- 1 Inter-rater and intra-rater reliability of finger goniometry measured from screenshots taken
- 2 via video consultation

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ABSTRACT

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14 The purpose of this study was to assess the intra- and inter-rater reliability of using 15 screenshots and handheld manual goniometers to assess range of finger movements during video consultations. Twenty-seven hand therapists measured finger joint angles from four 16 17 different screenshots using two different goniometers. Results were compared within and between participants using the intraclass correlation coefficient (ICC). The ICC grading for 18 both intra- and inter-rater reliability was moderate to excellent for all joints and both 19 goniometers,. Clinicians can measure finger joint angles from a screenshot with good 20 21 reliability. The protocol used in this study can be used in remote video consultations as a nocost substitute for in-person goniometry. 22 Level of evidence: II 23

INTRODUCTION

25	Goniometry is an integral assessment tool used in the diagnostic process and to measure the
26	effects of hand surgery and hand therapy interventions (Burr et al., 2003; Gibson, 2015;
27	Groth and Ehretsman, 2001). The use of hand held, manual finger goniometers has been
28	shown to have high inter- and intra-rater reliability (Gibson, 2015; Kooij et al., 2017).
29	In 2020, the COVID-19 pandemic led to a rapid shift in the delivery of hospital appointments,
30	with face-to-face appointments being replaced with video appointments. Some patient
31	presentations will always need to be seen face-to-face for at least part of their treatment
32	owing to their complexity, acuity or need for manual treatments, but many hand and upper
33	limb assessments may be well suited to video consultations. The entire hand and upper limb
34	can be easily visualized on screen with a webcam or phone-mounted camera and for many
35	presentations there is a preference for active self-management strategies rather than
36	"hands-on" passive techniques (Hutting et al., 2019). Furthermore, most of the United
37	Kingdom and European households have internet access and connected devices (European
38	Commission., 2021; Statista, 2020). However, for both patients and clinicians, there are
39	barriers to virtual consultations. These include access to, and ability to use, the technology;
40	space required to run a virtual consultation; desire for human contact; the perception that
41	face-to-face is required for adequate assessment of a complex presentation; and a level of
42	anxiety that patients report around seeing themselves on screen (Gilbert et al., 2021).
43	Additionally, many of the assessment tools that are relied upon in the clinic need adaptation
44	for the virtual setting (Nest et al., 2020).

45 Previous studies have found that finger, wrist and elbow joint angles can be measured from
46 photographs using computer software with good reliability (Chen et al., 2021; Meislin et al.,

47	2016; Wagner et al., 2018; Zhao et al., 2020). These studies describe patients and carers
48	being trained to take photographs of their limbs and to send them to the health
49	professionals to be assessed. The health professionals then use software programs to
50	measure joint angles.

51 To meet the need for range of movement assessments for patients being reviewed using video consultations, hand therapists at Guy's and St Thomas' NHS Foundation Trust, a large 52 tertiary referral hospital in the United Kingdom, started to use manual goniometers to 53 54 measure finger joint angles from screenshots taken during video consultations. This was considered acceptable for both patients and clinicians. Unlike using photographs taken by 55 patients and carers, the screenshot measurements could be made contemporaneously and 56 did not require either patient or carer training or additional software. The use of screenshots 57 and manual goniometer measurements was adopted out of necessity and to the best of our 58 59 knowledge, there are no existing data reporting the reliability of this method of assessment. The aim of this study was to assess the intra- and inter-rater reliability of using screenshots 60 and handheld manual goniometers to assess range of finger movement. 61

METHODS

Three volunteer 'patients' had all of the fingers of one hand immobilized in varying degrees 64 65 of mid-range flexion in a custom orthosis. Two authors (DJ and RB) simulated a video consultation with each volunteer using the same process as with patients. The appointment 66 67 was hosted on the NHS Attend Anywhere platform and volunteers connected using their own mobile devices and mobile network data. The lead author (DJ) connected to the 68 appointment on a desktop computer using the hospital internet and gave verbal prompts to 69 70 guide the volunteers to orientate their hand so that a lateral view of the target finger was achieved, and the dorsum of the finger was clearly visible. Screenshot images were taken 71 using the operating system default screen capture tool (Figure 1). Four screenshot images 72 73 were taken in total (one image of volunteer 1, two images of volunteer 2 and one image of 74 volunteer 3) with each image focused on a single finger (index, middle, ring and little finger). The volunteers were non-clinical members of the hand unit team. 75 76 The joints of each of the individual target fingers were also measured in-person by three 77 hand therapists while the volunteers were still wearing the custom orthoses. These measures were taken using a JAMAR[®] Finger/Toe Goniometer (Performance Health 78 79 International Ltd, Warrenville, Illinois, United States of America) and were used to calculate the 'true' position of each finger joint for comparison with the virtual measures. 80 To assess inter-rater reliability, hand therapists within the team were shown four screenshot 81 82 images (one of each finger) and asked to measure the metacarpophalangeal (MCP), 83 proximal interphalangeal (PIP) and distal interphalangeal (DIP) joint angles. Therapists followed a written protocol that reflected how range of movement assessments are carried 84 85 out in practice. A manual goniometer was placed against the screen of their computer or

tablet and the goniometer arms were aligned with the dorsum of the finger. Figure 2 shows 86 images that were included in the written protocol to illustrate the assessment method. Two 87 different types of goniometer were tested: the JAMAR® Clear Goniometer (Performance 88 Health International Ltd, Warrenville, Illinois, United States of America) and the JAMAR® 89 90 Finger/Toe Goniometer (Figure 3). 91 To assess intra-rater reliability, each assessment was repeated for each type of goniometer, with a 2-week period between measures to prevent recall of the previous measurements. 92 93 Measurements were submitted via an online portal (Google Forms) and therapists did not have access to their previous measurements once submitted. 94

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96 Data analysis

Both in-person and virtual joint measurements were summarized using descriptive statistics. 97 Data were normally distributed (consistency of mean and median) and were reported as the 98 mean and standard deviation (SD) for each joint. Reliability was calculated using the 99 intraclass correlation coefficient (ICC) with 95% confidence intervals (CIs). Inter-rater 100 101 reliability was assessed using a two-way random effects model with consistency of 102 agreement, and intra-rater reliability was assessed using a two-way mixed effects model 103 with absolute agreement (Koo and Li, 2016). The ICC results were graded as poor (<0.5), 104 moderate (0.5-0.75), good (0.75-0.9) and excellent (>0.9) (Koo and Li, 2016). 105 In a sensitivity analysis, mean and SD measures for each joint, and the ICC and 95% CIs were 106 compared for in-person and virtual measurements.

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108 *Approvals and governance*

- 109 The study was approved by the Guy's and St Thomas' NHS Foundation Trust Occupational
- 110 Therapy Clinical Audit Team (Project number 12308).

Accepted manuscript

RESULTS

112 Participants

- 113 Twenty-seven hand therapists took part in the study. Of these, 12 were occupational
- therapists and 15 were physiotherapists. Three therapists had been working in hand therapy
- 115 for less than 1 year, nine for 1-5 years, another nine for 5-10 years, and six for more than 10
- 116 years. All therapists were included in the inter-rater reliability analysis. For the intra-rater
- reliability assessment, 25 therapists completed repeated measures with the finger/toe
- 118 goniometer and 24 with the clear goniometer.

119 Sensitivity analysis

- 120 The mean and SD of the in-person and virtual measures for each joint are presented in Table
- 121 1. The SD for virtual measurement methods were similar to those of the in-person
- measurement methods, indicating comparable variability between the virtual assessment
- and the standard in-person method. Comparison of the mean in-person measurements and
- 124 virtual measurements shows no systematic over- or underestimation of joint angle.
- 125 However, individual measures of the DIP joint appear to be consistently underestimated via
- 126 in-person measurement, while MCP joint measures appear to be consistently
- 127 overestimated.

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129 Inter-rater reliability

Inter-rater reliability ICC with 95% CIs are presented in Table 2. The ICC grading was
 moderate to excellent for in-person and virtual measurement methods, for all joints and

132 both goniometers.

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- 134 Intra-rater reliability
- 135 Intra-rater reliability ICC with 95% CIs are presented in Table 3. The ICC grading was
- 136 moderate to excellent for all joints and both goniometers.
- 137 Summary data for virtual and in-person measurements of each joint and goniometer are
- 138 available through the Open Science Framework (Johnson et al., 2022).

DISCUSSION

141	The ICC for all joints combined indicated excellent inter- and intra-rater reliability, which
142	supports the use of this technique to measure finger joint angle during video consultations.
143	However, the finger/toe goniometer had only moderate reliability for MCP joint measures.
144	This could be due to a mismatch between the long metacarpal ray and short mobile arm.
145	The clear goniometer had only moderate reliability for DIP joint measures, potentially due
146	to the long mobile arm and short distal phalanx ray. These findings suggest that use of a
147	clear goniometer for the MCP joint and the finger/toe goniometer for the DIP joint may
148	result in more reliable measurements in practice.
149	The authors feel that the two-dimensional (flat) design of the clear goniometer may make it
150	better suited for range of movement assessment using screenshot images.
151	A broad range of hand therapy experience was reflected in the large sample of assessors.
152	This reflects how goniometry applies in clinical practice, with patient care often being
153	shared in a multidisciplinary team of varying skill levels.
154	Although it was the COVID-19 pandemic that stimulated a rapid shift to provide hand
155	surgery and hand therapy appointments via online platforms, the NHS long term plan
156	stipulated that technological solutions including telehealth consultations would replace one
157	third of face-to-face outpatient appointments by 2023 (NHS, 2019). Video appointments
158	have the potential to offer cost-savings for patients and healthcare services and may
159	improve accessibility to specialist services by reducing the travel burden for patients. In
160	addition, there is an environmental benefit of reducing transport requirements.

Previous studies have analysed the reliability of taking goniometric measurements from 161 photographs of fingers and elbows (Chen et al., 2021; Meislin et al., 2016; Zhao et al., 2020). 162 163 These studies required a patient or caregiver to be trained to use a smartphone camera to take the photographs, which were later measured by a health professional using software. 164 In practice, the transfer and storage of files creates extra steps for patients and healthcare 165 workers. They also raise issues of data security, and necessitate the creation of a new 166 procedure, which in many settings would require separate consent forms. Additionally, 167 using new software to take the measurements incurs costs and training. The method 168 described above bypasses these issues. If screenshots are taken using the Snipping Tool 169 program in Windows, or similar, and measurements are taken in-session then no images are 170 saved. Patients are guided into position with verbal and visual prompts for the therapist to 171 take the screenshot, there are no extra steps or training. The goniometers that were used 172 173 are commonly available in most hand units and the protocol is sufficiently similar to 174 standard in-person measurement that most will find it intuitive.

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There are some limitations to this study. The method used depends on the conversion of a 176 three-dimensional finger into a two-dimensional picture and as such introduces the 177 possibility of parallax error. The parallax effect is the change in relative position of objects 178 179 when viewed from different angles. In photography, angles can appear larger or smaller 180 depending on the position of the lens in relation to the subject. Analysis of our data shows 181 that this may have occurred in our study. For example, the mean of the virtual finger/toe and clear goniometer measurements of DIP joint of the index finger shows that the angle 182 appears overestimated compared to in-person measurements. Similarly, the MCP joint 183

angle of the little finger appears underestimated by the virtual goniometers. With only a
small sample of in-person measures, it is not possible explore this finding in greater depth.
However, this does highlight the importance of positioning the hand and target finger when
taking screenshots so that a 'true' lateral view of the joint is achieved. Future work should
involve collaboration with radiography colleagues to develop a standardized protocol to
minimize the potential effect of parallax error.

During video consultations, it can be difficult to direct the patient to align their hand with their camera in order to achieve an acceptable lateral view of the finger. This can be complicated by dressings, pain and swelling from trauma, uninvolved fingers obstructing the camera, low lighting, poor internet connection and other patient factors including whether or not patients are adept at using the required technology. Our study focused on an initial assessment of intra- and inter-rater reliability and did not look at these factors.

Our study did not assess measurements of the thumb joint angles as the Kapandji score 196 197 (Kapandji, 1986) was deemed to be a reliable method to assess for thumb opposition and 198 flexion (Jha et al., 2016) and could easily be used in the telehealth setting. All participants were hand therapists which may limit generalizability of results to other healthcare 199 200 professionals. The plan for the study was for participants take repeat measures 7-14 days apart to minimize recall of the previous measurements. However, in practice, owing to 201 202 workplace factors, the median time between individual participants' measurements was 21 203 days (range 0-60 days). Six individuals completed the repeated measures with a gap of less 204 than 7 days, which has the potential to affect the intra-rater reliability findings, however 205 there was similar variation in repeated measures for these individuals and the rest of the participants. 206

208 Clinicians can measure finger joint angles from a screenshot with good reliability using 209 either the standard finger/toe goniometer and the clear goniometer. The protocol used in 210 this study can be used in remote video consultations as a no-cost substitute for in-person 211 goniometry. Development of a standardized protocol to ensure optimal orthogonal views of 212 the joints being measured may improve the accuracy of this method. 214 Burr N, Pratt AL, Stott D. Inter-rater and Intra-rater Reliability when Measuring 215 Interphalangeal Joints: Comparison between three hand-held goniometers. Physiotherapy. 2003, 89: 641–52. 216 217 Chen J, Xian Zhang A, Jia Qian S, Jing Wang Y. Measurement of finger joint motion after flexor tendon repair: smartphone photography compared with traditional goniometry. J 218 Hand Surg Eur. 2021, 46: 825–9. 219 European Commission. Key figures on Europe: 2021 edition. Luxembourg, Publications Office 220 of the European Union, 2021. What does LU stand for? 221 Gibson G. Chapter 6, Goniometry. In: MacDermid JC, Solomon G, Valdes K (Eds.) Clinical 222 223 assessment recommendations, impairment-based conditions, 3rd Edn. Mt Laurel, NJ, American Society of Hand Therapists, 2015: 71–80. 224 Gilbert AW, Jones J, Stokes M, May CR. Factors that influence patient preferences for virtual 225 consultations in an orthopaedic rehabilitation setting: a qualitative study. BMJ Open. 2021, 226 11: e041038. doi: 10.1136/bmjopen-2020-041038. 227 Groth GN, Ehretsman RL. Goniometry of the proximal and distal interphalangeal joints, part 228 229 I: A survey of instrumentation and placement preferences. J Hand Ther. 2001, 14: 18–22. 230 Hutting N, Johnston V, Staal JB, Heerkens YF. Promoting the use of self-management strategies for people with persistent musculoskeletal disorders: the role of physical 231 therapists. J Orthop Sports Phys Ther. 2019, 49: 212–5. 232

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261 **Figure Legends**

- 262 **Figure 1**. The screenshot of a volunteer's hand immobilized in the custom-made orthosis,
- 263 focusing on the middle finger for measurement
- 264 **Figure 2.** Examples from the measurement protocol illustrating the placement of the manual
- 265 goniometers against an image of a "patient's" hand
- 266 Figure 3.: A) JAMAR[®] Clear Goniometer; B) JAMAR[®] Finger/Toe Goniometer