 representative pathways were manually selected as a final output 736 (Supplementary Table 6). 737 738 Mutated genes: A combined set of 479 samples (44 deep WGS BriTROC-1, 112 PCAWG-OV and 739 323 TCGA) was used test if signature exposures were significantly higher in cases with mutated 740 driver genes, including NF1, PTEN, BRCA1, BRCA2, PIK3CA, MYC and CDK12. Patients were 741 split into two groups: those with the mutated gene and those with wild-type genes. A one-sided 742 Mann-Whitney was carried out for each signature to determine if the exposure was significantly 743 higher in mutated cases versus wild-type cases. After multiple testing correction using the 744 Benjamini & Hochberg method (thresholding the p-value <0.05 and the median difference in 745 exposures $\geq 0.0.08$), 10 gene/signature combinations were significantly enriched (Supplementary

746 Table 6).

747 Survival analysis

Censoring and truncation: Overall survival in BriTROC-1 patients was calculated from the date of enrolment to the date of death or the last documented clinical assessment, with data cutoff at 1 December 2016. As the BriTROC-1 study only enrolled patients with relapsed disease, left truncation was used in the survival analysis. In addition, cases where the patient was not deceased were right censored. Survival data for the PCAWG-OV and TCGA cohorts were right censored as required (left truncation was not necessary). The combined samples were split into training (100% BriTROC-1, 70% PCAWG-OV and 70% TCGA = 417) and test (30% PCAWG-OV

and 30% TCGA = 158) cohorts. All of the BriTROC-1 samples were used in the training set to

- 756 avoid issues calculating prediction performance on left-truncated data.
- 757

758 Cox regression: As the signature exposures for a given sample summed to 1, it was necessary to 759 select one normalizing signature to perform regression. Signature 5 was chosen as it showed the 760 lowest variability across the cohorts. To avoid division errors all 0 signature exposures were 761 converted to 0.02. The remaining signature exposures were normalized taking the log ratio of their 762 exposure to signature 5's exposure. A Cox proportional hazards model was fitted on the training 763 set, with the signature exposures as covariates, stratified by cohort (BriTROC-1, PCAWG-OV:AU, 764 PCAWG-OV:US, TCGA) and age (<39; 40:44; 45:49; 50:54; 55:59; 60:64; 65:69; 70:74; 75:79; >80), using the survival package in Bioconductor⁶⁵. After fitting, the model was used to predict risk 765 766 in the test set and performance was assessed using the concordance index calculation in the 767 survcomp package in Bioconductor⁴⁷. A final Cox regression was performed using all data for

reporting of hazard ratios and p-values.

769 Unsupervised clustering of patients using signature exposures

770 Hierarchical clustering of the exposure vectors of the 575 samples used in the survival analysis

was performed using the NbClust⁶⁶ package in R. The optimal number of clusters was 3 as

determined by a consensus voting approach across 23 metrics for choosing the optimal numbers
 of clusters. 12/23 metrics reported 3 clusters as the optimal number. A Cox proportional hazards

of clusters. 12/23 metrics reported 3 clusters as the optimal number. A Cox proportional hazards
 model was fitted using the cluster labels as covariates, stratified by cohort (BriTROC-1, PCAWG-

775 OV:AU, PCAWG-OV:US, TCGA) and age (<39; 40:44; 45:49; 50:54; 55:59; 60:64; 65:69; 70:74;

776 75:79; >80), using the survival package in Bioconductor⁶⁵.

777 Analysis of copy-number signature changes during treatment

778 Thirty-six BriTROC-1 cases with matched diagnosis and relapse samples were used to investigate

the effects of treatment on signature exposures. A linear model was fitted to test for treatment

reflects with exposure at relapse as the dependent variable and exposure at diagnosis, age at

781 diagnosis, number of lines of chemotherapy, and days between diagnosis and relapse as

782 independent variables. Prior to fitting, age at diagnosis was centered and exposures transformed

by log(x+0.1) to ensure normality. Fitting was done using the lm() function in R.

784

785 To test whether signature exposures at diagnosis were predictive of platinum sensitivity, a

786 generalized linear model with Binomial error was fitted using type of relapse (platinum-sensitive or

787 platinum-resistant) as the dependent variable and exposure at diagnosis and age at diagnosis as

788 independent variables.

789 Data Availability

- 790 Sequence data that support the findings of this study have been deposited in the European
- 791 Genome-phenome Archive with the accession code EGAS00001002557. All code required to
- reproduce the analysis outlined in this manuscript can be found in the following repository (see

793 URL 3).

794

795 URLs

- 796 1. <u>https://gmacintyre.shinyapps.io/sWGS_power/</u>
- 797 2. <u>https://www.cancergenomeinterpreter.org/home</u>
- 798 3. <u>https://bitbucket.org/britroc/cnsignatures</u>
- 799
- 800

801 Methods-only References

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Compile sum-of-posteriors matrix Tumor by component matrix

Components (N=36)



Tumor by signature matrix

Signature by component matrix

Perform non-negative matrix factorisation



b

Tumors (N=117)



CN signatures





Breakpoint count per chr arm

Copy number

Segment size



BRCA2 germline mutation carriers + somatic LOH (n=4)

Risk of death

Stacked signature exposures



CN signature

1

2

3 4

5

6

7

Tumors ordered by decreasing risk of death (n=575)